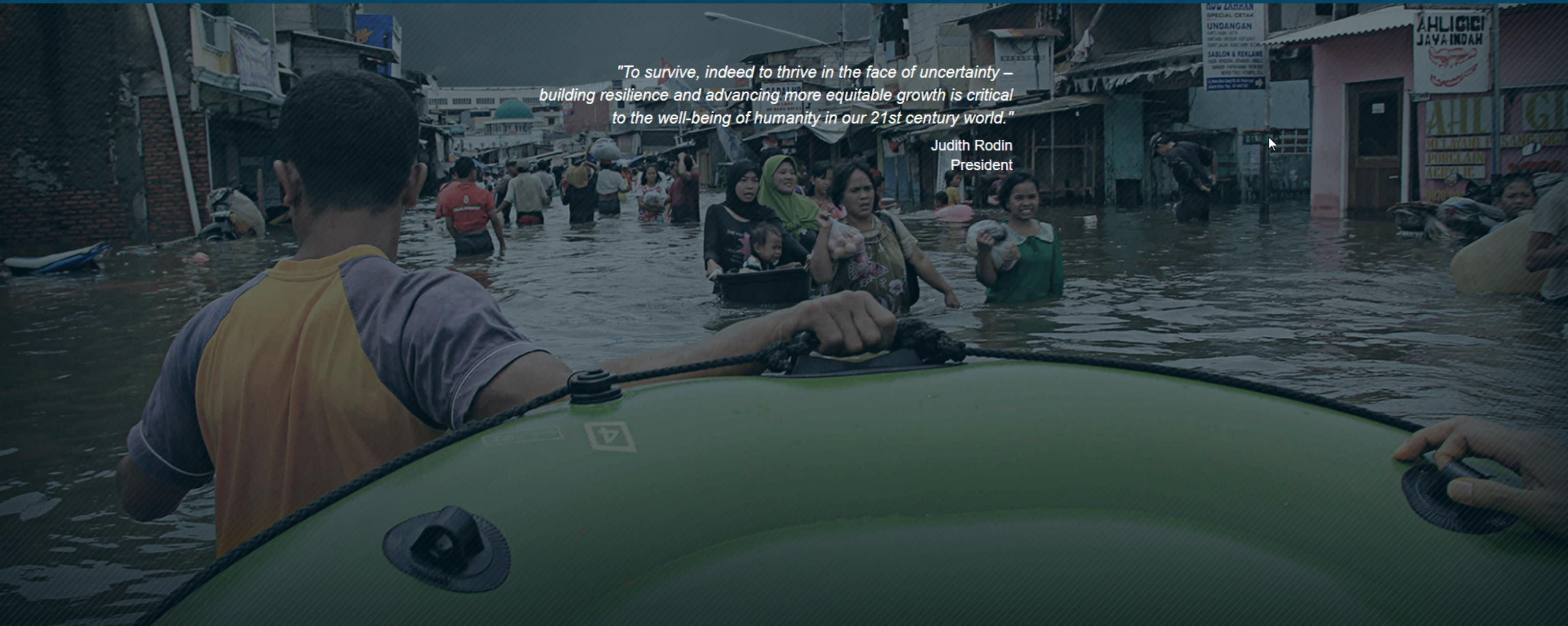


*"To survive, indeed to thrive in the face of uncertainty –  
building resilience and advancing more equitable growth is critical  
to the well-being of humanity in our 21st century world."*

Judith Rodin  
President







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Photo courtesy of Ruby Mangunsong





JUDITH RODIN

*President of the Rockefeller Foundation*

## ON THE EVE OF OUR CENTENNIAL

Looking back on 2012, so many moments served as vivid reminders of the important challenges humanity is up against, as well as the amazing power we have as a collective force to bring about greater change for people around the world.

For me, perhaps the most defining of those moments came as I walked the devastated neighborhoods of the Rockaways and parts of Brooklyn only weeks after Superstorm Sandy hammered the coastline along New York and New Jersey. We spoke to people still digging through the rubble of houses that had burned in the chaos of the storm; we saw the failures of water treatment systems and transportation lines and the dunes washed from coastal communities. These reanimated the images of people all across the world who had faced similar devastation over the year with far fewer resources and capacity to rebound than we have in the New York region of the U.S.— from flash flooding in Nepal and torrential rains in China, to earthquakes in Iran and cholera outbreaks in Sierra Leone, to name only a few of the catastrophic events. But amidst these scenes of destruction, we witnessed and heard of great acts of triumph, from those who vowed to rebuild their homes and communities with more effective design, to neighbors helping neighbors in the trying aftermath when services were still difficult to access.

We saw an opportunity to leverage this moment to help New York rebuild its systems to be more resilient to future storms and other shocks, and in the process, to shift the paradigm from costly disaster response, to one of resilience — helping people, communities and institutions prepare for, withstand and emerge stronger from acute shocks and chronic stresses. [Learn more about our work to build resilience before and after Superstorm Sandy.](#)

Over the last decade the Rockefeller Foundation has invested in [resilience building](#) around the globe — including our [Asian Cities Climate Change Resilience Network](#), which works in cities in several Asian countries to build resilience against the consequences of our changing climate. In this report, you'll read about one example of the work — [the women of Da Nang, Vietnam](#), who made improvements to their homes to make them more resilient to typhoons — with amazing results.

Each story in this annual report reflects a moment, person, or project that energized us and gave us hope that our work is having real impact: the passage of the UN resolution putting [Universal Health Coverage as the framing health goal](#) for the post-2015 development agenda; the [momentum building around impact investing](#) in Latin America and across the Global South; young people like Michael Njau who have bright futures in agriculture thanks to our grantees. What connects these stories is their contribution to [the Foundation's dual strategic goals](#): to build resilience and advance more equitable growth.

These dual goals served as our north star in 2012, bolstered by the work we did within and, importantly, across our four focus areas: [Advance Health](#), [Secure Livelihoods](#), [Revalue Ecosystems](#), and [Transform Cities](#), each of which will be explained in further depth in this report. These goals and focus areas emerged as part of our refreshed model and strategy, which we believe will best position our institution in the 21st century.

Indeed, 2012 was also the eve to our Centennial, and much planning went into the year as we readied for such a momentous milestone. Of course, you'll have to wait until next year's to find out how 2013 went. I'll give you a preview: it was nothing short of magic.

But 2012 was so much more than a springboard to our second century — it was a year of incredible progress against some of our most pressing concerns. We are proud to be able to tell just a few of those stories in our first online-only report, and we invite you to explore our work further and engage in the conversation with us on [Facebook](#) and [Twitter](#), or by [signing up for our e-newsletter](#).

Thank you for ongoing support and interest in the Rockefeller Foundation, and a special recognition to our amazing partners, [grantees](#) and [colleagues](#) around the world — we couldn't do any of this without you.

Sincerely,



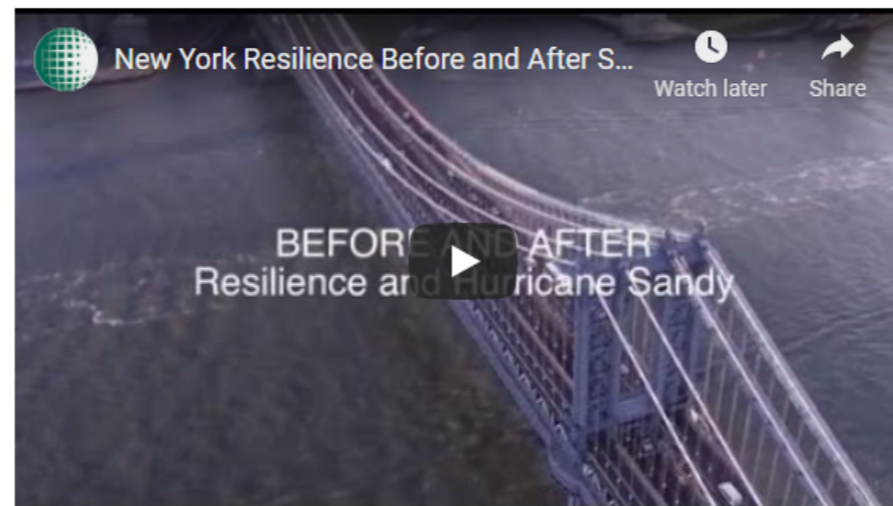
## STRATEGIC GOALS



## RESILIENCE BEFORE AND AFTER SUPERSTORM SANDY

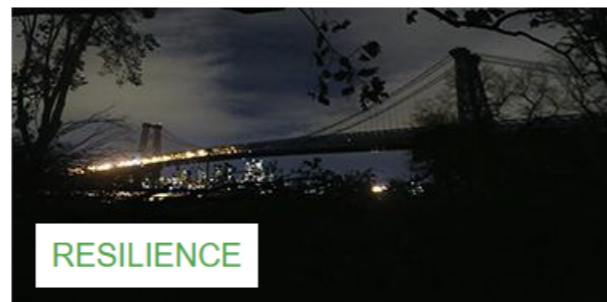
On October 29, 2012, Superstorm Sandy made landfall along the east coast of the United States, destroying homes, devastating businesses, and badly damaging critical pieces of New York's physical and natural infrastructure. The storm was just the most recent catastrophic event in a new century characterized by the sudden and unforeseen – and a reminder that we need to prepare our cities, even those with many resources, to withstand crises and bounce back quickly and effectively, come what will.

Along with other partners, The Rockefeller Foundation had been working to build New York's resilience long before the storm. In the aftermath of Sandy, New York's Governor Andrew Cuomo enlisted our expertise to put forth [specific recommendations which influenced the federal, state and local governments](#) to approach rebuilding through a resilience lens.





## STRATEGIC GOALS



## RESILIENCE

From floods and earthquakes to pandemics and economic collapse, catastrophic events are increasing in frequency and impact. The question is no longer if disruption will happen, but when, and if we'll recover. To date, the Rockefeller Foundation has invested and committed more than \$100 million in helping cities, institutions and systems prepare for, withstand and bounce back more quickly and effectively from disaster.

Click to the left to learn more about one example of our resilience work in 2012 to help New York City rebound more effectively after Superstorm Sandy and prepare for future shocks, and [view the breadth of resilience initiatives](#) around the world.

*Photo: Stefanie Deji*



## EQUITABLE GROWTH

The same global forces that increase risk and volatility — urbanization, globalization, and changing demographics, to name just a few — also create opportunities and innovations, including technology, access to data and options for mobile health care and banking. To ensure poor and vulnerable populations benefit from these gains, the Rockefeller Foundation has pursued a number of initiatives to advance equitable growth.

Click to the right to see one example of how we've worked toward this goal in 2012 through supporting the impact investing sector in Latin America, and [see how we advance equitable growth](#) across all of our focus areas.







## FROM INVESTMENT TO IMPACT: LATIN AMERICA

Over the past seven years, the Rockefeller Foundation has invested more than \$40 million in building the field of impact investing – investments intended to generate both financial return and social impact. While the industry has taken root in the United States and Europe, Latin America has enormous opportunity to unlock capital toward solving the region's inequalities in income, education and health care. In 2012, the Rockefeller Foundation hosted the first in a series of convenings, bringing together more than 100 investors, philanthropists and entrepreneurs in Sao Paulo, Brazil, culminating in grants to local organizations.

Click on the pictures to the left to see how these investments are translated to impact in the lives of the poor or vulnerable.





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## SECURE LIVELIHOODS

People's livelihoods are threatened by demographic shifts and economic stresses, from rapid migration from rural areas to urban centers, a growing youth bulge in Africa, and an aging working population, all of which contributed to significant unemployment and underemployment around the world.

The Rockefeller Foundation promotes new approaches to achieving economic goals, development and management to foster more inclusive markets through which prosperity can be more widely and equitably shared. Click below to hear one story of impact in 2012 – one young African strawberry farmer who benefited from our grantmaking – and see our full portfolio of work in our [Secure Livelihoods focus area](#).





FOCUS AREAS



With funding from the Rockefeller Foundation, Farm Concern International's Youth in Agriculture and Trade Enterprises program breaks down barriers to African youth entering agriculture by connecting young farmers to new business models and tools to grow their agri-business enterprises as a means to address anticipated future youth unemployment and low agricultural productivity. In this video, meet one young strawberry farmer from Kenya. (Bonus points if you can guess how many strawberries he hopes to raise this year.)





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## TRANSFORM CITIES

Nearly three-fourths of the world's population are expected to live in cities by 2050. This rapid urbanization threatens health and economic well-being of billions, and with many cities located in fragile ecosystems, puts more people at risk of climate change impacts and other shocks and stresses.


The Rockefeller Foundation takes a systemic approach to urban issues, including climate change, sustainable infrastructure, and innovation for informal economies, to ensure that cities continue to be places of enormous opportunity and growth. Click below to see one example of our work in 2012 – getting a bus rapid transit system up and running in Chicago – and see all of our work in the [Transform Cities focus area](#).





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## FIVE STOPS ON CHICAGO'S ROUTE TO BRT

For a commuter attempting to get from north to south on Chicago's west side, options are limited: either take a bus that creeps along at about 10 miles an hour, or try the city's subway, which will loop you back through downtown. For residents, primarily low-income, who live in parts of the city not served by public transit, these challenges are greater than just a bad commute — they limit the economic opportunity of entire populations.

In 2012, Mayor Rahm Emanuel created an infrastructure bank to leverage private financing for public works projects to spur economic growth, including a [bus rapid transit \(BRT\) system](#). BRT is distinguished from other bus systems by off-board ticketing, dedicated lanes and priority right-of-way, among other amenities.

Here are just five steps Chicago has taken — led by the Chicago Department of Transportation (CDOT) and the Chicago Transit Authority (CTA) with Rockefeller Foundation support — on the city's continuing journey towards BRT.

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## SHOW, DON'T TELL

In the fall of 2011, the Rockefeller Foundation provided funding to the Institute for Transportation and Development Policy to organize a visit to Mexico City for Chicago's transportation officials to experience its new BRT system — MetroBus. Not only were the benefits to Mexico City's poor and vulnerable commuters obvious, but the streets were similar enough to Chicago's that officials could see how the model would work in their local context.

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## FOCUS AREAS



## FRAME THE OPPORTUNITY

The Rockefeller Foundation then hosted a two-and-a-half-day workshop that brought together key stakeholders to identify the decisions that would need to be made and the barriers that might occur along the way. By “front-loading” the process, this workshop helped participants solidify their roles, guide the community engagement process and put together a plan with agreement and buy-in from all stakeholders — not an easy task in any city, particularly with a project as big as BRT.



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## TAKE IT TO THE COMMUNITY

One of the biggest challenges in implementing a BRT system can be getting community support for the project. It's particularly tough when most of the community doesn't even know what BRT is, much less how it would directly impact their lives and community. A Steering Committee put together by the Chicago Community Trust took the challenge head on, and the Active Transportation Alliance organized transit riders to sign petitions. The Chicago Architecture Foundation hosted an exhibition — "Bus Rapid Transit: Next Stop Chicago" — to educate visitors on how BRT has transformed cities around the world. The grassroots buzz began.

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## GET BUSINESS ON BOARD

Another framing workshop focused on bringing BRT to the Western/Ashland corridor of the city, and convened influential business leaders who recognized the clear benefits of increased transportation options and would make it easier for the community to access health care, particularly for patients served by the major hospitals in the Medical District. Now the City is moving forward on plans for a small central loop of BRT to run along Ashland Avenue. The Chicago Transportation Authority calculates that the system will double average bus speeds, making the BRT nearly as fast as rail lines, but at a much more affordable price – about \$10 million per mile.





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## DREAM BIGGER

While the initial plan was to build three BRT lines, the City is now looking at a citywide system. Said Ngoan Le, vice president of programs at the Chicago Community Trust, "None of us three years ago thought we would see this day."

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## ADVANCE HEALTH

Individual and collective health are jeopardized by an array of challenges, including food insecurity and poor nutrition, infectious and non-communicable diseases, and, in many developing countries, enormous out-of-pocket costs that force poor and vulnerable populations into financial ruin.

The Rockefeller Foundation supports innovative strategies that incentivize individuals, communities, and governments to address the breadth of variables that contribute to healthy societies. Click below to learn about one area of our work in 2012 – helping to move Universal Health Coverage to the top of the health development agenda – and see the breadth of work in the [Advance Health focus area](#).





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## THE ROAD TO UNIVERSAL HEALTH COVERAGE

The road to Universal Health Coverage (UHC) hasn't been easy, or even linear – and we haven't yet arrived at our destination: universal access to quality and affordable health services without putting individuals and families at risk of bankruptcy or financial ruin. As early as 2009, the Rockefeller Foundation has been a leading and vocal advocate for UHC. Since our support for the release of the 2010 World Health Report "Health Systems Financing: The Path to Universal Coverage," more than 70 countries have approached the World Health Organization (WHO) to request technical assistance in moving forward on UHC. The Rockefeller Foundation also initiated a Joint Learning Network, which connects low- and middle-income countries to trade best practices and share technical approaches and ideas for implementing UHC.

In December 2012, the UN General Assembly passed a Resolution on UHC. Read on to learn about the 2012 milestones that led to this momentous achievement.

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JANUARY, 2012

### PRINCE MAHIDOL CONFERENCE

The theme of the Prince Mahidol Award Conference supported by the Rockefeller Foundation in Bangkok, Thailand, was "Moving towards Universal Health Coverage (UHC): Health Financing Matters." At the close of the meeting, a ten-point declaration recognized UHC as fundamental to the right to health, and marked the commitment by more than 800 delegates to translate the rhetoric of UHC into better, more equitable health outcomes.

[READ THE OPENING REMARKS OF THE CONFERENCE](#)

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MAY, 2012

## WORLD HEALTH ASSEMBLY

The Rockefeller Foundation and the World Health Organization held a groundbreaking planning meeting on the sidelines of the World Health Assembly in Geneva, which was attended by more than 30 participants from 12 countries including Bangladesh, France, Germany, India, Indonesia, Japan, Mauritania, Mexico, Philippines, Thailand, South Africa, and the United States to discuss cooperative efforts to pass a resolution at the UN General Assembly. The meeting showed that UHC was garnering increased attention in the global health sector.

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MAY 21, 2012

## "SINGLE MOST POWERFUL CONCEPT"

In her acceptance speech after being appointed for a second term as the Director General of WHO at the 65th World Health Assembly in Geneva, Dr. Margaret Chan called UHC "the single most powerful concept that public health has to offer...the umbrella concept that demands solutions to the biggest problems facing health systems and the anchor for WHO." This call to action electrified the global health community.

*Photo: Robert Scoble*

[READ DR. MARGARET CHAN'S FULL SPEECH](#)



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JUNE 22, 2012

### RIO+20 UNITED NATIONS CONFERENCE

At the Rio+20 United Nations Conference on Sustainable Development, member states recognized health as the "heart" of development, and emphasized UHC as a critical need to help 150 million people worldwide who suffer from severe financial hardship in the face of illness.

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# THE LANCET

## Universal Health Coverage



GLOBAL HEALTH SERIES

SEPTEMBER, 2012

### LANCET SERIES

The Rockefeller Foundation provided the funding for a successful series of papers on UHC, released by the Lancet, the leading academic health journal, featuring voices and perspectives from across health and development. The series helped to elevate the scholarship of UHC and raise the dialogue to a new level.

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OCTOBER, 2012

### GLOBAL SYMPOSIUM ON HEALTH SYSTEMS

Rockefeller Foundation President Judith Rodin and Managing Director Jeanette Vega attended the second Global Symposium on Health Systems Research in Beijing, which brought together more than 1,800 policymakers, researchers and public health officials. At the conference, it was announced that more than 100 countries – both low and middle income – were taking steps to advance UHC around the world. Both Rodin and Vega championed equity and inclusion as key tenets of health systems.

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DECEMBER, 2012

### UN RESOLUTION

The movement built among global and national actors led to the passage of the UN Resolution, which was endorsed by more than 90 countries and encouraged governments to make UHC a key global health objective. The resolution has positioned UHC as the umbrella health goal for the post-2015 development framework – a big step closer towards making access to affordable, quality health care services a reality for all.



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## REVALUE ECOSYSTEMS

Human use and transformation of natural ecosystems is rapidly exceeding our planet's capacity to sustain the ecological conditions and services people need to survive and thrive.

The Rockefeller Foundation pursues robust cross-sector approaches and market-based solutions that account for the numerous, yet often overlooked, value of ecosystems in development decisions and harness them as an asset for smart development, economic and social progress and long-term resilience. Click below to read one example of our work in 2012 – putting women front and center in the climate change discussion – and see the breadth of work in the [Revalue Ecosystems focus area](#).





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## GLOBAL WOMEN LEADING ON CLIMATE CHANGE

Women are disproportionately impacted by climate change and can play an important role in representing the needs of their community.

Explore four organizations the Rockefeller Foundation is supporting to ensure women are equally represented in climate change policy and response.



DA NANG WOMEN'S UNION



CLIMATE WISE WOMEN



TROIKA+



WOMEN FOR RESULTS



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### VIETNAMESE WOMEN UNITE TO PROTECT THEIR HOMES

After tragic losses in the wake of increasingly violent and frequent storms, women in the Vietnamese coastal city Da Nang had finally had enough.

One of those women is Nguyen Thi Phuc Hoa, from international nonprofit Challenge to Change. She conducted an analysis of the vulnerability of female-headed households to typhoons and storm surges. In response, the Rockefeller Foundation provided a grant to ISET-Vietnam to partner with the Da Nang's Women Union to set up a revolving loan fund to help households upgrade and stormproof their homes.

For many, this means relatively simple improvements such as raising home foundations to reduce flooding and better securing roofs to reduce wind damage. But even these small modifications can make a big difference during a typhoon.

But home improvement was just one part of the transformation. For the first time, the women of Da Nang are assessing their own climate liabilities, pooling their resources in innovative ways, and taking steps to secure their own future in the face of climate change.

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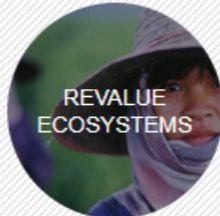


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This program is an outgrowth of the Foundation's Asian Cities Climate Change Resilience Network (ACCCRN), which supports more than 30 cities across six countries – India, Indonesia, Vietnam and Thailand – in developing resilience strategies to address current and future climate risks and impacts.

Results in Da Nang speak for themselves. On October 15, 2013, Typhoon Nari landed in Da Nang at dawn, leaving many people injured, thousands of houses destroyed, roofs completely blown off, and tens of thousands of trees broken at their trunks or uprooted by severe winds.

The fate of the homes improved by the Women's Union was starkly different. A post-storm damage assessment showed that all 244 households that had undergone the improvements were spared from damage. The lessons learned are already contributing to a greater understanding of climate change resilience, both in the region and around the world. For example, the Da Nang city government has directed its technical departments and communities to apply the same construction techniques to housing reconstruction citywide.

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## CLIMATE WISE WOMEN

For Constance Okollet, a peasant farmer in Eastern Uganda, climate change isn't a theoretical concern or a political talking point: it's a matter of having enough food to eat, enough water to access, and enough crop yields to sustain her livelihood and those of her neighbors in the community.

"We depend on agriculture to meet all our basic needs," says Okollet, the Chairperson of the Osukuru United Women's Network in Eastern Uganda. "But climate change has stopped all that."

Okollet is part of Climate Wise Women – a non-profit, global project of the Earth Island Institute to promote women's climate leadership through the power of storytelling. Along with her fellow members — including Ulamila Kurai Wragg of the Cook Islands, Thilmeeza Hussain of the Maldives, Ngozika Onuzu of Nigeria, and Sharon Hanshaw of Biloxi, Mississippi — Okollet petitions leaders of wealthy countries to reduce carbon emissions and assist developing nations in mitigating the devastating impact of climate change on livelihoods and economies.

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In her words, "Our vulnerable communities need a voice, and I am the voice."

Through the Foundation's support, Climate Wise Women were able to attend such events in 2012 as the Fifty-Sixth Session of the United Nations' Commission on the Status of Women and the United Nations Conference on Sustainable Development, or Rio+20.

At Rio+20, Climate Wise Women presented sessions on the power of personal narrative in sustainability and cross-generational dialogues, hosted an event on climate finance for grassroots women, and helped to develop a working group that continues to share knowledge and work together to advocate for funding mechanisms that can be easily delivered to women all over the world.

But most importantly, Climate Wise Women was able to inspire thousands of others attending these events with their stories of sacrifice, courage, and persistence – all of which contributes to a richer understanding of how climate change impacts women in varying and significant ways, and ensuring women have a voice in this global fight.

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## CONNECTING THE HALLS OF POWER WITH RURAL COMMUNITIES

Ten years. That's how long it had been since a decision was passed urging gender diversity at the United Nations Framework Convention on Climate Change (UNFCCC) and the Kyoto protocol delegations. Yet in 2012, women made up less than 20 percent of the membership of the two bodies.

Former Irish President Mary Robison, UN High Commissioner for Human Rights and founder of the Mary Robison Foundation for Climate Justice, was growing impatient when she arrived at the 2012 Climate Change Summit in Doha, Qatar, along with other members of Troika+ of Women Leaders on Gender and Climate Change.

A new measure was on the table that would put women back on the agenda and promote gender balance and gender-responsive climate policy. But then another set-back: a bureaucratic technicality blocked the measure's passage.





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Troika+, a multi-sector coalition launched by the Mary Robinson Foundation for Climate Justice, with support from the Rockefeller Foundation, stepped up. With high profile members, including Christiana Figueres, executive secretary of the UNFCCC, and Michelle Bachelet, then executive director of UN Women, Troika+ works to convene a two-way dialogue between high-powered global women leaders and organizations devoted to gender and climate change at the grassroots, local and regional levels, ensuring a more gender-responsive climate strategy.

Leaders continued to organize member nations, raising the issue to the highest level of the Summit. They had to show that there was broad-based support for the measure.

"Grenada came in," President Robinson said in an interview with Ireland's Village Magazine. "Bangladesh came in, Mexico came in, the United States came in, Japan came in – it was fantastic! And in the end the President of the Summit threw up his hands and said: 'What can I do, a mere man!'"

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The resolution was passed, putting gender on the agenda of future conferences, paving the way to create greater gender balance in the bodies of these influential delegations. Christiana Figueres called it the “Doha Miracle.”

Troika+ continues to listen to and advocate on behalf of women whose livelihoods are most impacted by the consequences of climate change. During the Commission on the Status of Women in 2012, Troika representatives attended a session to listen to the concerns of rural women from Sudan, Ghana, Nepal and Bangladesh who were struggling to access renewable energy options, from cookstoves to solar lights, for their homes and workplaces. The Troika+ women promised these concerns would be heard, ensuring women’s voices – both at the highest levels of development and in the communities hardest hit by climate – are reflected in future climate action.

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## WOMEN FOR RESULTS

Thousands of women in Australia, taking small steps to make big reductions in carbon emissions.

New stoves, dams, and even an entire new storm-resistant village in Bangladesh.

More than 150,000 new trees providing cleaner air and more shade in Guatemala.

Resilient Kenyan small businesses, owned and powered by women.

These are just some of the examples of the women who are making a difference across the globe, and whose accomplishments are being celebrated through “Momentum for Change: Women for Results,” an initiative celebrating the efforts to improve development outcomes for women globally, including climate change adaption and mitigation.

In 2012, the Rockefeller Foundation awarded a grant to the UN Framework for the Convention on Climate Change (UNFCCC) secretariat to launch the program, which will inform governments, media, and the public about the role of women in solving climate change, and showcase innovative, effective and scalable models.

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## 1 MILLION WOMEN IN AUSTRALIA

1 Million Women, one of Australia's largest women's environmental organizations, has a single, audacious goal: to enlist a million women in a pledge to take small steps in their daily lives that save energy, reduce waste, and cut pollution. It's on its way – so far 83,000 women have committed to cut more than 100,000 tons of carbon pollution.

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## CLIMATE CHANGE ADAPTATION AND DISASTER RISK REDUCTION IN BANGLADESH

Climate Change Adaptation and Disaster Risk Reduction in Bangladesh empowers women to lead assessments of – and develop solutions for – local climate risks. This work has already led to the installation of improved cooking-stoves in 110 households, 10 new temporary dams to preserve fresh water for irrigation and reduce damaging levels of salinity in cropland, and a raised cluster village for landless families in flood-vulnerable areas.

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## ALLIANCE FOR INTERNATIONAL REFORESTATION: WOMEN FARMERS IN GUATEMALA

The Alliance for International Reforestation – AIR – offers training and tools to women farmers to address the pressing challenge of deforestation through sustainable farming. Since 1993, AIR has trained over 2,000 farmers, established hundreds of tree nurseries and planted over 3.7 million trees.

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ABOUT



## FINANCIALS

### FISCAL STEWARDSHIP

THE ROCKEFELLER FOUNDATION CONDENSED FINANCIAL STATEMENTS FOR 2012

*Statement of Financial Position as of December 31. Amounts in millions. Numbers are rounded.*

ASSETS	2012	2011
Cash, cash equivalents and other current assets	13	13
Investments	3,636	3,449
Other long term assets	47	45
<i>Total Assets</i>	<i>3,696</i>	<i>3,507</i>
LIABILITIES AND NET ASSETS		
Accounts payable and accrued expenses	9	7
Grants payable	51	55





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Grants payable	51	55
Debt outstanding	19	20
Other liabilities	28	27
<i>Total liabilities</i>	<i>107</i>	<i>109</i>
Unrestricted Net Assets	3,589	3,398
<i>Total liabilities and Net Assets</i>	<i>3,696</i>	<i>3,507</i>

Statement of Activities as of December 31. Amounts in millions. Numbers are rounded.

REALIZED INVESTMENT INCOME	2012	2011
Realized investment income	297	207
Investment expense	(14)	(11)
<i>Net realized investment income</i>	<i>283</i>	<i>196</i>
EXPENSES		
Grants and direct charitable activities	130	142
Program costs	27	19
Operations	22	19
Taxes	5	2
<i>Total expenses</i>	<i>184</i>	<i>182</i>
Net realized investment income over expenses	99	14
Unrealized appreciation (depreciation) on investments	89	(96)
Pension and post-retirement benefit adjustments	3	(12)
<i>Change in Net Assets</i>	<i>191</i>	<i>(94)</i>



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## 2012: A YEAR IN REVIEW

After 99 years of creating impact and catalyzing innovation, 2012 yielded incredible achievements and progress across all of the Rockefeller Foundation's focus areas and initiatives. Explore the timeline below to learn more about some of those achievements that stood out over the year, including the acceleration of universal health coverage as a key development goal, the launch of our Centennial initiative, and the continued growth of the impact investing sector to unlock private capital to improve the lives of poor or vulnerable people worldwide.





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JANUARY 13, 2012

## MEETING OF THE US- BRAZIL JOINT INITIATIVE ON URBAN SUSTAINABILITY

The second meeting of the US- Brazil Joint Initiative on Urban Sustainability (JIUS) kicked off a six month process of identifying green technology, products and services in the waste and stormwater management, innovative waste solutions and green building sectors that were highlighted in a green economy "cookbook," intended to help match project developers and communities with financing for short- and long-term implementation of city-scale investments in urban sustainability. JIUS is a collaboration between the US and Brazil to demonstrate how investment in the environment can be an economic driver by stimulating demand in for green and 'smart' infrastructure, technologies, products and services.

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## ANOTHER VICTORY FOR THE IMPACT INVESTING COMMUNITY

New York becomes the seventh state to put into effect legislation for benefit corporations, allowing company boards to consider social and environmental goals ahead of profits and shareholder value. The Rockefeller Foundation's grantee B Lab has been critical to building Global Impact Investing Rating System, a third-party rating system of the impact of benefit corporations. The legislation was a victory for the Rockefeller Foundation's [Impact Investing](#) initiative and the community of social enterprises in the U.S.

FEBRUARY 12, 2012

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APRIL 2-4, 2012

## CATALYSTS FOR CHANGE

The Rockefeller Foundation collaborates with Institute for the Future (ITF) to run a first-of-its-kind global forecasting game called Catalysts for Change. The goal of the online game is to convene people from all over the world identify innovative approaches to addressing the problems of poverty. Over 48 hours of game play, 1,616 players from 79 countries participate, using ITF's collaborative forecasting platform building and improving upon the solutions already proposed by leading nonprofit organizations to address the 1.2 billion people living in extreme poverty.

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MAY 7, 2012

## WEST AFRICAN RURAL RESILIENCE INITIATIVE

Oxfam America expands its [Rural Resilience Initiative to West Africa](#) with support from the Rockefeller Foundation. Rockefeller's \$450,000 commitment supports the start of the initiative in Senegal to enable poor farmers to strengthen their food and income security by managing risks through a four-part approach—improving natural resource management (community risk reduction), accessing microcredit ("prudent" risk taking), gaining insurance coverage (risk transfer), and increasing savings (risk reserves).

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 A FRAMEWORK FOR ACTION:  
**SOCIAL ENTERPRISE  
 & IMPACT INVESTING**


JUNE 20-22, 2012

**RIO +20: A FRAMEWORK FOR ACTION**

*Rio +20: The United Nations Conference on Sustainable Development* is held in Rio de Janeiro, Brazil, bringing together representatives and heads of state from more than 190 governments to renew commitments toward the development of measurable sustainable development goals globally. At a special session, the Rockefeller Foundation in partnership with UN Global Compact announced a “[framework for action](#)” to encourage investors, large corporations and governments to engage with and support the rising number of social enterprises that address social and environmental needs across a range of sectors, including agribusiness, education, energy, financial services, health, housing and water.

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## INNOVATION FORUM

JUNE 26-27, 2012

The Rockefeller Foundation hosts its second Innovation Forum, where we announce of the winners of its 2012 Innovation Challenges, a competition designed to surface solutions to pressing global challenges such as access to fresh water, food insecurity, and rapid urbanization. The competition invites people and organizations around the globe to submit innovative ideas addressing one of three issues: using data to improve the quality of life for poor or vulnerable communities; improving or scaling agricultural water use efficiency; and encouraging and supporting young people to enter and stay in farming. Selected from nearly two thousand entries, the eight winners are eligible for grants of \$100,000 each to further develop their ideas.

Watch Judith Rodin's Opening Remarks:

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AUGUST 16, 2012

## AFRICA'S ECONOMIC TRANSFORMATION

The Foundation's Africa Regional Office in Nairobi convenes policy makers, members of academia, the private sector and grantees from around the continent to discuss the pivotal role innovation will play in securing Africa's economic transformation.

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SEPTEMBER 24, 2012

## IMPACT INVESTING GAINS MOMENTUM

Rockefeller Foundation President Judith Rodin speaks at the White House Forum on Philanthropy Innovation, lauding the White House proposal to devote more than \$100 million in federal funds to incentivize [Social Impact Bonds](#) at the state level. The Rockefeller Foundation has supported the growth of Social Impact Bonds to expand preventative services that benefit poor or vulnerable populations, including the first-ever SIBs pilot in Peterborough, UK, to reduce the rates of prisoner re-entry.

*[WATCH: JUDITH RODIN AND ARIANNA HUFFINGTON TALK SOCIAL IMPACT INVESTING](#)*

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SEPTEMBER 25, 2012

## ENSURING RESILIENT LIVELIHOODS

Against the backdrop of the United Nations General Assembly, The Rockefeller Foundation, USAID, and the United Nations Foundation host a [high level event](#) highlighting the new international commitment to building resilience for vulnerable communities. Dr. Judith Rodin and USAID Administrator Dr. Rajiv Shah welcome expert speakers including Valerie Amos, United Nations Under-Secretary-General for Humanitarian Affairs and Emergency Relief Coordinator; Ertharin Cousin, Executive Director, World Food Programme; and Heikki Holmås, Minister of International Development of Norway for rich discussion of key alliances and innovations enabling relief and development actors to come together to build resilience and help sustain development gains.

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OCTOBER 19, 2012

## IMPACT INVESTMENT FORUM

The Rockefeller Foundation partners with Omidyar Network and the Avina Foundation to co-host an impact investing convening in Sao Paulo, Brazil, to find ways to unlock capital to address Latin America's development and social needs. This is the first meeting of four held to catalyze impact investing across the Global South.

*Photo: Alexandre Giesbrecht*

[MORE: IMPACT INVESTING: A GROWING GLOBAL OPPORTUNITY](#)

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OCTOBER 29, 2012

## SUPERSTORM SANDY

Superstorm Sandy hits the Northeastern United States, killing 72 people and causing \$68 billion in damages. In New York State, more than 300,000 homes are destroyed, 2 million people lose power, and many subway lines took months to reopen after extensive flooding. In the weeks to follow, New York Governor Andrew Cuomo appoints Dr. Rodin co-chair of the [NYS 2100 Commission: Building Resilience in New York](#), which provides a number of recommendations to make the state's infrastructure and systems better able to withstand and bounce back from future disasters.

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RURAL RESILIENCE SERIES

## Horn of Africa Risk Transfer for Adaptation

DECEMBER 6, 2012

### HORN OF AFRICA RISK TRANSFER FOR ADAPTION PROGRAM

The largest weather index insurance payout for small-scale African farmers is triggered by satellite technology. More than 12,200 farmers in 45 villages in Northern Ethiopia benefit from drought protection for a total \$322,772 in payouts offered through the Horn of Africa Risk Transfer for Adaption Program (HARITA), launched in 2007 by Oxfam and, supported by The Rockefeller Foundation, Swiss Re, The International Research Institute for Climate, Africa Insurance Company and several other partners.

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## THE EVE OF OUR CENTENNIAL

DECEMBER 31, 2012

The end of 2012 marks the start of the [Rockefeller Foundation's Centennial](#). We approached our 100 year anniversary with the goal of using the milestone as a springboard to the future, engaging people at both high-levels and at the grassroots across geographies and sectors to take action and create innovative solutions to the problems that will define the next century of our work.



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# GRANTEES

The Rockefeller Foundation is proud to support the work and mutual learnings of organizations whose work aligns with our mission, strategic goals and focus areas. Explore the list below to learn more about our grantmaking in 2012.

Abt Associates, Inc. Cambridge, MA United States: \$300,000 in support of an evaluation of the Foundation's initiative in development, Sustainable Employment in a Green US Economy (SEGUE), to foster learning, accountability and performance improvements in the Foundation and among its grantees.

Abt Associates, Inc. Cambridge, MA United States: \$306,610 in support of an evaluation of the Foundation's initiative, the Campaign for American Workers, to assess the relevance, effectiveness, efficiency and sustainability of the initiative and apply lessons learned to future Foundation work.

Abt Associates, Inc. Cambridge, MA United States: \$249,189 in support of the Campaign for American Workers.

Partnerships in support of developing a replicable recruitment, training and impact measurement approach for outsourcing work to business process outsourcing companies employing poor or vulnerable people, as part of a broader demonstration to test whether the nascent field of "impact sourcing" can yield better employment opportunities for poor or vulnerable populations.

Accenture LLP Chicago, United States: \$354,975 for use by its Accenture Development Partnerships in support of managing a forward-looking research project focused on critical problems and opportunities in the areas of city transformation, the advancement of health and the reevaluation of ecosystems.

ACCESS Health International, Inc. Washington D.C., United States: \$800,000 in support of activities to build capacity and deepen engagement with key stakeholders through training and mentoring, in order to bring best practices in the health private sector to scale through existing and evolving networks of healthcare providers in low- and middle-income countries.

ACCESS Health International, Inc. Washington D.C., United States: \$500,000 in general



support of its mission to improve the delivery of high quality, affordable health services in low, middle, and high income countries.

Africa Cancer Foundation Nairobi, Kenya: \$50,000 in support of researching and documenting cancer cases and diagnostic facilities in Kenya to develop procedures for earlier detection, prevention and treatment.

Africa Health and Development International Nairobi, Kenya: \$100,000 in support of a conference to promote national cohesion and integration with a special focus on the role and contributions of "Youth and the Socio-economic Impact of Peaceful and Fair Elections," to be held in Nairobi, Kenya, fall 2012.

Africa Innovations Institute Kampala, Uganda: \$300,000 in support of strengthening community-based livelihoods resilience and adaptation capabilities through profiling specific technologies and practices in Uganda's livestock corridor.

African Agricultural Technology Foundation Nairobi, Kenya: \$500,000 in general support of its mission to facilitate and promote public/private partnerships for the access and delivery of appropriate proprietary agricultural technologies for use by resource-poor smallholder farmers in Sub-Saharan Africa.

African Center for Economic Transformation Washington D.C., United States: \$164,000 in support of convening an African Futures roundtable event in Lagos, Nigeria, December 2012 and synthesizing and disseminating the conference outputs in a publication, "Future Trajectories and Potential Tipping Points in Africa: From Foresight to Insight."

African Foundation for Development London, England: \$109,635 toward the costs of a convening and the creation of a platform to promote philanthropic giving among young Africans of the diaspora for African development.

African Technology Policy Studies Network Nairobi, Kenya: \$175,000 toward the costs of efforts to advance the understanding of social innovation among policymakers, science experts, the private sector and civil society in Africa.

African Venture Capital Association London, United Kingdom: \$100,000 in support of researching the policy barriers and enabling environment for impact investing in Africa to create positive benefit for poor and vulnerable communities.

Agriculture Climate Change Education Community Programmes Nairobi, Kenya: \$100,000 in support of launching a participatory radio show that will encourage youth to enter farming in Kikuyu-speaking districts of Kenya.

Ajima Farms and General Enterprises Nigeria Limited Abuja, Nigeria: \$100,000 in support of developing a strategic plan for the implementation of a youth agricultural entrepreneurship training center in Nigeria.

Alexander Apostol Madrid, Spain: \$26,840 in support of a Bellagio Creative Arts Fellowship, to pursue a new, in-depth visual arts project and build connections with a global interdisciplinary community of residents in a three-month stay at the Rockefeller Foundation Bellagio Center and to receive post-residency publicity through the creation and dissemination of an artist's publication.

AllAfrica Foundation Washington D.C., United States: \$120,000 in support of producing and distributing multi-media content on issues critical to Africa's future to influential audiences and opinion leaders, while providing a platform for marginalized people to access information.

Alliance for a Green Revolution in Africa Nairobi, Kenya: \$100,000 toward the costs of convening the 2012 African Green Revolution Forum to be held in Tanzania, September 2012.

Alliance for a Green Revolution in Africa Nairobi, Kenya: \$5,000,000 toward the costs of initiating work relevant to its new strategy and the Rockefeller Foundation's new Issue Areas.

Alliance of Resident Theatres/New York, Inc. New York, NY United States: \$200,000 as a recipient of a New York City Cultural Innovation Fund award, toward the costs of developing a new model for non-profit arts organizations in which administrative functions are delivered through a shared agency owned by its clients.

American National Red Cross Washington D.C., United States: \$250,000 for use by its Global Disaster Preparedness Center (GDPC) in support of researching and promoting effective and innovative disaster preparedness as a cornerstone for promoting urban resilience.

Americas Society, Inc. New York, NY, United States: \$150,000 toward the costs of renovating its entrance hall to honor the Society's founder and Honorary Chairman, David Rockefeller.

APCO Worldwide Limited Bangkok, Thailand: \$800,000 in support of a project to produce communications, web and knowledge management products for the Asian Cities Climate Change Resilience Network (ACCCRN), with a focus on disseminating ACCCRN knowledge and lessons, profiling ACCCRN as a generator of knowledge and innovative practice in urban climate resilience building, and engaging new stakeholders.

Apollo Theater Foundation, Inc. New York, NY, United States: \$150,000 as a recipient of a New York City Cultural Innovation Fund award, toward the costs of leveraging its brand through a cross-platform model of licensing, merchandising and broadcasting in an effort to secure more sustainable earned-income.

Asia Community Ventures Limited China: \$105,000 in support of in-depth research of the policy frameworks necessary to build an ecosystem to promote social enterprises and catalyze demand for and supply of impact investment capital for enterprises and intermediaries serving poor or vulnerable populations in Hong Kong.

Asia Foundation San Francisco, CA, United States: \$500,000 in support of a project to enhance the equity of post-flood reconstruction plans in Thailand by improving coordination and collaboration among government, private sector and academic institutions, professional associations, civil society groups, and media in planning, implementing, and monitoring flood reconstruction initiatives and policies.

Asian Coalition for Housing Rights Bangkok, Thailand: \$500,000 in general support of its mission to bring together grassroots community organizations, NGOs and professionals working toward housing rights and improving urban poor development processes in Asian cities.

Asian Community Health Action Network Madras, India: \$130,500 in continued support of the People's Health Movement's bi-annual Assembly, to be held in Cape Town, South Africa, July 2012, and a related workshop to engage civil society in policy dialogues on strengthening health systems and advocating for universal health coverage.

Asian Disaster Preparedness Center Bangkok, Thailand: \$30,015 in support of a project to provide further on-demand technical assistance for Asian Cities Climate Change Resilience Network (ACCCRN) partners during city-level project design and implementation phases in Thailand, Indonesia, Vietnam and India.

Asian Institute of Technology Pathumthani, Thailand: \$75,000 toward the costs of editing the international journal "Gender, Technology and Development."

Aspen Institute, Inc. Washington D.C., United States: \$250,000 toward the costs of launching the Aspen Forum for Community Solutions, which will encourage communities to align resources for community-wide progress on issues related to young people who are disconnected from school and work.

Aspen Institute, Inc. Washington D.C., United States: \$400,000 for use by its Aspen Network of Development Entrepreneurs toward the costs of strengthening its role as a hub and leader



for organizations that serve small and growing businesses in developing countries and fortifying its newly-launched impact assessment research agenda.

Avina Americas Inc. Washington D.C., United States: \$428,000 toward the costs of administering an impact investing challenge grant fund, designed to help develop the impact investing industry across Latin America and unlock more impact capital from the region.

Bangladesh Medical & Dental Council Dhaka, Bangladesh: \$125,000 in support of a project to strengthen its stewardship capacity to improve the ethical practices of the physician community in Bangladesh thereby reducing out of pocket expenses for vulnerable populations.

Bangladesh Rural Advancement Committee Dhaka, Bangladesh: \$500,000 for use by its Social Innovation Lab in support of efforts to learn about the role of intermediation in scaling innovation and to develop a network of innovation intermediaries in the Global South to accelerate the spread of innovation to solve social problems.

Be the Change, Inc. Boston, MA, United States: \$250,000 in support of its Opportunity Nation project to research and highlight issues related to disconnected youth in the United States and identify employment pathways for them.

BlueGreen Alliance Foundation Minneapolis, MN, United States: \$400,000 in general support of its mission to conduct research and educate the public and media about solutions to environmental challenges that create economic opportunities for the American people.

Brooklyn Alliance, Inc. Brooklyn, NY, United States: \$100,000 in support of an effort to inform the 2013 New York City Mayoral candidates of employers' needs, including the necessity of high-quality job training for the workforce.

Building America's Future Educational Fund Washington D.C., United States: \$600,000 toward the costs of activities to revitalize investment in, and raise awareness about, transportation and infrastructure challenges facing cities in the United States with the goal of advancing a national vision that will lead to policies of social equity, environmental sustainability, and economic growth.

Business for Social Responsibility San Francisco, CA, United States: \$150,000 in support of a partnership to identify themes, trends and intervention opportunities related to problems facing poor and vulnerable populations including cultivating potential partnerships with private sector, NGO and government actors and drafting statements of findings for dissemination within the philanthropic and social sector communities.

BusinessMinds BV The Hague, Netherlands: \$124,500 in support of improving the planning, selection and execution of Bus Rapid Transit projects in the U.S.

c.dots development, LLC Washington D.C., United States: \$750,000 in support of developing a project implementation strategy for REinvest, a public-private initiative to mobilize private investment to help city governments build more resilient and integrated urban infrastructure systems.

Caribbean Cultural Center - African Diaspora Institute New York, NY, United States: \$175,000 as a recipient of a New York City Cultural Innovation Fund award, in support of mapping an historic and cultural tour of El Barrio through a Mobile Augmented Reality System to foster greater awareness of the cultural history of the area's long-standing residents.

CEC Stuyvesant Cove Inc. New York, NY United States \$250,000 in support of the planning phase and pilot project of a school curriculum that seeks to equip high school students with green job skills and to connect them to internships on local green building projects.

Center for American Progress Washington D.C., United States: \$150,000 in support of its project, "A New Vision for America: The Regional Case for Green Jobs and Sustainable Economic Development," to provide a vision for the future of American energy development

that creates jobs, grows the U.S. economy and helps the move toward energy independence.

Center for American Progress Washington D.C., United States: \$700,000 toward the costs of a campaign to place economic inequality at the center of debate and develop a clear and comprehensive policy agenda for addressing income and wealth inequality in America.

Center for Neighborhood Technology Chicago IL, United States: \$350,000 in support of improving and encouraging the use of the Housing and Transportation Affordability Index, a tool that measures the impact of transportation costs on household economic security, in an effort to affect consumer choices and encourage new policies that will achieve greater social equity and improved environmental outcomes.

Center on Budget and Policy Priorities Washington D.C., United States: \$500,000 in general support of its mission to improve federal and state policies affecting low- and moderate-income families and individuals.

Centre for Development and Enterprise Parktown, South Africa: \$280,000 in support of researching urbanization's impact on young people's access to economic opportunities in South Africa, Brazil and India.

Chicago Community Trust Chicago, IL United States: \$1,010,000 toward the costs of continuing to develop a gold-standard Bus Rapid Transit system in Chicago.

Chicago Community Trust Chicago, IL United States: \$425,000 toward the costs of developing a communications and public outreach plan for gold-standard Bus Rapid Transit in Chicago.

Christian Health Association of Ghana Accra, Ghana: \$330,130 in support of documenting, developing and expanding its innovative Health Systems Strengthening (HSS) model throughout its network in Ghana as a means to widening access to health services and increasing quality of care.

Chulalongkorn University Bangkok, Thailand: \$151,500 for use by its Department of Urban and Regional Planning in support of research on studying informal and formal transportation mobility and accessibility of poor and vulnerable populations in the Bangkok metropolitan region, Thailand in order to improve future transport policies and projects for these populations.

Civic Ventures San Francisco, CA United States: \$300,000 for use by the Solutions Journalism Network toward the costs of its launch and build-out to provide financial support and training to journalists for solutions-based work, in order to drive changes in practice among media owners, editors, and reporters, and create greater demand for impact driven reporting.

Clean Energy Works Oregon Portland, OR United States: \$200,000 in general support of its mission to use home energy efficiency upgrades as a catalyst to build careers, promote local economic development, and reduce energy waste in Oregon.

Climate Central Inc. Princeton, NY United States: \$400,000 toward the costs of specific activities to support its general mission to communicate the science and effects of climate change, the impact of sea-level rise, and its threat to vulnerable populations through research, tool development and outreach.

Clinton Global Initiative United States: \$350,000 toward the costs of its 2012 annual conference, designed to catalyze a community of global leaders to devise and implement innovative solutions to global challenges such as education, environment and energy, global health, and economic empowerment.

CNT Energy Chicago, IL United States: \$200,000 in support of developing a shared services model that supports the expansion of its Energy Savers program in new markets.

Columbia University New York, NY United States: \$129,000 for use by its Center for

Sustainable Urban Development in support of studying the interface of formal and informal transit in Nairobi, Kenya, in partnership with the University of Nairobi.

Common Market for Eastern and Southern Africa Secretariat Lusaka, Zambia: \$500,000 in support of policy engagement, research, and analysis work that will strengthen Africa's position on agriculture in international climate change negotiations and will help national governments develop climate smart agricultural policies and action plans.

Commonwise Education, Inc. Burlington, VT United States: \$200,000 toward the costs of studying potential business and community development opportunities in the Bronx and the early-stage implementation of the findings.

Communities for Transit, Inc. Washington D.C., United States: \$500,000 toward the costs of education, outreach and research activities to help build support among the general public and civic, business and government leaders for a high quality Bus Rapid Transit system in Montgomery County, Maryland.

Connecting Organizations for Regional Disease Surveillance Lyon, France: \$1,000,000 toward the costs of seed funding to strengthen its capacity to promote One Health and innovations in disease surveillance through regional networks.

Conservation International Foundation Arlington, VA United States: \$106,941 in support of developing a methodology to identify vulnerable coastal populations dependent on fisheries and other marine ecosystem services in order to inform future interventions that can improve livelihoods and food security.

Conservation International Foundation Arlington, VA United States: \$427,500 for use by the Climate, Community and Biodiversity Alliance in support of developing an agriculture standard that will help to elevate the quality of smallholder farmer- and community-led climate change mitigation and adaptation projects in developing countries.

Context Partners, Inc. Portland, OR United States: \$239,200 in support of efforts to build a deeper understanding of social innovation by producing case studies that highlight strong models of innovation in action and managing a process for surfacing organizations that exemplify the impact of innovation in solving social problems.

Context Partners, Inc. Portland, OR United States: \$325,000 in support of activities to test online network-building concepts that might be of future use in generating innovative ideas to solve complex problems facing poor or vulnerable people.

Context Partners, Inc. Portland, OR United States: \$463,820 in support of the development, design, and implementation of three thematic challenge competitions utilizing a crowd-sourcing approach to identify innovative ideas for solutions that improve the lives of at-risk populations, and an engagement strategy that encourages the competition participants to network collaboratively on ideas that address social problems around the world.

Context Partners, Inc. Portland, OR United States: \$995,000 in support of the development, design, and implementation of two thematic challenge competitions utilizing a crowd-sourcing approach to identify innovative ideas for solutions that improve the lives of at-risk populations, and an engagement strategy that encourages the competition participants to network collaboratively on ideas that address social problems around the world.

Cornell University Ithaca, NY United States: \$200,000 for use by its School of Industrial and Labor Relations in support of a national employer survey to examine the relationship between restaurant employment practices and profitability.

Council on Foundations Arlington, VA United States: \$165,000 in general support of its mission to provide the opportunity, leadership and tools needed by philanthropic organizations to expand, enhance and sustain their ability to advance the common good.



Dalberg Consulting CC Johannesburg, South Africa: \$99,900 in support of researching the policy barriers and enabling environment for impact investing in Senegal to create positive benefit for poor and vulnerable communities.

Dalberg Global Advisors (K) Ltd Nairobi, Kenya: \$300,000 in support of consulting and advisory services to the Government of South Sudan's Ministry of Agriculture and Forestry in an effort to catalyze agricultural investment and development in South Sudan.

Decentralised Energy Systems India Private Limited Bangalore, India: \$114,457 in support of a project to develop an open source Power System Management Module (PSMM), a tool that will help manage the production and provision of power to rural communities and will be made available to all energy service companies interested in the Smart Power for Environmentally-sound Economic Development (SPEED) model.

Digital Divide Data New York, NY United States: \$250,000 in support of sustaining a program in Kenya to operate local impact outsourcing centers employing disadvantaged youth.

Duke University Durham, SC United States: \$36,980 for use by its Center on Globalization, Governance & Competitiveness in support of a convening of stakeholders to inform an analysis of the agencies, institutions and firms necessary to develop robust gold-standard Bus Rapid Transit systems throughout the United States.

East, Central and Southern African Health Community Arusha, Tanzania: \$598,850 in support of creating a regional platform for learning, technical expertise, knowledge sharing, tracking and advocacy for Universal Health Coverage.

Echoing Green, Inc. New York, NY United States: \$150,000 toward the costs of its new Impact Investing program, a two-year practitioner-oriented research project to develop investment tools for early-stage enterprises.

Ecotrust Portland, OR United States: \$400,000 toward the costs of planning, convening and curating a network of resilience practitioners and institutions and launching an "app store" for the planet which accelerates, disseminates and amplifies the success of proven solutions for building resilience to enhance the well-being of people and the planet.

Edward T. Jackson and Associates Ltd. Ottawa, Canada: \$200,000 in support of developing and implementing the first course in Africa on evaluating Impact Investing, in collaboration with the World Bank's Center for Learning on Evaluation and Results at the University of Witwatersrand, to help ensure that impact investments are evaluated and that impact investors are held accountable for delivering social benefits to the poor or vulnerable.

EmcArts Inc. New York, NY United States: \$25,000 toward the costs of the design, execution and dissemination of learnings from the inaugural "Innovation Summit for the Arts".

Emerald Cities Collaborative, Inc. Washington D.C., United States: \$500,000 in general support of its mission to "green" U.S. cities and metropolitan economies through energy efficiency retrofit programs and other high-road employment-oriented initiatives that advance equal opportunity, shared wealth and democracy.

Energize the Chain Philadelphia, PA United States: \$14,040 in support of a feasibility study on using energy infrastructure available at cell towers to facilitate better quality vaccine refrigeration and storage in rural India.

Environmental Defense Fund New York, NY United States: \$1,100,000 toward the costs of designing a competition for the Lower Mississippi River and Coastal Louisiana as part of a broader resilience and restoration strategy for the region.

Environmental Grantmakers Association New York, NY United States: \$105,000 in general support of its mission to work with members and partners to promote effective environmental philanthropy by sharing knowledge, fostering debate, cultivating leadership, facilitating

collaboration, and catalyzing action.

Environmental Law and Policy Center of the Midwest Chicago, IL United States: \$250,000 toward the costs of communications and research to inform the national transportation reauthorization debate, promote high speed rail and transportation policy reform efforts and to combat the construction of sprawl inducing highways in the Midwest region of the U.S.

ETC Foundation Leusden, Netherlands: \$775,000 for use by its ProInnova network to work with farmers in Uganda, Tanzania, Kenya and Ethiopia to develop, document and disseminate agricultural practices that build resilience to climate change.

Ethiopian Institute of Agricultural Research Addis Ababa, Ethiopia: \$250,000 in support of using seasonal climate forecasting and established management practices to reduce the vulnerability of agricultural production in Ethiopia and Uganda to climate change and weather variability.

Eyebeam Atelier New York, NY United States: \$175,000 as a recipient of a New York City Cultural Innovation Fund award, in support of developing and exhibiting wearable technology to highlight the city's fashion legacy as well as its burgeoning technological prowess and innovative spirit.

Facultad Latinoamericana de Ciencias Sociales Vitacura, Chile: \$800,000 toward the costs of establishing baseline data on the informal workforce and their access to health protection schemes in Latin America to inform efforts to expand universal health coverage.

Farm Concern International Development Trust Nairobi, Kenya: \$100,000 in continuing support of its "Youth in Agricultural Trade and Enterprises Business Model" project to design, test and implement pilot projects in order to develop a business model for expanding youth participation in agricultural systems in Kenya as a means to address anticipated future youth unemployment and low agricultural productivity.

Farm Radio International Ottawa, Canada: \$99,487 in support of "FarmQuest," a series of radio broadcasts designed to encourage youth in Mali and other African countries to view farming as a rewarding profession.

Financial Sector Deepening Trust Kenya Nairobi, Kenya: \$300,000 toward the costs of creating robust market-led agriculture risk management programs to mitigate risks such as climate change in order to protect the agricultural value chain and the large number of Kenyan smallholder farmers.

Food and Agricultural Research Management Limited - Africa London England: \$883,200 toward the costs of its Maendeleo Agricultural Enterprise Fund, an effort to harness innovative technologies to increase resilience and improve the livelihoods of vulnerable smallholder farmers in East Africa.

FORO Nacional Internacional Lima, Peru: \$98,000 in continued support of a project to conduct trend monitoring, horizon scanning and idea generation research on issues relevant to poverty and human development, focused on South America.

Forum for African Women Educationalists Nairobi, Kenya: \$500,000 in general support of its mission to promote gender equity and equality in education in Africa by fostering positive policies, practices and attitudes towards girls' education.

Foundation Center New York, NY United States: \$100,000 toward the costs of enhancing its WASHfund.org, a web-based knowledge portal, to provide higher quality information to international grantmakers about access to safe water, sanitation, and hygiene.

Foundation Center New York, NY United States: \$300,000 in general support of its mission to strengthen the nonprofit sector by advancing knowledge about U.S. philanthropy.

Foundation Center New York, NY United States: \$500,000 in general support of its mission to

strengthen the nonprofit sector by advancing knowledge about U.S. philanthropy.

Foundation for the Global Compact New York, NY United States: \$150,000 in support of preparing sustainable development goals, a framework for discussing green economy implementation, and a series of dialogues on sustainable development in preparation for the United Nations Conference on Sustainable Development (Rio + 20), to be held in Rio de Janeiro, Brazil, June 2012.

Fourth Arts Block, Inc. New York, NY United States: \$200,000 as a recipient of a New York City Cultural Innovation Fund award, in partnership with the Cooper Union Institute for Sustainable Design toward the costs of transforming the East 4th Street Cultural District into a sustainable city block by using the arts as a tool for engagement and as the means to share lessons learned with the rest of the city.

FSG Inc. Boston, MA United States: \$121,000 in support of organizing and facilitating a grantee convening on the topic of Oceans: Securing the Livelihoods and Nutritional Needs of Fish-Dependent Communities and synthesizing information from the convening for dissemination.

FSG Inc. Boston, MA United States: \$205,000 toward the costs of a research project to identify the greatest points of leverage to create positive impact on poor and vulnerable populations through the business practices and operations of larger, multinational corporations.

FSG Inc. Boston, MA United States: \$250,000 toward the costs of its Shared Value Initiative, to develop the concept of "shared value" and promote it as a guiding philosophy and core business practice that drives innovation by addressing social needs.

FSG Inc. Boston, MA United States: \$396,720 in support of facilitating a process to capture learning from outputs of early stage Rockefeller Foundation explorations that seek solutions for important global problems, convenings and production of learning reports that summarize key findings and intervention opportunities, for distribution to grantees and other stakeholders in the field.

Fund for the City of New York, Inc. New York, NY United States \$75,000 as a recipient of a New York City Cultural Innovation Fund award, for use by the People's Production House toward the costs of launching a series of community-driven media projects that will foster creative activism and engage a broad audience on issues faced by under-represented communities in New York City.

Fund for the City of New York, Inc. New York, NY United States: \$1,600,000 toward the costs of the restoration of Jamaica Bay including the development of a Resilience Center for research and experimentation on climate resilience as well as community engagement and education.

Fund for the City of New York, Inc. New York, NY United States: \$200,000 as a recipient of a New York City Cultural Innovation Fund award, for use by ArtHome toward the costs of "Assets for Artists," an Individual Development Account program that supports low-income artists.

Fund for the City of New York, Inc. New York, NY United States: \$225,000 for use by the Center for Court Innovation toward the costs of piloting and evaluating the first year of "The Brownsville Violence Reduction Initiative," a collaboration between law enforcement and community leaders to deter violent crime.

Fundación Avina Panama City, Panama: \$26,000 toward the costs of organizing and convening a Latin American Impact Investing Forum in Brazil, October 2012.

Fundación Avina Panama City, Panama: \$75,000 toward the cost of the "Forum of Social Entrepreneurship in the New Economy," to be held in Rio de Janeiro, Brazil, June 2012, a Rio+20 United Nations Conference on Sustainable Development side-event to highlight innovative social initiatives and inform the sustainability agenda for a new 21st century



economic model.

Fundacion Sistema B Vitacura, Chile: \$90,000 in general support of its mission to sustain a platform in South America from which to articulate, enhance, and create new business models that redefine success and solve social and environmental problems for poor and vulnerable populations.

Funders' Network for Smart Growth and Livable Communities Inc. Coral Gables, FL United States: \$250,000 toward the costs of a project to increase philanthropic engagement in efforts to improve transportation policies and practices in California and at the federal level, in order to advance environmental sustainability, social equity and public health in metropolitan areas.

Gamaliel Foundation Chicago, IL United States: \$150,000 for use by its Transportation Equity Network toward the costs of training, advocacy and forums to encourage infrastructure investment and policies that benefit low-income communities in the U.S.

Georgetown University Washington D.C., United States: \$310,504 for use by its O'Neill Institute for National and Global Health Law in support of establishing a secretariat for the "Joint Action and Learning Initiative on National and Global Responsibilities for Health" an international multi-organization effort to foster universal health coverage research, policy and framework development.

Georgetown University Washington, United States: \$400,000 for use by its Georgetown Climate Center, in collaboration with the University Corporation for Atmosphere Research and National Center for Atmosphere Research, in support of developing and disseminating communication materials about climate-related resilience and training and developing a communications network of decision-makers and academics.

GIIRS, LLC Berwyn, PA United States: \$500,000 in support of identifying a business and sustainability strategy to drive the adoption of a social and environmental rating system and analytics platform for impact investors to facilitate greater flows of capital to enterprises that play a critical role in improving the lives of poor or vulnerable people globally.

Global Campaign for Climate Action Montreal, Canada: \$25,000 toward the costs of "Win a Date with History," a global contest for youth to submit short videos on sustainable development and the future for presentation to global leaders at the United Nations Conference on Sustainable Development, Rio de Janeiro, Brazil June 2012.

Global Environment and Technology Foundation Arlington, VA United States: \$100,000 toward the costs of establishing a U.S. Water Partnership, a new public-private effort that will unite and mobilize U.S.-based expertise, resources and ingenuity to address water challenges globally.

Global Knowledge Initiative Washington D.C., United States: \$50,000 toward the costs of the 2012 Africa Collaboration Colloquium on Food Security, an innovative approach to sparking partnerships between African scientists, engineers and innovators and their colleagues in the United States university community.

Global Sourcing Council Inc. New York, NY United States: \$135,000 in support of coordinating and promoting the 2012 3S Awards and Ceremony, a global awards ceremony honoring organizations for best practices in impact sourcing, the practice of employing individuals that otherwise would not have gainful employment.

Global Strategy Group, LLC New York, NY United States: \$1,200,000 in support of conducting an educational communications campaign on Bus Rapid Transit in four U.S. cities.

GlobalGiving Foundation, Inc. Washington D.C., United States: \$500,000 in general support of its mission to provide an online charity marketplace that gives social entrepreneurs and non-profits from anywhere in the world a chance to raise money to improve their communities.

Gorakhpur Environmental Action Group Gorakhpur, India: \$500,000 in general support of its mission to promote the livelihood of economically challenged agriculture-based communities in India, through eco-sustainable agriculture methods and technologies.

Greater Washington Educational Telecommunications Association, Inc. Arlington, VA United States: \$300,000 toward the costs of producing and broadcasting the "PBS NewsHour."

Green For All Oakland, CA United States: \$500,000 in general support of its mission to create good, green jobs that drastically reduce pollution and provide access to opportunity in diverse communities throughout the United States.

GSMA Foundation, Inc. Atlanta, GA United States: \$250,000 for use by its GSMA Development Fund in support of the Mobile and Development Intelligence program to research the current and future role of mobile solutions in the development and philanthropic sectors.

GuideStar USA, Inc. Williamsburg, PA United States: \$90,000 in general support of its mission to revolutionize philanthropy and nonprofit practice by providing information that advances transparency in the philanthropic sector, enables users to make better decisions, and encourages charitable giving.

Harvard University Cambridge, MA United States: \$1,000,000 for use by its John F. Kennedy School of Government toward the costs of a technical assistance competition to address resource and technical barriers facing state and local governments in developing the social impact bond to scale solutions to social problems facing poor and vulnerable communities in the U.S.

Harvard University Cambridge, MA United States: \$59,785 for use by its Hauser Center for Nonprofit Organizations in continued support of research and dissemination activities for its report the "Role of Brand in the Nonprofit Sector Framework," a thought-model that illuminates the role brand can play in the philanthropic and nonprofit sectors for realizing institutional missions and achieving impact in a dynamic and evolving ecosystem.

Harvestworks, Inc. New York, NY United States: \$150,000 as a recipient of a New York City Cultural Innovation Fund award, in partnership with The Industrial and Technology Assistance Corporation toward the costs of developing artists' technological innovations into entrepreneurial ventures.

Health Partners International Limited East Sussex, United Kingdom: \$51,424 toward the cost of a series of case studies on the potential of public-private partnerships to strengthen health systems and extend health services to underserved populations.

Helicon Collaborative, LLC Brooklyn, NY United States: \$300,000 in support of an evaluation of the Cultural Innovation Fund in order to learn what has worked most effectively in promoting innovations in the cultural arena, account for funds invested in the Fund to date, and to inform future Foundation work in innovation towards equity, social change and resilience.

Hichert & Associates (Pty) Ltd. Somerset West, South Africa: \$15,163 in support of a scenario planning exercise for future-informed decision-making about the best intervention points to scale Impact Sourcing in order to have a meaningful impact in the lives of poor and vulnerable people.

Hot Bread Kitchen, Ltd. New York, NY United States: \$80,000 in support of a feasibility study to investigate the potential to replicate and expand its job training and business incubation program that serves immigrant and low-income women by offering pathways to fair wage employment, management opportunities and business ownership.

Hungry Heart Media, Inc. Los Angeles, CA United States: \$155,576 in support of producing a video that will raise awareness of the benefits of Impact Sourcing to a global audience and ultimately increase the coalition of stakeholders that can help Impact Sourcing go to scale.

IDEO LLC Palo Alto, CA, United States: \$1,084,834 in support of a project, in connection with the Rockefeller Foundation's centennial, to capture, analyze, reframe and communicate ideas generated during centennial convenings in an effort to identify intervention opportunities related to problems facing poor and vulnerable people and share them with the philanthropic and social sector communities.

Ikhono Communications Durban, South Africa: \$49,557 in continued support of an Impact Sourcing convening, held in South Africa, December 2011 that brought together stakeholders within the Impact Sourcing ecosystem to discuss routes to scaling and address the Foundation's learning questions.

Impact Foundation (India) Santacruz, India: \$480,000 in support of administering the Impact Economy Innovations Fund and convening the Impact Investing India Regional Forum in Bangalore, India, January 2013.

Impact Investment Shujog Limited Singapore: \$400,000 in general support of its mission to foster the growth, maturity, innovation, and market readiness in the social enterprise and impact investment sectors in Asia in order to help address urgent social and environmental problems.

ImpactAssets Bethesda, MD, United States: \$75,000 for use by its Giving Fund toward the costs of creating a product that allows impact investment capital to flow to early stage enterprises creating social benefit for poor or vulnerable people.

Independent Sector Washington D.C., United States: \$75,000 in general support of its mission to advance the common good by leading, strengthening and mobilizing the nonprofit community.

Indian Council for Research on International Economic Relations New Delhi, India: \$325,000 in support of research in four Asian Cities Climate Change Resilience Network cities in India (Surat, Gorakhpur, Pune and Cochin) to enable a robust policy dialogue with government and the private sector on strengthening the economic competitiveness of cities by investing in urban climate change resilience.

Indian Institute for Human Settlements New Delhi, India: \$120,000 in continued support of the San-Kalpa Student Challenge, a two-year national campaign to document the perceptions of Indian youth on the challenges of urbanization and encourage emerging leaders to engage in solutions to these challenges through social entrepreneurial ventures and/or public-private partnerships.

Indian Institute for Human Settlements New Delhi, India: \$398,454 in support of research and multi-disciplinary dialogues to establish a neutral platform for addressing complex challenges to urbanization in India with a focus on inclusion and sustainability.

Innovation Network for Communities, Inc. Tamworth, NH United States: \$175,000 in support of research that will create a framework for investment in the development of sustainable water markets in an effort to create jobs for low-skilled workers and facilitate other positive economic, environmental and social outcomes.

Institute for Policy Alternatives Tamale, Ghana \$200,000 toward the costs of a collaborative project with the University of Witwatersrand to develop and implement an Africa-based training and skills building course in monitoring, evaluation and learning for use in institutions involved with collective action movements.

Institute for Social and Environmental Transition Boulder, CO United States: \$316,928 in support of a project to strengthen the economic case for investing in climate change resilience and adaptation interventions by conducting cost-benefit analyses of systematic efforts in two Asian Cities Climate Change Resilience Network cities (Gorakhpur, India and Da Nang, Vietnam).



Institute for Social and Environmental Transition Boulder, CO United States: \$431,620 toward the costs of an assessment of water supply and efforts to identify a range of viable, sustainable solutions to meet water needs of the city, as part of the Asian Cities Climate Change Resilience Network.

Institute for Social and Environmental Transition Boulder, CO United States: \$467,838 toward the costs of community-based flood and erosion management in Can Tho City, Vietnam, through the development of practical mechanisms used for stabilizing riverbank areas and rehabilitating drainage channels, thereby strengthening the climate resilience of vulnerable urban households, especially those located near rivers and canals, as part of the Asian Cities Climate Change Resilience Network.

Institute for Social and Environmental Transition Boulder, CO United States: \$718,819 in support of strengthening a flood monitoring and warning system in Quy Nhon City, Vietnam, coupled with community level social resilience building to enable people living in the flood plains of the Ha Thanh and Kon Rivers to respond to and recover from increasingly severe floods, as part of the Asian Cities Climate Change Resilience Network.

Institute for Studies of Society Economy and Environment Hanoi, Vietnam: \$200,000 toward the costs of a project to build a network of civil society organizations in Vietnam and promote accountability through the use of creative communication materials to increase stakeholders' understanding of social and economic issues, policies and development challenges.

Institute for the Future Palo Alto, CA United States: \$275,000 in support of a project to launch and disseminate the "Catalysts for Change" forecast map on cities, information systems and citizen engagement that focuses on emerging technologies and the poor and vulnerable in the developed and developing world, including a high-profile Silicon Valley launch event and on-line global game activity.

Institute for the Future Palo Alto, CA United States: \$97,000 in support of a project to identify the top innovative ideas to catalyze change in the lives of poor or vulnerable populations from over 18,000 idea cards generated during the global online foresight game "Catalysts for Change."

Institute for Transportation and Development Policy New York, NY United States: \$750,000 toward the costs of providing technical assistance to Bus Rapid Transit (BRT) projects in the U.S. and refining and promoting the BRT Standard.

Institute of International Education, Inc. New York, NY United States: \$1,504,500 in support of administering several activities and programs related to the Rockefeller Foundation Bellagio Center, Italy: the semi-annual competitions for academic writing and the Arts and Literary Arts residencies, the Bellagio Travel and Learning Fund, the Bellagio Creative Arts Fellows Program, and outreach activities.

Instituto para o Desenvolvimento do Investimento Social Pinheiros, Brazil: \$300,000 toward the costs of promoting a shift in Brazilian philanthropic efforts from a traditional charitable model to an approach that is both strategic and focused on improving development outcomes.

Intellicap Inc. Palo Alto, CA United States: \$442,925 in support of a project to catalyze demonstrations of proof of concept for business models that build urban climate change resilience (UCCR) in India and, potentially, other Asian Cities Climate Change Resilience Network (ACCCRN) countries.

Intellicap Inc. Palo Alto, CA United States: \$106,380 in support of the production of research products that will enhance the strategic knowledge base of the international development community on topics related to poverty reduction in the Global South.

Intellectual Capital Advisory Services Private Limited Hyderabad, India: \$120,000 in support of research to identify the effective paradigms and tools for integrating formal and informal

transport systems, identifying and assessing projects funded by various donors and funders who have attempted to integrate formal and informal transport systems, and assess fund flows in formal and informal transport systems in select cities in the developing world.

Interaction: American Council for Voluntary International Action, Inc. Washington D.C., United States: \$301,294 for use by its Evaluation and Program Effectiveness Working Group to improve the practice of impact evaluation by its members, including Rockefeller Foundation grantees and partners, and to strengthen the commitment of non-governmental organizations to use evaluation results to inform decision-making and improve programs, leading to better development outcomes.

International Center for Journalists Washington D.C., United States: \$144,000 in support of strengthening health outcomes in Africa by improving the quality of health reporting across the continent through the creation of a website, database, online courses and awards, in collaboration with the African Health Journalists Association.

International Center for Living Aquatic Resources Management Penang, Malaysia: \$39,000 in support of analyzing the development and early implementation of its Aquatic Agricultural Systems program with a specific focus on the scale, global relevance, urgency and implications for poor or vulnerable communities living in marine and coastal ecosystems in developing countries.

International Civil Society Centre Berlin, Germany: \$100,000 in support of two convenings and a publication as part of its project, "The Future of Civil Society Organizations – Promoting the Global Debate," an effort to improve communication and cooperation among civil society organizations in the Global North and South.

International Council for Local Environmental Initiatives - Australia/New Zealand Limited Melbourne, Australia: \$1,750,000 in support of scaling up demand and capacity of new cities in Bangladesh, India, Indonesia and the Philippines to plan, finance and execute measures across a range of critical Urban Climate Change Resilience (UCCR) actions, using streamlined tools and methods that enable cities to rapidly adopt UCCR-building practices.

International Development UEA Norwich, United Kingdom: \$120,815 in support of synthesizing knowledge on the relationships between fisheries, aquaculture, poverty, and food security, to assess potential dynamic opportunities for intervention and impact on poor or vulnerable populations.

International Health Policy Program Nonthaburi, Thailand: \$50,000 in support of participants from Asia to attend the 7th Asia Pacific Action Alliance on Human Resources for Health (AAAH) conference to be held in Bangkok, Thailand, December 2012, and cross-country translational research on human resources in national health systems.

International Institute for Environment and Development London, United Kingdom: \$200,000 toward the costs of activities related to the Rio+20 United Nations Conference on Sustainable Development including a preparatory seminar of the Least Developed Countries Group and an organizing and advocacy event, "Fair Ideas: Solutions for a Sustainable Planet" to be held in Rio de Janeiro, Brazil, June 2012, to marshal, engage, and showcase the ideas and agency of development practitioners and researchers, especially from low-income countries.

International Institute for Environment and Development London, United Kingdom: \$40,000 toward the costs of the Sixth International Conference on Community-Based Adaptation to be held in Vietnam, April 2012, including participation of Asian Cities Climate Change Resilience Network (ACCCRN) partners.

International Institute of Tropical Agriculture Croydon, United Kingdom: \$500,000 in general support of its mission to research crop and resource management and to improve selected food crops in Sub-Saharan Africa.

International Youth Foundation Baltimore, MD United States: \$224,860 in support of identifying, researching and assessing sectors that have high growth potential for quality employment of youth in sub-Saharan Africa with a focus on Kenya, Ghana and South Africa.

Internet Foundation for the Development of Thailand Bangkok, Thailand: \$211,600 in support of a project to develop a comprehensive searchable online database of non-government organizations in Thailand that will provide verifiable and consistent information with the aim of improving access to the philanthropic marketplace.

Janvikas Ahmedabad, India: \$50,000 for use by its Riverside Education Foundation in support of its mission to develop and share a research-based, practical curriculum that provides schools with a working-model which focuses on excellence and student well being and can be applied across different economic and cultural contexts.

Jazz at Lincoln Center, Inc. New York, NY United States: \$500,000 in general support of its mission to inspire and grow audiences for jazz.

Johns Hopkins University Baltimore, MD United States: \$100,000 for use by its Bloomberg School of Public Health in collaboration with the Institute of Development Studies in support of continuing efforts to map the role of the private sector in health care in low- and middle-income countries, encourage more participation by researchers based in the region, and to facilitate the development of related content for a scholarly journal.

Jose Angel Toirac Havana, Cuba: \$17,864 in support of a Bellagio Creative Arts Fellowship, to pursue a new, in-depth visual arts project and build connections with a global interdisciplinary community of residents in a one-month stay at the Rockefeller Foundation Bellagio Center and to receive post-residency publicity through the creation and dissemination of an artist's publication.

Kasetsart University Bangkok, Thailand: \$26,000 for use by its Rice Science Center and Rice Gene Discovery Unit, Kamphangsaeen campus, in support of the 10th International Symposium on Rice Functional Genomics (ISRFG) to be held in Chiang Mai, Thailand, November 2012 to discuss the impact of climate change on rice productivity and develop a strategic plan for using advances in genomics to build climate change resilience into new rice varieties.

Kenya Community Development Foundation Nairobi, Kenya: \$500,000 in general support of its mission to encourage the growth and promotion of organized giving in Kenya.

Kenya Community Development Foundation Nairobi, Kenya: \$67,500 in support of administering and awarding The Wangari Maathai scholarship, an annual cash award to two female Kenyan students who have demonstrated both academic and extracurricular commitment to environmental stewardship within the urban context.

KickStart-International Inc. San Francisco, CA United States: \$500,000 in support of a collaboration with Sokoine University of Agriculture to test scalable micro-irrigation interventions in an effort to demonstrate that they can serve as an effective tool and model to help increase the agricultural productivity, incomes and climate resilience of smallholder farmers in climate sensitive areas of Tanzania.

Kounkuey Design Initiative, Inc. Los Angeles, CA United States: \$99,975 in support of developing and testing an online information portal that will help non-governmental organizations, government agencies and entrepreneurial individuals launch high quality water and sanitation projects in Kibera, the largest informal settlement in Nairobi, Kenya.

Laboratorio de Cultura Digital Brasileiro, Brazil: \$100,000 in support of its project, Transparência Hacker, for support of its Monitor Legislativo SP, an online platform that will help organizations in São Paulo, Brazil monitor the status of bills through the local legislative process.

Leadership Conference Education Fund, Inc. Washington D.C., United States: \$350,000



toward the costs of strengthening the civil and human rights community's engagement with transportation as a key element of its policy advocacy agenda.

Livable Communities Coalition, Inc. Atlanta, GA United States: \$120,000 toward the costs of its Public Transportation Education and Advocacy Campaign for Metro Atlanta which seeks to strengthen and expand transit options in the region.

Los Angeles Alliance for a New Economy Los Angeles, CA United States: \$300,000 in support of a program to transform the waste and recycling industry in Los Angeles in an effort to generate good quality green jobs in the region and create a model that can be adapted by other cities.

Lund University Sweden: \$423,553 for use by its Centre for Sustainability Studies (LUCSUS) toward the costs of creating a coherent set of methods for measuring, assessing and profiling community resilience at the individual and community levels and adapting specific methods and tools to be readily accessible to decision makers and community-level practitioners who want to better understand how to strengthen community resilience.

M&R Strategic Services, Inc. Washington D.C., United States: \$500,000 in support of designing and implementing a strategic communications campaign to raise awareness around the value of green jobs for low-income workers in the U.S. and their potential to grow the economy.

Mahidol University Nakhon Pathom, Thailand: \$299,500 for use by its Institute for Population and Social Research in support of building the capacity of global health diplomacy in South East Asia.

Mahidol University Nakhon Pathom, Thailand: \$50,000 for use by its Institute of Population and Social Research toward the costs of scholarships to enable delegates from low-income countries to attend the Biennial Asian Population Conference, to be held in Bangkok, Thailand, August 2012.

Mahidol University Nakhon Pathom, Thailand: \$625,000 for use by its Institute for Population and Social Research in support of the 2013, 2014 and 2015 Prince Mahidol Award Conferences, aimed at promoting evidence of and best practices on strengthening health systems.

MAPP International Productions Inc. New York, NY United States: \$175,000 as a recipient of a New York City Cultural Innovation Fund award, toward the costs of producing a retrospective of Sekou Sundiata's life's work and bringing a fresh look at his cross-sector artistry and legacy to audiences citywide.

Marc Voge Seoul, South Korea Dem. Rep.: \$12,540 in support of a Bellagio Creative Arts Fellowship, to pursue a new, in-depth visual arts project and build connections with a global interdisciplinary community of residents in a three-month stay at the Rockefeller Foundation Bellagio Center and to receive post-residency publicity through the creation and dissemination of an artist's publication.

Massachusetts Institute of Technology Cambridge, MA United States: \$100,000 in support of developing and testing low-cost and water saving irrigation technology targeted toward the needs of small-scale farmers in India.

McGill University Montreal, Canada: \$175,000 for use by its World Platform for Health and Economic Convergence in support of strengthening the capacity of organizations to promote convergent innovation by preparing and widely disseminating an action guide on convergent innovation and a guidebook for philanthropic action on convergent innovation, based on a Bellagio Center convening on the topic.

McKinsey & Company, Inc. United States Washington D.C., United States: \$50,000 toward the costs of market research and the creation of enabling infrastructure and open source tools for

the Social Impact Bond innovation to reach its potential to scale solutions to social problems facing poor and vulnerable communities in the U.S.

Mekong Basin Disease Surveillance Foundation Nonthaburi, Thailand: \$910,000 in support of providing seed funding to strengthen national and sub-regional capabilities in infectious disease surveillance and outbreak response.

Mercy Corps Portland, OR United States: \$523,430 for use by its Indonesia Office in establishing a Health Early Warning System and Health Information System; implementing vector-borne disease prevention methods in six high incidence sub-districts in Semarang city, Indonesia; and creating a complete understanding of future trends of vector-borne disease and health systems in relation to future climate change impacts by improving the urban health resilience of Semarang's health sector by reducing the incidences of climate-induced vector-borne diseases, as part of the Asian Cities Climate Change Resilience Network.

Mercy Corps Portland, OR United States: \$639,160 in support of urban mangrove ecosystem restoration in Semarang City, Indonesia, coupled with strategic advocacy to integrate mangrove conservation into local development action plans that will catalyze large scale rehabilitation, with the aim to build resilience of poor and vulnerable groups living along the coast and to develop alternative livelihoods for these target groups, as part of the Asian Cities Climate Change Resilience Network.

Metropolitan Area Research Corporation Collingswood, NJ United States: \$250,000 for use by its Building One America project toward the costs of efforts to organize and train a leadership coalition representing racially and socio-economically diverse suburbs to promote social inclusion, environmental sustainability, job creation and infrastructure policies beneficial to them.

Ministry of Health & Family Welfare Dhaka, Bangladesh: \$309,000 for use by its Directorate General of Health Services in support of building the capacity of global health diplomacy in Bangladesh.

Ministry of Health, Rwanda Kigali, Rwanda: \$408,432 in support of scoping, developing and implementing a Facility Integrated Financial Management Information System to increase financial transparency, accountability and management for health services in Rwanda.

Ministry of Health, Vietnam Hanoi, Vietnam: \$250,000 for use by its Department of International Cooperation in support of a project to build capacity in global health diplomacy through a collaboration with Mahidol University in Thailand and participation at regional and global health convenings.

Mission Hub LLC San Francisco, CA United States: \$50,000 toward the costs of developing and delivering programming for the Social Capital Markets Conference 2012, specifically around the conference's "Next Stage Philanthropy" and "Impact Investing" themes.

Monitor Company Group LP Cambridge, MA United States: \$200,000 in support of landscaping the activities devoted to catalyzing the field of impact enterprises and identifying the enabling conditions that support the emergence and long term sustainability of impact enterprises to benefit poor and vulnerable populations globally.

Monitor Company Group LP Cambridge, MA United States: \$450,000 in support of developing strategies for scaling Impact Sourcing and analyzing demand-led training models for poor or vulnerable youth to work in Impact Sourcing.

Monitor Company Group LP, Cambridge, MA United States: \$295,000 for use by its Monitor Institute in support of preparing and disseminating "The Art and Science of Convening" with the goal of strengthening capacity for strategic and effective convenings.

Monitor Company Group LP, Cambridge, MA United States: \$78,000 for use by its Monitor

Institute in support of facilitating the workshop portion of four Impact Investing fora in order to benefit poor or vulnerable people in the Global South.

Municipal Art Society of New York New York, NY United States: \$15,550 in support of a collaboration with ArtPlace to convene a panel to review their "Vibrancy Indicators" that measure people, activity and value at very fine geographic scales, and to provide a brief summary and video proceedings of the convening.

Municipal Art Society of New York New York, NY United States: \$150,000 toward the costs of developing and presenting the third annual Survey on Livability in New York City and the MAS Summit for New York City.

Municipal Art Society of New York New York, NY United States: \$67,500 toward the costs of administering and awarding The Maathai Award, an annual cash award to two New York City high school seniors who have demonstrated academic and extracurricular commitment to environmental stewardship within the urban context.

Museum of Contemporary African Diasporan Arts Brooklyn, NY United States: \$100,000 as a recipient of a New York City Cultural Innovation Fund award, toward the costs of bringing African Diaspora arts programming to public housing in an effort to engage traditionally underserved communities.

Museum of Modern Art New York, NY United States: \$175,000 toward the costs of "Foreclosed: Rehousing the American Dream," an exhibition, publication and education program to examine new architectural possibilities for American cities and suburbs within the context of the on-going foreclosure crisis.

Museum of the City of New York New York, NY United States: \$30,000 toward the costs of "The Greatest Grid: The Master Plan of Manhattan," an exhibition and accompanying publication and public programming celebrating the 200th anniversary of the 1811 Commissioners' Plan of Manhattan that set the street grid for New York City.

National Association of City Transportation Officials, Inc. New York, NY United States \$250,000 toward the costs of its Designing Cities initiative, an effort to advance the capacity of U.S. cities to implement sustainable transportation innovations and practices.

National Employment Law Project, Inc. New York, NY United States: \$400,000 toward the costs of its "Good Jobs for a Sustainable Economy" project to develop a policy framework at the local, state and federal levels to ensure the consideration of labor standards in the development of green jobs programs and in the most rapidly growing sectors of the U.S. economy.

National Foundation for India New Delhi, India: \$300,000 in support of efforts to promote strategic philanthropy in India, address the structural and systemic barriers to change, and ensure that philanthropic resources are leveraged toward the goal of inclusive and pro-poor development.

National Institute for Health and Clinical Excellence London, United Kingdom: \$627,000 in support of integrated work on provider payment reforms, management of e-claims and improved clinical quality in Ghana, Vietnam and the Philippines as part of the Joint Learning Network for Universal Health Coverage.

National Institute of Urban Affairs New Delhi, India: \$320,700 in support of a project to build its capacity on urban climate change resilience issues and engage key national, state and regional institutions through training, knowledge networking, research and policy development on the promotion of climate resilient urban development and planning in India, as part of the Asian Cities Climate Change Resilience Network.

National Science Technology and Innovation Policy Office Bangkok, Thailand: \$250,000 in



support of the Asia-Pacific Economic Cooperation (APEC) Center for Technology Foresight in support of convening a series of regional workshops that will apply an integrated foresight approach to develop strategies that advance resilience and science and technology for inclusive development in Southeast Asia, as part of the Ministerial level ASEAN-KRABI initiative.

Nesta Operating Company London, England: \$250,000 toward the costs of efforts to develop and curate a comprehensive set of practical tools to support practitioners in developing, implementing and scaling innovation.

New Profit Inc. Boston, MA United States: \$250,000 for use by Third Sector Capital Partners toward the costs of enhancing its organizational capacity to develop and disseminate information about high-quality social impact bond transactions to scale solutions to persistent social problems facing poor or vulnerable communities in the U.S.

New York Community Trust New York, NY United States: \$100,000 toward the costs of the One Region Funders' Group, a joint grantmaking effort of funders in the Tri-State metropolitan region of Connecticut, New York and New Jersey to support state- and regional-level policy and planning initiatives that link housing, economic opportunity, transportation, and land use issues.

New York Public Radio New York, NY United States: \$40,000 toward the costs of a two-day training of national public radio affiliate reporters and other media transportation journalists in an effort to enhance public awareness about transportation, sustainability and infrastructure issues.

New York Public Radio New York, NY United States: \$700,000 toward the costs of "Transportation Nation," a radio broadcast and online editorial collaboration that provides nationally-distributed news coverage on transportation and infrastructure policies and practice.

Next City Philadelphia, PA United States: \$350,000 in support of conducting global communications outreach for the Rockefeller Foundation's Centennial Urban Challenge, an effort to generate innovative ideas and tools to help poor or vulnerable people in urban environments through scenario planning and stakeholder conferences in Accra, Ghana; Bangkok, Thailand; Chennai, India; Lima, Peru; Manila, Philippines; and Nairobi, Kenya.

Nonprofit Enterprise and Self-sustainability Team San Francisco, CA United States: \$150,000 in support of a research project to identify the enabling conditions that support the emergence and long-term sustainability of impact enterprises to benefit poor and vulnerable populations globally.

Nonprofit Finance Fund New York, NY United States: \$750,000 toward the costs of implementing a comprehensive readiness program to support service provider engagement in the social impact bond field that seeks to scale solutions to social problems facing poor or vulnerable communities in the U.S.

Northwestern University Evanston, IL United States: \$50,000 for use by its Kellogg School of Management toward the costs of planning and administering the 2013 International Impact Investing Challenge, a pitch competition catalyzing real world innovations in impact investing and developing the next generation of talent-creating investments that benefit poor or vulnerable populations globally.

Oceana, Inc. Washington D.C., United States: \$375,000 toward the costs of a partnership with Rare and EKO Asset Management Partners to develop a strategic plan for national and local-level fisheries policy reform in Chile, the Philippines, Brazil, and India.

OpenMRS1, Limited Indianapolis, IN United States: \$500,000 in support of developing a global open source platform for electronic patient record systems in developing and middle income countries and fostering a community of software developers.

Oregon State Treasury Salem, MA United States: \$500,000 in support of the early-stage implementation of the West Coast Infrastructure Exchange, which would accelerate innovative efforts to finance infrastructure projects in Oregon, California and Washington and have replicable learning value for other regions in the United States.

Organisation for Economic Co-operation and Development Paris, France: \$12,384 toward the costs of its Network of Foundations Aimed at Promoting Sustainable Development at the Global and Regional Levels to foster a better understanding of the nexus between globalization and sustainable development.

Ove Arup & Partners International Limited London, England: \$1,000,000 in support of researching, designing, creating and disseminating a "City Resilience Index" to measure and compare the characteristics and key indicators of urban resilience.

Ove Arup & Partners PC New York, NY United States: \$935,000 in support of facilitating the NYS 2100 Commission and delivering recommendations for improving the resilience and strength of New York State's infrastructure in the face of natural disasters and other emergencies.

Oxfam-America Inc. Boston, MA United States: \$1,303,865 in support of a collaborative project with the Overseas Development Institute and the World Resources Institute to analyze how climate finance is delivered at the local level in Uganda, Zambia, Nepal and the Philippines, and to pilot new tools to improve the transparency and accountability of climate finance use in an effort to strengthen climate change adaptation and resilience globally.

Oxfam-America Inc. Boston, MA United States: \$500,000 in general support of its mission to create lasting solutions to poverty, hunger, and injustice.

Oxfam-America Inc. Boston, MA United States: \$73,700 in support of the second phase of the evaluation of the Horn of Africa Risk Transfer for Adaptation project (R4 Rural Resilience Initiative) to investigate how weather index insurance impacts crop production decisions and crop yields among smallholder farmers in Tigray, Ethiopia, contribute to a broader understanding of the impact that index insurance products can have on agricultural production in poor rural areas and provide additional evidence for scaling and replication of weather index insurance in other developing countries.

Pacific Community Ventures, Inc. San Francisco, CA United States: \$1,000,000 for use by its InSight program in collaboration with the Initiative for Responsible Investment toward the costs of conducting and disseminating primary research to a network of key stakeholders and of global capacity development and advisory support to practitioners actively engaged in designing and advocating for impact investing policies that drive additional capital toward investments and enterprises to create positive benefit for poor and vulnerable populations.

Pacific Institute for Studies in Development Environment and Security Oakland, CA United States: \$100,000 for use by its project Circle of Blue in support of its mission to design innovative mechanisms for reporting on and disseminating information necessary to respond to the global freshwater crisis.

Pact Institute, Inc. Washington D.C., United States: \$500,000 in general support of its mission to strengthen civil societies around the world in order to achieve social, economic, and environmental justice.

Pact Institute, Inc. Washington D.C., United States: \$500,175 in support of the further development, expansion and sustainability of its Southeast Asia Change Project's (SEA Change) Community of Practice for monitoring and evaluation of adaptive responses to climate change, to also include ecosystems and livelihoods interventions, to facilitate learning, and to capture and disseminate promising monitoring and evaluation practices.

Pamoja Media East Africa Limited Nairobi, Kenya: \$92,250 in support of transitioning a group

of Climate Exchange Network for Africa grantees to an enhanced online knowledge sharing and management system for research and development work on climate change resilience for African agriculture.

Pan American Health Organization Washington D.C., United States: \$124,300 in support of reviewing intersectoral action for health in Latin America, to inform the Eighth Global Conference on Health Promotion and ultimately the post-Millennial Development Goals process.

Pan-African University Ajah, Nigeria: \$99,635 for use by its Lagos Business School in support of researching the policy barriers and enabling environment for impact investing in Nigeria to create positive benefits for poor and vulnerable communities.

Partners In Health Boston, MA United States: \$300,000 in support of expanding the rollout of the electronic medical record system by the Rwandan Ministry of Health through support for enhancements to the core OpenMRS platform and customizations for the clinical environments, and conducting an evaluation of the performance and data quality of the system.

PATH (Program for Appropriate Technology in Health) Seattle, WA United States: \$1,400,000 in support of the development and dissemination of common, reusable information technology requirements and tools with the aim of strengthening health systems in the Global South, and to coordinate these efforts within the Joint Learning Network for Universal Health Coverage.

Peking University Beijing, China: \$70,000 for use by its Health Science Center in support of travel costs for researchers and policymakers from low- and middle-income countries to attend the Second Global Symposium on Health Systems Research to be held in Beijing, China, November 2012.

People's Empowerment Foundation Bangkok, Thailand: \$53,000 toward the costs of a project to strengthen the capacity of civil society organizations in Thailand to engage in efforts to advance development issues, both nationally and regionally, within the Association of South East Asian Nations community.

Pesticide Action Network North America Regional Center San Francisco, WA United States: \$300,000 for use by the Global Alliance for Incinerator Alternatives in support of its efforts to advance the understanding and implementation of recycling as a green jobs solution through collaborative mapping, convening and coalition building.

Philanthropy New York, Inc. New York, NY United States: \$80,000 in general support of its mission to strengthen philanthropy by offering programs and resources that support effective, strategic grantmaking, facilitating collaboration and knowledge sharing, and communicating the value of the philanthropic sector in our society.

Philanthropy Northwest Seattle, WA United States: \$50,000 for use by its PRI Makers Network toward the costs of expanding its capacity to provide education and training programs for foundations interested in creating or enhancing their Program Related Investment and Mission Related Investment programs.

Piedmont Environmental Council Warrenton, VA United States: \$43,000 in support of its project, the Coalition for Smarter Growth, to conduct a comprehensive assessment of the political climate and prospects for Bus Rapid Transit in Montgomery County, Maryland.

Piedmont Environmental Council Warrenton, VA United States: \$300,000 in support of its project, the Coalition for Smarter Growth, to conduct a public education and communications campaign to help build support for Bus Rapid Transit investments in Montgomery County, Maryland, and to extract lessons about effective communications strategies around Bus Rapid Transit projects.

PolicyLink Oakland, CA United States: \$125,000 in support of planning and conducting a



convening and series of workshops to build knowledge, skills and capacity among Rockefeller Foundation staff, grantees and partners to better define, measure and report on achieving more equitable growth for poor or vulnerable people.

PolicyLink Oakland, CA United States: \$475,000 toward the costs of efforts to advance economic and social equity in the federal transportation policy debate, and an international convening on economic growth and equity to be held at the Rockefeller Foundation Bellagio Center, Italy, April 2012.

Portland PR Inc. New York, NY United States: \$395,090 in support of designing and implementing a strategic media and communications campaign to raise awareness around the most effective and replicable model of the social impact bond innovation to scale solutions to social problems facing poor or vulnerable communities in the U.S.

Power and Participation Research Centre Dhaka, Bangladesh: \$250,470 in support of a project to promote the case for Universal Health Coverage (UHC) in Bangladesh by drawing linkages for stakeholders between UHC and social protection for the country's poor and vulnerable populations.

Progressive America Fund New York, NY United States: \$500,000 in general support of its mission to promote social change and economic justice, particularly in the green economy, by bringing innovative ideas into the public debate, providing policymakers with progressive policy solutions, and building coalitions to win policy change.

R3G Foundation, Inc. St. Augustine, FL United States: \$100,000 toward the costs of its Kolkata Medical Emergency System, a knowledge management system that will be implemented across multiple hospitals and blood banks for improved patient care in India.

Rail~Volution Portland, OR United States: \$45,000 toward the costs of a strategic planning process to enhance its ability to leverage its membership base and determine how it can achieve greater impact in the transit and livability movement, and for the costs of scholarships for its annual conference, "Building Livable Communities with Transit."

Regional Plan Association, Inc. New York, NY United States: \$440,000 toward the costs of research, coalition building and advocacy to advance critical rail transportation investments across the New York and New Jersey region.

Resident Ownership Capital, LLC Concord, NH United States: \$2,000,000 as a Program Related Investment to support preserving and improving affordable communities, increasing economic security and building financial assets for low- and moderate-income homeowners by empowering them to gain community ownership of the manufactured home communities in which they reside.

Resource Alliance Limited London, England: \$139,970 in support of a series of activities exploring global and regional philanthropic priorities, strategies and infrastructure including a convening to catalyze collaboration between philanthropy and development in Asia and Africa, to be held at the Rockefeller Foundation Bellagio Center, June 2012.

Resource Alliance Limited London, England: \$80,000 toward the costs of the India NGO Awards Program to promote transparency, accountability and professionalism of local non-government organizations and improve the credibility of the NGO sector in India.

Resources Legacy Fund Sacramento, CA United States: \$1,550,000 toward the costs of providing strategic and technical support to California's five largest regions for preparing plans to change transportation, land use and housing policies in an effort to meet new greenhouse gas emission reduction targets mandated by the state.

Restaurant Opportunities Center of New York, Inc. New York, NY United States: \$125,000 toward the costs of developing, producing and promoting a multi-media project to shift the perceptions of and promote improvements in working conditions for low-wage restaurant

workers.

Results for Development Institute, Inc. Washington D.C., United States: \$50,000 in support of a paper documenting research to identify skills sought by employers in the digital economy, training models to provide those skills and identifying additional innovative employment-creating opportunities from an economic perspective as part of a broader effort to scale the nascent field of "impact sourcing" which can yield better employment opportunities for poor or vulnerable populations.

Results for Development Institute, Inc. Washington D.C., United States: \$799,950 in continued support of providing technical assistance and facilitating networking among approximately 10 countries of the Joint Learning Network regarding the design, implementation, and evaluation of relevant provider payment mechanisms as a means to achieve universal health coverage.

Rockefeller Archive Center Sleepy Hollow, NY United States: \$89,850 in support of a project, in connection with the Rockefeller Foundation's centennial in 2013, to develop a web-based multimedia history of the Foundation, and make its primary documents and historic materials more broadly accessible to the diverse communities of researchers and educators interested in 20th century history.

Rockefeller Foundation Donations United States \$2,150,000 to facilitate Rockefeller Foundation donations to charitable organizations through its Matching gift Program and other similar programs, as well as membership contributions to philanthropic sector organizations.

Rockefeller Foundation Jane Jacobs Medal Awards, United States: \$200,000 to provide cash prizes to the recipients of the Rockefeller Foundation Jane Jacobs Medal or to organizations designated by the awardees.

Rockefeller Philanthropy Advisors, Inc. New York, NY United States: \$160,000 in support of developing a methodology to capture key learnings from Rockefeller Foundation grants and disseminating this methodology to other funders.

Root Capital Inc. Cambridge, MA United States: \$50,000 toward the costs of building its capacity to innovate and serve as a model for other organizations looking to use innovation to accelerate social progress.

Rosie Sjögren Berkshire, United Kingdom: \$99,846 in support of continued donor engagement, knowledge management and communications efforts for the Asian Cities Climate Change Resilience Network.

Royal Institute of International Affairs London, England: \$199,490 in support of establishing a working sub-group to influence and shape high-level discussions and policy processes for global health within the broader post-2015 development agenda, organizing a conference on Universal Health Coverage and launching a report of its findings.

Safe Streets Fund, Inc. New York, NY United States: \$60,000 in support of a study assessing the economic impact of street improvements undertaken by the City of New York designed to improve livability and to develop a detailed methodology for evaluation that can be replicated elsewhere.

Sanjay Khazanchi Haryana, India: \$430,000 in support of strengthening program implementation and intensifying the technical support provided to Smart Power for Environmentally Sound Economic Development (SPEED) partners and accelerate program delivery in technology development and technical knowledge transfer, network strengthening, and industry and government engagement.

Shack/Slum Dwellers International Cape Town, South Africa: \$30,000 in support of a trip to Haiti to assess the appropriateness and feasibility of implementing a knowledge-sharing and networking model to address the needs of people living in informal settlements.

Show of Force, LLC New York, NY United States: \$500,000 toward the cost of its transmedia project "Half the Sky," a multi-platform initiative to engage and educate a new, broader, younger, international audience on the challenges to women's daily lives globally.

Smart Growth America Washington D.C., United States: \$1,400,000 in support of two core activities: a strategic and funding planning process to reshape the Transportation for America coalition; and a coordinated campaign to drive effective implementation of federal policy changes at the state and metro level.

Smart Growth America Washington D.C., United States: \$2,150,000 toward the costs of an advocacy campaign, Transportation for America (T4 America), that seeks to advance more sustainable and equitable national transportation policies to improve the lives of low-income and vulnerable people.

Social Finance Limited London, United Kingdom: \$200,000 toward the costs of a collaboration with the Center for Global Development to explore the opportunities, challenges and potential applications of the social impact bond innovation to the international development context in order to scale solutions to problems facing poor or vulnerable communities globally.

Social Finance, Inc. Boston, MA United States: \$100,000 in general support of its mission to mobilize investment capital to drive social change and develop the social impact bond field.

Social Finance, Inc. Boston, MA United States: \$1,250,000 toward the costs of a guarantee facility to leverage commercially-oriented capital to capitalize a social impact bond addressing workforce development for formerly incarcerated individuals in New York, and to ensure the catalytic use of philanthropic funds to engage the broader capital markets in creating a sustainable social impact bond market to scale solutions to poor or vulnerable populations in the U.S.

Social Science Research Council Brooklyn, NY United States: \$500,000 in general support of its mission to nurture new generations of social scientists, foster innovative research, and mobilize necessary knowledge on important public issues.

Society for International Development Bethesda, MD United States: \$439,658 for use by its regional office in Kenya in support of the annual gathering of the Searchlight network of horizon-scanning and trend monitoring organizations to develop concrete intervention opportunities to inform the Rockefeller Foundation's 2013 Centennial Innovation Forum and the broader development community, to be held in Nairobi, Kenya in April 2013.

Southern Africa Trust Midrand, South Africa: \$300,000 for use by the African Grantmakers Network in support of its second biennial convening, "What's New? What's Now? What's Next? – Growing African Philanthropy," to be held in Johannesburg, South Africa, October 2012, and related efforts to promote the field of African philanthropy.

St. Ann's Warehouse, Inc. Brooklyn, NY United States: \$200,000 as a recipient of a New York City Cultural Innovation Fund award, toward the costs of developing and presenting the American premiere of "Roadkill," an immersive theatrical experience about human sex-trafficking, and a series of public engagement events on the topic.

St. Michael's Hospital Toronto, Canada: \$162,020 for use by its Evaluation Centre for Complex Health Interventions to plan a summative evaluation of the Transforming Health Systems initiative that will assess the relevance, effectiveness, efficiency and sustainability of the Initiative to inform future Foundation work.

Stanford University Stanford, CA United States: \$200,000 for use by its Center on Philanthropy and Civil Society in support of academic research to investigate and compare the innovation trajectories of social sector organizations to better understand when and how innovation creates positive social impact on the lives of poor or vulnerable populations.

Stanford University Stanford, CA United States: \$250,000 for use by its Natural Capital Project



in support of developing and testing new approaches for measuring the impact of infrastructure development on local ecosystems and the livelihoods of poor or vulnerable populations.

Stichting IRC International Water and Sanitation Centre The Hague, Netherlands: \$143,907 for use by its Multiple Use Water Service Group secretariat to coordinate the design and development of communications and advocacy materials that encourage the development of water resources for poor and vulnerable people, and convene a "Multiple Use Water Services Expert Roundtable," to be held at the Rockefeller Foundation Bellagio Center, Italy, fall 2012.

Strategic Foresight Group Mumbai, India: \$75,600 toward the costs of a project to conduct trend monitoring and horizon scanning research on issues relevant to poverty and human development, focused on India, Bangladesh, Indonesia, Thailand and Vietnam.

Strathmore University Nairobi, Kenya: \$90,032 in support of researching the policy barriers and enabling environment for impact investing in Kenya to create positive benefits for poor and vulnerable communities.

Sustainability Accounting Standards Board Oakland, CA United States: \$500,000 in general support of its mission to develop and disseminate industry-based performance and disclosure protocols that account for social, environmental and governance performance of public companies in the United States, and improve the competitiveness of industry on the most pressing sustainability challenges facing society today.

Taru Leading Edge Private Limited Gurgaon, India: \$542,528 in support of creating a Climate and Health Center of Excellence in Surat, India to develop and share an evidence base of practice regarding the delivery of effective health services that build resilience to climate related impacts on health for urban poor communities, as part of the Asian Cities Climate Change Resilience Network.

Taru Leading Edge Private Limited Gurgaon, India: \$556,126 in support of a project to improve indoor thermal comfort and reduce energy demands for low-income households in the Indian cities of Indore and Surat by identifying, piloting and mainstreaming cool roof and passive ventilation technologies to a range of urban buildings, as part of the Asian Cities Climate Change Resilience Network.

Taru Leading Edge Private Limited Gurgaon, India: \$746,502 in support of a project to ensure availability of local water resources, especially during emergency drought situations, by developing a replicable model for peri-urban lake rejuvenation and conservation in the water-scarce city of Indore, India, as part of the Asian Cities Climate Change Resilience Network.

Thailand Environment Institute Foundation Nonthaburi, Thailand: \$400,000 in support of a project to integrate and institutionalize climate resilient strategies into the urban and economic development planning process of Chiang Rai city, specifically supporting a robust process of public consultations and dialogues that feed into planning decisions that ensure an inclusive and climate-informed Urban Land Use Plan, as part of the Asian Cities Climate Change Resilience Network.

Thailand Environment Institute Foundation Nonthaburi, Thailand: \$401,200 in support of a project to strengthen climate resilience-building measures and resilience strategy-planning processes to improve coordination mechanisms between local government agencies and enable them to develop climate-informed flood plans in Hat Yai, Thailand, as part of the Asian Cities Climate Change Resilience Network.

The African Health Economics and Policy Association Limited Surrey, United Kingdom: \$350,000 toward the costs of its African Research to Policy, Research Users, and Next Generation Programs to build capacity around research and evidence-based policymaking in Africa's health systems.

The Alliance for Climate Protection Washington, D.C., United States: \$200,000 toward the costs of "24 Hours of Reality," a global event designed to educate the public about the relationship between extreme weather and manmade climate change, and to facilitate actions to address these issues.

The Center for Effective Philanthropy, Inc. Cambridge, MA United States: \$300,000 in general support of its mission to provide data and create insight so philanthropic funders can better define, assess and improve their effectiveness and impact.

The Center for Effective Philanthropy, Inc. Cambridge, MA United States: \$500,000 in general support of its mission to provide data and create insight so philanthropic funders can better define, assess, and improve their effectiveness and impact.

The Energy Foundation San Francisco, CA United States: \$150,000 toward the costs of its efforts to coordinate a philanthropic analysis of the building retrofit sector by conducting segment-specific research and identifying strategic opportunities for philanthropic programs to support the energy efficiency retrofit market in the United States.

The Forum for the Future London, England: \$1,100,000 in support of conducting the Rockefeller Foundation's Centennial Urban Challenge, an effort to generate innovative ideas and tools to help poor or vulnerable people in urban environments through scenario planning and stakeholder conferences in the following cities: Accra, Ghana; Bangkok, Thailand; Chennai, India; Lima, Peru; Manila, Philippines; and Nairobi, Kenya.

The Forum for the Future London, England: \$250,000 in support of a collaboration with The Raben Group to conduct research and scenario planning to understand the current and future political landscape and to create a strategy for engagement with policymakers and other stakeholders to advance the social impact bond innovation to scale solutions to social problems facing poor or vulnerable communities in the U.S.

The Ghetto Film School, Inc. Bronx, NY United States: \$150,000 as a recipient of a New York City Cultural Innovation Fund award, toward the costs of developing students' creative and video production skills through researching and filming youth trends analyses.

The Hope Institute Seoul, South Korea Dem. Rep.: \$175,400 in support of efforts to build an ecosystem for social innovation in Asia by analyzing the current landscape and developing a strategy to foster social innovation across the continent.

The Laundromat Project, Inc. New York, NY United States: \$75,000 as a recipient of a New York City Cultural Innovation Fund award, toward the costs of promoting civic participation by organizing socially-engaged art workshops in local coin-operated laundromats with community partners.

The Nature Conservancy Arlington, VA United States: \$500,000 in support of the Natural Infrastructure Innovative Financing Lab (NatLab), a collaboration with EKO Asset Management Partners and the Natural Resources Defense Council, to catalyze the investment of private capital toward green infrastructure solutions in Philadelphia and to create similar models that can be replicated in other cities.

The Nature Conservancy Arlington, VA United States: \$57,275 in support of reviewing Chile's Territorial Use Rights in Fisheries (TURFs) to improve the management of coastal fisheries and the livelihoods of artisanal fishers.

The New School New York, NY United States: \$150,000 as a recipient of a New York City Cultural Innovation Fund award, for use by its Design for Social Innovation and Sustainability Lab in partnership with the Public Policy Lab and New York City Department of Housing Preservation and Development toward the costs of efforts to empower New York City residents as co-designers and co-producers of housing services.

The New School New York, NY United States: \$16,000 toward the costs of a two-day

conference on the state of housing policy in the United States and United Kingdom to be held in New York City, September 2012.

The New School New York, NY United States: \$250,000 in support of the costs of implementing, assessing and sharing with the field a new model for universities to serve as community resources through long-standing collaborations with community-based organizations.

The New School New York, NY United States: \$73,002 for use by its Graduate Program in International Affairs in support of two panel discussions on the Occupy Wall Street movement, its origins and potential solutions to the inequalities and issues it helped surface, to be held in Washington, DC and New York City, April 2012.

The Partnership for Working Families Washington D.C., United States: \$450,000 in general support of its mission to build community power and reshape the economy and urban environment for workers and communities in the United States.

The PopTech Institute Camden, ME United States: \$125,000 in support of generating innovative ideas addressing the needs of poor or vulnerable populations through Bellagio Fellowships and designing and documenting a new form of small group, cross-disciplinary, problem-solving collaboration.

The Synergos Institute, Inc. New York, NY United States: \$150,000 in general support of its mission to mobilize resources and bridge social and economic divides to reduce poverty and increase equity around the world.

The Synergos Institute, Inc. New York, NY United States: \$500,000 in general support of its mission to mobilize resources and bridge social and economic divides to reduce poverty and increase equity around the world.

The Universal Management Group Limited Montreal, Canada: \$400,000 in support of monitoring and evaluating the Foundation's Centennial initiative, in an effort to foster learning, accountability and performance improvements in the Foundation and among its grantees.

Tides Center San Francisco, CA United States: \$150,000 toward the costs of its project "The Bridges Initiative: Winning the Generational Shift," a research, leadership development and communications effort to shape the public conversation about demographic challenges facing the United States, and to develop inclusive policy ideas on education, housing, transportation and employment.

Tides Center San Francisco, CA United States: \$25,000 toward the costs of its project, the Africa Grantmakers' Affinity Group, for support of its mission to promote increased and more effective foundation funding in Africa.

Tides Center San Francisco, CA United States: \$300,000 for use by Accelerating Market-Driven Partnerships toward the costs of assisting emerging economies to grow in socially and environmentally sustainable ways.

Tides Foundation San Francisco, CA United States: \$750,000 toward the costs of its project, the Convergence Partnership, a philanthropic collaboration to advance activity and policies that increase economic, environmental and social benefits through access to healthy food, public transportation, good jobs and green spaces.

United Nations Development Programme New York, NY United States: \$400,000 for use by its UN Millennium Campaign, Regional Office for Asia and the Pacific toward the cost of a project to identify key Millennium Development Goal (MDG) successes and challenges, support parliamentarian champions to accelerate MDG progress in six Asian countries (Australia, Bangladesh, India, Lao PDR, Nepal and the Philippines) and contribute regional perspectives into the new post-2015 development framework.



United Nations Economic and Social Commission for Asia and the Pacific Bangkok, Thailand: \$75,000 for use by its Capacity Development Trust Fund toward the costs of developing and publishing the Quick Guide on Climate Change Adaptation and Resilience in Urban Poor Communities in Asia-Pacific to build the capacity of local government to promote inclusive and sustainable urban development policies.

United Nations Educational, Scientific and Cultural Organization Paris, France: \$149,951 in support of a global conference, a Steering Committee meeting and research to explore the feasibility of establishing a global network of futures communities-of-practice, in an effort to improve the use and integration of foresight in decision-making within the institution and among its partners.

United Nations Entity for Gender Equality and the Empowerment of Women New York, United States: \$50,000 toward the costs of the "Leaders' Forum on the Future Women Want: Gender Equality and Women's Empowerment for Sustainable Development," to be held in Rio de Janeiro, Brazil, June 2012, to foster the integration of a gender perspective into the international development framework and outcomes of the Rio+20 United Nations Conference on Sustainable Development.

United Nations Framework Convention on Climate Change Bonn, Germany: \$1,200,000 in support of launching a "Women for Results" pillar of its Momentum for Change initiative to showcase the role of women in addressing climate change adaptation and mitigation.

United Nations New York, NY United States: \$300,000 for use by the Secretary-General's Global Pulse initiative for the development of its Digital Monitoring and Evaluation Toolkit which will help in the understanding and reaction to real-time changes in human well-being.

United Nations New York, NY United States: \$699,696 toward the costs of embedding Universal Health Coverage (UHC) in the United Nations' policy process and providing high-level strategic partnership in setting the global health agenda and positioning UHC within the post-Millennium Development Goals development agenda.

United States Agency for International Development Washington D.C., United States: \$300,000 toward the costs of its "Frontiers in Development" conference, to address international development challenges in the next decade to be held in Washington, DC, June 2012, and a companion publication that will include essays from prominent thinkers, leaders in development, and U.S. Agency for International Development staff.

United States Fund for UNICEF New York, NY United States: \$531,578 in support of synthesizing knowledge on the operationalization of Universal Health Coverage (UHC) with equity in district health systems, developing an evidence-base on UHC with equity goals, targets and indicators for children and developing and testing recommendations on how to embed equity-focused indicators into the Multiple Indicator Cluster Survey.

United States Public Interest Research Group Education Fund, Inc. Washington D.C., United States: \$125,000 toward the costs of its Transportation for the Next Generation project, a research and outreach effort to examine the causes and implications of ongoing trends toward reduced driving in the U.S.

University of California, San Francisco San Francisco, CA United States: \$374,755 in continued support of its Global Health Group in support of documenting expanded evidence of the role of the private sector within health systems in developing countries and fostering a community of practice around social franchising.

University of Ghana, Accra, Ghana: \$45,000 for use by its School of Public Health toward the costs of the participation of delegates from low- and middle-income countries at the 3rd Annual General Meeting of the Association of Schools of Public Health in Africa and the 4th Global Health Summit.

University of Hawaii Honolulu, HI United States: \$500,000 toward the costs of developing the Secretariat for the Asian-Pacific Disaster Risk Reduction and Resilience Collaborative Network, designing a strategy and governance structure, scoping collaborative and cooperative projects, and developing communication and coordination activities.

University of KwaZulu-Natal Westville, South Africa: \$127,840 in support of a labor market analysis to assess the possibilities of creating employment opportunities for poor and vulnerable populations including the labor demands, impact trends and influencing factors in Ghana, Kenya and South Africa.

University of Nairobi Nairobi, Kenya: \$46,000 for use by its School of Computing and Informatics in support of studying the interface of formal and informal transit in Nairobi, Kenya, in partnership with Columbia University.

University of Reading Reading, England: \$675,988 for use by its Walker Institute for Climate System Research in collaboration with its Statistical Services Centre, in support of providing capacity building assistance to the climate change units of seven agriculture research and development institutions in East and Central Africa.

University of Waterloo Waterloo, Canada: \$247,000 for use by its Waterloo Institute for Social Innovation and Resilience toward the costs of designing and testing a Social Innovation Lab, an effort to understand and design innovative solutions to persistent social problems facing poor and vulnerable communities around the world.

University of Waterloo Waterloo, Canada: \$525,000 for use by its Waterloo Institute for Social Innovation and Resilience toward the costs of piloting the Rockefeller Foundation Global Fellowship Program on Social Innovation, an effort to understand and design innovative solutions to persistent social problems facing poor or vulnerable communities around the world.

University of Wisconsin-Madison Madison, WI United States: \$500,000 for use by its Center on Wisconsin Strategy toward the costs of the Smart State Transportation Initiative, a project to improve and support states' capacity to implement climate-friendly and equitable transportation policies and practices.

Urban Institute Washington D.C., United States: \$400,000 for use by its Health Policy Center in support of research and a convening to assess and improve the deployment and financing of community health workers for health savings, improved health outcomes and increased employment opportunities in the U.S.

Ushahidi, Inc. Orlando, FL United States: \$50,000 in support of building its capacity to innovate and serve as a model for other organizations looking to use innovation to accelerate social progress.

Venture Capital Trust Fund Accra, Ghana: \$150,000 toward the costs of researching the policy barriers and enabling environment for impact investing in Ghana and establishing the Ghana Institute for Responsible Investing to create positive benefits for poor and vulnerable communities in Ghana.

Verulam Associates Ltd. United Kingdom: \$350,000 in continued support of the monitoring and evaluation of the Asian Cities Climate Change Resilience Network component of the Foundation's Building Climate Change Resilience initiative, to deepen the level of monitoring activities, build the capacity of grantees to more effectively monitor their own work and feed into the larger dialogue around urban resilience to climate change, and for a summative evaluation of the initiative.

Vietnam Institute of Architecture, Urban and Rural Planning Hanoi, Vietnam: \$158,946 in support of developing a set of climate change resilience urban-planning guidelines and providing training to enable capacity development of local government actors.

VilCap, Inc. Atlanta, GA United States: \$150,000 in general support of its mission to enable opportunity for world-changing social enterprises through a peer-selected investment model.

Villgro Innovations Foundation Tharamani, India: \$200,000 toward the costs of its Social Entrepreneur and Enterprise Development (SEED) program, which trains early-stage social entrepreneurs to refine their business model and scale their social impact to create positive benefits for poor or vulnerable people in India.

Wildlife Conservation Society Bronx, NY United States: \$1,999,965 in support of a collaboration with Harvard University and other partners to conduct pilot projects in Indonesia and Madagascar examining the relationship between conserved ecosystems and improved human health, ultimately resulting in the identification of policy and management changes that would improve both the state of the environment and the health of poor or vulnerable people.

Wildlife Conservation Society Bronx, NY United States: \$146,200 in support of researching and developing a framework for integrating a livelihoods approach into marine conservation activities that focus on women's participation in fisheries.

William Davidson Institute at the University of Michigan Ann Arbor, MI United States: \$100,000 in support of a research study to explore Impact Sourcing opportunities in 20 countries in order to create an actionable template for the enhancement of job creation opportunities for poor and vulnerable communities.

William Davidson Institute at the University of Michigan Ann Arbor, MI United States: \$42,000 in support of ongoing project management, grantee convenings and a synthesis report on the benefits of impact enterprises to poor and vulnerable populations globally.

Winrock International Institute for Agricultural Development Little Rock, AR United States: \$500,000 in support of accelerating the expansion of new partnerships, funding, and policies that will institute multiple use water services principles and practices.

Women's Housing and Economic Development Corporation Bronx, NY United States: \$175,000 as a recipient of a New York City Cultural Innovation Fund award, toward the costs of developing and presenting a cross-cultural performance series to increase community pride and access to the arts in the South Bronx.

Word Above the Street, Inc. New York, NY United States: \$250,000 as a recipient of a New York City Cultural Innovation Fund award, toward the costs of "The Water Tank Project," to place public art on rooftop water tanks in an effort to highlight global water issues.

Workforce Development Corporation New York, NY United States: \$800,000 toward the costs of conducting employer-led job training and placement for low-income New Yorkers and developing a product that communicates the value of this process to incent other employers' participation and investment.

World Affairs Council of Northern California San Francisco, CA United States: \$225,000 toward the costs of its Global Philanthropy Forum, which brings together foundation leaders, individual donors, social investors, and agents of change to learn more about opportunities for international philanthropy.

World Bank Washington D.C., United States: \$1,500,000 for use by its Independent Evaluation Group toward the continued support of the Centers for Learning on Evaluation and Results, to support the development and implementation of five Centers - in Africa, East Asia, South Asia and Latin America - to strengthen the monitoring and evaluation, leadership and results based management capacity of public, private and civil society development institutions working in the Global South.

World Health Organization Geneva, Switzerland: \$1,641,250 in support of developing a strategy toward a United Nations General Assembly resolution on Universal Health Coverage and embedding it within the post-2015 agenda, developing a framework for its definition,



goals, indicators, targets, timelines and monitoring mechanisms, and conducting consultations to implement country-level strategies for achieving it.

World Health Organization Geneva, Switzerland: \$300,000 in support of reviewing the intersectoral action on health in Africa, South East Asia and the Western Pacific, to inform the Eighth Global Conference on Health Promotion and ultimately the post-Millennium Development Goals process.

Worldwide Initiatives for Grantmaker Support, Inc. Sao Paulo, Brazil: \$240,000 in general support of its mission to strengthen philanthropy and a culture of giving through mutual learning and support, knowledge sharing and professional development among its members.

Young Women's Leadership Network Inc. New York, NY United States: \$25,000 toward the costs of 2012-2013 school year activities of The Young Women's Leadership Schools, a network of all-girls public secondary schools serving underserved families in New York City.

Young-hae Chang Seoul, South Korea Dem. Rep. \$12,540 in support of a Bellagio Creative Arts Fellowship, to pursue a new, in-depth visual arts project and build connections with a global interdisciplinary community of residents in a three-month stay at the Rockefeller Foundation Bellagio Center and to receive post-residency publicity through the creation and dissemination of an artist's publication.

ABOUT

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None of our work would be possible without the guidance of our distinguished board and the dedication of our talented staff. Click below to see who was behind our impact in 2012.

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