

## 1998 ANNUAL REPORT

### ABOUT THE FOUNDATION – THE FOUNDATION

The Rockefeller Foundation is a philanthropic organization endowed by John D. Rockefeller and chartered in 1913 for the well-being of people throughout the world. It is one of America's oldest private foundations and one of the few with strong international interests. From its beginning, the Foundation has sought to identify, and address at their source, the causes of human suffering and need.

In 1998 Foundation programs were organized around eight core strategies listed on the following page. Together, these strategies constituted the Foundation's commitment to help define and pursue a path toward environmentally sustainable development consistent with individual rights and a more equitable sharing of the world's resources.

The Foundation's work in 1998 was divided among the following program areas: the arts and humanities, equal opportunity, agricultural sciences, health sciences, population sciences, global environment, and special African initiatives including female education.

The balance of the Foundation's 1998 grant and fellowship programs supported work in building democracy, international security, international philanthropy, and other special interests and initiatives. While concentrating its efforts in these areas over the past year, the Foundation is in the process of adjusting its course and defining a new organizational program structure for 1999 and beyond.

The Foundation maintains the Bellagio Study and Conference Center in northern Italy for conferences of international scope and for residencies for artists, scholars and policymakers, and other professionals from around the world.

The Foundation is administered by its president through a staff working in six locations: New York City, Cairo, Nairobi, Mexico City, Bangkok and Lilongwe, Malawi. An independent board of trustees, which meets four times a year, sets program guidelines and financial policy, and approves all appropriations.

## **CORE PROGRAM STRATEGIES**

The following are the eight core strategies around which Foundation program work was organized in 1998.

### **Core Program**

Please be aware that the strategies described here are based on the Rockefeller Foundation's 1997 and 1998 programming structure. All program guidelines are currently under revision and will be announced in the fall of 1999.

### **AFRICAN INITIATIVES**

*STRATEGY:* Build human capacity and institutional infrastructure in Africa with an emphasis on closing the gender gap in school enrollment and achievement

### **AGRICULTURAL SCIENCES**

*STRATEGY:* Increase crop yields of smallholder farmers in developing countries profitably and without degrading natural resources.

### **ARTS AND HUMANITIES**

*STRATEGY:* Understand and engage difference across changing societies through the arts and humanities.

### **EQUAL OPPORTUNITY**

*STRATEGY:* Support vibrant and diverse urban communities, assisting them to gain access to the fundamental elements of opportunity including employment, good schools, freedom from discrimination and full participation in the democratic process.

### **GLOBAL ENVIRONMENT**

*STRATEGY:* Build international leadership capable of initiating and carrying out innovative approaches to sustainable development.

*STRATEGY:* Facilitate the transition to a new energy paradigm based on sustainability, renewable resources, efficient use, economic viability and equity in access.

### **HEALTH SCIENCES**

*STRATEGY:* Build human capacity for population-based health care in developing nations.

### **POPULATION SCIENCES**

*STRATEGY:* Mobilize resources to satisfy unmet demand for family planning and reproductive health services.

## THE PRESIDENT'S LETTER

**NEARLY ONE YEAR AGO I WAS HONORED TO BE NAMED PRESIDENT OF THIS FOUNDATION. IN TAKING ON THIS ASSIGNMENT, I WAS STRUCK BY THE ENORMITY OF THE JOB: TO LEAD A GROUP OF PEOPLE DEDICATED TO "THE WELL-BEING OF MANKIND."**

At the same time it seemed quite clear what the tasks ahead of me should be:

- **TO CREATE A MORE GLOBAL FOUNDATION,**
- **TO ENHANCE THE ROCKEFELLER FOUNDATION'S LONG HISTORY OF SERVING THOSE IN MOST NEED IN THE UNITED STATES AND IN THE WORLD'S POOREST NATIONS, AND**
- **TO BUILD ON THE REPUTATION OF THIS FOUNDATION AS AN INSTITUTION THAT APPLIES ITS KNOWLEDGE AND EXPERIENCES IN ANALYTICAL WAYS TO SOLVE SOME OF THE WORLD'S MOST DIFFICULT PROBLEMS.**

In the past year, I've learned a great deal from our board, from our staff and from our grantees. As I've traveled and listened, a few common themes emerge: that this Foundation's past successes are greatly admired, that our new thematic programming will be a good way of organizing our work and our thinking; and that this Foundation cannot afford to overlook the impacts of globalization on the poor and excluded.

Let me explain. In order to become a truly global foundation I believe we must reorient our structure, our grantmaking and, in some ways, our internal culture. Beginning later this year, we'll start making grants around four core program themes: employment, creativity and innovation, food security, and health equity. These themes will be enhanced by other "cross themes" that cut across program areas. We'll explain our new strategy and program guidelines in a publication to be distributed later this year.

In recent years the increasing interconnectedness of the world - the formation of a "global village" - has accelerated under the influence of the revolutions in information technology and communications. There is much about this process we still do not understand, but it is becoming clear that globalization has benefited many of us and that, potentially, it can improve the well-being of everyone. Yet there are many poor people who are excluded from the benefits and who have become even more vulnerable.

The challenge we have chosen to take on is twofold: first to understand the processes of change spurred by globalization and second, to find ways that the poor and excluded will not be left out. We are convinced that the only way to do this is to focus on helping poor people access the knowledge, the tools and the opportunities that will allow them to enhance their lives and livelihoods.

In the narrative essay that follows this letter, you'll read about three families - people who represent the need, the opportunity and the challenges we face as we undertake our chosen task.

Reading the stories of these three families helps keep in focus for me the real reason why foundations are such a critical part of the world landscape.

Foundations like the Rockefeller Foundation have the resources, the expertise and the time to explore problems at their roots, and to seek long-term solutions. Most importantly, we can forge partnerships with other foundations,

with international institutions, governments, non-governmental organizations and the private sector - forming alliances that can make a real difference. Many of the problems the world faces require global solutions. Equally, though, they require people to be able to take more active roles in determining their own futures. Most poor and excluded people could benefit greatly from more humane social and economic policies, structures and institutions. They need strengthened community organizations that will make their voices heard and help them realize their personal aspirations. These too, will be part of our objectives.

The stories of the three families in this annual report are illustrative of the stories and voices I hear as I travel. People I talk with share a common plea: for help in creating better lives for their families. They speak of issues - health, education and employment, for example - that the Foundation will continue to address.

I eagerly look forward to the coming year when we'll finish reorganizing and finalize our new funding strategies and program guidelines. While we will embark on some major new programs, many of our grants will continue for the foreseeable future. The success of our grantees to date has been considerable: In 1998 the International AIDS Vaccine Initiative, which we helped to create, has funded - for the first time - AIDS vaccine research in two developing nations; Kenya and South Africa. The jobs initiatives in the United States are putting people to work in a dozen poor communities, and our 10-year rice biotechnology program has resulted in new productive, and well-adapted rice varieties being produced in Asia and Africa.

I'm confident that, going forward, we will remain one of the leading funders of groundbreaking work aimed at making the lives of marginalized and excluded people far better. To do anything less would be violating one of our original covenants. When the Foundation was set up in 1913 the secretary of the Foundation wrote that the Foundation's framework should be "when going into a community with a gift, that the community has its own will and its own resources to meet the need; to avoid gifts in perpetuity; and to focus on problems that go to the root of individual or social ill-being and misery." We remain committed to this framework.



**Gordon Conway**  
**March 22, 1999**

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*1999*)

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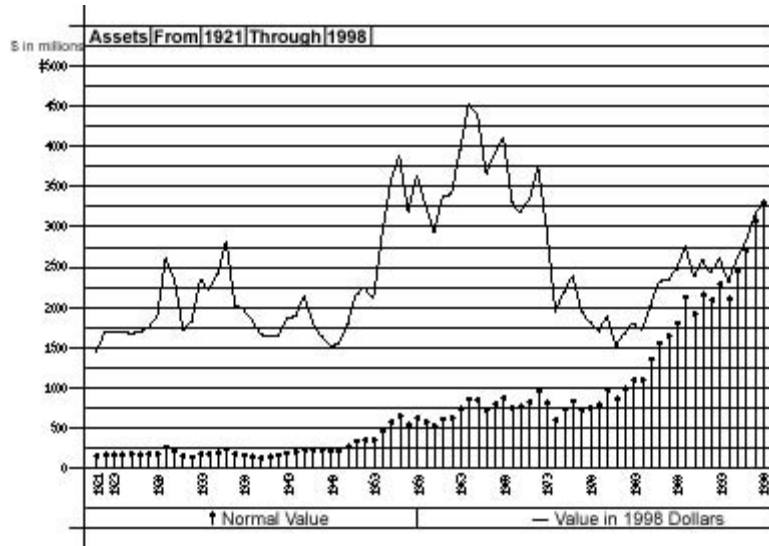
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**ALAJUELA, COSTA RICA**

## **ABOUT THE FOUNDATION — FINANCIAL REPORT**

During 1998, worldwide capital markets experienced the most serious disruptions in recent history as the Asian emerging markets crisis spread and Russia's default on government debt triggered a global flight to quality and widespread illiquidity in the fixed income markets. In the United States, the near collapse of a giant, highly leveraged hedge fund led to a bailout by leading financial institutions to avert what was perceived as a threat to the stability of the financial system. The Foundation's investment portfolio, which has been substantially restructured over the past four years and which has a strong focus on risk management, weathered these difficult markets well. The portfolio experienced some volatility during the year but sustained no unusual losses. The value of the endowment rose to \$3.3 billion at the end of June, declined to \$3.0 billion in September, and, with market recoveries late in the year, was again at \$3.3 billion at year end. The total portfolio return for 1998 was 13 percent.

The key financial objectives of the Foundation's board of trustees are maintaining the purchasing power of the endowment over the long term, after inflation and grantmaking, and maximizing funds available for current program needs and administrative support. These conflicting goals are balanced through policies on the spending rate and on the asset allocation of the investment portfolio. Current guidelines call for an annual budget of 5.8 percent of the market value of the endowment. Strong financial markets in the 1980s and 1990s have enabled the Foundation to increase its annual budget for grants and administrative expenses from \$48 million in 1979 to \$159 million in 1998.

The finance committee periodically reviews the portfolio's commitment to each asset class and establishes a policy portfolio with target allocations. The Foundation rebalances to policy targets as markets move but does not make frequent tactical shifts in asset allocation. Since 1995, the long-term asset allocation targets have been: U.S. equity, 35 percent; international equity, 25 percent; bonds, 20 percent; real estate, 10 percent; and private equity, 10 percent.



The Rockefeller Foundation was created in 1913 and endowed in several installments totaling about \$250 million. Since that time, this original endowment and the investment returns it has generated have provided funds for the Foundation's grant-making programs and operating expenses. The graph below shows that the real value of the Foundation's endowment in 1998 dollars has undergone periods of growth and periods of erosion depending on the relative impact of investment earnings, spending and inflation.

In the early 1960s the value of the endowment in 1998 dollars was as high as \$4,510 million. Real value declined substantially through the mid-1970s during a period when low investment returns and high inflation devastated many endowments. The recovery in real value during the 1980s and 1990s has resulted from a combination of low inflation and unprecedented investment returns. The Foundation cannot, however, anticipate a long-term continuation of the benign conditions that have prevailed during the past 15 years and must continue to pursue policies that will ensure a stable source of funds in changing environments.

A few fundamental principles underlie the Foundation's investment program. Asset allocation is an important focus for the trustees and the investments staff. Investments are concentrated in five asset classes, each of which has a defined and differentiated role in the portfolio. Diversification is essential to portfolio design, but new approaches are added only if they are fully understood, serve a clear purpose and can be implemented in meaningful amounts. Portfolio complexity adds direct costs such as trading, fees and illiquidity. There may also be indirect costs in the form of unidentified risks and unintended bets.

Both active and passive (index funds) investment styles are used for marketable securities. While quantitative tools are essential for organizing data and for portfolio analysis, we believe that fundamental research and judgment will always be necessary in a world of rapidly changing and highly globalized capital markets. In selecting outside managers, we seek firms that, in addition to strong track records, have the people, management structure and

disciplined process to generate superior future results. We recognize that investment expenses have a substantial impact on long-term results and consider cost control an important component of effective portfolio oversight.

The Foundation's investments staff develops overall strategy; recommends selection of investment managers and oversees their performance and adherence to guidelines; researches new investment opportunities and determines their feasibility for the Foundation; and monitors and controls portfolio risks. Over the past four years the Treasurer's Office has recommended asset allocation and spending-level adjustments, articulated strategy for each asset class, reviewed and changed managers in each category, updated systems for monitoring the portfolio and analyzing results, and put in place comprehensive risk-management procedures. While changes in market conditions will always require updated approaches to portfolio oversight and will provide new investment options, we believe that the fundamentals of the Foundation's portfolio and investment operations are well positioned for the future.

The U.S. equity portfolio has approximately 30 percent invested in an S&P 500 index fund, with the remainder allocated among seven active managers. This asset class is benchmarked against the Russell 3000 index and is designed to approximate index allocations to large, medium and small capitalization stocks. The portfolio is somewhat tilted to value-oriented managers who are expected to provide more downside protection in difficult markets. This style bias, along with holdings in smaller capitalization equities, hurt relative performance during 1998 when a small group of large growth stocks dominated the market. For the year 1998, 15 stocks accounted for 50 percent of the performance of the S&P 500. The U.S. bond portfolio is managed by five advisers. In addition to U.S. Treasury and agency securities, the portfolio includes mortgages, corporates, asset-backed securities, high-yield and international bonds with managers who have expertise in these respective areas. Upheaval in the U.S. fixed income markets during the third quarter of 1998 was even more pronounced than in equities. The spreads between Treasury bonds and riskier securities, such as corporate or emerging market bonds, widened dramatically as shown in the graph below which depicts the change in spread between 10-year Treasury notes and high-grade U.S. corporate bonds. The Foundation's fixed income managers performed well during this extraordinary period, and no unanticipated risks surfaced in the portfolio.





The Foundation's international equity portfolio has an index fund component plus five active managers. Currency risk is hedged at 50 percent of the international portfolio by two currency specialist managers who do not select the underlying equity securities. The Foundation intends to maintain its commitment to emerging markets equities despite the disastrous 1998 results in this sector. Emerging markets can represent up to 20 percent of the total international equities portfolio, but were approximately 10 percent at year-end. The Foundation's managers with expertise in both developed and emerging markets will influence the portfolio's future position within this range. During 1998, developed market results were positively affected by strength in Europe, which was partly offset by continued weakness in Japan. The Foundation's overall return on international equities was solid in spite of the downturn in emerging markets and Japan.

In addition to marketable securities, the Foundation makes investments in private equity and real estate through funds run by high-quality teams in these sectors. The inefficiency and illiquidity of these areas offers the institutional investor with a long time horizon greater opportunity to benefit from the value added by experienced principals in selecting, structuring and managing investments. Investments in private equity are made by committing to funds managed by firms with proven expertise in either venture capital or leveraged buyouts. Our strategy is to build relationships with top tier firms with whom we can invest in a series of funds over time and to structure partnerships that align our interests with that of our partners. In 1998 we committed funds to a number of new and existing private equity relationships during a period of substantial fund raising in this sector.

Private investments in real estate are also made through partnerships. We were more cautious about adding to our real estate position in 1998, since it appeared early in the year that plentiful capital and economic weakness might create oversupply in the property markets. In recent years, the market of real estate public securities has expanded dramatically, and a portion of the endowment portfolio is invested in real estate equities (Real Estate Investment

Trusts and others). Publicly traded real estate dramatically underperformed other equity sectors in 1998 as these companies shifted from high growth to income-oriented investments in the perception of investors.

The Foundation's investments staff continuously explores new investment strategies, seeking approaches to improve the risk/return dynamics of the portfolio. In the areas generally referred to as alternative investments, the endowment has a position in distressed fixed income securities, currently included in the private equity asset class. We have explored many types of hedge fund approaches used by others but concluded, as did others in 1998, that the real risks in many of these investment approaches were not fully understood or tested. We continue to actively seek strategies with appropriate risk/return profiles and a low correlation to the public markets.

## INFORMATION FOR APPLICANTS

THE ROCKEFELLER FOUNDATION CONCENTRATES ITS GIVING AROUND EIGHT STRATEGIC AREAS DESCRIBED IN THE CORE STRATEGIES SECTION. THE FOUNDATION IS A PROACTIVE GRANTMAKER - THAT IS, THE OFFICERS AND STAFF SEEK OUT OPPORTUNITIES THAT WILL HELP FURTHER THE FOUNDATION'S LONG-TERM GOALS, RATHER THAN REACTING TO UNSOLICITED PROPOSALS. SPECIFIC PROGRAM GUIDELINES CAN BE OBTAINED BY WRITING OR CALLING THE FOUNDATION.

THE FOUNDATION RECOMMENDS THAT POTENTIAL APPLICANTS REVIEW THE RELEVANT PROGRAM GUIDELINES BEFORE SUBMITTING ANY UNSOLICITED PROPOSAL. FOUNDATION OFFICERS AND STAFF RECEIVE MORE THAN 12,000 UNSOLICITED PROPOSALS EACH YEAR, 75 PERCENT OF WHICH CANNOT BE CONSIDERED BECAUSE THEIR PURPOSES FALL OUTSIDE THE FOUNDATION PROGRAM GUIDELINES.

### Grants

Among the factors considered in evaluating grant proposals are:

- THE PROJECT'S RELEVANCE TO FOUNDATION PROGRAMS AND STRATEGIES;
- THE APPLICANT'S QUALIFICATIONS AND RECORD OF ACHIEVEMENT; AND
- THE APPLICANT'S ABILITY TO SECURE ADDITIONAL FUNDING FROM OTHER SOURCES.

### Limitations

As a matter of policy the Foundation does not:

- GIVE OR LEND MONEY FOR PERSONAL AID TO INDIVIDUALS;
- CONTRIBUTE TO THE ESTABLISHMENT OF LOCAL HOSPITALS, CHURCHES, SCHOOLS, LIBRARIES OR WELFARE AGENCIES, OR TO THEIR BUILDING AND OPERATING FUNDS;
- SUPPORT ATTEMPTS TO INFLUENCE LEGISLATION; OR
- EXCEPT IN RARE CASES, PROVIDE GENERAL INSTITUTIONAL SUPPORT OR FUND ENDOWMENTS.

### Relationship With Grantees Regarding Affirmative Action

The Foundation's Board of Trustees approved an external affirmative action policy in March 1988 for use with grantees. This policy states:

"THE ROCKEFELLER FOUNDATION BELIEVES THAT IMPORTANT ISSUES OF UNDERREPRESENTATION OF MINORITIES AND WOMEN REMAIN UNRESOLVED IN OUR SOCIETY, AND SO FAR AS POSSIBLE WE SEEK TO PLAY A HELPFUL AND CONSTRUCTIVE PART IN THEIR RESOLUTION. TO THAT END, THE FOUNDATION SYSTEMATICALLY INVESTS IN THE PROFESSIONAL DEVELOPMENT OF MINORITIES AND WOMEN AND THEIR PROMOTION INTO LEADERSHIP ROLES. THE FOUNDATION EXPECTS GRANTEE ORGANIZATIONS TO ENGAGE IN SIMILAR EFFORTS. CONSEQUENTLY, AFFIRMATIVE ACTION QUESTIONS WILL BE RAISED WITH GRANTEES OR APPLICANTS FOR SUPPORT WHEN THERE APPEAR TO BE OPPORTUNITIES FOR CONSTRUCTIVE COLLABORATION WITH THE FOUNDATION IN THE INTERESTS OF IMPROVING OPPORTUNITIES FOR UNDERREPRESENTED GROUPS. IN SUCH INSTANCES, AS A FIRST STEP, WE MAY ASK THESE INSTITUTIONS TO INFORM THE FOUNDATION OF THEIR EFFORTS, SUPPORTED, AS APPROPRIATE, WITH DATA ON THE GENDER AND MINORITY COMPOSITION OF THE LEADERSHIP OF THE INSTITUTION."

**COMPOSITION OF THE LEADERSHIP OF THE INSTITUTION."**

**HOW TO APPLY**

As the Foundation delineates new program structures and funding guidelines over the course of 1999, relevant guidelines and applications will be made available by writing to:

**THE ROCKEFELLER FOUNDATION  
420 FIFTH AVENUE  
NEW YORK, NEW YORK, 10018-2702  
U.S.A.**

**OR CALL:**

**212-869-8500**

**PHOTO ESSAY**

## AFRICAN INITIATIVES

### STRATEGY:

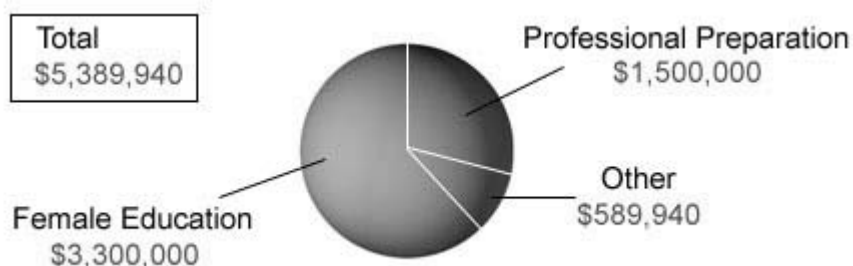
*"BUILD HUMAN AND INSTITUTIONAL INFRASTRUCTURES IN AFRICA WITH AN EMPHASIS ON CLOSING THE GENDER GAP IN SCHOOL ENROLLMENT AND ACHIEVEMENT."*

*Please be aware that the strategy described here is based on the Rockefeller Foundation's 1997 and 1998 programming structure. All program guidelines are currently under revision and will be announced in the fall of 1999.*

Investment in girls' education is especially beneficial to developing countries. Educating women correlates closely to reduced fertility and improved quality of life. An increase in the wages that educated women can command makes bearing children a more expensive option because it removes women from a substantial stream of income. Better-educated women tend to delay marriage, are more aware of their family planning options and pursue better prenatal and neonatal care for the children they do have. As well as having fewer, healthier children, educated women are themselves likely to have better-educated and better-employed children.

A variety of complex, interrelated factors contribute to the distressing state of girls' education in Africa: high population growth rates, lack of financial resources, shortage of facilities, high dropout and repetition rates, low teacher morale, traditional perceptions of the girl's role, and irrelevant curricula. Often compounding the plight of girls who do enroll in school are unwelcoming systems that may be inappropriate for their needs, hostile and, at times, unsafe. For families and communities the costs of schooling are difficult to justify in the face of society's priority for girls to become wives and mothers, often deferring their life decisions to parents and husbands.

All children-and indeed all of society-will benefit when schools are made more accessible to girls and teaching more sensitive to their needs. When parents acknowledge the importance of their daughters' education and are involved in supporting their schooling, girls perform better. If classes relate to girls' background and experiences, if they are taught in local languages, and if practical skills are included in the curriculum, girls are more likely to realize their potential. And when schools sensitize male teachers to girls' needs and employ female teachers who can act as role models, girls' participation is enhanced.



Important elements of the Rockefeller Foundation's strategy include facilitating good quality education in reading, mathematics, language and science for children. On the demand side, efforts are focused on public information campaigns intended to promote the benefits of female education. Greater community participation in education improves both the relevance and quality of education and ensures sustainability by encouraging better resource mobilization.

At the professional level, Africa can expand its participation in an increasingly technology-driven global economy by providing more and better trained natural and social scientists. To build a cadre of productive, well-trained leaders capable of affecting and sustaining economic and social development, the Foundation funds several initiatives to increase the relevance, quality and utilization of doctoral training received abroad and to help new Ph.D.s build career paths in Africa. Within Africa the Foundation also supports specialized training and collaborative partnerships for professionals-including engineers, economists and natural scientists-that are intended to improve the quality of research and policy initiatives.

The African Initiatives program has created a network of trained, highly skilled professionals whose expertise has greatly contributed to carrying out the Rockefeller Foundation's work in family planning, public health, agriculture and environment.

## ARTS AND HUMANITIES

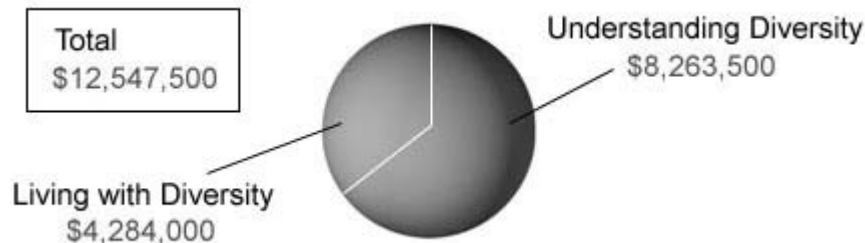
### STRATEGY:

*"UNDERSTAND AND ENGAGE DIFFERENCE ACROSS CHANGING SOCIETIES THROUGH THE ARTS AND HUMANITIES."*

*Please be aware that the strategy described here is based on the Rockefeller Foundation's 1997 and 1998 programming structure. All program guidelines are currently under revision and will be announced in the fall of 1999.*

The arts and humanities offer interpretations and ideas that are the basis for a culture's values and vitality. Artists and humanists provide a unique window into the essence of human life-into who we are, how we live, what moves us, what history we share, and what are the distinctive characteristics of our cultures. Their visions, communicated through painting, performance, text, film and other media, can help audiences see beyond their own interests to appreciate the commonalities, as well as differences, among peoples. The arts and humanities also animate civil society and promote the free and creative flow of ideas across societies.

Throughout its history, the Rockefeller Foundation's support of artists and humanists has been linked to the ideas and issues of the day. Over time, its work has been concerned with expanding the definition of American culture and identity, balanced by efforts to comprehend other cultures across the globe. In these times of transition and social upheaval, when societies are increasingly diverse, mobile, striving and interdependent, the Foundation has targeted efforts that foster understanding across divides of class, ethnicity, religion and tradition-both here and abroad.



In Africa the Foundation funds cultural institutions-publishers, independent radio, museums, festivals of the expressive arts-to strengthen the autonomous voices of critique and pluralism necessary for civil society to flourish. In parts of the Muslim world, support enables researchers, critics and artists to work together within and across their own changing societies. In Latin America the binational U.S.-Mexico Fund for Culture promotes exchange and collaboration in the performing, visual and media arts; cultural studies; publishing; and translation. Also addressed are such issues as the cultural implications of immigration and how diversity is understood in both the United States and Mexico. Within the United States, the Foundation funds cultural initiatives that



examine difference, celebrate the multiple heritages of Americans, and advance understanding and reciprocity. This is done through, among other programs, a production fund for the creation of new work in the performing arts; fellowships for media artists; museum exhibitions that promote conversations across boundaries and cultural divisions; and an initiative supporting community partnerships that employ arts and culture in building healthy communities. A fellowships program for humanities scholars at selected research centers in North and South America supports the creation of new knowledge through interdisciplinary exchange and the building of a network of scholars and institutions.

## HEALTH SCIENCES

### STRATEGY:

*"BUILD HUMAN CAPACITY FOR POPULATION-BASED HEALTH CARE IN DEVELOPING NATIONS."*

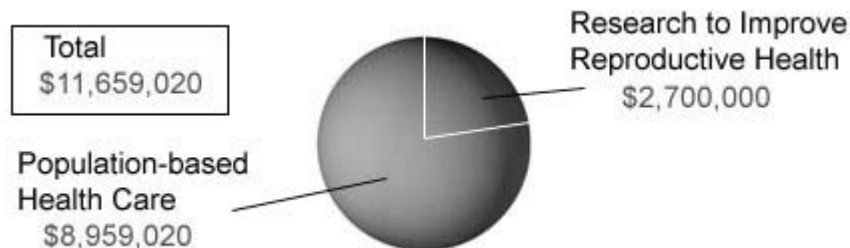
*Please be aware that the strategy described here is based on the Rockefeller Foundation's 1997 and 1998 programming structure. All program guidelines are currently under revision and will be announced in the fall of 1999.*

These words set the tone for how the Rockefeller Foundation would think about and act upon global health needs for the next nine decades. Since 1913 the Health Sciences division has been at the heart of many of the major scientific and humanitarian advances that have been crucial to the well-being of the world's people: partly funding the discovery of penicillin, developing a yellow fever vaccine, helping build many of the world's modern health institutions, and advancing the quality of medical research and education in the United States and around the world. Through the years the division has also focused on eradicating infectious diseases—from hookworm to smallpox to polio—and today is concentrating on the development of a safe and effective AIDS vaccine.

The Health Sciences division is now evaluating its work in order to build upon the Foundation's successes. It will create a program to secure greater equity in health in the next century by:

- 1. ADVANCING UNDERSTANDING OF HEALTH EQUITY**
- 2. PROMOTING EQUITY-ORIENTED HEALTH RESEARCH AND DEVELOPMENT**
- 3. STRENGTHENING THE CAPACITY OF HEALTH SYSTEMS TO REDUCE INEQUITIES IN HEALTH.**

**ARTICULATION OF THE STRATEGY WILL BE BASED ON AN ASSESSMENT OF BOTH EXISTING AND FUTURE HEALTH CHALLENGES, WHICH INCLUDE: SLOWING THE SPREAD OF FATAL CHILDHOOD INFECTIONS; TACKLING THE RAPID INCREASE IN CHRONIC CONDITIONS ASSOCIATED WITH CHANGING LIFESTYLE AND AGING POPULATIONS; AND ADDRESSING THE WORRISOME EMERGENCE OF A THIRD WAVE OF HEALTH RISKS LINKED TO GLOBAL CLIMATE CHANGE, VIOLENT BEHAVIORS AND DRUG-RESISTANT INFECTIOUS DISEASES. IN ADDRESSING THESE PROBLEMS, THE FOUNDATION WILL SUPPORT THE PRODUCTION AND APPLICATION OF KNOWLEDGE WHILE RECOGNIZING THAT ITS LIMITED RESOURCES REQUIRE GLOBAL PARTNERS.**



This year the Foundation has been a major contributor to the Global Health Equity Initiative (GHEI), a multifaceted project with more than 100 researchers in 15 countries. The researchers are committed to advancing understanding, broadening awareness, and promoting equity-enhancing policies and actions, with particular focus on the health and well-being of disadvantaged people in all parts of the world. Through intercountry comparisons of equity in health, especially from nonindustrialized countries, the GHEI hopes to examine the multiple dimensions of health equity, with a view to developing tools to inform policy and evaluate health-system performance according to equity criteria. With this new focus on equity in health as a programming priority, the Health Sciences division has funded equity studies in both of the GHEI's two complementary research efforts: conceptual groups and case studies. The conceptual groups are developing a theoretical foundation for what equity in health means, thus providing a basis for thinking about its determinants, multiple expressions and consequences. In addition, 12 country case studies are applying this conceptual work to their investigations of specific questions of health equity. The interface of the conceptual groups with the country case studies constitutes a central strength of the GHEI, as it allows both practical application of the theoretical framework and field-experience impact on the conceptual studies.

As all signs point to a more integrated and interdependent world, we must begin to think of health problems in a truly global context. Despite the tremendous capacity for good health due to technology and favorable social conditions, large populations in many countries face shortened life expectancies due to unequal opportunities in accessing these prerequisites for health. In addition to the moral repugnance of these inequities, their enormous costs for both the public and private sector make finding opportunities to redress them a high priority for any country interested in reaching its full economic and social potential.

## EQUAL OPPORTUNITY

### STRATEGY:

*"SUPPORT VIBRANT AND DIVERSE URBAN COMMUNITIES, ASSISTING THEM TO GAIN ACCESS TO THE FUNDAMENTAL ELEMENTS OF OPPORTUNITY INCLUDING EMPLOYMENT, GOOD SCHOOLS, FREEDOM FROM DISCRIMINATION AND FULL PARTICIPATION IN THE DEMOCRATIC PROCESS."*

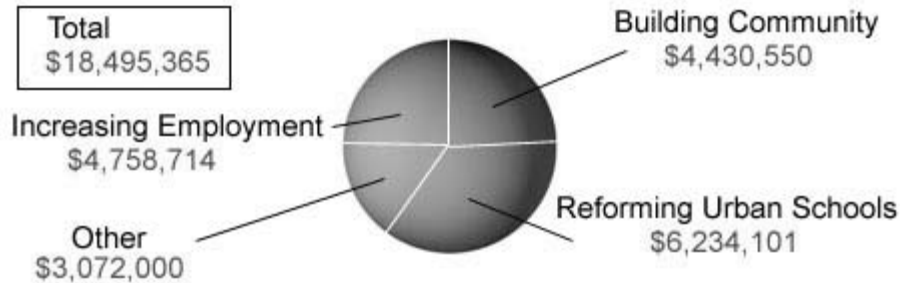
*Please be aware that the strategy described here is based on the Rockefeller Foundation's 1997 and 1998 programming structure. All program guidelines are currently under revision and will be announced in the fall of 1999.*

By most measures, the United States today is experiencing a period of economic prosperity. Yet there are many communities, particularly in urban settings, where people do not benefit from this wealth. There are areas of our country that economic progress doesn't reach—where location, racism, the departure of businesses and diminishing public resources have conspired to propagate poverty and distress, in good times as well as bad, and where new welfare regulations have dictated radical change in public programs that assist the poor.

Embedded in these observations are key assumptions that form the backbone of the Equal Opportunity division's programs: that at present in this country, opportunity is not equally distributed; that location, race and ethnicity affect a person's chances at economic prosperity; that education is critical to a person's chances at a better life; and that progress will require the participation of both public and private partners and the support of the communities involved.

The Equal Opportunity division's work assists communities in accessing the fundamental elements of opportunity: jobs, good schools, freedom from discrimination and participation in a democratic society. Targeting those urban areas under the greatest duress, the work of the division involves increasing labor force participation, improving urban school systems, fostering democratic principles of social justice and inclusion, and helping struggling communities to rebuild themselves.

If you were to ask 10 people to describe what is meant by the word "community," you might get an equal number of different descriptions. But out of these might come the common notions of home, place, friends and neighbors, schools, businesses and commerce and places of worship. But what if some of these things were missing from your own community? What if there were no banks or supermarkets nearby, or affordable transportation to travel to them? What if no one would lend you money to start a business or renovate a home in your neighborhood? What if your neighborhood public school didn't adequately serve the education needs of your children? What if no one you knew could find a job—or if they did, there was no one to look after their children while they worked?



Community-building programs engaging the National Community Development Initiative and the National Community Building Network address the wide array of issues in distressed communities—from proper housing and adequate business investment to family needs and supports—that make for sound communities.

Critical to a thriving community is the availability of jobs for its residents. To increase employment opportunities where they are needed most, the division has undertaken research and launched a set of initiatives designed to improve employment rates in distressed urban locations. All of these programs have at their core the belief that work is a fundamental organizing principle of adult and community life, and that without it individuals have little chance of improving their quality of life. These programs will test strategies that address the full spectrum of issues that impact employment in urban environments, from the specific needs of the hardest to employ, to the community supports that help people keep jobs and the public policies that assist them.

The Jobs-Plus program will work to increase dramatically the number of public housing residents who are employed, and, by doing so, improve the overall quality of life of the families who live at these sites. The Neighborhood Jobs Initiative unites a wide variety of community members from the public, private and nonprofit worlds to direct job opportunities to the residents of neglected urban neighborhoods. The Connections to Work program identifies opportunities for retooling the service delivery structure in select cities to improve job training and placement services for welfare and low-income people.

A good education is essential for opening doors of opportunity, and quality teaching in an environment that supports the growth and achievement of children is at the heart of a good education. Since 1990 the Rockefeller Foundation has supported Dr. James P. Comer's School Development Program (SDP), whose approach organizes the work of all the adults in a school community around the development of their children. To spread good educational practice and expand the reach of successful school reforms like the SDP beyond individual schools, the Foundation has shifted its efforts to the larger community in which a school operates and to the community dynamics that create the educational decision-making environment.

To that end, the Foundation is funding efforts like the Learning Communities Network to engage whole school districts in rethinking the policies and practices that improve the quality of the teachers and other leaders responsible for the education of our children.

Providing employment, education and housing, and forging the partnerships that make communities work on behalf of residents, all go a long way to improving the opportunity for a better life for people of color. But the fact remains that direct challenges to the civil rights of racial and ethnic minorities continue in this country. In response, the division continues its support for national civil rights organizations and their capacity to respond to civil rights challenges.

## GLOBAL ENVIRONMENT

### STRATEGY:

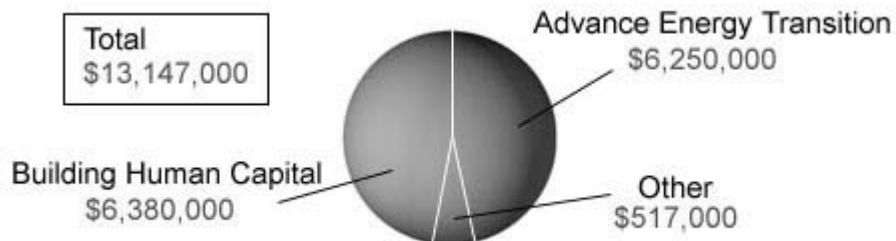
*"FACILITATE THE TRANSITION TO A NEW ENERGY PARADIGM BASED ON SUSTAINABILITY, RENEWABLE RESOURCES, EFFICIENT USE, ECONOMIC VIABILITY AND EQUITY IN ACCESS."*

*Please be aware that the strategies described here are based on the Rockefeller Foundation's 1997 and 1998 programming structure. All program guidelines are currently under revision and will be announced in the fall of 1999.*

Human activities intended to improve economic well-being have adversely affected the biosphere. Expanding consumption and population growth are testing the sustainable capacity of the earth. Growing waste production continues to degrade the environment, ruin human health, impact global climate change, destroy biodiversity, deplete the ozone layer and endanger the world that future generations will inherit. Our current path is not sustainable.

The transition to sustainability-when humankind's relationship with the environment does not imperil the earth's ability to sustain itself-demands visionaries capable of thinking beyond current orthodoxies. Unless the next generation of leaders can synthesize ideas across traditional sectors and disciplines, think beyond national boundaries, transcend cultures and build alliances across multiple constituencies, these leaders will not be prepared for the challenge. Leadership for Environment and Development, known as LEAD, was created by the Rockefeller Foundation in 1991 to help contribute to the process of human capital development.

LEAD inspires a course of development that is environmentally responsible, culturally acceptable, socially equitable, economically viable and politically feasible. Using a combination of interdisciplinary methods, interactive skills building and global networking, the LEAD program is building a cadre of midcareer professionals in a wide range of disciplines who actively contribute toward the sustainable management, conservation and symbiotic use of ecosystems throughout the world. The graduates are also provided an opportunity through post training support to put innovative ideas into practice and establish their leadership credentials.



Among the most environmentally damaging human activities is the production and provision of energy services. Energy is an essential constituent of

humankind's social and economic advancement, yet the dependence on fossil fuel-based energy services is causing serious health hazards and environmental pollution. Already the planet's ability to temper its climate and thus ensure life in the biosphere has been cast into doubt as heat-trapping gases released from combusted fossil fuels continue to build up in the atmosphere. Evidence is mounting that increased climate disruption could have pervasive adverse impacts on human health and well-being, and result in significant loss of life and property.

All of humanity bears the burden of a deteriorating global environment caused in part by the burning of fossil fuels, yet only a fraction of the earth's population ever benefits from fossil fuel-based energy services. The more than four billion people in developing countries use only about 25 percent of the world's primary energy and many have little or no access to modern energy services. Both the rural and urban poor are caught in a vicious cycle: they expend a higher proportion of their income on obtaining minimal energy services, and they are unable to invest in the use of less costly, more efficient energy sources and equipment.

The Global Environment division seeks to catalyze the transition to a new energy paradigm in both developed and developing countries by reducing dependence on fossil fuel, and replacing fossil fuel sources with renewable-energy sources and increased energy efficiency. The Foundation continues to support the work of E&Co (created in 1994) in its pursuit of new approaches to the production, financing and delivery of energy services in developing countries. E&Co finances new, scientifically valid technologies that are replicable and economically viable, and that increase equity and institutional sustainability while establishing the basis of stronger renewable-energy efficiency industries. In the United States the Global Environment division supports the Energy Foundation's efforts to promote policies, practices and technologies that help utilities to generate, and end-users to employ, energy at the least financial and environmental cost

The Foundation conceived the Global Energy Initiative, which seeks to demonstrate to high-level, national decision makers in developing countries the viability of renewable-energy sources by emphasizing their equity and quality-of-life benefits. This Initiative aims to facilitate dialogue among political, business and community leaders to catalyze selective projects designed to demonstrate an improved quality of life for the rural and urban poor, and simultaneously reduce the threats of pollution and global climate change. By focusing on increasing the provision of renewable-energy systems to the rural poor, and minimizing the impact of energy-related health problems caused by urban air pollution and solid waste, the Foundation hopes to accelerate the transition to a new energy paradigm.



## POPULATION SCIENCE

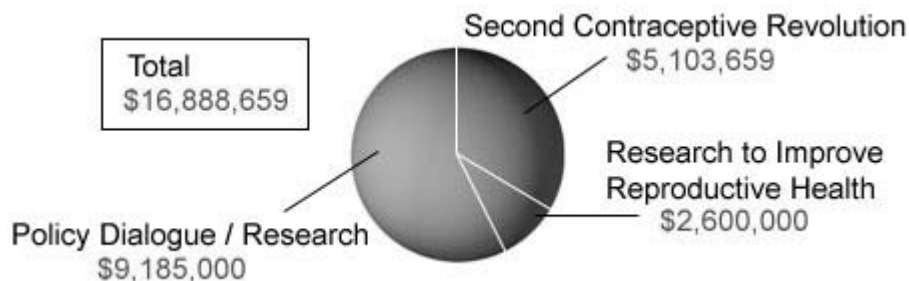
### STRATEGY:

*"MOBILIZE RESOURCES TO SATISFY UNMET DEMAND FOR FAMILY PLANNING AND REPRODUCTIVE HEALTH SERVICES."*

*Please be aware that the strategy described here is based on the Rockefeller Foundation's 1997 and 1998 programming structure. All program guidelines are currently under revision and will be announced in the fall of 1999.*

The world is on the eve of a tremendous social transformation. Couples of childbearing age across the globe more and more often choose to have "children by choice." Such decisions help improve the quality of life for women in most developing nations, leading to healthier and better educated children, increased life expectancies and more robust economies.

The continued downward trend toward population stabilization—from high birth rates and high death rates, to low birth and death rates—is one of the world's greatest development success stories. For more than 30 years, leading industrialized nations, and the nonprofit sector within these countries, have partnered with low-income nations to provide critical resources needed to slow population growth. These efforts focus on safe and efficient delivery of reproductive health services, including family planning, to both men and women.



Since establishing this goal in 1992, the Rockefeller Foundation has granted more than \$75 million to research institutions and nongovernmental organizations working to fulfill the unmet demand for contraception and reproductive health care. Foundation grants promote policy dialogue and research, and are helping to launch a second contraceptive technology revolution from which new contraceptive products can be developed. These grants also fund field research in reproductive health, especially among adolescents in south Asia and sub-Saharan Africa.

The world cannot afford to forget, however, that human numbers are still growing rapidly. The world's population—now at 5.8 billion—has doubled since 1957 and continues to add about 80 million people each year.

By the year 2005, 800 million teenagers will have reached childbearing age—the largest such group of potential parents ever. This means that by the coming

century, one-seventh of the world's population will be teenagers entering their reproductive years. The Foundation's reproductive health field research program, operated jointly by the Health and Population Sciences divisions, aims at finding effective ways to meet the sexual and reproductive health needs of this largest generation of young adults in history.

The fact that so many teenagers are alive reflects a great humanitarian achievement: dramatic reductions in infant and child mortality coupled with declining birth rates. Such progress reflects a steadfast commitment by many developing countries to delivering safe and effective health care including family planning services. Developing countries now lead the world in providing such services to their citizens. In the early days programs were financed largely by aid from industrialized nations, but now nearly 75 percent of such services are paid for by the nations using them.

This does not mean that industrialized nations can afford to pull back from providing financial and technical assistance. At the 1994 International Conference on Population and Development (ICPD) in Cairo, Egypt, 183 nations endorsed a program of action estimated to cost \$17 billion annually by 2000. The program's goals are to improve quality of care, expand the range of family planning and other reproductive health options, encourage male participation, and empower women. The success of the ICPD program is largely dependent on continued financial support from nations like the United States, members of the European Union, Canada, Australia and Japan. If the world is to manage population growth effectively, all nations must work together.

One such example of global cooperation is Partners in Population and Development, a 12-member intergovernmental organization formed at the ICPD. Funded by member governments, the Rockefeller and Hewlett Foundations, the United Nations Population Fund, and the World Bank, Partners members share technical resources and information about successful reproductive health and family planning efforts on a "south-to-south" basis. They are proving that expertise in this field is just as likely to come from developing nations as it is to be "imported" from industrialized countries.

The Foundation is also committed to support of partnerships between the public and private sectors. An example is an initiative between university researchers and the pharmaceutical manufacturer Schering to promote scientific study of male contraception, research that could lead to the development of new male contraceptives over the next decade. The Foundation believes that committing the resources called for in the ICPD Programme of Action is crucial to achieving both the goal of improved health for women and their families, and the now more-attainable-than-ever prospect of population stabilization.

## SPECIAL PROGRAM/ASSETS AND CAPACITIES

BELLAGIO  
BUILDING DEMOCRACY  
SPECIAL INTERESTS

### BELLAGIO

Some argue that we now live in an age that discourages opportunities for deep thought and reflection, one where we regularly use phrases such as "information overload" and "overstimulation" to describe aspects of our lives. Advanced technologies such as fax machines, cellular phones, beepers and e-mail distract us and require time, leaving less for what should be priorities-creative thinking, scholarly discussion and serious contemplation.

The Rockefeller Foundation first began to consider these issues some 40 years ago when an unprecedented opportunity arose in Bellagio, Italy. When American expatriate Ella Holbrook Walker bequeathed the Villa Serbelloni to the Foundation in 1959, the first order of business was determining how to fulfill her wish that the Villa be used for the promotion of international understanding. The result was the Bellagio Study and Conference Center.

Nestled on Lake Como in the foothills of the Italian Alps, the Center comprises 17th- to 19th-century buildings on 50 acres of gardens and park-an ideal environment for solitude and contemplation suitable for writing, thinking, creating and coming to consensus. Three participatory opportunities are offered at the Center: monthlong individual residencies, team residencies averaging seven-to-10 days, and weeklong international conferences. All allow for exploration of a variety of important areas, some expanding the Foundation's current work, others extending far beyond it. Widely recognized as an important meeting place for scholars and practitioners from every region of the world, the Center is a testament to the Foundation's commitment to promoting innovative thinking on the global level.

The spirit of the Bellagio Center is captured by comments from recent participants:

***"NEVER BEFORE HAVE I BEEN IN A SETTING THAT ALLOWED SUCH CONCENTRATED WORK, THOUGH PLEASANTLY PUNCTUATED BY STIMULATING CONVERSATIONS WITH PERSONS WHOSE PERSPECTIVES AND BACKGROUNDS PROVIDED NEW PRISMS FOR LOOKING AT MY WORK."***

***"SOME OF THE TEAM MEMBERS HAD NEVER MET EACH OTHER, LET ALONE WORKED TOGETHER. WE USED THE TIME TO ARGUE, RESOLVE DIFFERENCES, TEACH EACH OTHER AND, ABOVE ALL,***

**CREATE HALF OF A NEW WORK IN WHAT, TO SOME OF US, IS A FOREIGN LANGUAGE AND A FOREIGN CULTURE."**

**"THE EXQUISITE FACILITIES AND BREATHTAKING BEAUTY OF BELLAGIO ENSURED THAT THE FULL AND FRANK CONFERENCE SESSIONS DID NOT RESULT IN ACRIMONY."**

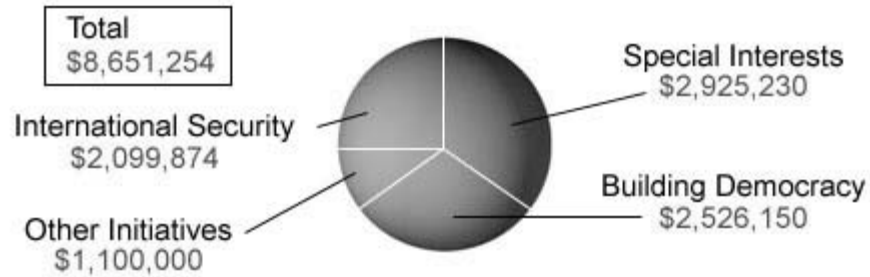
Applications are reviewed by Foundation professional staff and a group of consultants. Decisions are based on the quality of the individual or team project or conference proposed, the importance of the proposed work to development and innovation in its field and discipline, the competence of the applicant(s), and the suitability of the Center for the proposed activity. During the past 38 years, the Center has served as a meeting place for more than 3,200 residents and 18,000 conferees from 120 countries.

## BUILDING DEMOCRACY

*Please be aware that this program is based on the Rockefeller Foundation's 1997 and 1998 programming structure. All program guidelines are currently under revision and will be announced in the fall of 1999.*

As the nation's democratic ideals and institutions come under increasing scrutiny, Rockefeller Foundation officers have begun to ask the question, how do we reinvigorate democratic principles, processes and institutions? In response, the Foundation is developing an innovative three-pronged exploration that focuses on community building, leadership development and race relations. Through a collaboration with community organizations and development professionals, the Building Democracy program identifies examples of effective community-building projects-self-renewing efforts by residents and professionals-that will strengthen the principles that serve as the foundation for our democracy.

Another part of this exploration concerns the widening gap between institutions and individuals, those with and without power, and the deepening breach of our social fabric. Issues such as race and ethnicity, the impact of immigration and demographic changes in America, globalization, and the influence of the media and information technology are illustrative of the next millennium's complex nature. In 1997 the Foundation launched a trial of the Next Generation Leadership (NGL) program, a two-year fellowship designed to increase participants' understanding of the challenges facing democracy in the 21st century. Through this program, the Foundation hopes to create a new corps of leaders who have the skills to rebuild a society based on democratic principles.



Intrinsic to discussions of democracy in this country, however, is an understanding of the nature of a diverse society such as ours. Toward this end, the Foundation has started its own work on a National Conversation on Race. The subject of race relations is one that continues to permeate the conscience of America, and despite ongoing efforts to address this problem, its resolution remains elusive. We're working with a number of grantees, researchers, other foundations and community leaders to better understand the root causes of inequity. Through this, the Foundation will identify creative approaches to bridge racial and ethnic divides and bring new concepts of race relations to a wider audience.

#### SPECIAL INTERESTS

There are programs funded by the Rockefeller Foundation that may not fall within the Foundation's program guidelines, but whose focus complements its work, or deals with issues that the Foundation is beginning to explore. The Special Interests fund supports a variety of important and worthy projects in a wide array of professional fields that do not otherwise qualify for assistance based on program guidelines. The Foundation also encourages the development of philanthropy through grants to such organizations as the Council on Foundations, the Independent Sector, the International Institute for the Advancement of Philanthropy and other organizations specializing in the nonprofit sector.

**L**ocated in northern Italy on Lake Como, the Bellagio Study and Conference Center provides a stimulating international environment for weeklong conferences; monthlong study residencies for scholars, scientists and artists; and team residencies of five to 28 days for creative and problem-solving groups.

The Center, also known as the Villa Serbelloni, occupies a wooded promontory situated in the foothills of the Italian Alps. Surrounded by 50 acres of park and gardens, the main house and seven other buildings, parts of which date back to the 17th century, offer a locale that is highly conducive to productivity and collaboration.

Approximately 140 residents, 15 conferences and 20 teams are chosen annually on a competitive basis. Application reviews are conducted three times a year by external evaluators and Foundation officers. Decisions are based on the quality of the individual or team project or conference proposed, the importance of the proposed work to development and innovation in its field and discipline, the competence of the applicants, and the suitability of the Bellagio Center for the proposed activity.

Since its opening in 1959, the Bellagio Study and Conference Center has served as a meeting point for over 2,600 residents, 800 team participants and 18,000 conferees from more than 80 countries.

**Bellagio Innovation Fund**  
1998 appropriation of \$125,000 in addition to previous funding for allocation by the officers to seek out and fund activities that will enhance Bellagio conferences and teams.

**Cornell University**, Ithaca, New York: \$23,638 toward the costs of activities to enhance team residencies, "Death of the Father: An Anthropology of Changes in Political Authority," that were held at the Bellagio Center from July 31 to August 6, 1996, and July 16 to 25, 1997.

**International Helsinki Federation for Human Rights**, Vienna, Austria: \$25,000 toward the costs of activities to enhance a conference, "Identifying Objectives for the Human Rights Movement in the OSCE Area," that was held at the Bellagio Center from January 25 to 29, 1999.

**Johns Hopkins University**, Baltimore, Maryland: \$22,550 toward the costs of activities to enhance a conference, "The Antibody Consortium for Reproductive and Sexual Health," that was held at the Bellagio Center from February 17 to 21, 1997.

**Open Society Institute**, New York, New York: \$21,000 toward the costs of activities to enhance a conference, "Rebuilding a Democratic Burma," that was held at the Bellagio Center from March 23 to 27, 1998.

**University of Denver**, Denver, Colorado: \$5,000 toward the costs of activities to enhance a conference, "Worldwide Archaeological Perspectives on Women and Gender," that was held at the Bellagio Center from October 12 to 16, 1998.

**University of Texas Medical Branch at Galveston**, Galveston, Texas: \$25,000 toward the costs of activities to enhance a conference, "Permanently Unconscious Patients: The Need for Responsible Medical, Legal, and Public Policies," that was held at the Bellagio Center from August 8 to 12, 1994.

**CONFERENCES**

**Application of Molecular Pharmacology for Post-Testicular Activity** - Mahmoud F. Fathalla, senior program adviser in Population Sciences, Rockefeller Foundation

**Building Cooperation Among Media Policy Research and Advocacy Centres** - Stefan Verhulst, director, Programme in Comparative Media Law and Policy, Centre for Socio-Legal Studies, Wolfson College, Oxford University, England; Monroe E. Price, professor of law, Benjamin N. Cardozo School of Law, New York, New York; and Annabelle Srebenny-Mohammadi, professor and director,

Centre for Mass Communication Research, University of Leicester, England

**The Challenges of Globalization of International Relief and Development NGOs** - Marc Lindenberg, dean, Graduate School of Public Affairs, and Steven Rathgeb Smith, both of the University of Washington, Seattle.

**Contraceptive Commodities: Expanding Opportunities for the Commercial Sector** - Nafis Sadik, executive director, United Nations, Population Fund, and Steven W. Sinding, director of Population Sciences, Rockefeller Foundation.

**The Economic Costs of Inequality** - Pranab Bardhan, professor of Economics, University of California, Berkeley, and Samuel S. Bowles, professor of Economics, University of Massachusetts, Amherst.

**Future Directions for LEAD** - Robert W. Herdt, acting director for Global Environment, Rockefeller Foundation, and Julia Marton-Lefevre, executive director, LEAD, Inc., New York, New York.

**Global Equity** - Lincoln C. Chen, vice president, Rockefeller Foundation.

**Global Fertility Transition** - Steven W. Sinding, director, and Sara Seirms, associate director, both of Population Sciences, Rockefeller Foundation.

**Global Health Watch** - Lincoln Chen, vice president, and Anthony So, associate director for Health Sciences, both of the Rockefeller Foundation.

**Hepatitis Viruses and Human Liver Disease** - Peter R. Gale, M.D., senior registrar, University Hospital, Heidelberg, Germany.

**Liszt and the Birth of Modern Europe: Music as a Mirror of Religious, Political, Social, and Aesthetic Transformations** - Michael Saffle, professor, Center for Interdisciplinary Studies, Virginia Polytechnic and State University, Blacksburg, and Rossana Dalmonte, Università degli Studi di Trento, Italy

**Literary Cultures in History: Reconstructions from South Asia** - Sheldon Pollock, George V. Bobrinsky professor of Sanskrit and Indic Studies, University of Chicago, Illinois, and V. Narayana Rao, professor, Department of South Asian Studies, University of Wisconsin, Madison.

**Managing Agricultural Research in Africa: The Case of KARI**

Cyrus Ndiritu, director, Kenya Agricultural Research Institute, Nairobi, A. N. Mbabu, technical adviser, KARI, and John K. Lynam, senior scientist, Rockefeller Foundation, Nairobi

**Mechanistic Approaches to Hybrid Breeding** - Gary H. Toenniessen, deputy director for Agricultural Sciences, Rockefeller Foundation

**National Support for International Agricultural Research** - Alex Buchanan, executive director, and Derek Tinbe and James Ingram, all of the Crawford Fund for International Agricultural Research, Parkville, Australia

**PharNet: Establishing International Cooperation in Pharmacology Teaching on the World Wide Web** - Bevyn Jarrott, chairman and professor of Pharmacology, Monash University, Australia

**Places of Power in the Early Medieval West** - Mayke de Jong, professor of Medieval History, Utrecht University, Netherlands

**Program for Research on Aging and Health (PRAH) at WHO** - Alexandre Kalache, World Health Organization, and Steve W. Sinding, director of Population Sciences, Rockefeller Foundation

**Public Trust and Governance in the Trilateral Democracies** - Susan Pharr and Robert D. Putnam, both professors of Government, Harvard University

**Rebuilding a Democratic Burma** - Maureen Aung-Thwin, director of the Burma Project, Open Society Institute, New York City, and Mathea Falco, president, Drug Strategies, Washington, D.C.

**Rockefeller Foundation Board of Trustees Retreat** - Lynda Mullen, corporate secretary

**Rockefeller Foundation Staff Retreat** - Gordon Conway, president, and Lincoln Chen, vice president, Rockefeller Foundation

**The Role of Medical Abortion in Developing Countries** - Charlotte Ellerton, program associate, and Beverly Winkoff, director, Reproductive Health, Population Council, New York, New York

**Symposium on Population Change and Economic Development** - Steven W. Sinding, director for Population Sciences, Rockefeller Foundation

**Toward Collaborative Peace: The Control of Small Arms and the Reversal of Violence** - Virginia Gamba, project director, Towards Collaborative Peace

Project, Institute for Defence Policy, Halfway House, South Africa

**Toward Policies for Conservation and Sustainable Use of Aquatic Genetic Resources** - Roger S. V. Pullin, program leader, Biodiversity and Genetic Resources Program, ICLARM

**Understanding the Society and Health Interface: New Opportunities for Improving Health** - Tim Evans, team director for Health Sciences, Rockefeller Foundation

**The Vietnam War: Its History, Historiography, and Lessons** - James G. Blight, professor of International Relations, Brown University

**Worldwide Archaeological Perspectives on Women and Gender** - Sarah M. Nelson, professor of Anthropology and John Evan professor of Archaeology, University of Denver, Colorado

**TEAMS**

**The Aborigines of Arnhem Land, Northern Australia: Ecological Studies of Hunter-Gatherers in Transition** - Neville G. White, senior lecturer, Biological Anthropology, La Trobe University, Bundora, Australia

**The Belen Project** - Ruth Maleczek, co-artistic director, Mabou Mines, New York, New York

**Beyond Policy Consensus: Informed Choice in Family Planning Service Delivery** - Cynthia Steele, vice president, Global Programs, AVSC International, New York, New York

**Beyond the Rhetoric: Improving Women's Health in the Post-Cairo Era** - Jill W. Sheffield, president, Family Care International, New York, New York

**A Comparative Policy Framework for Managing the Expansion of Extended Metropolitan Regions in Pacific Asia** - Gavin W. Jones, professor and head, Division of Demography and Sociology Research, Australian National University, Canberra

**Community-Based Health Delivery in Rural Africa: Challenges and Prospects** - Fred N. Binka, head, Navrongo Health Research Centre, Navrongo, Ghana

**Desert Songs** - Joshua Fried, composer, Brooklyn, New York, New York

**Graduate Education in Oceanography in Developing Countries as a Means to Understand Global Environmental Problems** - José Stuardo, professor emeritus, Department of Oceanography, Universidad de Concepción, Chile

**India and Pakistan: Opportunities in Economic Growth, Technology, and Security** - Shrinu Tahir Kheli, senior fellow, Foreign Policy Research Institute, Philadelphia, Pennsylvania

**The Interpenetration of Science and Philosophy of Science** - David L. Hull, Dressler professor in the Humanities, Northwestern University, Evanston, Illinois

**Longitudinal Community-Based Health Research** - Tim Evans, team director, Health Sciences Program, Rockefeller Foundation

**Mapping China's Past: A Cartographic Reconstruction of Chinese History** - Hsieh Chiao-min, emeritus professor of Geography, University of Pittsburgh, Pennsylvania

**National Support for International Agricultural Research** - Alex Buchanan, executive director, Crawford Fund for International Agricultural Research, Parkville, Victoria, Australia

**Socioeconomic and Security Developments in Russian Atomic Cities** - Glenn E. Schweitzer, project director, National Research Council, National Academy of Sciences, Washington, D.C.

**Wind Energy and the Landscape: Reconciling Nature and Technology** - Robert W. Righter, professor of History, University of Texas, El Paso

**RESIDENTS**

**Dr. Janet Adelman (U.S.A.)**, professor of English, University of California, Berkeley - a study, "Incising Difference in 'The Merchant of Venice' Whose Blood is Reddest?"

**Ms. Perveen Ahmad (Bangladesh)**, researcher, Dhaka - a manuscript, "Legends and Myths Symbolic Motifs in Kantha Art"

**Mr. Sayeed Ahmad (Bangladesh)**, playwright and critic, Dhaka - a manuscript, "Folk Theatre of India on the Modern Stage"

**Ms. Jo Andres (U.S.A.)**, director and filmmaker, Brooklyn, New York - a film script, "Visions in the House of Baba Yaga"

**Dr. Diego Arnus (Argentina/U.S.A.)**, assistant professor of History, Kean College, Union, New Jersey - a manuscript, "The Tuberculosis Years: Disease, Culture and Society in Buenos Aires (Argentina), 1870-1950"

**Mr. Larry Austin (U.S.A.)**, composer and professor emeritus of Music, University of North Texas, Denton - two music compositions, "Taragato!" (for clarinet/Taragato and computer music) and "Ottuplo"

(for string quartet and octophonic computer music)

**Dr. Jean Harvey Baker (U.S.A.)**, professor of History, Goucher College, Maine - a study, "Connections: The Lives of the American Suffragists"

**David Barr, Esq. (U.S.A.)**, executive director, Forum for Collaborative HIV Research, Center for Health Policy Research, Washington, D.C. - the role of patient advocates in HIV research

**Dr. James Barr (U.K./U.S.A.)**, distinguished professor of Hebrew Bible (retired), Vanderbilt University - a manuscript, "History, Ideology, Postmodernism and Biblical Scholarship: Profiles for the End of the Millennium"

**Dr. David M. Barrett (U.S.A.)**, associate professor of Political Science, Villanova University, Pennsylvania - a study, "Senator Richard Russell, the CIA, and Democratic Dilemmas: Reconstructing the Lost History of Early Intelligence Oversight by Congress"

**Dr. Robert Beaglehole (New Zealand)**, professor and head, Department of Community Health, associate dean of Public Health, faculty of Medicine and Health Science, University of Auckland - a monograph on a public health agenda for the 21st century (with Ruth Bonita)

**Dr. Leonid A. Beliaev (Russia)**, head, Department for Moscow Archaeology, Institute of Archaeology, Russian Academy of Sciences, Moscow - a manuscript, "Russian Pilgrim Art from the 12th to 15th Century: Archaeological Evidence and Problems of Romanesque Influence"

**Dr. Michael Benedikt (U.S.A.)**, Harwell Hamilton Harms Regents professor and director, Center for American Architecture and Design, University of Texas, Austin - a manuscript, "Value Economics: Psychology, Life."

**Dr. Barbara R. Bergmann (U.S.A.)**, distinguished professor of Economics, American University - a manuscript, "The Child Care Problem: Policies to Repair a Flawed System" (with Suzanne W. Helms)

**Dr. Larry Berman** (U S A ), professor of Political Science, University of California, Davis - a book on the secret diplomatic negotiations and private agreements of the 1973 Paris Peace Accords

**Dr. Sergio Bertelli** (Italy), professor of Early Modern History, University of Florence, Italy - a book elucidating how three different oligarchic groups (Firenze, Boston, Ragusa) were able to rule

**Dr. Anil Harilal Bhatt** (India), professor, Indian Institute of Management, Ahmedabad - a study, "Issues in Building NGO Corporate Partnership for Social Development in India "

**Dr. Bruce J. Biddle** (U S A ), professor of Psychology and of Sociology, University of Missouri, Columbia - a study, "Research Knowledge Use in Education Principal Effects" (with Lawrence J. Saha)

**Dr. Maureen Black** (U S A ), professor of Pediatrics, University of Maryland, Baltimore - a study, "Longitudinal Effects of Early Growth Deficiency With and Without Intervention on Children's Growth and Development Follow Up at Age 6 "

**Robert E. Black, M.D., M.P.H.** (U S A ), Edgar Berman professor of International Health, Johns Hopkins School of Public Health, Baltimore, Maryland - a study, "Pooled Analysis of Zinc Supplementation Trials in Developing Countries "

**Dr. Christine Bolt** (U K ), professor of American History, Rutherford College, University of Kent, England - a manuscript, "Sisterhood Questioned Race, Class, and Internationalism in American and British Feminism, c 1880s-1980s "

**Dr. Ruth Bonita** (New Zealand), associate professor of Gerontology, Department of Medicine, Faculty of Medicine and Health Science, University of Auckland - a monograph on a public health agenda for the 21st century (with Robert Beaglehole)

**Dr. Joseph Allen Boone** (U S A ), professor of English, University of Southern California, Los Angeles - a study, "The Homoerotics of Orientalism: Mappings of Male Desire in Near East Narrative "

**Mr. Alan Boyle** (U K ), professor of Law, University of Edinburgh, Scotland - a manuscript, "Law and the Global Environment "

**Mr. James Boyle** (U S A ), professor, Washington College of Law, American University - a study, "A Politics of Intellectual Property Environmentalism for the Public Domain "

**Dr. David R. Brigham** (U S A ), curator of American Art, Worcester Art Museum, Massachusetts - a catalogue manuscript, "Double Vision Cubism and African-American Art "

**Dr. Brenton Broadstock** (Australia), associate professor of Music, University of Melbourne, Parkville, Victoria - a commissioned work for a major Australian chamber ensemble

**Ms. Cai Dorothy Liang-yu** (China), professor, Music Research Institute, Chinese Academy of Arts, Beijing - a manuscript, "Music During the Cultural Revolution in China" (with Mao-chun Liang)

**Dr. Sallie W. Chisholm** (U S A ), McAfee professor of Engineering, MIT - a manuscript, "The Science and Policy Dimensions of Ocean Fertilization "

**Mr. Ping Chong** (U S A ), artist, New York, New York - a collaborative art exploration (with JoAnn Verburg)

**Dr. Mark S. Cladis** (U S A ), associate professor and chair, Department of Religion, Vassar College, Poughkeepsie, New York - a study, "Politics of the Heart Rousseau, Religion, and the Relation between the Public and Private Life "

**Dr. George Elliott Clarke** (U S A ), assistant professor of English, Duke University - a verse-play, "Beatrice Chancy "

**Mr. Dan Connell** (U S A ), development analyst and researcher, Gloucester, Massachusetts - a study, "Rethinking Revolution New Perspectives on Democracy and Social Justice "

**Mr. John J. Costonis** (U S A ), dean and Milton R. Underwood professor of Free Enterprise, Vanderbilt Law School, Nashville, Tennessee - a study, "Cultural Heritage Preservation in the United States and Western Europe "

**Dr. Walter Couto** (Uruguay), consultant, Natural Resources Management, Montevideo - a study, "Ecologic Zoning as a Tool for Conservation and Sustainable Land Use of Natural Resources "

**Ms. Rosemary Felt Covey** (U S A ), artist, Alexandria, Virginia - artwork, "Vanitas, Vanitas - A Contemporary Interpretation "

**Dr. Harvey Croze** (U S A ), consultant, Nairobi, Kenya - a book on the functioning of ecosystems (with John Reader)

**Mr. Paul D'Amato** (U S A ), associate professor, Maine College of Art, Portland - artwork, "Home of the Brave "

**Dr. Carmen Diana Deere** (U S A ), professor of Economics and director, Latin American Studies, University of Massachusetts, Amherst - a study, "Gender, Land and Equity From Reform to Counter-Reform in Latin America" (with Magdalena Leon)

**Mr. Carl Demeulenaere** (U S A ), artist, Detroit, Michigan - artwork, "The Promethean Series "

**Dr. Donald Denoon** (Australia), professor of Pacific Islands History, Australian National University, Canberra - a study, "Australia, New Zealand, and the Pacific The Formation of Identities" (with Philippa Mein Smith)

**Dr. Michael Dummett** (U K ), professor Emeritus of Philosophy, New College, Oxford - a study, "The Concept of Time and Its Acquisition "

**Dr. Laura Engelstein** (U S A ), professor of History, Princeton University, New Jersey - a manuscript, "Sheep among the Goats Castration and the Heavenly Kingdom in Tsarist and Soviet Russia "

**Mr. Steven Everett** (U S A ), associate professor of Music, Emory University, Atlanta, Georgia - a music drama for Javanese gamelan ensemble, Western musicians and Kyma computer synthesis system

**Dr. Ezenwa-Ohaeto** (Nigeria), associate professor of English and Literature, Alvan Ikoku College, Nigeria - a collection of stories, "Skeletons," and a volume of poetry, "Flames "

**Ms. Elaine Feinstein** (U K ), writer, London - a novel and a poetry manuscript

**Mr. Edward McGlynn Gaffney Jr.** (U S A ), dean and professor,

Valparaiso University School of Law, Indiana - a study of the policy issues relating to the exemption of religious bodies from taxation

**Mr. Ernesto D. Gariño** (Philippines), secretary, Department of Agrarian Reform, Manila - a manuscript, "Implementing Philippine Agrarian Reform Program (1992-98) Issues and Lessons "

**Mr. Ronald J. Gilson** (U S A ), Meyers professor of Law and Business, Stanford University Law School, California - a study, "The Structure of Venture Capital "

**Dr. Inge F. Goldstein** (U S A ), senior lecturer, Division of Epidemiology, Columbia School of Public Health, New York, New York - a book on health effects of environmental agents (with Martin Goldstein)

**Dr. Martin Goldstein** (U S A ), professor of Chemistry (retired), Yeshiva University, New York, New York - a book on health effects of environmental agents (with Inge F. Goldstein)

**Dr. Genady Nikolaevich Golubev** (Russia), chair, World Physical Geography and Geoecology, Moscow State University - a textbook on geoecology

**Dr. Richard L. Greaves** (U S A ), Robert O. Lawton Distinguished professor and chairman, Department of History, Florida State University, Tallahassee - a biography, "John Bunyan Literature and Theology in Historical Perspective "

**Dr. Bronwyn Hughes Hall** (U S A ), professor of Economics, Nuffield College, Oxford University - a study, "Leaner and Meaner? The Role of Innovative Activity in the Transformation of the United States Manufacturing Sector, 1980-1995 "

**Dr. Robert W. Hanning** (U S A ), professor of English and Comparative Literature, Columbia University, New York, New York - a study, "The wordes cosynt to the dede' Social Eloquence in Chaucer's Canterbury Tales "

**Dr. Karen Tranberg Hansen** (U S A ), professor of Anthropology, Northwestern University, Evanston, Illinois - a study, "The World of 'Salaula' Secondhand Clothing and the Fashioning of Modernity in Zambia "

**Mr. Eiji Hashimoto** (U S A ), professor of Harpsichord, Conservatory of Music, University of Cincinnati, Ohio - an urtext edition of 90 sonatas by Domenico Scarlatti



**Dr. Suzanne W. Helburn** (U S A ), professor of Economics Emerita, University of Colorado, Denver - a manuscript, "The Child Care Problem: Policies to Repair a Flawed System" (with Barbara R. Bergmann)

**Dr. T. Walter Herbert** (U S A ), university scholar and Herman Brown professor of English, Southern University, Georgetown, Texas - a manuscript, "Sexual Violence and American Manhood"

**Dr. John Higham** (U S A ), professor of History Emeritus, Johns Hopkins University, Baltimore, Maryland - a book exploring the formation of various ethnic identities in Anglo-America and how they affected American national consciousness

**Dr. Hans J. Hillerbrand** (U S A ), professor of Religion, Duke University, Durham, North Carolina - a manuscript, "The Germans and the Jews: Christian Anti-Semitism from Martin Luther to World War I"

**Dr. J. N. Hillgarth** (U K ), professor of History Emeritus, University of Toronto, Ontario, Canada - a study, "The Mirror of Spain (1500-1700)"

**Mr. Thomas J. Hirschfeld** (U S A ), senior analyst/project director, Center for Naval Analyses, Alexandria, Virginia - a manuscript, "Still Ten Feet Tall, or More: How and Why Cold War Techniques of Military Intelligence Analysis Continue to Distort Our Understanding of Potential Adversaries"

**Mr. Fred Ho** (U S A ), composer, Brooklyn, New York - a new opera, "Night Vision: A First to Third World New Slaughterhouse Vampyre Opera", a book project, "Legacy to Liberation: Politics and Culture of Revolutionary Asian/Pacific America", and two solo bantone saxophone works, "The Water That Carries the Boat Can Always Overturn It" and "Third World Frogs in a First World Swamp/First World Frogs in a Third World Pond"

**Ms. Maureen Howard** (U S A ), professor, Writing Division, School of the Arts, Columbia University, New York, New York - a work of fiction, "Spring"

**Dr. Shu-Li Huang** (Taiwan), professor, Graduate Institute of Urban Planning, National Chung Hsing University, Taipei - a study, "Ecological Economics, Urban Energetics, and Culture"

**Irene V. Intebi, M.D.** (Argentina), director, Child Abuse Assistance Program, Gobierno de la Ciudad de Buenos Aires - a book on child sexual abuse

**Dr. Thomas Jech** (U S A ), professor of Mathematics, Pennsylvania

State University, University Park - revision of his book, "Set Theory"

**Dr. Anton Kaes** (Germany), professor of German and Film Studies, University of California, Berkeley - a manuscript, "Shell Shock: Film and Trauma in the Weimar Germany"

**Dr. Mary Kelley** (U S A ), Mary Brinsmead Wheelock professor of History, Dartmouth College, New Hampshire - a study, "Thinking Women/Women Thinking: The Making of Learned Women in 19th-century America"

**Dr. Barbara Kellner-Heinkelde** (Germany), professor, Institut für Turkologie, Freie Universität Berlin - a study, "Language and Politics in Contemporary Central Asia" (with Jacob Landau)

**Dr. William J. Kennedy** (U S A ), professor of Comparative Literature, Cornell University, Ithaca, New York - a manuscript, "The Site of Petrarchism: National Sentiment in an Age of Pre-Nationalism"

**Dr. Barbara A. Koenig** (U S A ), senior research scholar and executive director, Center for Biomedical Ethics, Stanford University, California - a manuscript, "Culture and Biomedical Ethics: Examining the Practices of Managed Death"

**Dr. William Kornblum** (U S A ), professor of Sociology, Graduate School, CUNY, New York, New York - a study, "Closing the Information Gap"

**Dr. Ayse Kudat** (Turkey), head, Social Development Team for the Middle East, Eastern Europe, and Central Asia Vice Presidencies, World Bank - a study, "Ethnicity, Regionalism, and Conflict Resolution: Lessons from Recovery Programs in Albania and Tajikistan" (with Gloria La Cava)

**Dr. Gloria M. La Cava** (Italy), senior assistant to Italy's executive director, World Bank - a study, "Ethnicity, Regionalism, and Conflict Resolution: Lessons from Recovery Programs in Albania and Tajikistan" (with Ayse Kudat)

**Dr. Jacob Landau** (Israel), professor of Political Science, Hebrew University, Jerusalem - a study, "Language and Politics in Contemporary Central Asia" (with Barbara Kellner-Heinkelde)

**Dr. Kwang-Kyu Lee** (Korea), professor of Social Sciences, Department of Anthropology, Seoul National University - a manuscript, "Overseas Koreans," covering the history of migration, social adjustment to host countries, family problems and other factors

**Dr. Gertrud Lenzer** (U S A ), professor of Sociology, Children's Studies Center, Brooklyn College, and Graduate Center, CUNY - a manuscript,

"The Human Rights of Children: A Contribution to Children's Studies"

**Dr. Magdalena Leon** (Colombia), professor, faculty of Social Sciences and Humanities, National University, Bogota - a study, "Gender, Land and Equity: From Reform to Counter-Reform in Latin America" (with Carmen Diana Deere)

**Dr. Suzanne Jill Levine** (U S A ), professor, Department of Spanish and Portuguese, University of California, Santa Barbara - a literary biography of Manuel Puig

**David C. Lewis, M.D.** (U S A ), director, Center for Alcohol and Addiction Studies, Brown University, Providence, Rhode Island - a study, "The Role of Physician Leadership in Drug Prohibition and Reform"

**Mr. Liang Mao-chun** (China), professor, Central Conservatory of Music, Music Research Institute, Chinese Academy of Arts, Beijing - a manuscript, "Music During the Cultural Revolution in China" (with Dorothy Liang yu Cai)

**Dr. Amy Ling** (U S A ), professor of English and director, Asian American Studies Program, University of Wisconsin, Madison - a study of the cultural icon of Madame Butterfly from an Asian-American feminist perspective

**Dr. Leon F. Litwack** (U S A ), Alexander F. and May T. Morrison professor of History, University of California, Berkeley - a study, "The Black South and Race Relations from the Great Migration to the Civil Rights Movement"

**Dr. Margaret Lock** (Canada), professor of Anthropology, Department of Social Studies of Medicine, McGill University, Montreal - a manuscript, "Organ Transplants and the New Death"

**Dr. John R. Logan** (U S A ), professor of Sociology, SUNY, Albany - a book about the incorporation of immigrants and minorities in the New York metropolis over the past 100 years

**Fernando Rojas, M.D.** (Chile), academic vice rector for Academic and Student Affairs and Deputy director, Center for Bioethics, University of Chile, Santiago - papers and a book on German medical anthropology and bioethics

**Dr. Edwin Londorff** (U S A ), professor of Music, Cleveland State University, and Music director, Cleveland Chamber Symphony - a music composition for chorus and orchestra, "Cantata"

**Dr. Anthony P. Mahowald** (U S A ), Louis Block professor and chairman, Department of Molecular Genetics

and Cell Biology, University of Chicago, Illinois - a manuscript, "The Uniqueness of the Germ Line in Embryogenesis"

**Dr. Mary B. Mahowald** (U S A ), professor, Department of Obstetrics and Gynecology, and assistant director, MacLean Center for Clinical Medical Ethics, University of Chicago, Illinois - a manuscript, "The New Genetics and Women: An Egalitarian Analysis"

**Ms. Anne A. Makepeace** (U S A ), filmmaker, Makepeace Productions, Santa Barbara, California - a documentary film about the life and work of Edward S. Curtis

**Mr. Thomas E. Mann** (U S A ), director of Governmental Studies, Hamman Senior Fellow, Brookings Institution, Washington, D.C. - a monograph, "Comparative Perspectives on Money in Politics: Lessons for the U.S."

**Dr. Steven Marcus** (U S A ), George Delacorte professor in the Humanities and professor of English and Comparative Literature, Columbia University, New York, New York - a collection of essays, commentaries and reviews, "Refractions"

**Dr. Ann Elizabeth Mayer** (U S A ), associate professor of Legal Studies, Wharton School, University of Pennsylvania - a study, "Legal Traditions and Women's Human Rights"

**Ms. Ann McCutchan** (U S A ), writer, Bastrop, Texas - a manuscript on composers and creativity

**Dr. Roslyn Arlin Mickelson** (U S A ), professor of Sociology, University of North Carolina, Charlotte - a study, "The Business Agenda and School Reform: A Case Study of Charlotte, North Carolina"

**Dr. Benny Morris** (Israel/U K ), professor of History, Ben-Gurion University - a manuscript, "Glubb and the Jews," on Glubb Pasha, commander of the Jordanian army from 1939-56, focusing on his attitude towards and relations with the Jews, Zionism and Israel

**Ms. Mana Negroni** (Argentina), writer, Buenos Aires - a collection of poems, "Dhikr"

**Dr. Simon P. Newman** (U K ), lecturer, Department of Modern History, and director of American Studies, University of Glasgow, Scotland - a manuscript, "The American Revolution The Rebellions and Revolutions of Late 18th Century North America "

**Dr. Emiko Ohnuki-Tierney** (Japan/U S A ), William F. Vias research professor, Department of Anthropology, University of Wisconsin, Madison - a manuscript, "Choosing to Die The Making of the Kamikaze "

**Dr. Chinyere Grace Okafor** (Nigeria), associate professor of English, University of Swaziland, Kwalusini - a novel, "Currents across the Veld "

**Dr. Robert Osserman** (U S A ), special projects director, Mathematical Sciences Research Institute, Berkeley, California - a book explaining the true nature of mathematics, as viewed by its practitioners and as related to "real world" situations

**Dr. Daniel Richard Papaj** (U S A ), associate professor, Department of Ecology and Evolutionary Biology, University of Arizona, Tucson - a manuscript, "Explorations of Incidental Design in the Evolution of Behavior "

**Ms. Cecilia Paredes** (Peru), artist, Pavas, San José, Costa Rica - artwork, "Metaphors of an Inventory "

**Ms. Vivian Perlis** (U S A ), director, Oral History Project, Yale School of Music, New Haven, Connecticut - a collection of composers' memoirs for a history of 20th-century American music

**Dr. Angelina Pollak-Eitz** (Venezuela), professor of History, Universidad Católica A Belco, Caracas - a study, "Pentecostalism in Latin America "

**Mr. Neville David Quarry** (Australia), dean, faculty of Architecture, University of Sydney - a study, "Architectural and Landscape Settings of Italian Renaissance Paintings of the Annunciation "

**Dr. Beryl A. Radin** (U S A ), professor of Public Administration and Policy, SUNY, Albany - a study, "Beyond Machiavelli The Evolution of the Policy Analysis Field "

**Dr. Henrique Rattner** (Brazil), national program director, LEAD/ Brazil - a manuscript, "Leadership for a Sustainable Society "

**Mr. John Reader** (U K ), writer/photographer, Richmond, Surrey,

England - a book on the functioning of ecosystems (with Harvey Croze)

**Mr. Jay Reise** (U S A ), professor of Music Composition, University of Pennsylvania, Philadelphia - a music composition, "The Devil in the Flesh The Operafilm "

**Dr. Philip L. Richardson** (U S A ), senior scientist and chair, Department of Physical Oceanography, Woods Hole Oceanographic Institute, Woods Hole, Massachusetts - a study, "The Fate of Mediterranean Water Eddies in the North Atlantic "

**Mr. Michael A. Roemer** (U S A ), professor of Film and American Studies, School of Art, Yale University, New Haven, Connecticut - a screen play, "Act of God "

**Dr. John A. Ross** (U S A ), senior fellow, The Futures Group International, Glastonbury, Connecticut - a strategy for addressing family planning and reproductive health needs in the Third World

**Dr. Jarkyn M. Ryskulova** (Kyrgyzstan), professor of Foreign Literatures, Kyrgyz State National University, Bishkek - a comparative study of 20th Century novels

**Dr. Lawrence J. Saha** (Australia/U S A ), dean, faculty of Arts, and reader in Sociology, Australian National University, Canberra - a study, "Research Knowledge Use in Education Principal Effects" (with Bruce J. Biddle)

**Dr. Ramon P. Santos** (Philippines), professor of Music, University of the Philippines, Diliman, Quezon City - a music composition, "Pasyon Ritwal (Passion Ritual) "

**Dr. Alice Shalvi** (Israel), professor Emerita of English, Hebrew University, Jerusalem - an autobiographical account, "A Midrash of Myself Memories of People, Places, Plans, and Problems "

**Ms. Yael Sharan** (Israel/U S A ), national coordinator of Inservice Training, Israel Educational Television, Tel Aviv - a manuscript on cooperative learning, "Learning That Makes Connections "

**Dr. Andrew C. P. Sims** (U K ), professor of Psychiatry, St. James's University Hospital, Leeds - a manuscript, "The Making of a Psychiatrist "

**Dr. Wanninayake Mudiyansele Srisena** (Sri Lanka), associate professor in Sociology, University of Peradeniya, Sri Lanka - a study, "Sri Lankan Influence on Thai Art during Medieval Period (13th to 15th Centuries) "

**Dr. Wesley G. Skogan** (U S A ), professor of Political Science and Urban Affairs, Northwestern University, Evanston, Illinois - a book on organizational transformation processes in American police agencies moving toward community oriented policing

**Dr. Huston Smith** (U S A ), Thomas J. Watson professor of Religion, Distinguished Adjunct professor of Philosophy, Emeritus, Syracuse University, Syracuse, New York - a manuscript, "Spiritual Personality Types The Sacred Spectrum "

**Dr. Philippa Mein Smith** (New Zealand), senior lecturer in History, University of Canterbury a study, "Australia, New Zealand, and the Pacific The Formation of Identities" (with Donald Denoon)

**Mr. Joseph Squier** (U S A ), associate professor of Photography, School of Art and Design, University of Illinois, Champaign - an image/text poem, "Io A Cybernetic Conversation "

**Mr. Tomas Leszek Tatarczyk** (Poland), artist, Warsaw - artwork, "Supereal Paintings The Different Approach to Reality "

**Dr. Stuart M. Tave** (U S A ), William Rainey Harper professor of English, University of Chicago, Illinois - a study, "Making the Nineteenth Century Atmosphere "

**Ms. Leslie Thornton** (U S A ), professor of Film, Brown University, Providence, Rhode Island - a screenplay, "Haunted Swing The Life and Work of Julia Margaret Cameron" (with Ellen Zweig)

**Dr. Leona Toket** (Israel), associate professor of English, Hebrew University, Jerusalem - a study, "Return from the Archipelago Narratives of Gulag Survivors "

**Mr. Srdjan Valjarevic** (Yugoslavia), writer, Beograd - a novel, "Hotel Apollonion "

**Ms. Judy Van Zile** (U S A ), professor of Dance, University of Hawaii, Honolulu - a study, "Perspectives on Dance in Korea "

**Mr. Andre Vauchez** (France), director, French School, Rome, Italy - a manuscript, "Rome after Rome The Influence of Roman Civilization on European Culture from the Middle Ages until Now "

**Dr. Sidney Verba** (U S A ), Carl H. Pforzheimer University professor and director of the University Library,

Harvard University, Cambridge, Massachusetts - a study, "The Social Roots of Civic Engagement "

**Ms. JoAnn Verborg** (U S A ), artist, St. Paul, Minnesota - a collaborative art exploration (with Ping Chong)

**Gerald Weissmann, M.D.** (U S A ), professor of Medicine, New York University Medical Center, New York, New York - a biography, "Lewis Thomas and the Two Cultures "

**Mr. Dan Welcher** (U S A ), professor of Composition, University of Texas, Austin - a concerto for oboe and orchestra

**Dr. Peter Michael Wetherill** (U K ), professor of French, University of Manchester, England - a study, "Conflicting Visions of 19th Century Paris "

**Dr. Robert H. Wiebe** (U S A ), professor of History, Northwestern University, Evanston, Illinois - a study of nationalism

**Mr. Menachem Wiesenberg** (Israel), faculty member and composer, Jerusalem Academy of Music, Tel Aviv - a concerto for oud and symphony orchestra

**Dr. Alan Williamson** (U S A ), professor of English, University of California, Davis - a collection of poems, "The Pattern More Complicated New and Selected Poems "

**Mr. Yehudi Wyner** (U S A ), Walter Naumburg professor of Composition, Department of Music, Brandeis University - a vocal instrumental piece for the Koussevitzky Foundation at the Library of Congress

**Dr. Oksana Zabuzhko** (Ukraine), senior research scholar, Institute of Philosophy, Ukraine Academy of Sciences, Kiev - a manuscript, "The Ukrainian Woman in the Attic Literature by Women in Colonial Culture "

**Dr. Zhang Hongjun** (China), deputy director, Legislative Office, Environmental Protection and Resources Conservation Committee, National People's Congress of China, Beijing - a study on environmental policy and law between the United States and China

**Dr. Martin Zenck** (Germany), professor, Historische Musikwissenschaft, Universität Bamberg - a study, "Ritual Self Staging in Everyday Life and in Music Theater A Comparison of the Turning Points in History About the Years 1900 and 2000 "

**Ms. Ellen Zweig** (U S A ), artist, New York, New York - a screenplay, "Haunted Swing The Life and Work of Julia Margaret Cameron" (with Leslie Thornton)

**E&Co, Bloomfield, New Jersey**  
**1998 appropriation of \$2,300,000 in addition to previous funding to continue support for its work to advance the formation and growth of new-energy-paradigm enterprises in developing countries.**

**Energy Foundation, San Francisco, California**  
**1998 appropriation of \$9,500,000 in addition to previous funding to support its work on U.S. energy efficiency and renewable energy sources.**

**International Energy Project Development**  
**1992 appropriation of \$650,000 for allocation by the officers to document the economic and environmental benefits of co-generation, end-use efficiency and biomass-based energy systems for developing countries and lay the groundwork for donor and private-sector actions.**

**Foundation-administered project:**  
 \$41,472 toward the costs of designing a program to facilitate the transition to a new-energy paradigm

**Leadership for Environment and Development**  
**1998 appropriation of \$6,380,000 in addition to previous funding for allocation by the officers to build human capital for leadership that will promote sustainable development, and nurture international cooperation to accomplish these ends.**

**Foundation-administered project:**  
 \$10,000 for consulting services for the LEAD program.

**Leadership for Environment and Development International,**  
 New York, New York: \$6,380,000 for general support

**Transition to the New Energy Paradigm**  
**1997 appropriation of \$700,000 for allocation by the officers to convince key policymakers in selected countries of the value of a transition to the new energy paradigm.**

**BAIF Development Research Foundation, Pune, India:** \$33,000 for the costs of a research project on production systems for *Jatropha curcas* for use on small farms.

**Foundation-administered project:**  
 \$12,000 for the costs of a meeting on the potential environmental benefits of electric vehicle use in developing countries.

**Population and Community Development Association, Bangkok, Thailand:** \$38,500 to evaluate efficient and sustainable energy projects for demonstration in Thailand.

**University of Hohenheim, Stuttgart, Germany:** \$60,000 toward the costs of research on the development of a cooking stove fueled by plant oil for use in tropical and subtropical countries.

**GRANTS IN AID**

**Arias Foundation for Peace and Human Progress, San José, Costa Rica:** \$30,650 to include environmental security within the scope of its project on democratic governance in Guatemala.

**Bellagio Forum for Sustainable Development, Osnabruck, Germany:** \$34,500 for general support.

**Ben-Gurion University of the Negev, Beersheba, Israel:** \$20,000 to strengthen the participation of developing-country universities and institutions in the University's program to combat desertification in Africa, Central Asia and Asia.

**Binga Trees Trust, Binga, Zimbabwe:** \$13,350 toward the cost of developing its training, service and research center, and to promote the management and use of *Moringa* and *Jatropha* trees to benefit the people of the Binga region

**Center for Sustainable Development in the Americas, Washington, D.C.:** \$25,000 to enable the Center to assist the Central American Environmental Fund in the identification of an executive director.

**Centre for Science and Environment, New Delhi, India:** \$48,000 for the costs of producing a citizens' report on the state of global environmental negotiations and disseminating the report widely

**Climate Institute, Washington, D.C.:** \$25,000 toward the costs of a seminar on the Small Island Greening Initiative, a collaborative effort with the Alliance of Small Island States, to assist these states in developing adaptation responses to climate change and in transforming their energy systems to renewable fuels

**Costa Rican Foundation for Sustainable Development, San José, Costa Rica:** \$450,000 toward the costs of its project to promote the development of electric transportation in the developing world.

**Costa Rican Foundation for Sustainable Development, San José, Costa Rica:** \$120,000 toward the costs of its international conference on urban air quality and electric vehicle systems for developing countries.

**Foundation for Business and Sustainable Development, Oslo, Norway:** \$10,000 toward the costs of its energy policy scenario project

**Foundation-administered project:**  
 \$250,000 for expenses associated with a strategic planning exercise for the Foundation's Global Environment Division.

**Friends of the City and County of Honolulu, Honolulu, Hawaii:** \$25,000 toward the costs of a mayors' Asia-Pacific environmental summit in Honolulu.

**HORIZON Communications, New Haven, Connecticut:** \$35,000 toward the costs of its HORIZON Solutions Site's work to develop and promote awareness of case studies on solutions relating to sustainable livelihoods and environmental security in Africa

**International Energy Initiative, Bangalore, India:** \$20,790 for core support.

**International Society for Ecological Economics, Solomons, Maryland:** \$21,000 toward the costs of developing an ecological economists' statement on the meaning and challenges of Beyond Growth

**State of California, Sacramento, California:** \$38,659 for use by its Department of Parks and Recreation, North Coast Redwoods District, toward the costs of maintaining the streamflow station on Bull Creek, to be used in determining management strategies for ancient redwood forests.

**Students Partnership Worldwide, London, England:** \$25,000 toward the costs of providing environmental training to its student volunteers from developing countries

**United Nations Environment and Development - United Kingdom Committee, London, England:** \$16,700 for a preparatory survey for its project to develop a stakeholder toolkit for women.

**University of Namibia, Windhoek, Namibia:** \$25,000 toward the costs of the Fourth Annual World Congress on the Zero Emissions Research Initiative.

**World Media Foundation, Cambridge, Massachusetts:** \$30,000 toward the costs of its project to produce a series on energy use in the developing world for National Public Radio's environmental program, "Living on Earth."

**African Economic Research Consortium, Inc., Nairobi, Kenya** 1998 appropriation of \$700,000 in addition to previous funding to strengthen and help retain local capacity for economic policy research and policy management in sub-Saharan Africa.

**Female Participation in African Education** 1998 appropriation of \$3,500,000 in addition to previous funding for allocation by the officers to hasten the close of the gender gap in educational opportunities and attainment by strengthening national capacity to lower unit costs, improve quality, and reduce economic and social risks for parents and students.

**Forum for African Women Educationalists - FAWE Ghana Chapter, Accra, Ghana** \$25,000 for general support

**Forum for African Women Educationalists, Nairobi, Kenya** \$400,000 for its project, Female Education in Mathematics and Science in Africa

**Forum for African Women Educationalists, Nairobi, Kenya** \$550,000 for general support

**Makerere University, Kampala, Uganda** \$206,640 for its Minds Across Africa School Clubs project

**Mazingira Institute, Nairobi, Kenya** \$309,529 for the costs of producing and distributing to host institutions in Uganda and Malawi, comic books for the Minds Across Africa School Clubs, designed to enhance the quality of primary education with an emphasis on gender equity

**United Nations Children's Fund, New York, New York** \$21,000 toward the cost of its Education Section staff's participation in the Workshop on Initial Lessons Learned from Implementing the Alliance for Community Action on Female Education, held in Accra, Ghana, June 2-4, 1998

**United Nations Educational, Scientific, and Cultural Organization, Paris, France** \$60,000 for use by its International Institute for Educational Planning to support the activities of the Association for the Development of Education in Africa

**University of Malawi, Zomba, Malawi** \$206,640 for its Minds Across Africa School Clubs project

**World Bank, Washington, D.C.** \$700,000 for a trust fund established by the Bank's Economic Development Institute to finance the Partnership for Strategic Resource Planning in

Africa, a joint initiative by several funding agencies targeting girls' education in Africa.

**Zambia Association for Women in Science and Technology, Lusaka, Zambia** \$3,000 toward the costs of a publication on women with careers in science and technology as role models to encourage young women to pursue careers in those disciplines

**Sustainable Development - Professional Preparation** 1998 appropriation of \$1,500,000 in addition to previous funding for allocation by the officers to enhance the professional preparation of African doctoral students, the majority of whom are enrolled in North American universities, and to ease their transition into productive research careers at home focused on issues of equitable and sustainable development.

**AFRICAN DISSERTATION INTERNSHIP AWARDS**

**Samson Angima, Kenya** \$25,032 to enable him to conduct research on the topic, "Modeling soil and nutrient loss reduction using callandra call-thyrus hedges and other vegetative materials for adaption of the RUSLE model in Kenya "

**International Centre for Research in Agroforestry, Nairobi, Kenya** \$2,500 for administrative costs associated with Samson Angima's research

**Charles Bwenge, Tanzania** \$22,071 to enable him to conduct research on the topic, "Codeswitching in political discourse in Tanzania: A case study of national legislatures "

**University of Dar es Salaam, Tanzania** \$2,500 for administrative costs associated with Charles Bwenge's research

**Robert Chimedza, Zimbabwe** \$24,624 to enable him to conduct research at the University of Zimbabwe on the topic, "Effects of communication methodology on the comprehension of stories to deaf students in Zimbabwe: Implications for teaching, policy planning, and the academic achievement of the deaf students "

**University of Zimbabwe, Harare** \$2,500 for administrative costs associated with Robert Chimedza's research

**Cornell University, Ithaca, New York** \$2,100 in conjunction with the African Dissertation Award to Fndah Mugo, to allow her supervisor at the International Centre for Research in Agroforestry to attend her dissertation defense

**Bakary S. Drammeh, Gambia** \$25,000 to enable him to conduct research on the topic, "Companson of solar dried mangoes and high dose vitamin A in Gambian children "

**Dunn Nutrition Centre, Banjul, Gambia** \$2,500 for administrative costs associated with Bakary Drammeh's research

**Vanessa Farr, South Africa** \$19,575 to enable her to conduct research on the topic, "A chanting foreign and familiar: The production and publishing of black women's life writing in South Africa "

**University of Cape Town, Rondebosch, South Africa** \$2,500 for administrative costs associated with Vanessa Farr's research

**Foundation-administered project:** \$80,000 for costs associated with implementing the ADIA program

**Joel Gathua, Kenya** \$10,923 to enable him to conduct research on the topic, "Intraspecific variation in foraging ecology of Redtail Monkeys in the Kakamega District "

**National Museums of Kenya, Nairobi** \$2,500 for administrative costs associated with Joel Gathua's research

**Soraya E. Goga, South Africa** \$24,698 to enable her to conduct research at the University of Witwatersrand and the University of Durban-Westville on the topic, "Towards equity? Property development and the post-apartheid city."

**University of Durban-Westville, South Africa** \$1,250 for administrative costs associated with Soraya E. Goga's research

**University of Witwatersrand, Johannesburg, South Africa** \$1,250 for administrative costs associated with Soraya E. Goga's research

**Brigitte Gnomou, Burkina Faso** \$24,688 to enable her to conduct research on the topic, "Cattle and manure management to increase soil fertility in western Niger "

**International Livestock Research Institute, Niamey, Niger** \$2,500 for administrative costs associated with Brigitte Gnomou's research

**Kobena Hanson, Ghana** \$25,439 to enable him to conduct research on the topic, "Economic restructuring, household adaptive strategies, and housing decisions in matrilineal urban Ghana "

**University of Ghana, Legon** \$2,500 for administrative costs associated with Kobena Hanson's research

**Edmond Kabagambe, Uganda** \$25,479 to enable him to conduct research on the topic, "A survey of health management and prevalence of selected goat diseases in eastern and western Uganda "

**Makerere University, Kampala, Uganda** \$2,500 for administrative costs associated with Edmond Kabagambe's research

**Bocary Kaya, Mali** \$6,600 to enable him to complete his research at the Institute of Rural Economy on the topic, "Soil fertility regeneration through improved fallow systems in Mali "

**Bernadeta Killian, Tanzania** \$25,500 to enable her to conduct research on the topic, "Cultural change, political knowledge, and transformation of public attitudes in Kenya "

**University of Dar es Salaam, Tanzania** \$2,500 for administrative costs associated with Bernadeta Killian's research

**Alina Kipchumba, Kenya** \$18,150 to enable her to conduct research on the topic, "Applying foraging theory to diet selection, habitat selection, and conservation of Black Rhinoceros (*Dioceros bicornis*) "

**Kenya Wildlife Service, Nairobi** \$2,500 for administrative costs associated with Alina Kipchumba's research

**Abdourhamane Issoufou Kollo, Niger** \$21,425 to enable him to conduct research on the topic, "Acremonium wilt of sorghum: interaction between acremonium structure and a lesion nematode, *pratylenchus* spp "

**National Institute of Agronomy Research, Niamey, Niger** \$2,500 for administrative costs associated with Abdourhamane Issoufou Kollo's research

**Peter Lekgoathi, South Africa** \$25,060 to enable him to conduct research on the topic, "The making of an ethnic identity in a South African chiefdom. Zebediela c. 1830-1994 "

**University of Witwatersrand, Johannesburg, South Africa** \$2,500 for administrative costs associated with Peter Lekgoathi's research

**Dixie Maluwa-Banda, Malawi** \$25,500 to enable him to conduct research on the topic, "Adolescent sexuality and HIV/AIDS in Malawi: A study of secondary school students' knowledge, attitudes, and behavior "

**University of Malawi, Zomba** \$2,500 for administrative costs associated with Dixie Maluwa-Banda's research

**Anthony Mawuli Sallar, Ghana** \$22,200 to enable him to conduct research at the University of Cape Coast on the topic, "Determinants of sexual risk taking: A study of the knowledge, attitudes, beliefs, and practices of adolescents of Ketu South District in Ghana"

**University of Cape Coast, Ghana** \$2,500 for administrative costs associated with Anthony Mawuli Sallar's research

**Patrick Mbjakwe, Nigeria** \$18,885 to enable him to conduct his research at Abia State University on the topic, "Land and urban development in Onitsha, eastern Nigeria, 1900-1990s"

**Abia State University, Uturu, Nigeria** \$2,500 for administrative costs associated with Patrick Mbjakwe's research

**McGill University, Montreal, Canada** \$3,157 in conjunction with the African Dissertation Award to Adelheid Onyango to allow her supervisor at Moi University to attend her dissertation defense

**Elias Mpfu, Zimbabwe** \$25,220 to enable him to conduct research on the topic, "Social acceptance of Zimbabwean early adolescents with physical disabilities attending main stream education programs"

**University of Zimbabwe, Harare** \$2,500 for administrative costs associated with Elias Mpfu's research

**Rose Mugidde, Uganda** \$18,500 to enable her to conduct research at the Fisheries Research Institute on the topic, "Algal nitrogen fixation in Lake Victoria (east Africa)"

**Fisheries Research Institute, Jinja, Uganda** \$2,500 for administrative costs associated with Rose Mugidde's research

**Monica Musenero, Uganda** \$25,498 to enable her to conduct research on the topic, "Epidemiology and significance of mycobacterium bovis as a cause of human tuberculosis in rural Uganda"

**Makerere University, Kampala, Uganda** \$2,500 for administrative costs associated with Monica Musenero's research

**Estella Musiwa, Zimbabwe** \$22,415 to enable her to conduct research on the topic, "Sustainability, gender, and smallholder irrigation technology in Manicaland, 1928-1997: Rethinking the role of the state"

**University of Zimbabwe, Harare** \$2,500 for administrative costs associated with Estella Musiwa's research

**Barbara Ntombi Ngwenya, Botswana** \$23,338 to enable her to conduct research on the topic, "Gender and social transformation through women's funeral associations in Gaborone and Ramotswa, Botswana"

**University of Botswana, Gaborone** \$2,500 for administrative costs associated with Barbara Ntombi Ngwenya's research

**Tandeka Nkwane, South Africa** \$24,350 to enable her to conduct research on the topic, "Clash and Convergence: Political elites and regional security in southern Africa"

**University of Cape Town, Rondebosch, South Africa** \$2,500 for administrative costs associated with Tandeka Nkwane's research

**Munishi Pantaleo, Tanzania** \$20,420 to enable him to conduct research on the topic, "The potential of natural forest ecosystems to conserve biodiversity, regulate water flow and mitigate greenhouse gas emissions in Tanzania"

**Sokolne University of Agriculture, Morogoro, Tanzania** \$2,500 for administrative costs associated with Munishi Pantaleo's research

**George William Panyo, Uganda** \$19,585 to enable him to conduct research on the topic, "Decision making for allocation of public resources in decentralized health systems in Uganda"

**Makerere University, Kampala, Uganda** \$2,500 for administrative costs associated with George William Panyo's research

**Sylvain Razafimandimbison, Madagascar** \$24,268 to enable him to conduct research at the Botanical and Zoological Park of Tsimbazaza on the topic, "Systematics and biogeography of the rubiaceae nucleae: Inferences from morphological and molecular data"

**Botanical and Zoological Park of Tsimbazaza, Antananarivo, Madagascar** \$2,500 for administrative costs associated with Sylvain Razafimandimbison's research

**Alda Romao Saute, Mozambique** \$24,030 to enable her to conduct research on the topic, "The African mission encounter and education in Mozambique: The Anglican mission of Santo Agostinho-Maciene, 1926-1974"

**Eduardo Mondlane University, Maputo, Mozambique** \$2,500 for administrative costs associated with Alda Romao Saute's research

**Dekha Sheikh, Kenya** \$23,373 to enable her to conduct research on the topic, "Methods to assess the impact of livestock technologies on household welfare"

**Kenya Agricultural Research Institute, Nairobi** \$2,500 for administrative costs associated with Dekha Sheikh's research

**Florence Tangka, Cameroon** \$24,706 to enable her to conduct research on the topic, "Cross bred cows and food security in Ethiopia"

**International Livestock Research Institute, Nairobi, Kenya** \$2,500 for administrative costs associated with Florence Tangka's research

**Booi Themeli, South Africa** \$17,800 to enable him to conduct research at the University of Cape Town on the topic, "Privatization and the labor markets in South Africa"

**University of Cape Town, Rondebosch, South Africa** \$2,500 for administrative costs associated with Booi Themeli's research

**University of Wisconsin at Madison, Madison, Wisconsin** \$1,566 in conjunction with the African Dissertation Internship Award to Getachew Gebru to enable his adviser to confer with him in Ethiopia during his field research

**Virginia Polytechnic and State University, Blacksburg, Virginia** \$4,316 in conjunction with the African Dissertation Award to Enos Esikun to enable his supervisor at Moi University to attend his dissertation defense

**Madeleine Wong, Ghana** \$20,300 to enable her to conduct research on the topic, "Transnational movements, migrant remittances and development: negotiating gender roles and household strategies"

**University of Ghana, Accra** \$2,500 for administrative costs associated with Madeleine Wong's research

**Gebre D. Yntiso, Ethiopia** \$23,500 to enable him to conduct research on the topic, "Population displacement and food security in Ethiopia: Resettlers, hosts, and ecology"

**Addis Ababa University, Ethiopia** \$2,500 for administrative costs associated with Gebre Yntiso's research

## AFRICAN CAREER AWARDS

**John Johnson Hakiza, Uganda** \$32,000 to enable him to conduct postdoctoral research at the Namulonge Agricultural and Animal Production Research Institute on the generation and transfer of potato technology in Uganda

**Derege Kebede, Ethiopia** \$32,000 to enable him to conduct postdoctoral research at the Department of Community Health, Addis Ababa University, Ethiopia, on mental and behavioral disorders among rural children in southern Ethiopia

**Francis Muchoki Kihanda, Kenya** \$32,000 to enable him to conduct postdoctoral research at the Kenya Agricultural Research Institute on the improvement of farmyard manure quality through composting with high-quality organic residues

**Daniel Njiru Mugendi, Kenya** \$32,000 to enable him to conduct postdoctoral research at the Kenya Forestry Research Institute on nitrogen management through agroforestry technologies to support crop production in the subhumid highlands of Kenya

**Ephraim Mukusira, Kenya** \$31,980 to conduct postdoctoral research at the Kenya Agricultural Research Institute on on farm testing of feed technologies for improved smallholder dairy production in Vihiga District, western Kenya

**George William Nasinyama, Uganda** \$32,000 to enable him to conduct postdoctoral research at Makerere University on the epidemiology and economic impact of Salmonella infection in poultry in Uganda

**Dick Sserunkuuma, Uganda** \$32,000 to enable him to conduct postdoctoral research at Makerere University on the role of non conventional feed resources as a supplement to private natural pastures in Uganda

**Francis N. Wegulo, Kenya** \$32,000 to enable him to conduct postdoctoral research at Egerton University on energy and development in rural areas of Kenya

**Moses M. Zinnah, Ghana** \$27,250 to enable him to conduct postdoctoral research at the University of Cape Coast to assess agricultural education at tertiary institutions in Ghana

RELATED GRANTS

**Association of African Universities,** Accra, Ghana \$23,950 toward the costs of engaging a consultant to survey and analyze gender programs and affirmative action initiatives in African higher education and to establish a database of African female experts

**Foundation-administered project:** \$25,080 for a study of the feasibility of providing electronic access to African theses and dissertations

**Social Science Research Council,** New York, New York \$50,000 toward the costs of a series of workshops on cross-regional research networks in Africa

**Social Science Research Council,** New York, New York \$72,000 toward the cost of conducting a study on the rate of return to Africa of Africans earning Ph.D.s in the United States and Canada

GRANTS IN AID

**Association for Women in Development,** Washington, D.C. \$35,000 toward the costs of participants from sub-Saharan Africa in the conference, "Transformation for Gender Justice and Social Change, Cape Town, South Africa," May 28 to June 2, 1998

**Foundation-administered project:** \$31,200 to explore and assess the nature and impact of selected school health programs carried out by nongovernmental organizations in eastern and southern Africa, and to use the findings to support selected NGOs in developing and implementing their programs to strengthen the capacity of children to acquire the knowledge and skills to improve their health and increase their access to quality education

**Foundation-administered project:** \$60,000 for an exploration with the joint PS/HS program in reproductive health research to identify cross-sectoral program opportunities concerning the lives and livelihoods of adolescents in sub-Saharan Africa

**Foundation-administered project:** \$84,000 for its project on global information/communication systems in Africa

**Henry J. Kaiser Family Foundation,** Menlo Park, California \$10,000 toward the costs of its

Southern African Grantmakers' Affinity Group's conference on the opportunities and implications of an African renaissance

**Institute of Economic Affairs,** Nairobi, Kenya \$25,000 toward the costs of Agenda 2000+, its publication intended to inform all sectors on key policy issues in Kenya

**International Development Research Centre,** Ottawa, Canada \$40,000 toward the costs of its African Technology Policy Studies Network

**Mwalimu Nyerere Foundation,** Dar es Salaam, Tanzania \$14,800 toward the costs of its conversations on philanthropy with United States foundations

**National Council for Research on Women,** New York, New York \$20,000 to enable three African women to participate in its global initiative to advance international discourse on gender issues

**Pan-African Studies and Research Center in International Relations Education for Development,** Abidjan, Ivory Coast \$25,000 toward the cost of participants in its seminar, "Reforms and Innovations in Higher Education in Africa," and toward the cost of publishing four editions of CEPARRED's journal, JEDIRAF

**Social Science Research Council,** New York, New York \$50,000 toward the costs of establishing a small interregional working group on international scholarly collaboration, as a component of the report of the Task Force on Higher Education sponsored by the World Bank and UNESCO

**University of Cape Town,** Rondebosch, South Africa. \$15,000 toward the costs of an evaluation workshop for its collaborative program to foster the development of partnerships in university science, the humanities and engineering throughout Africa

**University of Cape Town,** Rondebosch, South Africa \$150,000 toward the costs of its collaborative program to foster the development of partnerships in university science, the humanities and engineering throughout Africa

**The World Bank,** Washington D.C. \$100,000 toward the cost of the monitoring and evaluation component of its World Links for Development Program

INCREASING EMPLOYMENT OPPORTUNITIES

**Center on Budget and Policy Priorities,** Washington, D.C. 1998 appropriation of \$250,000 to continue general support for its policy analysis of government budgets and policy innovations.

**Engaging the Private Sector** 1998 appropriation of \$500,000 in addition to previous funding for allocation by the officers to encourage private firms to employ greater numbers of inner-city residents in existing businesses and encourage new business development in or near inner-city neighborhoods.

**Community Development Venture Capital Alliance,** New York, New York \$90,000 to explore the potential for attracting venture capital and business development expertise to undercapitalized areas and to investigate the potential to develop partnerships with venture and angel capital investors in locations dedicated to creating good jobs for disadvantaged workers

**Enterprise Foundation,** Columbia, Maryland \$70,000 to explore the capacity of nonprofit organizations to provide transitional and permanent employment to very low-income people in inner cities through the operation of nonprofit, revenue-generating enterprises

**Jobs for the Future,** Boston, Massachusetts \$50,800 to support its analysis of the best practice of corporate welfare-to-work programs and policies, and its preparation of a background paper for a roundtable meeting on the role of business in welfare-to-work in the United Kingdom

**Jobs Initiative** 1998 appropriation of \$3,700,000 in addition to previous funding for allocation by the officers to support new models of local workforce development systems that can substantially increase employment rates in low-income urban neighborhoods.

**Alameda County - Interagency Children's Policy Council,** San Leandro, California \$100,000 to support the incorporation of employment strategies into its neighborhood family support initiatives

**Corporation for Supportive Housing,** New York, New York \$103,315 to support the costs of a national policy symposium on the findings of Next Step Jobs

**Foundation-administered project:** \$100,000 toward administrative costs associated with the Rockefeller Foundation Jobs initiative

**Foundation-administered project:** \$300,000 toward administrative costs associated with communications activities for the initiative

**Foundation-administered project:** \$90,000 toward administrative costs associated with the initiative

**Indianapolis Private Industry Council,** Indiana \$170,000 to support its organizational development and its employment and training technical assistance and capacity building for community-based organizations

**Manpower Demonstration Research Corporation,** New York, New York \$1,700,000 to support its Jobs-Plus initiative

**Manpower Demonstration Research Corporation,** New York, New York \$100,000 to support a study of the implementation of Wisconsin Works in Milwaukee County

**Manpower Demonstration Research Corporation,** New York, New York \$300,000 to support its Neighborhood Jobs initiative

**Public/Private Ventures,** Philadelphia, Pennsylvania \$48,500 to support the production of two reports on the Transitional Work program in Philadelphia

**United Way of the Bay Area,** San Francisco, California \$125,000 to support the San Francisco Works employment services delivery pilot project

**"The Future of Work"** 1998 appropriation of \$500,000 in addition to previous funding for allocation by the officers to increase knowledge of the causes and consequences of rising joblessness and falling earnings among the least skilled, and to encourage the development of a wide range of policy approaches for improving the employment opportunities and working conditions of the least skilled.

**American Society for Training and Development,** Alexandria, Virginia \$99,251 to support a research project entitled, "The temporary staffing industry and the career prospects of lower skilled workers"

**Aspen Institute**, Washington, D.C.: \$30,000 to support the production of four papers for the Domestic Strategy Group

**Economic Policy Institute**, Washington, D.C. \$75,000 to support the costs of research and dissemination activities associated with its work on the low-wage labor market

**New School University**, New York, New York: \$29,436 toward costs of a research project entitled, "A closer look at the United States wage collapse: Trends in wages and hours worked for detailed job categories, 1973-1996"

**Northeastern University**, Boston, Massachusetts \$99,250 to support its project to coordinate research teams in 21 countries to analyze the measurement of unemployment and related labor force indicators in those countries

**United Way of the Bay Area**, San Francisco, California \$30,000 to support the development of interview protocols and survey instruments for a labor market study of the hospitality industry

**University of Massachusetts**, Lowell, Lowell, Massachusetts. \$99,641 to support a research project entitled, "The dynamics of internal labor market evolution: Implications for low-skill workers."

#### GRANTS IN AID

**Barnard College**, New York, New York \$75,000 to support research on the economic and civic contributions of minority entrepreneurship in inner-city areas.

**Corporation for Enterprise Development**, Washington, D.C. \$75,000 to support its Downpayments on the American Dream Policy Demonstration to test the efficacy of Individual Development Accounts as a route to economic independence for low-income Americans.

**Emory University**, Atlanta, Georgia: \$50,307 to support a research project entitled: "Access to opportunities - a systems approach to welfare reform and job retention: A multisite assessment"

**Foundation-administered project:** \$10,000 toward administrative expenses associated with hosting meetings on nonprofit employment providers.

**Foundation-administered project:** \$129,900 toward administrative costs associated with an exploration to improve the effectiveness and reach of employment and training organizations

**Foundation-administered project:** \$275,000 toward administrative costs associated with an exploration of how to build the local capacity necessary to create effective employment pipelines from poor neighborhoods

**Foundation-administered project:** \$85,100 toward administrative costs associated with a feasibility study for a new investment strategy for nonprofit job placement and training providers

**Johns Hopkins University**, Baltimore, Maryland. \$50,000 for use by its Institute for Policy Studies to support research on the implications of welfare reform for low-income housing residents

**Manpower Demonstration Research Corporation**, New York, New York \$18,668 to support a workshop in London on conducting research pilots or demonstrations, focusing in areas of employment and welfare reform.

**Manpower Demonstration Research Corporation**, New York, New York: \$20,000 to support a conference to foster a transatlantic dialogue on welfare reform, work and income security

**Manpower Demonstration Research Corporation**, New York, New York \$8,326 for site activities of its Jobs-Plus, Neighborhood Jobs Initiative and Connections to Work initiatives.

**New School University**, New York, New York: \$20,000 to support a project examining the role of immigrant service providers in low-income New York City neighborhoods and the impact of welfare reform on those organizations

**University of Maryland**, Baltimore County, Baltimore, Maryland \$49,987 for use by its Maryland Institute for Policy Analysis and Research to conduct a meta-analysis of voluntary government-training programs.

**Urban Institute**, Washington, D.C. \$100,000 to support the first phase of "Assessing the New Federalism," a national project to monitor and assess the shift in United States social programs from the federal government to the states

#### BUILDING COMMUNITY

**Building Community 1998 appropriation of \$1,450,000 in addition to previous funding for allocation by the officers to continue support for a set of interrelated activities designed to strengthen the community-building field and improve methods for evaluating the impacts of local community-building initiatives.**

**Aspen Institute**, Washington, D.C. \$100,000 to support its Roundtable on Comprehensive Community Initiatives

**City University of New York**, New York, New York: \$100,000 for use by its Howard Samuels State Management and Policy Center at the Graduate School and University Center to support research on the impact major infrastructure projects have on metropolitan and regional community economies

**Cleveland Community-Building Initiative**, Cleveland, Ohio \$75,000 to support its communication and data collection efforts

**Community Development Society of America**, Milwaukee, Wisconsin \$10,000 to support its 1998 conference entitled, "Sustainability and community: Critical connections"

**EZ/EC Foundation Consortium**, Washington, D.C. \$300,000 toward general support

**Institute for Educational Leadership**, Washington, D.C. \$80,000 to explore existing and potential relationships between community building and education reform efforts at the local level

**Urban Institute**, Washington, D.C. \$200,000 to support the implementation of its National Neighborhood Indicators Project

**Urban Strategies Council**, Oakland, California. \$393,050 for general support

**Urban Strategies Council**, Oakland, California \$475,000 to support the National Community Building Network

**Urban Strategies Council**, Oakland, California \$300,000 to support its Community Building Support Center

**Community Building Policy Center 1997 appropriation of \$1,000,000 in addition to previous funding for allocation by the officers to continue support for the planning and launching of a community-building policy center.**

**Urban Institute**, Washington, D.C. \$1,000,000 to support the planning and launching of a community-building policy center

**National Community Development Initiative 1996 appropriation of \$9,000,000 in grant funds for allocation by the officers to provide (a) third and final-round support for the National Community Development Initiative (NCDI), and (b) continued core support for two NCDI intermediaries, the Local Initiatives Support Corporation and the Enterprise Foundation.**

**Enterprise Foundation**, Columbia, Maryland \$1,275,000 for the third round of the National Community Development Initiative.

**Local Initiatives Support Corporation**, New York, New York \$975,000 for the third round of the National Community Development Initiative.

## GRANTS IN AID

**Aspen Institute**, Washington, D.C. \$150,000 to support its Roundtable on Comprehensive Community-Building Initiatives and a project exploring the dynamics of race within a community-building context

**Center for Community Change**, Washington, D.C. \$150,000 toward general support

**Chapin Hall Center for Children**, Chicago, Illinois \$112,480 to support the second year of a two-year project to produce a volume on power and race in community-change initiatives

**Chatham-Savannah Youth Futures Authority**, Savannah, Georgia. \$50,000 to support its development of a Neighborhood Improvement Association

**Community Partners**, Los Angeles, California \$8,700 to support a community-building conference

**National Center for Economic Alternatives**, Washington, D.C.: \$100,000 to develop a conceptual framework for a progressive policy center.

**National Congress for Community Economic Development**, Washington, D.C.: \$50,000 to support the fourth national Census on Community Economic Development.

**National Council of La Raza**, Washington, D.C.: \$100,000 to provide support for its Hispanic Employment Policy Project, which focuses on employment-related policy issues critical to the Latino community

**University of Illinois at Chicago**, Chicago, Illinois \$21,630 to support a project entitled, "A community-university dialogue series on democracy, diversity, and directions for the new millennium"

**Urban Institute**, Washington, D.C. \$75,000 to support research and writing of organizational case studies and the related analysis of the civic infrastructure of one or more urban areas

## BUILDING CONSTITUENCIES FOR CHANGE

**Building Support for New Employment Policies and Practices** 1998 appropriation of \$1,000,000 for allocation by the officers to build more effective, constituency-based reform networks that, in the short term,

improve the employment prospects of inner-city residents and, in the longer term, help to revitalize urban communities and establish more broadly-based prosperity.

**Center for Community Change**, Washington, D.C. \$5,000 toward general support

**Center for Community Change**, Washington, D.C. \$50,000 to support its Coalition on Human Needs project

**Children Now**, Oakland, California \$57,000 to support its efforts to encourage new work opportunities and support for low-income workers and their families in California

**Community Voices Heard**, New York, New York \$50,000 toward general support

**Gamaliel Foundation**, Chicago, Illinois \$120,000 toward general support.

**Liberty Hill Foundation**, Santa Monica, California. \$35,000 to support its Los Angeles Human Services Network's efforts to build a community-based response to welfare reform in Los Angeles County.

**Los Angeles Alliance for a New Economy**, Los Angeles, California. \$25,000 toward staff time and travel costs associated with providing technical assistance to grassroots advocacy groups working on economic and work force development issues

**Massachusetts Association of Community Development Corporations**, Boston, Massachusetts \$100,000 to support its Community Organizing Demonstration Program to improve the relationships between community development corporations and their constituencies

**Metropolitan Area Research Corporation**, Minneapolis, Minnesota \$65,000 to support a national comparative analysis of socioeconomic disparity in the 25 largest metropolitan regions of the United States

**National Employment Law Project**, New York, New York \$100,000 toward general support

**National Interfaith Committee for Worker Justice**, Chicago, Illinois: \$55,000 to support work on issues related to workfare and the conditions of low-wage workers by local religious labor coalitions in five cities participating in the Foundation's Jobs Initiative

**Organize Training Center**, San Francisco, California \$35,000 to organize a number of small group discussions with labor leaders in the San Francisco area

**Children's Defense Fund**, Washington, D.C. 1998 appropriation of \$300,000 to provide a final year of support for its Black Community Crusade for Children.

**Children's Defense Fund**, Washington, D.C. 1998 appropriation of \$500,000 to continue core support for its efforts to improve public policies affecting children.

**Community Planning and Action Program** 1993 appropriation of \$2,000,000 in addition to previous funding for allocation by the officers to provide terminal support for community planning and action projects dedicated to reducing persistent poverty and for a national network of community-based initiatives focused on informing policymakers about urban poverty strategies.

**Urban Strategies Council**, Oakland, California \$54,517 to support the National Community Building Network's communications and data dissemination activities

## GRANTS IN AID

**Center for Community Change**, Washington, D.C. \$48,500 to support its Coalition on Human Needs Employment Seminars Project

**Center for Law and Social Policy**, Washington, D.C. \$60,000 to support its activities regarding Community Service Employment programs to provide employment opportunities to recipients of TANF (Temporary Assistance for Needy Families)

**Foundation-administered project:** \$29,400 toward administrative costs associated with a study of the implementation of the State of Washington's Community Jobs program

**Foundation-administered project:** \$85,100 to support a series of efforts to deepen the Foundation's understanding of the local government structure and political environment in each of about 12 cities in which the Foundation supports a jobs initiative

**National Committee for Responsive Philanthropy**, Washington, D.C.: \$30,000 to support a study of the level of infrastructure development of progressive policy and advocacy institutions in five states.

## ADVANCING BASIC RIGHTS

**Mexican American Legal Defense and Educational Fund, Inc.**, Los Angeles, California 1998 appropriation of \$1,000,000 to facilitate upgrading of its computer system, and to support its litigation activities.

**Mexican American Legal Defense and Educational Fund, Inc.**, Los Angeles, California 1998 appropriation of \$300,000 to support a collaborative communications effort by six major civil rights legal organizations to increase public understanding of affirmative action.

**Native American Rights Fund**, Boulder, Colorado 1998 appropriation of \$325,000 to continue support for the advocacy, litigation and technical assistance activities of its Human Rights Project.

## GRANTS IN AID

**Chicago Lawyers' Committee for Civil Rights Under Law**, Chicago, Illinois \$50,000 to support its work in economic development and jobs creation.

**Foundation-administered project:** \$145,000 toward administrative costs associated with the program review and evaluation of the Basic Rights portfolio.

**Foundation-administered project:** \$73,000 toward administrative costs associated with the dissemination of a report on the program review and evaluation of the Basic Rights portfolio.

**Institute for Puerto Rican Policy**, New York, New York \$12,000 to support its merger transition with the Puerto Rican Legal Defense and Education Fund.

**NAACP Legal Defense and Educational Fund**, New York, New York \$25,000 to support its response to the "ballot integrity" movement in Alabama.

**Puerto Rican Legal Defense and Education Fund**, New York, New York \$70,000 to support the development of its new Policy Division.



## IMPROVING URBAN SCHOOL SYSTEMS

**Building District Infrastructures for Professional Development**  
1998 appropriation of \$2,500,000 in addition to previous funding for allocation by the officers to help four school districts connect district-level reform policy to classroom practice by planning and implementing comprehensive professional development systems that improve instruction districtwide.

**Albuquerque Public Schools**, Albuquerque, New Mexico \$21,650 to support a study of teacher quality in the Albuquerque Public School system

**Albuquerque Public Schools**, Albuquerque, New Mexico \$30,000 to support an equity audit to examine student academic outcomes, access to learning opportunities, resource alignment to students needs, and district and school organization

**Foundation-administered project:** \$12,000 toward administrative costs associated with the documentation of the leadership transition process in the San Diego City Schools and the application of the lessons learned to the Building District Infrastructures for Professional Development Initiative and its other sites

**Foundation-administered project:** \$66,102 toward administrative costs associated with assisting the four Building District Infrastructures for Professional Development sites to develop and use site level theories of change and to develop tools to assess the overall effectiveness of the Rockefeller Foundation investments in each district

**Institute for Research and Reform in Education**, Toms River, New Jersey \$37,000 to develop tools and activities that will help

diverse constituencies plan, analyze, and evaluate social change and institutional reform initiatives

**Learning Communities Network**, Cleveland, Ohio \$1,273,335 toward general support

**San Antonio Independent School District**, San Antonio, Texas \$300,000 toward continued implementation of the Rockefeller Foundation initiative, Building District Infrastructures for Professional Development

**School District, City of Flint**, Flint, Michigan \$706,419 to support its continued participation in the Rockefeller Foundation initiative, Building District Infrastructures for Professional Development

**Southwest Educational Development Laboratory**, Austin, Texas \$77,151 toward a study of the teacher development system in the San Antonio Independent School District

**Spreading the School Development Program and Philosophy**  
1998 appropriation of \$2,500,000 in addition to previous funding for allocation by the officers to improve student learning outcomes in several urban school districts by deepening, expanding and sustaining implementation of the *School Development Program (SDP)* and linking it to effective classroom strategies.

**Board of Education of Prince George's County**, Upper Marlboro, Maryland \$150,000 to support the Prince George's County Corner School Development Program Regional Training Center

**Community School District 13**, Brooklyn, New York \$150,000 toward continued support of systemic implementation of the Corner School Development Program

**Foundation-administered project:** \$146,100 toward administrative costs associated with spreading the Corner School Development Program and philosophy

**Guilford County Schools**, Greensboro, North Carolina \$150,000 to support efforts to institutionalize the School Development Program through the district's technology infrastructure and to develop learning materials for use in Guilford County and other SDP sites

**Long Island University**, Brookville, New York \$140,841 to enable the C W Post School of Education, the Corner School Development Program and the Westbury School District to develop a comprehensive three-year plan to implement the School Development Program in all five Westbury schools with a curricular emphasis on literacy

**New Haven Public Schools**, New Haven, Connecticut \$50,000 to support institutionalization of the Corner School Development Program in a cadre of schools through training and coaching provided by SDP and district staff and through networking with other Corner sites

**San Diego City Schools**, San Diego, California \$87,550 to support a data collection process to analyze the effectiveness of the Corner School Development Program in the San Diego City Schools

**San Francisco State University**, San Francisco, California \$70,200 to support its provision of School Development Program training and technical assistance to selected schools in East Palo Alto, Oakland and San Francisco

**Yale University**, New Haven, Connecticut \$1,897,785 for use by its Yale Child Study Center for continued support for spreading the Corner School Development Program and philosophy

**Teachers College, Columbia University, New York, New York**  
1998 appropriation of \$200,000 to sustain the teacher quality agenda set forth by the *National Commission on Teaching and America's Future* through support for a strategic communications plan that will further the policy recommendations outlined in its 1996 report.

### GRANTS IN AID

**Council for Basic Education**, Washington, D C \$25,000 to support the Standards Based Teacher Education Project

**College Entrance Examination Board**, New York, New York \$30,000 toward the costs of

National Task Force on Minority High Achievements convening of focus group discussions on class, ethnicity and gender

**Education Development Center**, New York, New York \$41,768 for use by its Center for Children and Technology to help the public understand critical issues around technology as they affect community development and participation, and to help policymakers and industry developers "understand the public"

**Success for All Foundation**, Baltimore, Maryland \$150,000 to provide support for core development staff to continue the development process in the teaching and learning of mathematics, science and social studies, and to scale-up significantly the dissemination of proven programs in reading, writing and language arts

**Thomas Toch**, Washington, D C \$50,000 to enable him to write a book on *The Edison Project* and the Emerging Marketplace in American Public Education

### OTHER

**Democracy Roundtables**  
1996 appropriation of \$1,000,000 in addition to previous funding for allocation by the officers to support consensus-based, community problem solving through diverse local partnerships addressing conflict.

**Consensus Organizing Institute**, Pittsburgh, Pennsylvania \$112,200 to develop plans for neighborhood-level employment and training pilot projects in San Diego County

**Mind Science Foundation**, San Antonio, Texas \$240,000 to support its project, *The Common Enterprise/San Antonio*

**Building Community**  
**1998 appropriation of \$1,450,000 in addition to previous funding for allocation by the officers to continue support for a set of interrelated activities designed to strengthen the community-building field and improve methods for evaluating the impacts of local community-building initiatives.**

**American Land Institute**, Portland, Oregon \$60,000 to support its Metropolitan Area Program's study of social attitudes in American suburbs.

**City University of New York**, New York, New York \$100,000 for use by its Howard Samuels State Management & Policy Center at the Graduate School and University Center to support research on the impact major infrastructure projects have on metropolitan and regional community economies

**Foundation-administered project:** \$10,200 toward follow up costs associated with a conference on community building held in Bellagio, October 20 to 24, 1997

**National Conversation on Race: A Communications Program**  
**1997 appropriation of \$1,000,000 for allocation by the officers to develop and implement a communications effort that raises the visibility and enhances the effectiveness of innovative racial discourse during the year of national dialogue on race.**

**Children's Defense Fund**, Washington, D.C. \$300,000 to support a yearlong series of dialogues on children, race and poverty

**Community Renewal Society**, Chicago, Illinois: \$100,000 to support its Chicago Reporter's expanded investigative reporting on metropolitan issues of race and equity

**Foundation-administered project:** \$27,000 toward administrative costs associated with the study of an audience development model for the Foundation's National Conversation on Race initiative communications program

**Foundation-administered project:** \$230,711 toward administrative costs associated with the development of an approach to the Foundation's communications strategy for its National Conversation on Race initiative

**Mexican American Legal Defense and Educational Fund**, Los Angeles, California. \$75,000 to support its efforts to inform California's multi-ethnic communities around the consequences of the passage of Proposition 227.

**Nathan B. Stubblefield Foundation**, Tampa, Florida \$25,000 to support its project, "Reality works," for its documentary and debate project, "American Dream Deferred"

**National Conversation on Race: Policy and Communications**  
**1998 appropriation of \$1,000,000 for allocation by the officers to build new knowledge about how issues of race can be productively introduced into public policy discourse.**

**Asian Pacific American Legal Center of Southern California, Inc.**, Los Angeles, California: \$50,000 for its efforts to anticipate and respond to consequences for Asian-American communities in California of the redistricting process that will result from the year 2000 census

**Children Now**, Oakland, California \$50,000 to support its Children and the Media Program's Race, Class and Media focus

**Conservation Law Foundation**, Boston, Massachusetts \$125,000 to support its Seaport project

**University of California, Los Angeles**, Los Angeles, California \$50,000 for use by its Department of Political Science for its project, "Local news and public opinion concerning social welfare"

**Youth Law Center**, Washington, D.C. \$200,000 to support its Building Blocks for Youth project

**Next Generation Leadership**  
**1998 appropriation of \$1,750,000 in addition to previous funding for allocation by the officers to complete a two-year pilot project focused on creating a corps of 21st-century leaders with a sense of common purpose and the capability required to build a society committed to fairness and democratic principles, and with the confidence and skills to bring together others in pursuit of these goals.**

**SECOND-YEAR PROJECT GRANTS FOR THE PROGRAM'S FIRST COHORT:**

**Isabela Basombrio**, Detroit, Michigan \$10,000 to explore the process of art making, document artwork and investigate opportunities for the public exhibition of artwork to a larger audience

**Casa Atabax Aché**, Astoria, New York \$10,000 to build an informed and active network in the Mott Haven section of the South Bronx of girls and women who are involved in promoting and expanding the network

**Center for Democracy Studies**, Durham, North Carolina \$12,500 to engage Latino youths in documenting the stories and images of their families' and communities' experiences of crossing physical and metaphorical borders to settle in the New South area of Durham

**Community of Hope**, Washington, D.C. \$23,200 to implement a community-building program in the Columbia Heights neighborhood of Washington, D.C., to deal with homelessness, violence, drugs and truancy facing inner-city residents

**East Harlem School at Exodus House**, New York, New York \$12,500 to create a pilot educational exchange project that will bring diverse groups of children together from Vermont and New York City in order to explore fundamental issues of democracy, increase understanding across race, class and geography, and ultimately to create a model to demonstrate the power of exchange programs to build a stronger sense of civic identity

**Family Resource Coalition of America**, Chicago, Illinois \$12,500 to develop a parent education curriculum that will both teach important parenting skills and encourage greater civic participation among members of the Family Resource Coalition of America network

**Foundation-administered project:** \$719,434 for administrative costs associated with the Next Generation Leadership program

**Insight Arts**, Chicago, Illinois: \$12,500 to develop and produce a collaborative performance workshop entitled "The Fear of Freedom," which will explore how personal and political liberation influence democratic and artistic practices.

**Justice Matters**, San Francisco, California. \$12,500 to develop and implement a series of nonprofit professional development leadership trainings targeted toward community based organizations' midlevel management staff

**Lawyers' Committee for Civil Rights of the San Francisco Bay Area**, San Francisco, California. \$12,500 to develop a curriculum to teach young people their constitutional and human rights and to train a corps of youth advocates to work for police reform as organizers, public speakers and media spokespersons

**National Coalition to Abolish the Death Penalty**, Washington, D.C.. \$10,000 to develop an effective media campaign that seeks to further public engagement on the question of sentencing children to death

**National Korean American Service and Education Consortium**, Flushing, New York. \$12,500 to produce an Asian Pacific American curriculum resource packet and pilot it in three designated New York City schools to develop a model of effective school-community partnership

**Public Advocates**, San Francisco, California \$25,000 to create a model community-based civic education program that relies on a community-identified health issue as the catalyst for community engagement

**Public Allies: The National Center for Careers in Public Life**, Milwaukee, Wisconsin \$25,000 to study and synthesize the results of a recent poll on leadership in communities, frame the synthesis for a national conference on leadership and facilitate that conference.

**South of Market Foundation**, San Francisco, California \$12,500 to engage in a strategic planning process to enable the organization to respond to the changes in the South of Market district community in San Francisco

**Strategic Concepts in Organizing and Policy Education**, Los Angeles, California \$12,500 to strengthen the capacities of community residents who are largely welfare recipients in the Echo Park and Hollywood areas of Los Angeles who can address welfare policy issues in that city and provide leadership in organizing residents in their community

**Tides Center**, San Francisco, California \$12,500 to enable its Students for Responsible Business project to conduct research and formulate a plan for the creation of philanthropy networks among its alumni members.

**Rachel Timoner**, San Francisco, California \$12,500 to research the potential for a multi-issue, multi-constituency movement that will begin at the local level and develop a plan of action for that movement

**University of Maryland at Baltimore**, Baltimore, Maryland: \$12,500 for use by its School of Law's Environmental Justice Legal Resources Center to help build the capacity of residents in low-income and minority communities by providing technical assistance needed to prepare community-development initiatives and plans

**Western States Center**, Portland, Oregon \$10,000 to work with allied community, environmental, religious and immigrant rights organizations to develop a strategic dialogue and promote education on issues relating to immigration, environment and social justice, and new strategies to address underlying issues at stake in the western United States.

#### YEAR-ONE PARTICIPATION IN COHORT TWO OF THE PROGRAM:

**Hilaria Bauer**, Castroville, Texas.

**Regina Benjamin**, Spanish Fort, Alabama

**Demetrios Coupounas**, Superior, Colorado

**Kathie deNobriga**, Atlanta, Georgia

**Mark Forman**, Kaunakakai, Hawaii

**Eric Garcetti**, Los Angeles, California

**Daniel Gross**, New York, New York.

**Sara Horowitz**, New York, New York

**Claudia Horwitz**, Durham, North Carolina.

**Timothea Howard**, Washington, DC

**Lisa Hoyos**, San Jose, California

**Martin Johnson**, Trenton, New Jersey

**Otho Kerr**, New York, New York

**Sasha Khokha**, Oakland, California

**Laurie Meadoff**, Neponsit, New York.

**Erik Pages**, Arlington, Virginia.

**Stephen Patrick**, Ranchos de Taos, New Mexico

**Carlos Pineda**, New York, New York

**Preston Pinkett**, East Brunswick, New Jersey

**Jason Scott**, New York, New York

**Marc Solomon**, St. Louis, Missouri.

**Marian Urquilla**, Washington, D.C.

**Ingrid Washinawatok**, New York, New York

**Omar Wasow**, New York, New York.

#### GRANTS IN AID

**Center on Juvenile and Criminal Justice**, San Francisco, California: \$50,000 for use by its project, "The Justice Policy Institute," to document declining minority opportunities in higher education, linking this decline to the expanding use of prisons

**Chapin Hall Center for Children**, Chicago, Illinois: \$112,480 to support the second year of a two-year project to produce a volume on power and race in community change initiatives

**College Entrance Examination Board**, New York, New York \$30,000 toward the costs of its National Task Force on Minority High Achievement's convening of focus group discussions on class, ethnicity and gender

**Columbia University**, New York, New York \$125,000 to support a study by its Center for Violence Research and Prevention that will assess the outcomes and consequences for adolescents - particularly with respect to jobs and livelihoods - of current legislation to relocate adolescent crimes from juvenile to adult courts and correctional agencies.

**Foundation-administered project:** \$9,300 toward administrative costs associated with a study of the racial dimensions of the public policy debate and related topics on the conversation on race in the United States.

**Group for Cultural Documentation**, Washington, D.C. \$40,000 for its initiative, "The 30th Anniversary Mule Train Celebration Exhibit "

**National Urban League**, New York, New York: \$50,000 to support its Race Relations Initiative

**Omega Boys Club**, San Francisco, California: \$50,000 to support the national syndication launch of its "Street Soldiers" radio program

**Public Relations Society of America Foundation**, New York, New York: \$75,000 toward a pilot study of the National Issues Credibility Index, which will focus on race and difference

**Visual Arts Research and Resource Center Relating to the Caribbean**, New York, New York \$25,000 toward the costs of follow-up activities for its conference entitled "Race and construction of Puerto Rican identity. New paradigms on race, identity and power "

**William J. Brennan Jr. Center for Justice**, New York, New York. \$50,000 to convene a series of meetings of respected peers in the area of voting rights to draft a comprehensive legislative briefing book that addresses obligations and constraints faced in redistricting.

**Strategic Alliance: Rockefeller Foundation - The California Endowment**  
1997 appropriation of \$400,000 for allocation by the officers to help fund the design of a program for a strategic alliance between the Rockefeller Foundation and The California Endowment, aimed at building the capacity of low-income California communities to improve health and employment outcomes of their residents.

**Foundation-administered project:** \$250,000 for administrative costs associated with the Strategic Alliance between the Rockefeller Foundation and The California Endowment

**Urban Institute, Washington, D.C.:** \$25,674 to generate a report analyzing how neighborhood conditions may affect residents' health and employment prospects.

**BIOTECHNOLOGY TO INCREASE CROP YIELD**

**Biosafety and Intellectual Property Rights**

**1998 appropriation of \$80,000 in addition to previous funding for allocation by the officers to help developing countries implement effective systems for dealing with the biosafety and intellectual property rights issues entailed in the use of plant genetic engineering and its products.**

**International Service for the Acquisition of Agri-Biotech Applications, Ithaca, New York**

\$50,000 toward the costs of Biosafety Capacity Building in Support of the Papaya Biotechnology Network of Southeast Asia and Field Trials of Transgenic Papayas

**Michigan State University, East Lansing, Michigan**

\$5,008 toward the costs of a workshop on the impact of intellectual property rights on international trade and agriculture in east Africa, held in Kampala, Uganda, in January 1999

**National Center for Genetic Engineering and Biotechnology, Bangkok, Thailand**

\$3,000 toward the cost of meetings to evaluate the progress of the national rice biotechnology program in Thailand and review requirements for the release of transgenic rice in this region

**Stockholm Environment Institute, Stockholm, Sweden**

\$20,000 for the "Chinese Risk Assessment/Management Workshop to Facilitate the Evaluation of Environmental Release and Trade of Transgenic Organisms."

**University of the Philippines, College, Laguna, Los Baños, Philippines**

\$7,100 to enable Luz B. Opeña, selected by the University, to participate in the Intellectual Property Rights and Technology Transfer Internship Program held July 25 to August 5, 1998, at Michigan State University, East Lansing

**Biotechnology for Marginal-Land Food Crops**

**1998 appropriation of \$600,000 in addition to previous funding for allocation by the officers to continue building and strengthening biotechnology research networks working on tropical food crops grown on marginal lands.**

**Emmanuel Okogbenin, Ibadan, Nigeria**

\$12,000 toward the costs of research on the genetic mapping of cassava at the International Center for Tropical Agriculture

**Hebei Academy of Agricultural and Forestry Sciences, Shijiazhuang, China**

\$42,200 for a study of mapping key genes of agronomic importance in foxtail millet, *Setaria italica*, to be undertaken by Biotechnology Career Fellow Wang Zhimin, under the direction of M. D. Gale, John Innes Centre, Norwich, England

**International Center for Tropical Agriculture, Cali, Colombia**

\$25,000 toward the costs of the Fourth International Meeting of the Cassava Biotechnology Network, held in Brazil in November 1998

**International Center for Tropical Agriculture, Cali, Colombia**

\$170,000 for research on the construction of a molecular map of cassava, the development of PCR-based markers, and their use in cassava improvement

**Kasetsart University, Bangkok, Thailand**

\$16,400 to enable staff member Yeetoh Dabbhadatta, selected by the University, to receive training in cassava biotechnology at the International Laboratory for Tropical Agricultural Biotechnology/Scrpps Research Institute, La Jolla, California

**Swiss Federal Institute of Technology, Zurich, Switzerland**

\$60,000 for research on genetically engineered disease resistance in cassava

**Tamil Nadu Agricultural University, Coimbatore, India**

\$37,956 for research on the genetic engineering of sorghum for resistance to fungal diseases

**University of Bath, Bath, United Kingdom**

\$20,000 to enable Hongying Li, selected by the University, to receive training in cassava biotechnology at the International Laboratory for Tropical Agricultural Biotechnology/Scrpps Research Institute, La Jolla, California

**University of Zimbabwe, Harare, Zimbabwe**

\$5,200 to enable staff member P. Tongona, selected by the University, to conduct research in molecular genetics at Purdue University, West Lafayette, Indiana

**Winrock International Institute for Agricultural Development, Mornilton, Arkansas**

\$28,122 for use by its On Farm Productivity Enhancement Program for research on the development of cassava varieties resistant/tolerant to African mosaic virus disease

**Intellectual Property Rights**

**1998 appropriation of \$2,500,000 for allocation by the officers to help the developing-world, public-sector agricultural research system interact more productively with the private sector, especially on intellectual property rights.**

**Center for the Application of Molecular Biology to International Agriculture (CAMBIA), Canberra, Australia**

\$450,000 toward the costs of establishing and operating an Intellectual Property Strategic Office at CAMBIA to serve as an intellectual property resource for international agricultural biotechnology

**North Korean Agriculture**

**1997 appropriation of \$710,000 for allocation by the officers to train North Korean agricultural scientists and to identify United States and other Asian experts and nongovernmental organizations willing to cooperate in helping North Korea address key agricultural issues over the medium term.**

**Atlantic Council of the United States, Washington, D.C.**

\$36,000 in support of a visit to the United States of a North Korean delegation of poultry technology experts

**Carter Center, Atlanta, Georgia**

\$238,000 toward the establishment of a project aimed at strengthening the capacity of the Democratic People's Republic of Korea to address its longer-term food security problems

**Foundation-administered project:**

\$51,000 to support activities related to rice-biotechnology training for North Koreans

**Rice Biotechnology**

**1998 appropriation of \$4,175,000 in addition to previous funding for allocation by the officers to continue support for the Foundation's international program on rice biotechnology.**

**Biotechnology Research Center, Beijing, China**

\$44,700 for a study of MAR sequence-mediated transgene expression to confer salt and drought tolerance in transgenic rice, to be undertaken by Biotechnology Career Fellow Su Jin, under the direction of Ray Wu, Section of Biochemistry, Molecular and Cell Biology, Cornell University, Ithaca, New York

**Biotechnology Research Center, Ho Chi Minh City, Vietnam**

\$15,000 toward the costs of the National Meeting on Rice Biotechnology, held in Hue City, Vietnam, in May 1998

**China National Rice Research Institute, Hangzhou, China**

\$35,000 for the purchase of journals and periodicals for use by the Research Library of the China National Rice Research Institute

**China National Rice Research Institute, Hangzhou, China**

\$60,000 for research, in collaboration with the International Rice Research Institute, Manila, Philippines, on reciprocal near-isogenic introgression lines for molecular dissection of *Indica/japonica* rice genomes

**Chinese Academy of Agricultural Sciences, Beijing, China**

\$60,000 for use by its Biotechnology Research Center for research on improved salt and drought tolerance in transgenic rice

**Chinese Academy of Agricultural Sciences, Beijing, China**

\$50,000 for use by its Center for Chinese Agricultural Policy, toward the costs of a study entitled, "Distributing and Importing Agricultural Technology in China: An Inquiry into Constraints Facing R&D in the World's Largest Nation"

**Chinese Academy of Sciences, Beijing, China**

\$6,240 for use by its Institute of Genetics toward the costs of the Symposium on Rice Genetics held in August 1998 in Beijing

**Chinese Academy of Sciences, Beijing, China**

\$8,500 for use by its Institute of Genetics, toward the costs of collaborative research in bacterial artificial chromosome cloning techniques between the Institute of Genetics and the Institute of Molecular Agrobiolology, National University of Singapore

**Chinese Academy of Sciences, Beijing, China**

\$20,000 for use by its Shanghai Institute of Plant Physiology for research on regulation of expression of the rice *waxy* (*Wx*) gene

**Chinese Academy of Sciences, Beijing, China**

\$50,000 for use by its Shanghai Institute of Biochemistry for research on rice ragged stunt virus (RRSV), and the development of RRSV-resistant rice

**Chungbuk National University, Cheongju, Republic of Korea**

\$44,600 for a study of QTL mapping, cloning, and molecular breeding for development of new rice varieties, to be undertaken by Biotechnology Career Fellow Cho Yong Gu, under the direction of Susan R. McCouch, Department of Plant Breeding and Biometry, Cornell University, Ithaca, New York

**Clemson University**, Clemson, South Carolina \$50,000 for research on the construction of a bacterial artificial chromosome library for mapping the rice genome

**Commonwealth Scientific and Industrial Research Organization**, Canberra, Australia \$12,000 for research on synthetic rice ragged stunt virus resistance genes

**Cornell University**, Ithaca, New York \$50,000 for research on cloning, characterizing and transferring genes in rice

**Cornell University**, Ithaca, New York \$80,049 for research on the development and application of microsatellite markers for rice

**Cuu Long Delta Rice Research Institute**, Omon, Vietnam \$120,000 toward the costs of strengthening biotechnology research for rice improvement in the Mekong Delta

**John Dille**, Rock Hill, South Carolina \$45,600 toward the costs of publication of the Rice Biotechnology Quarterly

**Foundation for Applied Biology Research**, Mar del Plata, Argentina \$30,000 for research on a biochemical and molecular approach to increasing rice production by manipulating sucrose metabolism

**Foundation-administered project**: \$10,000 toward the costs of a workshop on the genetic improvement of rice for water-limited environments, held at the International Rice Research Institute, Manila, Philippines, in December 1998

**Foundation-administered project**: \$72,960 for administrative support of the Rockefeller Foundation biotechnology program in India

**Foundation-administered project**: \$40,000 toward the costs associated with the ninth annual meeting of the International Program on Rice Biotechnology, to be held in Phuket, Thailand, September 13 to 17, 1999

**Foundation-administered project**: \$90,000 toward the costs associated with implementing the Foundation's program for the genetic improvement of developing-country cereals

**Gyeongsang National University**, Chinju, South Korea \$95,210 for research on the identification and isolation of rice genes

**Haryana Agricultural University**, Hisar, India \$60,000 for research on improving resistance of Basmati rice to drought and salinity

**Huazhong Agricultural University**, Wuhan, China \$100,000 for research on the application of molecular markers to hybrid rice breeding

**Huazhong Agricultural University**, Wuhan, China \$25,000 toward the costs of collaborative research in rice biotechnology between the University and Monsanto Company, St. Louis, Missouri

**Indian Council of Agricultural Research**, New Delhi, India \$1,709 for use by its Central Rice Research Institute for research on the application of cellular and molecular biology to the genetic improvement of rice

**Indian Council of Agricultural Research**, New Delhi, India \$30,000 for use by its Directorate of Rice Research toward the costs of a workshop on "New Approaches to Gall Midge Resistance in Rice," held in Hyderabad, India, in September 1998

**Indian Council of Agricultural Research**, New Delhi, India \$4,025 for use by its Central Rice Research Institute for research on the application of cellular and molecular biology to the genetic improvement of rice

**Indian Council of Agricultural Research**, New Delhi, India \$50,000 for use by its Central Rice Research Institute for research on the molecular characterization of bacterial blight and blast populations of India

**Indonesian Institute of Sciences**, Bogor, Indonesia \$35,790 for use by its Research and Development Centre for Biotechnology for research on the transformation of Indonesian cultivars of rice

**Institute of Genetics**, Beijing, China \$44,600 for continuation of a study of identification and molecular cloning of rice thermosensitive gene male sterile gene, to be undertaken by Biotechnology Career Fellow Wang Bin, under the direction of Henry T. Nguyen, Department of Plant and Soil Science, Texas Tech University, Lubbock

**Institute of Molecular Agrobiolgy**, Singapore, Malaysia \$121,908 for research on the development of broad spectrum disease resistance in rice

**International Center for Tropical Agriculture**, Cali, Colombia \$160,000 for research on rice genetic mapping and disease resistance

**International Centre for Genetic Engineering and Biotechnology**, New Delhi, India \$38,800 for a study of isolation and characterization of gall midge-resistant genes in rice, to be undertaken by Biotechnology Career Fellow K. R. Rajyashri, under the direction of J. W. Snape, John Innes Centre, Norwich, England

**International Centre for Genetic Engineering and Biotechnology**, New Delhi, India \$31,079 for research on marker-assisted selection of gall midge resistance in cultivated rice

**International Rice Research Institute**, Manila, Philippines \$20,000 toward the costs of a workshop on the genetic improvement of rice for water-limited environments

**International Rice Research Institute**, Manila, Philippines \$26,477 for collaborative research with Huazhong Agricultural University, Wuhan, China, on the molecular analysis of rice blast populations and resistance genes from rice

**International Rice Research Institute**, Manila, Philippines \$500,000 for the continuation of research on the application of biotechnology to rice improvement International Rice Research Institute, Manila, Philippines \$69,100 for research on transferring osmotic adjustment to tropical japonica varieties through marker-aided selection

**International Rice Research Institute**, Manila, Philippines \$8,334 for research and training in rice transformation

**International Rice Research Institute**, Manila, Philippines \$80,000 for research and training in the introgression of quantitative trait loci for the development of new rice cultivars

**International Rice Research Institute**, Manila, Philippines \$90,000 for research, in collaboration with the China National Rice Research Institute, Hangzhou, on reciprocal near-isogenic introgression lines for molecular dissection of Indica/japonica rice genomes

**John Innes Centre**, Norwich, England \$100,000 toward the costs of establishing a rice and maize transformation training and technology development center

**Kasetsart University**, Bangkok, Thailand \$26,000 for research on the improvement of Thai rice for increased tolerance of salinity

**Kasetsart University**, Bangkok, Thailand \$38,000 for research on the improvement of Thai rice for abiotic stress tolerance

**Madurai Kamaraj University**, Madurai, India \$19,950 for research on genetic engineering of Indica rice for drought and salinity tolerance

**Madurai Kamaraj University**, Madurai, India \$76,000 for research on genetic engineering of Indica rice for sheath blight resistance

**Mahyco Research Foundation**, Mumbai, India \$75,000 toward the costs of research and training in the application of marker assisted selection to rice improvement

**Malaysian Agricultural Research and Development Institute**, Kuala Lumpur, Malaysia \$100,000 for research on the use of pathogen-derived resistance strategy in the management of rice tungro disease

**National Center for Genetic Engineering and Biotechnology**, Bangkok, Thailand \$52,544 for research on the development of a two line hybrid system for Thai rice

**National Center for Genetic Engineering and Biotechnology**, Bangkok, Thailand \$42,200 toward the costs of establishing integrated rice biotechnology research and human resource capacity building in Thailand and Southeast Asia

**National Center for Genetic Engineering and Biotechnology**, Bangkok, Thailand \$12,000 for use by its Yothi Research Laboratory toward the costs of research to be conducted at the Laboratory by a postdoctoral fellow, chosen by the Laboratory, on the genetic analysis of plant-pathogen interactions between rice and the rice blast pathogen

**National Rice Germplasm Evaluation and Enhancement Center**, United States Department of Agriculture, Stuttgart, Arkansas \$4,000 to enable a representative of the China National Rice Research Institute, Hangzhou, to present a paper at the International Symposium on Rice Germplasm Enhancement, held August 30 to September 2, 1998, in Stuttgart

**Philippine Rice Research Institute**, Maligaya, Philippines \$18,800 to enable Theresa Peralta, selected by the Institute, to receive training in rice biotechnology at the International Laboratory for Tropical Agricultural Biotechnology/Scripps Research Institute, La Jolla, California

**Philippine Rice Research Institute**, Maligaya, Philippines \$26,000 for research on map-based cloning of tungro resistance genes in rice

**Philippine Rice Research Institute**, Maligaya, Philippines \$7,000 for research on resistance to rice tungro disease

**Punjab Agricultural University**, Ludhiana, India \$43,867 for research on the tissue culture and genetic transformation of Basmati and Indica rice

**Rural Development Administration**, Suwon, Republic of Korea \$33,300 for research on the development of map-based cloning and transformation for rice improvement

**Salk Institute for Biological Studies**, La Jolla, California \$80,000 for research on the molecular mechanisms of induction of rice defense responses to microbial diseases

**Scripps Research Institute**, La Jolla, California \$84,476 for research on the molecular biology of rice tungro virus and rice yellow mottle virus, and the genetic engineering of rice for virus resistance

**SPIC Science Foundation**, Chennai, India \$42,470 for research on the development of transposon trap lines in rice

**SPIC Science Foundation**, Madras, India \$15,000 for continuation of a study of genetically engineered male sterility in rice, to be undertaken by Biotechnology Career Fellow George Thomas, under the direction of Claude M Fauquet, International Laboratory for Tropical Agricultural Biotechnology/Scripps Research Institute, La Jolla, California

**Tamil Nadu Agricultural University**, Coimbatore, India \$18,340 to enable P. Gornathinayagam, selected by the University to receive training in rice biotechnology at the International Laboratory for Tropical Agricultural Biotechnology/Scripps Research Institute, La Jolla, California

**Tamil Nadu Agricultural University**, Coimbatore, India \$19,950 for research on *Rhizoctonia* toxin-inactivating genes for sheath blight management in rice

**Tamil Nadu Agricultural University**, Coimbatore, India \$25,556 for research on rapid generation of transgenic rice lines of elite Indica cultivars

**Tamil Nadu Agricultural University**, Coimbatore, India \$33,070 for research on the genetic engineering of rice for the management of fungal diseases

**Tamil Nadu Agricultural University**, Coimbatore, India \$37,000 for research on mapping resistance genes to yellow stem borer in rice

**Tamil Nadu Agricultural University**, Coimbatore, India \$38,800 for a study of gene pyramiding in elite Indica cultivars and enhancing transgene expression, to be undertaken by Biotechnology Career Fellow P. Balasubramanian, under the direction of Paul Christou, Laboratory for Transgenic Technology and Metabolic Pathway Engineering, John Innes Centre, Norwich, England

**Tamil Nadu Agricultural University**, Coimbatore, India \$44,600 for a study of identifying and transferring QTLs from wild rice species affecting yield, maturity date, drought tolerance and grain quality of cultivated rice, to be undertaken by Biotechnology Career Fellow M. Maheswaran, under the direction of Susan R. McCouch, Department of Plant Breeding and Biometry, Cornell University, Ithaca, New York

**Tamil Nadu Agricultural University**, Coimbatore, India \$52,417 for research on identifying and transferring useful agronomic genes from wild to cultivated rice

**Tata Institute of Fundamental Research**, Bangalore, India \$18,000 toward the costs of the eighth meeting of the National Rice Biotechnology Network of India, held in Bangalore in October 1998

**Texas Tech University**, Lubbock, Texas \$3,000 for research on drought resistance in rice

**Texas Tech University**, Lubbock, Texas \$50,000 for research on the use of molecular tools for genetic analysis of root penetration in rice

**Tribhuvan University**, Kathmandu, Nepal \$33,384 for use by its Institute of Agriculture and Animal Science for research on the characterization and improvement of Nepalese rice germplasm to be undertaken by Biotechnology Career Fellow Ram C. Sharma, in the laboratory of Hei Leung at the International Rice Research Institute, Manila, Philippines

**Tribhuvan University**, Kathmandu, Nepal \$5,807 for use by its Institute of Agriculture and Animal Science for research on the characterization of Nepalese rice blast populations, conducted by Sundar M. Shrestha at the International Rice Research Institute, Manila, Philippines

**University of Agricultural Sciences**, Bangalore, India \$100,000 for research on molecular marker assisted breeding for drought and disease resistance in rice

**University of California, Davis**, Davis, California \$57,052 for research on engineering rice varieties for resistance to bacterial blight and blast

**University of Costa Rica**, San José, Costa Rica \$61,332 for research on the molecular characterization of rice hoja blanca virus

**University of Delhi**, New Delhi, India \$20,000 for research on improved submergence tolerance of rice

**University of Dhaka**, Dhaka, Bangladesh \$29,959 for research on the genetic manipulation of local Bangladeshi rice varieties to increase their tolerance to salt

**University of Dschang**, Dschang, Republic of Cameroon \$4,780 to enable Marie-Noelle Ndjondjop, selected by the University to receive training in rice biotechnology at the International Laboratory for Tropical Agricultural Biotechnology/Scripps Research Institute, La Jolla, California

**University of Hyderabad**, Hyderabad, India \$67,920 for research on the anthocyanin biosynthetic pathway and disease resistance in rice

**University of Ottawa**, Ottawa, Canada \$50,000 for research on tissue-specific promoters from rice, and the development of chimeric genes for insect resistance and novel breeding systems

**University of the Philippines**, College, Laguna, Los Baños, Philippines \$28,706 for research on improving insect resistance in rice

**University of the Punjab**, Lahore, Pakistan \$10,000 for research on the development of genetic resistance to common pests of rice through expression of *Bacillus thuringiensis* toxin genes

**University of the Punjab**, Lahore, Pakistan \$65,000 for use by its National Centre of Excellence in Molecular Biology for research on the development of genetic resistance to common pests of rice through expression of *Bacillus thuringiensis* toxin genes

**West Africa Rice Development Association**, Bouaké, Ivory Coast \$171,350 for research on the application of biotechnology to the improvement of African rice varieties

**West Africa Rice Development Association**, Bouaké, Ivory Coast \$34,100 for a rice genome analysis of *O. Glaberrima* germplasm using microsatellite markers to be undertaken by Biotechnology Career Fellow Thierry Cadalen, under the direction of Susan R. McCouch, Department of Plant Breeding and Biometry, Cornell University, Ithaca, New York

**Zhejiang Academy of Agricultural Sciences**, Hangzhou, China \$82,100 for research on the evaluation of transgenic rice for virus resistance

**Zhejiang Agricultural University**, Hangzhou, China \$20,000 for research on insect resistance evaluation in transgenic rice

**Rockefeller Foundation Biotechnology Career Fellowships 1993 appropriation of \$1,140,000 (joint with HS and PS) in addition to previous funding for allocation by the officers to assist excellent young scientists based in the Third World to become leaders in the development and application of biotechnology that offers promise for improving the living conditions of poor people.**

**Peking University**, Beijing, China \$45,800 for a study of molecular cloning and analysis of hormonal- and phytochrome-regulated genes related to premature senescence and male sterility of rice plants, to be undertaken by Biotechnology Career Fellow Zhu Yuxian, under the direction of Peter Quail, Department of Plant Biology, University of California, Berkeley

#### GRANTS IN AID

**Center for Research and Advanced Studies of the National Polytechnic Institute**, Mexico City, Mexico \$53,000 for research on the production and evaluation of virus-resistant potatoes

**Commonwealth Scientific and Industrial Research Organization**, Canberra, Australia \$60,000 for research on apomixis

**United States Department of Agriculture**, Beltsville, Maryland \$10,000 for use by the Plant Genome Research Program of the Agricultural Research Service for support of travel of Third World

scientists to attend the Plant and Animal Genome VII meeting, held January 17 to 21, 1999, in San Diego, California

#### FELLOWSHIPS

**J. R. Kannan Babu, Tamil Nadu Agricultural University, Madurai, India** postdoctoral fellowship for advanced training in plant pathology, under the direction of M. A. Saghai Maroof, Department of Crop and Soil Environmental Sciences, Virginia Polytechnic Institute and State University, Blacksburg

**Varapong Chamarek, Rice Research Institute, Bangkok, Thailand** fellowship for advanced study in plant genetics leading to the Ph.D. degree under the direction of Henry T. Nguyen, Plant Molecular Genetics Laboratory, Texas Tech University, Lubbock

**Ngo Luc Cuong, Cuu Long Delta Rice Research Institute, Omon, Cantho, Vietnam** fellowship for advanced training in entomology leading to the Ph.D. degree under the direction of Eliseo P. Cadapan, Department of Entomology, University of the Philippines, Los Baños

**Amalraj John Joel, Tamil Nadu Agricultural University, Coimbatore, India** postdoctoral fellowship for advanced training in plant genetics under the direction of Zhang Qifa, National Key Laboratory of Crop Genetic Improvement, Huazhong Agricultural University, Wuhan, China

**Rachelle Nicole Natalie Kokora, Université de Cocody, Abidjan, Ivory Coast** dissertation fellowship for research in molecular biology under the direction of Claude M. Fauquet, Scripps Research Institute, La Jolla, California, as part of a Ph.D. degree program at the Université de Cocody, Abidjan, Côte d'Ivoire

**Kamlesh Kukreja, Haryana Agricultural University, Hisar, India** postdoctoral fellowship for advanced training in plant pathology under the direction of Jan E. Leach, Department of Plant Pathology, Kansas State University, Manhattan

**Xinghua Lin, Huazhong Agricultural University, Wuhan, China** postdoctoral fellowship for advanced study in plant genetics under the direction of Hongbin Zhang, Department of Plant Molecular Genetics, Texas A&M University, College Station

**Le Cam Loan, Cuu Long Delta Rice Research Institute, Omon, Cantho, Vietnam** fellowship for advanced training in plant genetics leading to the Ph.D. degree under the direction of Enrique C. Paller, Department of Plant Breeding,

University of the Philippines, College, Laguna, Los Baños

**Narayanan Muthukrishnan, Tamil Nadu Agricultural University, Madurai, India** postdoctoral fellowship for advanced study in entomology under the direction of Ilmar Alkosaar, Agricultural Biotechnology Laboratory, University of Ottawa, Ontario, Canada

**Thi Hanh Thuy Nguyen, Agricultural Genetics Institute, Hanoi, Vietnam** postdoctoral fellowship for advanced study in plant genetics under the direction of Henry T. Nguyen, Department of Plant and Soil Science, Texas Tech University, Lubbock

**Thi Loc Nguyen, Cuu Long Delta Rice Research Institute, Omon, Cantho, Vietnam** postdoctoral fellowship for advanced study in entomology under the direction of John Gatehouse, Department of Biology, University of Durham, England

**Penmal Ramasamy, Tamil Nadu Agricultural University, Coimbatore, India** postdoctoral fellowship for advanced study in plant genetics under the direction of Gary Hart, Department of Soil and Crop Sciences, Texas A&M University, College Station

**Sabanappan Robin, Tamil Nadu Agricultural University, Coimbatore, India** postdoctoral fellowship for advanced study in plant molecular biology under the direction of Henry T. Nguyen, Department of Plant and Soil Science, Texas Tech University, Lubbock

**Jai Singh Rohilla, Haryana Agricultural University, Hisar, India** postdoctoral fellowship for advanced study in plant genetics under the direction of Ray Wu, Section of Biochemistry, Molecular and Cell Biology, Cornell University, Ithaca, New York

**Mande Semon, West Africa Rice Development Association, Bouaké, Ivory Coast** fellowship for advanced training in plant molecular biology leading to the Ph.D. degree under the direction of Susan R. McCouch, Department of Plant Breeding and Biometry, Cornell University, Ithaca, New York

**Virendrakumar Sikka, Haryana Agricultural University, Hisar, India** postdoctoral fellowship for advanced study in plant molecular biology under the direction of Thomas W. Okita, Institute of Biological Chemistry, Washington State University, Pullman

**Krishan Pal Singh, Haryana Agricultural University, Hisar, India** postdoctoral fellowship for

advanced training in plant genetics under the direction of T. J. Flowers, Salinity Research Laboratory, University of Sussex, England

#### SMALLHOLDER CROP MANAGEMENT CAPABILITIES

**Career Fellowships in the Agricultural Sciences 1998 appropriation of \$325,000 in addition to previous funding for allocation by the officers to assist agricultural institutions in Kenya, Malawi, Uganda and Zimbabwe - the African focus countries under Agricultural Sciences - to retain highly productive staff.**

**Francis Nang'ayo, Nairobi, Kenya** \$75,000 for a Career Development Fellowship to conduct research on the biological control of the larger grain borer in Kenya

**Felix I. Nweke, Ibadan, Nigeria** \$86,800 for a Career Development Fellowship in the Agricultural Sciences to prepare a book synthesizing the results of the Collaborative Study of Cassava in Africa, while in residence at Michigan State University, East Lansing

**Webster Sakala, Lilongwe, Malawi** \$57,000 for a Career Development Fellowship in the Agricultural Sciences to develop an appropriate laboratory analysis component for the Malawi Maize Commodity Team based at Chitedze Agricultural Research Station

**Forum on Agricultural Resource Husbandry in Sub-Saharan Africa 1998 appropriation of \$900,000 in addition to previous funding for allocation by the officers to revitalize, strengthen, and sustain graduate education at selected faculties of agriculture in eastern and southern Africa through an African-directed competitive grants program that encourages faculty members and students, in collaboration with nonuniversity personnel, to undertake multidisciplinary field research on crop and resource management.**

**Eduardo Mondlane University, Maputo, Mozambique** \$46,000 for use by its Faculty of Agronomy and Forest Engineering to support research on the performance of *Cotesia sesamiae* and *Cotesia flavipes* (Hymenoptera: Braconidae) as biological control agents against cereal stem borers in Mozambique

**Eduardo Mondlane University, Maputo, Mozambique** \$63,370 for use by its Faculty of Agronomy and Forest Engineering to support research on the ecology of the red locust in the outbreak area of the Buzi Gorongosa plains in central Mozambique

**Egerton University, Njoro, Kenya** \$5,000 for use by the Department of Geography to prepare a research proposal entitled, "Response Farming Strategies and Abnormal Rains with Special Emphasis on the El Niño Phenomena in Kenya"

**Foundation-administered project:** \$50,000 for the administrative costs of the Forum on Agricultural Resources Husbandry

**Foundation-administered project:** \$80,000 to support an evaluation of the Foundation funded program for strengthening faculties of agriculture in Kenya, Malawi, Uganda and Zimbabwe

**Foundation-administered project:** \$100,000 to support the Third Biennial Joint Meeting of the Forum on Agricultural Resource Husbandry grantees, held in Harare, Zimbabwe, in October 1998

**Makerere University, Kampala, Uganda** \$105,797 for use by its Agricultural Research Institute, Kabanyolo, to support research on the biological management of water hyacinth wastes from Lake Victoria

**Makerere University, Kampala, Uganda** \$3,500 for use by its Faculty of Agriculture and Forestry for the publication of its graduate program

**Makerere University, Kampala, Uganda** \$45,000 for use by its Faculty of Agriculture and Forestry to provide access to electronic communication and information to participants in the Forum on Agricultural Resource Husbandry

**Makerere University, Kampala, Uganda** \$5,000 for use by its Faculty of Agriculture and Forestry to further the development of its programs

**Makerere University, Kampala, Uganda** \$5,000 for use by its Faculty of Agriculture and Forestry for a preparation grant for a project on farm research for the management of soil erosion and landslides on the steep slopes of Rwenzori Mountains and Southwestern Highlands of Uganda

**Makerere University, Kampala, Uganda** \$60,000 for use by its Department of Soil Science for research on the improvement of soil nutrition and weed management for increased finger millet productivity.

**Makerere University, Kampala, Uganda** \$76,190 for use by the Department of Soil Science to support erosion studies in a selected banana based micro catchment of the Lake Victoria Basin

**Makerere University**, Kampala, Uganda \$70,590 to support phase two research on integrated management of pests of common beans in Uganda, validation and participatory implementation of economic injury level thresholds by bean farmers

**Makerere University**, Kampala, Uganda \$72,500 for use by the Department of Crop Science to support research aimed at establishing economic injury levels and control action thresholds for the management of major field insect pests of cowpea in Uganda

**Moi University**, Eldoret, Kenya \$23,604 to support the Phosphate Rock Evaluation Project (PREP) to include research on market potential and farmer acceptance of the PREP-PAC as a soil fertility tool in western Kenya.

**University of Malawi**, Zomba, Malawi \$10,000 for use by Bunda College of Agriculture to continue support for the second phase of research investigating the potential for adoption of maize-based agroforestry technologies by smallholder farmers in southern Malawi

**University of Malawi**, Zomba, Malawi \$2,340 for use by Bunda College of Agriculture, Department of Agricultural Engineering for a preparation grant for an evaluation of irrigation power/energy systems

**University of Malawi**, Zomba, Malawi \$35,000 for use by its Bunda College of Agriculture to provide access to electronic communication and information to participants in the Forum on Agricultural Resource Husbandry

**University of Malawi**, Zomba, Malawi \$60,000 for use by Bunda College of Agriculture to support the development of the vascular puncture inoculation technique and identification of biologically and epidemiologically different maize streak virus isolates

**University of Malawi**, Zomba, Malawi \$2,500 for use by Bunda College of Agriculture to further the development of its programs

**University of Malawi**, Zomba, Malawi \$5,000 for use by Bunda College of Agriculture for a preparation grant for a research project on nematode population build up under different maize-based cropping systems in Malawi

**University of Nairobi**, Nairobi, Kenya \$10,000 for use by its Faculty of Agriculture to further the development of its programs

**University of Nairobi**, Nairobi, Kenya \$59,837 for use by the Department of Agricultural Economics to support an assessment of the dissemination and adoption of improved fodder trees by women farmers and their productivity in smallholder dairy farming in Embu District, Kenya.

**University of Nairobi**, Nairobi, Kenya \$60,000 for use by the Department of Crop Protection to support a study on the effect of intercropping maize and beans on major diseases of beans in Kenya

**University of Nairobi**, Nairobi, Kenya \$60,000 for use by the Department of Soil Science to support a project on field monitoring of seasonal surface soil loss and erosion-induced nutrient losses in a maize-legume-based cropping system in Kenya

**University of Nairobi**, Nairobi, Kenya \$89,600 for use by the Department of Soil Science, to support research into soil fertility problem solving in the Central Highlands of Kenya.

**University of Zimbabwe**, Harare, Zimbabwe \$13,200 to support research on the potential contribution from naturally-nodulating soybean to residual soil fertility in maize-based cropping systems

**University of Zimbabwe**, Harare, Zimbabwe \$34,000 for use by its Faculty of Agriculture to provide access to electronic communication and information to participants in the Forum on Agricultural Resource Husbandry's Project for Information Access and Connectivity

**University of Zimbabwe**, Harare, Zimbabwe \$5,000 for use by its Faculty of Agriculture to further the development of its programs.

**University of Zimbabwe**, Harare, Zimbabwe \$91,515 for use by the Department of Crop Science to support research on improved food security for the resource-poor farmers in Zimbabwe through deployment of Striga resistance genes in sorghum cultivars and a study of genetic variability of Striga asiatica strains from different host plants

**Initiatives for Development and Equity in African Agriculture (IDEAA)**  
1998 appropriation of \$900,000 in addition to previous funding for allocation by the officers to empower a group of key agricultural leaders in southern Africa to take command of the problems of smallholder agriculture, develop solutions to those problems and act to implement the solutions.

**University of Zimbabwe**, Harare, Zimbabwe \$900,000 for use by its Department of Agricultural Economics and Extension to continue support for the initiative

**University of Zimbabwe**, Harare, Zimbabwe \$10,000 for use by its Department of Agricultural Economics and Extension to support the IDEAA program

**Integrated Banana Research in Uganda**  
1998 appropriation of \$600,000 in addition to previous funding for allocation by the officers to define the factors constraining banana production in Uganda, devise improved technologies to overcome those constraints and evaluate their suitability to farm conditions.

**International Institute of Tropical Agriculture**, Ibadan, Nigeria: \$300,380 to continue its research collaboration with the Uganda National Banana Research Program on integrated pest management of the banana weevil

**International Network for the Improvement of Banana and Plantain**, Paris, France. \$25,000 to support a workshop on the characterization of banana/plantain production in eastern and southern Africa

**Andrew Kiggundu**, Kampala, Uganda \$51,000 to support studies of host plant interactions and mechanisms of resistance to banana weevil within east African highland bananas in Uganda.

**National Agricultural Research Organization**, Kampala, Uganda \$150,000 to continue support for research on nutrient demand and nutrient cycling in banana-based cropping systems

**National Agricultural Research Organization**, Kampala, Uganda \$100,000 to support the banana cropping systems project.

**National Agricultural Research Organization**, Kampala, Uganda \$44,775 for use by the Kawanda Agricultural Research Institute to continue support for research on nutrient demand and nutrient cycling in banana-based cropping systems

**Maize Research in Malawi**  
1994 appropriation of \$350,000 in addition to previous funding for release by the officers to continue strengthening the capacity of Malawian researchers to design and conduct a maize research program that addresses the needs of small farmers.

**Ministry of Agriculture and Irrigation**, Malawi, Lilongwe, Malawi \$74,100 for use by its Department of Agricultural Research and Technical Services to support the project, Improving Farmers' Maize Yields Managing Maize Streak Virus Diseases, Helminthosporium Leaf Blight and Gray Leaf Spot

**Management of Maize Pests by Smallholders**  
1998 appropriation of \$1,200,000 in addition to previous funding for allocation by the officers to reduce yield losses caused by pests in smallholder cropping systems.

**Foundation-administered project:** \$44,400 for a meeting to review and evaluate the progress of Foundation-funded projects on Striga

**International Maize and Wheat Improvement Center**, Mexico City, Mexico: \$157,000 toward the cost of establishing an east Africa regional maize nursery

**Kenya Agricultural Research Institute**, Nairobi, Kenya \$58,200 toward the costs of improving researcher productivity and returns to research investment on Striga in western Kenya

**Kenya Agricultural Research Institute**, Nairobi, Kenya \$87,500 for research on maize streak virus resistance in African maize

**Ministry of Agriculture and Livestock Development**, Malawi, Lilongwe, Malawi \$19,115 toward the costs of rebuilding the Malawi Maize Pathology Project and development of a Research Action Plan

**Ministry of Agriculture and Livestock Development**, Malawi, Lilongwe, Malawi \$18,007 for research on the development of Striga resistant/tolerant genotypes of maize

**University of California**, Davis, California: \$100,000 for research on the development of a screen for Striga resistance in maize.

**University of Chicago**, Chicago, Illinois \$87,274 for research on control of the production of the maize Striga seed germination stimulant

**Research Fellowships in the Agricultural Sciences**  
1997 appropriation of \$500,000 in addition to previous funding for allocation by the officers to recruit outstanding young agricultural scientists from developed countries to assist grantee institutions in achieving their crop productivity goals.



**Robert A. Gilbert**, Long Beach, California \$130,350 renewal of Research Fellowship in Agricultural Sciences to enable him to continue his participation in a Foundation funded program of maize research, while located at the Department of Agricultural Research in Lilongwe, Malawi

**Soils Management to Overcome Yield Constraints 1998 appropriation of \$2,850,000 in addition to previous funding for allocation by the officers to identify and evaluate ways of overcoming soils-based limitations to sustainable, economic crop yields in principal agricultural soils of eastern and southern Africa.**

**Association for Better Land Husbandry**, London, England \$90,000 to continue support for the development of its Land Husbandry Network in Kenya

**Environmental Action Team**, Kitale, Kenya \$55,470 to continue support for adaptive research on biological alternatives for soil fertility management for maize production

**Government of Malawi**, Lilongwe, Malawi \$120,000 for use by The Office of the Vice President to support an integrated study of Malawi's agricultural sector

**Harvard University**, Cambridge, Massachusetts \$41,790 for use by the Harvard Institute for International Development to support the study, "The Changing Structure of Malawi's Food System: A Clarification of Issues and Evidence"

**International Centre for Research in Agroforestry**, Nairobi, Kenya \$200,000 to support the implementation of decision support systems for soil nutrient replenishment in eastern Africa

**International Crops Research Institute for the Semi-Arid Tropics**, Andhra Pradesh, India \$94,600 to continue support for research in Malawi on methodology to develop practical soil fertility technologies through farmer research partnerships

**International Maize and Wheat Improvement Center**, Mexico City, Mexico \$259,200 to support the work of the Soil Fertility Network for Maize Based Farming Systems in Malawi and Zimbabwe

**International Maize and Wheat Improvement Center**, Mexico City, Mexico \$25,000 to support participation by maize scientists from national agricultural research programs at the Sixth Eastern and Southern Africa Regional Maize Conference

**Kenya Agricultural Research Institute**, Nairobi, Kenya \$222,000 to continue support for on-farm research in improved soil management at the Kitale Regional Research Center

**Kenya Agricultural Research Institute**, Nairobi, Kenya \$15,290 for use by its Embu Regional Research Centre to support the continuation of the long term soil organic matter project at Machanga and Mutuobare, Mbeere District

**Kenya Agricultural Research Institute**, Nairobi, Kenya \$65,000 for use by the National Dryland Farming Research Center - Katumani, to continue support for a collaborative research project with the International Center for Research in the Semi-Arid Tropics and the Agricultural Production Systems Research Unit of Australia on agricultural/ resource modeling and applications in semi-arid Kenya

**Kenya Agricultural Research Institute**, Nairobi, Kenya \$70,000 for use by its National Agricultural Research Laboratories to continue support for the coordination of soil management projects at sites in Kisii and Kitale

**Kenya Agricultural Research Institute**, Nairobi, Kenya \$75,000 to continue support for screening trials of legume species for adaptability to varying agroecological conditions and land management systems in Kenya

**Ministry of Agriculture and Irrigation, Malawi**, Lilongwe, Malawi \$131,000 for use by its Department of Agricultural Research and Technical Services to continue support for maize agronomy research

**Ministry of Agriculture and Irrigation, Malawi**, Lilongwe, Malawi \$30,000 to support a Malawi grain legumes study

**Ministry of Finance, Malawi**, Lilongwe, Malawi \$30,000 to support a review of Malawi's soil fertility policy

**Rothamsted Experimental Station**, Hertfordshire, England \$95,000 for use by its Departments of Statistics and Soil Sciences to support research and analysis of existing long-term agronomic trials in Africa

**Tropical Soil Biology and Fertility Programme**, Nairobi, Kenya \$76,000 to support research to improve organic matter management in smallholder farming systems of Malawi and Zimbabwe through composting of crop residues and manure

**Tropical Soil Biology and Fertility Programme**, Nairobi, Kenya \$330,000 to continue support for its networking and research activities in Africa

**University of Nairobi**, Nairobi, Kenya \$32,000 for use by its Department of Soil Science to support phase three of the Rhizobium Ecology Network of east and southern Africa

**University of Zimbabwe**, Harare, Zimbabwe \$90,000 for use by the Department of Soil Science and Agricultural Engineering to support the establishment of a nitrogen fixation facility.

**University of Zimbabwe**, Harare, Zimbabwe \$90,000 to provide a professional supplement for a professorship in the Department of Soil Science and Agricultural Engineering

**Village Enterprise Zone Associations International**, Chicago, Illinois \$50,000 to support the continuation of its work in Malawi on agricultural research and food security

**Paul Woomey**, Nairobi, Kenya \$60,000 to conduct research in Kenya and Uganda on nutrient replenishment, banana restoration and legume inoculant improvement

#### GRANTS IN AID

**African Crop Science Society**, Rustenburg, South Africa. \$20,000 toward the costs of planning for its 1999 Congress and publication of the Congress Journal

**Youssef Camara**, East Lansing, Michigan \$16,380 to support research on the profitability of cassava based production systems in west Africa

**Epidavros Project**, New York, New York \$49,800 to support the preparation of a feasibility study for a documentary film on African development opportunities

**Foundation-administered project:** \$10,000 to explore the development of an economics-and-policy working group to further strengthen the Foundation's work on integrated soil nutrient management in the southern African region

**International Center for Tropical Agriculture**, Cali, Colombia \$17,600 to support the publication and distribution of a bean atlas for Africa

**International Centre for Research in Agroforestry**, Nairobi, Kenya \$12,300 to support the preparation and subsequent agronomic evaluation of Ugandan phosphates on P deficient soil in western Kenya

**International Food Policy Research Institute**, Washington, D.C. \$36,950 to support a project to enhance access to food policy and poverty literature in Lusophone countries through translation of key material from English to Portuguese

**International Livestock Research Institute**, Nairobi, Kenya \$45,000 to develop, in collaboration with the International Maize and Wheat Improvement Center and other international agricultural research centers and national agricultural research systems, a spatially referenced crop and livestock-production database for eastern and southern Africa

**International Livestock Research Institute**, Nairobi, Kenya \$5,000 to support, in collaboration with Makerere University, Department of Agricultural Economics, the preparation of a research proposal to examine dairy policy in Uganda

**International Network for the Improvement of Banana and Plantain**, Paris, France \$10,000 to support the publication of the proceedings of the Banana IPM Workshop, held in November 1998 in South Africa

**Kenya Agricultural Research Institute**, Nairobi, Kenya \$15,000 for use by the National Dryland Farming Research Center - Katumani, to continue support for a collaborative research project with the International Center for Research in the Semi-Arid Tropics and the Agricultural Production Systems Research Unit of Australia on agricultural/ resource modeling and applications in semi-arid Kenya

**Kenya Agricultural Research Institute**, Nairobi, Kenya \$15,000 to support in-country costs to the Kenya Agricultural Research Institute associated with the Wye College External Program for members of its Socio-Economics and Biometrics Division

**Kenya Agricultural Research Institute**, Nairobi, Kenya \$123,600 to support for the planning and development of a book on the theme of building national agricultural research systems in Africa

**Makerere University**, Kampala, Uganda \$8,060 for use by its Faculty of Agriculture and Forestry to support the teaching of a micro economics course in the Ph.D. program

**Ministry of Agriculture and Irrigation**, Malawi, Lilongwe, Malawi \$17,000 to support a midterm review of the Maize Productivity Task Force

**New School University**, New York, New York \$30,000 for use by the Graduate Faculty of Political and Social Science to support a conference, "Food Nature and Culture"

**Tropical Soil Biology and Fertility Programme**, Nairobi, Kenya \$15,000 toward the costs of a workshop on soil biology, held in May 1998.

**University of Malawi**, Zomba, Malawi \$57,600 for use by the University of Malawi Libraries to support the final phase of its Automation Project

**University of Nairobi**, Nairobi, Kenya \$27,538 for use by its Faculty of Agriculture to improve biometrics training and services

**University of Zimbabwe**, Harare, Zimbabwe \$24,920 to support strengthening technical and management backup for the Soyabean Promotion Program

**Anne Wangai**, Nairobi, Kenya \$3,200 to support research on the detection and management of groundnut viruses in Africa

#### FELLOWSHIPS

**Agnes M. Abera**, National Agricultural Research Organization, Kampala, Uganda fellowship for advanced study in entomology leading to the Ph.D. degree under the direction of Roy G. Van Driesche, Department of Entomology, University of Massachusetts, Amherst

**Margaret Ajonye**, Makerere University, Kampala, Uganda fellowship for advanced training in plant molecular biology leading to the Ph.D. degree under the direction of L. R. Erickson, Department of Crop Science, University of Guelph, Ontario, Canada

**David Patrick Bwamiki**, Kawanda Agricultural Research Institute of the National Agricultural Research Organisation, Kampala, Uganda fellowship for advanced study in soil management leading to the Ph.D. degree under the direction of the Chair, Department of Soil, Crop and Atmospheric Sciences, Cornell University, Ithaca, New York

**Paxie Wanangwa Chirwa**, Forestry Research Institute of Malawi, Zomba fellowship for advanced study in soil management/agroforestry leading to the Ph.D. degree under the direction of C. R. Black, Department of Physiology and Environmental Science, University of Nottingham, England

**James G. Gethi**, Kenya Agricultural Research Institute, Mtwapa fellowship for advanced

training in plant breeding leading to the Ph.D. degree under the direction of Margaret E. Smith, Department of Plant Breeding and Biometry, Cornell University, Ithaca, New York

**Easton Gwata**, Crop Breeding Institute, Harare, Zimbabwe fellowship for advanced study in plant genetics leading to the Ph.D. degree under the direction of Ken Boote, Department of Agronomy, University of Florida, Gainesville

**Barnabas A. Kiiza**, Makerere University, Kampala, Uganda supplementary fellowship for advanced training in agricultural economics leading to the Ph.D. degree under the direction of Robert P. King, Department of Applied Economics, University of Minnesota, St. Paul.

**Edward P. Mazhangara**, Chiredzi Research Station, Zimbabwe fellowship for advanced training in agricultural economics leading to the Ph.D. degree under the direction of Eric Crawford, Department of Agricultural Economics, Michigan State University, East Lansing

**David Mwaura Mbugua**, Kenya Agricultural Research Institute, Kisumu fellowship for advanced study in animal science leading to the Ph.D. degree under the direction of the Chair, Department of Soil Science, Cornell University, Ithaca, New York

**Caroline Nankunga**, Kawanda Agricultural Research Institute, Kampala, Uganda supplementary fellowship for advanced training in insect pathology leading to the Ph.D. degree under the direction of M. L. Deadman, University of Reading, England

**Michael Ngunjiri Njunie**, Kenya Agricultural Research Institute, Bamburi, Kenya fellowship research allocation for dissertation research in Kenya, as part of a Ph.D. program in soil science under the direction of Michael G. Wagger, Department of Soil Science, North Carolina State University, Raleigh

#### NATURAL RESOURCES MANAGEMENT

**Natural Resources Management - Mexico**  
*1996 appropriation of \$2,200,000 in addition to previous funding for allocation by the officers to evaluate potentially sustainable, natural resource management systems for small farmers in diverse marginal areas of Mexico through collaborative partnerships of smallholders, researchers and members of non-governmental organizations.*

**Autonomous University of Chapingo**, Chapingo, Mexico \$40,000 to support its Agroecology Research and Training Program in Oaxaca

**Autonomous University of Chapingo**, Chapingo, Mexico \$50,000 for use by its Agroforestry Center for Sustainable Development to support the project, "Agroforestry Research, Training, and Extension"

**Autonomous University of Chapingo**, Chapingo, Mexico \$70,000 to continue support for the project, "Sustainable Development of Agroecosystems in the South of the State of Sinaloa," jointly carried out with the National Institute on Forest and Agriculture Research

**Autonomous University of the Yucatán**, Mérida, Mexico \$50,000 for use by its Department of Management and Conservation of Tropical Natural Resources to continue research on natural resource management alternatives for the "henequen" region of the Yucatán

**Community Development of the Tuxtías**, Veracruz, Mexico \$25,000 to continue support for a project to validate and promote improved farming practices for maize-based systems among smallholders in the State of Veracruz

**El Colego de la Frontera Sur**, San Cristobal de Las Casas, Mexico \$60,000 to continue support research on alternatives for sustainable production in Los Altos de Chiapas

**Majomut Union of Cooperative Farmers and Communities of Coffee Growers**, Chiapas, Mexico \$45,000 to continue support for a project, "Research and Promotion of Adequate Technology for Coffee Cultivation in the Region of Los Altos de Chiapas"

**Network for Studies on Rural Development**, Chapingo, Mexico \$250,000 to support the project, Natural Resources Management in Mexico Consolidation of the Network

**Program for the Integral Use of Natural Resources**, Mexico \$35,000 for research on sustainable management of natural resources in the community of San Nicolas Zoyatlán, State of Guerrero

**Rural Studies and Peasant Counselling**, Oaxaca, Mexico \$65,000 to continue support for the development of natural resources management capacity in the Union of Zapotecos and Chinanteca Communities in Oaxaca

#### GRANTS IN AID

**Andres Ortiz Caton**, Nayarit, Mexico \$16,000 to support soil biology on legume-based green manure systems in the State of Nayarit, Mexico

**Autonomous University of Chiapas**, Chiapas, Mexico \$50,000 to continue support for field research on maize-legume systems in the State of Chiapas

**Foundation-administered project**: \$4,000 to support administrative costs associated with the Natural Resources Management Program

**International Center for Information on Cover Crops**, Tegucigalpa, Honduras \$60,000 to design and coordinate a worldwide survey on green-manure/cover-crops systems among smallholders in the tropics

**Vicente Guerrero Rural Development Project**, Tlaxcala, Mexico \$50,000 to support the design and initial implementation of a farmer-to-farmer program for the diffusion of soil fertility management practices in the State of Tlaxcala and neighboring regions

**Youth for Action**, Hyderabad, India \$10,000 toward the costs of a workshop on sustainable resource management, held in Hyderabad, August to September 1998.

#### EXPLORATIONS

#### GRANTS IN AID

**Center for the Application of Molecular Biology to International Agriculture**, Canberra, Australia \$33,500 toward the costs of a conference, "Designing a Research Strategy for Achieving Asexual Seed Reproduction in Cereals," held at the Bellagio Study and Conference Center, April 27 to May 1, 1998

**Cornell University**, Ithaca, New York \$32,000 for use by the Cornell International Institute for Food, Agriculture and Development to explore the potential of integrated crop management and participatory approaches to improving access to food by smallholders in the tropics

**Foundation-administered project**: \$35,000 to cover administrative costs associated with program explorations in the area of integrated nutrient management, farmers' experimentation and cover agriculture

**Foundation-administered project**: \$60,000 for an exploration into applied microeconomics capacity in eastern and southern Africa

**Foundation-administered project:** \$8,000 to support administrative activities associated with exploration initiatives of the Agricultural Sciences division

**International Maize and Wheat Improvement Center,** Mexico City, Mexico \$39,600 to support research exploring the potential contribution of cover agriculture and farmer experimentation for improving the livelihoods of smallholders in the tropics

**International Maize and Wheat Improvement Center,** Mexico City, Mexico \$89,000 toward the costs of a strategic planning workshop on molecular approaches for the genetic improvement of cereals for stable production in water limited environments, to be held in Mexico City, Mexico, in June 1999

**International Service for National Agricultural Research,** The Hague, Netherlands \$19,908 toward the costs of a study, Biotechnology for African Crops

**Purdue University,** West Lafayette, Indiana \$20,000 toward the costs of a workshop, Developing a Strategy for the Development and Global Dissemination of Technology for Sustainable Crop Production in the Tropical Acid Savannas and Other Problem Soils of the World, held May 4 to 6, 1998

**Purdue University,** West Lafayette, Indiana \$20,000 toward the costs of the West Africa Hybrid Seed Workshop held in Niamey, Niger, held September 28 to October 2, 1998

**Tropical Soil Biology and Fertility Programme,** Nairobi, Kenya \$49,230 toward the costs of an international workshop, "Tropical Soil Biology: Opportunities and Challenges for African Agriculture," held in Nairobi, Kenya, in January 1999

**University of Costa Rica,** San José, Costa Rica \$15,000 for use by its Center for Agronomic Research to participate in an exploration of the use of green manures and cover crops by farmers in Central America, with an emphasis on Costa Rica

**University of Nebraska,** Lincoln, Nebraska \$9,000 toward the costs of a symposium on Post-Green Revolution Trends in Crop Yield Potential held in conjunction with the annual meeting of the Crop Science Society of America in Baltimore, Maryland, in October 1998

**Overseas Development Council,** Washington, D.C. *1998 appropriation of \$500,000 to assist the Council in its transition from an American institution with a distinguished record of influencing U.S. development policies to an international policy analysis institution focused on globalization, equity and development.*

**Rockefeller University,** New York *1998 appropriation of \$585,860 in addition to previous funding to cover operating costs associated with the preservation and continuing use of Foundation records deposited at the Rockefeller Archive Center.*

#### GRANTS IN AID

**Adriano Olivetti Foundation,** Rome, Italy \$50,000 toward the costs of its project to analyze the historical linkage of American foundations in Europe and the development of European foundations

**Advertising Council,** New York, New York \$225,000 toward use in the Commitment 2000 project in learning how American attitudes about poor families are determined and begin to change the public's perception of parents who are poor

**Arizona State University,** Tempe, Arizona \$150,000 for "1848/1898 @1998 Transhistoric Thresholds," a research and education project commemorating the 1848 Treaty of Guadalupe Hidalgo and the end of the Spanish American War in 1898

**Council on Economic Priorities Accreditation Agency,** New York, New York \$150,000 toward a series of capacity-building workshops for nonprofit organizations and businesses interested in improving workplace conditions and a cost benefit analysis and best-practices report on compliance with the Agency's Social Accountability 8000 Standard

**Council on Foundations,** Washington D.C. \$49,600 toward general operating expenses during 1998

**Foundation Center,** New York, New York \$200,000 toward its general operating expenses

**Foundation Center,** New York, New York \$250,000 to support the expansion and renovation of its headquarters library and administrative offices

**Foundation-administered project:** \$100,000 to cover the work of the Communications and Social Change project

**Foundations-administered project:** \$5,000 to cover the costs of the Rockefeller Foundation's participation in Grantmakers in Film, Television and Video

**Foundations-administered project:** \$50,000 for additional support of Foundation initiated activities surrounding the Communications and Social Change project

**Fund for the City of New York,** New York, New York \$150,000 to support its Center for Internet Gateways to Access, Equity and Community

**Graduate School and University Center of the City University of New York,** New York, New York \$150,000 to support an investigation of the social and economic assimilation of second generation immigrants in metropolitan New York in the 1990s

**Hispanics in Philanthropy,** Berkeley, California \$50,000 toward its general operating expenses

**Hunter College of the City University of New York,** New York, New York \$200,000 toward the costs of The Historical Archives of the Puerto Rican Migration to the United States project at the Centro de Estudios Puertorriqueños

**Independent Sector,** Washington D.C. \$10,000 toward general operating expenses in 1998

**Indiana University,** Bloomington, Indiana \$200,000 for use by the Kinsey Institute for Research in Sex, Gender and Reproduction

**New York Regional Association of Grantmakers,** New York, New York \$10,000 toward general support for the year 1998

**Panos Limited,** London, England \$250,000 in support of the Communications and Social Change project

**Peace Child International,** Buntingford, England \$50,000 toward the costs of producing a youth edition of the United Nations Development Programme's human development reports 1990-1998, to serve as a young people's introduction to sustainable human development

**Public Relations Society of America Foundation,** New York, New York \$75,000 toward a pilot study of the National Issues Credibility Index, which will focus on race and difference

**RF Matching Gift Program:** \$150,000 toward the RF Matching Gift Program

**Sound Portraits Productions, Inc.,** New York, New York \$50,000 toward the costs of producing "The Sunshine Hotel" and "Death Row Diaries"

**Tengboche Monastery,** Tengboche Solu-Khumbu, Nepal. \$37,000 toward the costs of establishing an eco-center that will provide information and education on sustainability in a fragile ecosystem

**PROMOTE POLICY DIALOGUE AND RESEARCH**

*Policy Dialogue and Research 1998 appropriation of \$6,350,000 in addition to previous funding for allocation by the officers to support policy dialogue and research activities that will mobilize the resources needed to satisfy unmet demand for family planning and reproductive health services in developing countries.*

**Action Canada for Population and Development**, Ottawa, Canada \$100,000 for a public education program about international population and development issues and the dissemination of research results on these topics

**Ateneo de Davao University**, Davao City, Philippines \$88,880 for a project at its Social Research Office in collaboration with the Population Council to measure how women's reproductive behavior is affected by improving public family planning and reproductive health services in the province of Davao del Norte.

**Australian National University**, Canberra, Australia \$277,760 for a collaborative study with the ICD-DR, B, on reproductive and other health levels in Dhaka, Bangladesh

**Australian Reproductive Health Alliance**, Canberra, Australia \$200,000 to continue to inform Australian policymakers and the public about international reproductive health, population and development issues

**Center for Cultural and Technical Interchange between East and West**, Honolulu, Hawaii \$87,050 toward the cost of a forum that will bring representatives from international and regional NGOs together with representatives from Asia-Pacific development assistance agencies to discuss implementation of the Cairo Programme of Action over the past five years

**Cornell University**, Ithaca, New York \$189,480 to continue a study conducted in collaboration with the Population Council and the University of Southampton on cultural theories of fertility decline in Bangladesh and West Bengal, India

**Danish Family Planning Association**, Copenhagen, Denmark \$117,690 for an educational initiative on international reproductive health and family planning issues

**Equilibrium and Population**, Paris, France \$150,000 for a monthly newsletter on international population and reproductive health issues

**Family Care International**, New York, New York \$200,000 toward the cost of publishing a report on global progress toward implementing the recommendations of the 1994 International Conference on Population and Development

**Family Federation of Finland**, Helsinki, Finland \$165,470 to continue an educational initiative on international reproductive health and family planning issues for parliamentarians, government officials and the media

**Foundation-administered project:** \$157,000 for administrative expenses associated with the population policy dialogue and research program.

**Foundation-administered project:** \$20,670 to commission the Futures Group to complete nine case studies of the demographic transition that track the movement from high to low fertility.

**Foundation-administered project:** \$220,000 for a conference at Bellagio on global fertility transition theory

**Foundation-administered project:** \$24,000 for population policy research advisory group meetings.

**Foundation-administered project:** \$48,000 toward the cost of a joint evaluation of the informational/educational activities of grantees in Australia, Canada, Germany, France, the Netherlands, New Zealand and the United Kingdom, being commissioned by the Hewlett-Packard, and Rockefeller foundations, and the United Nations Population Fund

**Foundation-administered project:** \$650,000 for policy dialogue communications activities and projects in support of South-South cooperation

**Foundation-administered project:** \$67,300 to commission the Futures Group to develop an instrument by which to measure how commitment to, and the range of resources available for, family planning and reproductive health programs have changed in response to the Cairo Programme of Action

**Foundation-administered project:** \$75,000 toward the cost of a symposium at the Foundation's Bellagio Center on population change and economic development that will

provide technical information for subsequent UNFPA meetings on this subject and implementation of the Cairo Programme of Action

**Foundation-administered project:** \$8,500 for a meeting organized in collaboration with the United Nations Population Fund to discuss plans for a 1999 review of the implementation of the Programme of Action of the International Conference on Population and Development held in 1994

**German World Population Foundation**, Hannover, Germany \$283,000 for a communications project that provides German policymakers with information on global population issues.

**International Council on Management of Population Programmes**, Kuala Lumpur, Malaysia \$153,500 to foster collaboration in population and reproductive health among NGOs in Asia, and with the Center for African Family Studies, the Mexican Family Planning Association, and the Partners in Population and Development

**International Planned Parenthood Federation**, London, England \$74,750 to continue an educational initiative on international reproductive health and family planning issues for European parliamentarians, civil servants, the media and others

**Johns Hopkins University**, Baltimore, Maryland \$210,350 to continue a collaborative project with the University of Montreal on the relationships between declining child mortality and fertility rates in sub-Saharan Africa

**Marie Stopes International**, London, England \$85,130 for a technical assistance project to improve the ability of NGOs in Europe, Australia and New Zealand to provide information about international population and development issues to government agencies

**Ministry of Health, Senegal**, Dakar, Senegal \$87,000 for a study by the National Family Planning Program to document how improving the quality of service affects continuation of family planning use in Senegal.

**National Academy of Sciences**, Washington, D.C. \$250,000 for a panel study on population projections organized by its Committee on Population

**National Academy of Sciences**, Washington, D.C. \$75,000 toward the cost of convening a panel of experts that will recommend a global research agenda on population aging and promote the collection of data on aging issues in developing countries

**Population Action International**, Washington, D.C. \$250,000 toward the cost of publications and media activities designed to advance implementation of the Cairo Programme of Action.

**Population Council**, New York, New York: \$129,170 to complete and disseminate the results of a multyear study concerning the nature and causes of unmet need for family planning in Egypt, Ghana, Pakistan and Zambia

**Population Council**, New York, New York \$170,830 for the fourth year of a study concerning the relationships between high fertility in developing countries and educational investments by parents

**Population Council**, New York, New York \$215,020 to continue a study documenting the impact of quality of care on women's reproductive behavior.

**Population Council**, New York, New York: \$218,780 for a study of the health effects of rapid urbanization and population growth in developing countries, and to test the feasibility of the African Population Policy Research Center establishing an urban-based longitudinal demographic and health research system

**Population Council**, New York, New York \$50,000 for a study of gender and reproductive health in India and Pakistan

**Population Council**, New York, New York: \$75,570 for the publication of a supplement to its Population and Development Review containing papers from a Bellagio conference on global fertility transition theory

**Population Reference Bureau**, Washington, D.C. \$135,060 for an assessment of the design and implementation of Japanese international assistance for population and reproductive health programs

**Portuguese Family Planning Association**, Lisbon, Portugal. \$116,440 for an informational project for Portuguese government officials and opinion leaders concerning international reproductive health and population issues

**Princeton University**, Princeton, New Jersey \$97,360 for a study at its Office of Population Research on birth intervals in sub-Saharan Africa.

**Save the Children**, Westport, Connecticut \$99,940 for a project to inform European community development agencies about the Cairo Programme of Action and to encourage them to join in public education efforts designed to improve the quality and availability of reproductive health services around the world

**Swedish Association for Sex Education**, Stockholm, Sweden \$68,000 for an educational initiative concerning the Cairo Programme of Action, particularly adolescent reproductive health and rights, for Swedish opinion leaders, the media and government officials

**United Nations Population Fund**, New York, New York \$1,425,000 to continue support for the Partners in Population and Development initiative

**University of Pennsylvania**, Philadelphia, Pennsylvania \$50,000 to study how fertility behavior and family planning ideas diffuse across different political and social environments in Kenya, Malawi and Zimbabwe

**University Research Corporation (Bangladesh)**, Dhaka, Bangladesh \$47,310 for a follow-up survey in Bangladesh to learn whether women who expressed an intention to use family planning five years ago have used it, and to study the issues surrounding use and nonuse of contraception among this population

**World Bank**, Washington, D.C. \$50,000 for use by its Economic Development Institute for a seminar in Dhaka on Asia's new demographic realities and a seminar in Nairobi on integrating reproductive health services in sector-wide health reform programs

**World Population Foundation**, Netherlands, Hilversum, Netherlands \$400,680 for three projects designed to mobilize European donor assistance for reproductive health and family planning programs in developing countries

**Policy Research in Sub-Saharan Africa** 1998 appropriation of \$500,000 in addition to previous funding for allocation by the officers to support population research in Africa designed to improve policymakers' understanding of the current unmet demand for family planning and reproductive health services in their countries and provide them with information on how to design services that improve women's health and reduce fertility.

**International Union for the Scientific Study of Population**, Liège, Belgium \$100,000 toward the cost of a seminar in Nairobi on reproductive change in sub-Saharan Africa, held in collaboration with the African Population Policy Research Center

**Kathleen Kahn**, Johannesburg, South Africa \$16,100 to prepare a report on field sites supporting longitudinal community-based demographic and health research in Africa, Asia and Latin America that would be used to enhance collaboration among them

**Ministry of Health, Ghana**, Accra, Ghana \$145,830 toward the cost of the Navrongo Health Research Centre's demographic surveillance system.

**Ministry of Health, Ghana**, Accra, Ghana \$60,000 to enable the Navrongo Health Research Centre to engage a senior scientist for its demographic surveillance system

**Ministry of Health, Ghana**, Accra, Ghana \$51,300 for use by its Navrongo Health Research Centre to explore the possibility of forming a network of field stations investigating health and population issues in Africa, Asia and Latin America

**Population Council**, New York, New York \$2,223,610 in support of its African Population Policy Research Center in Nairobi

**University of Pennsylvania**, Philadelphia, Pennsylvania \$100,000 for use by its Population Studies Center for the African census analysis project

**U. S. International Population Assistance** 1997 appropriation of \$500,000 in addition to previous funding for allocation by the officers to educate the United States public about international population issues and the current level at which the foreign aid program supports implementation of the Cairo Programme of Action.

**Communications Consortium Media Center**, Washington, D.C.: \$125,000 for general support

#### GRANTS IN AID

**Centre for African Family Studies**, Nairobi, Kenya \$40,000 to encourage regional collaboration in reproductive health among sub-Saharan NGOs and with the Partners in Population and Development.

**Family Care International**, New York, New York \$50,000 for dissemination and communications activities associated with its two-year program of action to mark the 10th anniversary of the Safe Motherhood Initiative.

**Foundation-administered project:** \$45,000 for administrative expenses in connection with an exploration by the Health and Population Sciences divisions concerning the key demographic trends involved with rapid population aging in developing countries, and their implications for health

**Mexican Family Planning Association**, Mexico City, Mexico \$60,000 to encourage collaboration in reproductive health among Latin American NGOs and with the Partners in Population and Development

**New School University**, New York, New York \$30,000 for use by the Graduate Faculty of Political and Social Science to support a conference, "Food Nature and Culture"

**Parliamentarians for Global Action**, New York, New York \$120,000 to continue a west African parliamentary initiative on population and development that concerns the implementation of the Cairo Programme of Action

**S. Chandrasekhar**, La Jolla, California \$50,000 for a history of birth control in India that includes suggestions for future policies

**State Family Planning Commission**, Beijing, China \$10,000 to disseminate the results of a project demonstrating the advantages of an enhanced rural family planning system that integrates improved methods of contraception with better training of local family planning personnel

**United Nations Population Fund**, New York, New York \$85,000 toward the cost of preparing for a meeting at the Foundation's Bellagio Center of representatives from developing countries, donors and pharmaceutical companies concerning the price of oral contraceptives in developing-country markets

#### LAUNCHING A SECOND CONTRACEPTIVE REVOLUTION

**Second Contraceptive Technology Revolution** 1998 appropriation of \$4,500,000 in addition to previous funding for allocation by the officers to mobilize resources for launching a second contraceptive technology revolution that will lift the whole field of contraceptive research and development.

**Aaron Diamond AIDS Research Center**, New York, New York \$199,980 for a study of inhibitors of HIV-1 envelope and cellular co-receptors, as part of a network geared toward the development of vaginal microbicides/contraceptives

**Brigham and Women's Hospital**, Boston, Massachusetts \$199,990 for a study of vaginal tract mucosal immune defense and HIV infection, as part of a network geared toward the development of vaginal microbicides/contraceptives

**Catholic University of Chile**, Santiago, Chile \$48,400 for its training and research program in reproductive biology.

**Chinese Academy of Sciences,** Beijing, China \$19,700 for use by the Institute of Zoology for a workshop to increase reproductive health options for poor women by improving communication between Chinese researchers working in the field of contraceptive development and the pharmaceutical industry

**Chinese Academy of Sciences,** Beijing, China \$200,350 for use by its State Key Laboratory of Reproductive Biology for studies on the molecular and cellular mechanisms affecting implantation and luteal regression in primates as part of a network working on implantation research in collaboration with the World Health Organization

**Family Health International,** Research Triangle Park, North Carolina \$46,740 for a meeting to promote for-profit and nonprofit sector collaboration in the area of microbicide/spermicide development

**Foundation-administered project:** \$45,000 for a meeting at the Foundation's Bellagio Center on the application of molecular pharmacology to the study of post-testicular sperm activity

**Latin American Program for Training and Research in Human Reproduction,** Mexico City, Mexico \$600,000 for a project to strengthen human resources for biomedical research and fertility regulation, and foster collaboration between the public and private sectors

**Medical Research Council,** London, England \$119,000 for use by its HIV Clinical Trials Centre to evaluate the efficacy of vaginal microbicides in the macaque

**Oregon Health Sciences University,** Beaverton, Oregon \$199,000 for use by the Oregon Regional Primate Research Center for studies in rhesus monkeys using anti-angiogenic agents as part of a network working on implantation research in collaboration with the World Health Organization

**Ottawa Civic Hospital Loeb Research Institute,** Ottawa, Canada \$50,000 for a study in collaboration with Mahidol University on two sperm surface proteins and their antibodies as potential nonhormonal contraceptives

**Population Council,** New York, New York \$299,040 to participate in and administer a basic science network on heterosexual transmission of HIV that is geared toward the development of vaginal microbicides/contraceptives

**Prince Henry's Institute of Medical Research,** Clayton, Australia \$206,000 for a study of novel endometrial targets for post-coital contraception as part of a network on implantation research in collaboration with the World Health Organization

**Rockefeller University,** New York, New York \$189,980 for a study of dendritic cells as targets for microbicides to block the mucosal transmission of HIV, as part of a network geared toward the development of vaginal microbicides/contraceptives

**Rush-Presbyterian-St. Luke's Medical Center,** Chicago, Illinois \$146,950 toward the cost of developing vaginal contraceptives that provide protection against sexually transmitted diseases

**University of California, Davis,** Davis, California \$210,010 for studies in the SIV/rhesus macaque animal model designed to define the biology of heterosexual HIV transmission, as part of a network geared toward the development of vaginal microbicides/contraceptives

**University of Technology Aachen,** Aachen, Germany \$300,000 for development of trophoblast specific contraceptive agents as part of a network working on implantation research in collaboration with the World Health Organization

#### GRANTS IN AID

**Banaras Hindu University,** Varanasi, India \$4,650 to continue a study of the causes of delayed ovulation in a species of bats and in women who suffer from polycystic ovarian disease

**Center for Research and Services Related to Human Reproduction,** Salvador, Brazil \$40,000 for a symposium on microbicide/barrier methods of contraception to be held at the 10th World Congress on Human Reproduction

**Collège de France,** Paris, France \$5,000 toward the cost of a colloquium about rapidly changing population dynamics in industrialized countries entitled "Contraception Constraint or Freedom?"

**International Peace Maternity and Child Health Hospital,** Shanghai, China \$35,680 for a study in collaboration with the Shanghai Institute of Family Planning Technical Instruction on the probability of conception in Chinese women at the time of ovulation.

**Peking Union Medical College,** Beijing, China \$30,000 for a study of sperm maturation as a locus for male contraception at its National Laboratory of Medical Molecular Biology

**Population Council,** New York, New York \$50,000 for a multi-center collaborative study on male fertility regulation

**Population Council,** New York, New York \$50,000 for the development of a protocol to test a medical menstrual regulation product designed to improve the health of women in developing countries

**Reproduction Research Institute,** Arlington, Virginia \$60,000 for general support

**Tides Center,** San Francisco, California \$75,000 for its project, the Alliance for Barrier Antimicrobials, that will foster and facilitate the development of products that protect against sexually transmitted diseases

#### RESEARCH TO IMPROVE REPRODUCTIVE HEALTH

**HIV in the Developing World 1997 appropriation of \$2,000,000 (joint with HS) in addition to previous funding for allocation by the officers to support the search for an HIV (human immunodeficiency virus) vaccine and to help developing countries improve their capabilities for reducing the spread of HIV and other sexually transmitted diseases and mitigate the consequences of current HIV infection.**

**Chinese Academy of Preventive Medicine,** Beijing, China \$15,000 in support of a workshop, entitled, "Intervention of HIV/AIDS in China"

**International AIDS Vaccine Initiative,** New York, New York \$1,250,000 for continued funding of its activities to ensure development of safe, effective, preventive HIV vaccines for use throughout the world

**Improving Reproductive Health Service Delivery 1997 appropriation of \$6,000,000 (joint with HS) in addition to previous funding for allocation by the officers to support research in selected developing countries to identify sustainable**

**models to improve the reach and quality of reproductive health services, especially for neglected populations and services.**

**Ashish Gram Rachna Trust,** Pachod, India \$81,000 for use by its Institute of Health Management, Pachod, to develop, monitor and evaluate a model reproductive and child health program through a target free approach, in a slum setting in Pune city

**Burkina Faso Association for Family Well-Being,** Ouagadougou, Burkina Faso \$89,740 for a community-based study on the needs, attitudes, practices and sexual behavior of youth in the context of STDs and HIV/AIDS in a rural setting of Yatenga Province, Burkina Faso

**Foundation-administered project:** \$156,279 for a Foundation workshop in east Africa for grantees and technical support partners conducting reproductive health intervention planning and research in Africa

**Foundation-administered project:** \$177,000 for administrative costs associated with implementing the reproductive health services research program

**International Center for Research on Women,** Washington, D.C. \$142,000 to collaborate with researchers in India in conducting a dissemination conference and an intervention proposal development workshop, in connection with documentation research projects on adolescent sexuality and fertility

**Ministry of Health, Burkina Faso,** Ouagadougou, Burkina Faso \$136,590 to conduct a community based study in collaboration with the Population Council (Ouagadougou), to document and understand norms and practices related to induced abortion and prevention of unwanted pregnancies in a rural area of Bazega Province

**Ministry of Planning, and Economic Development, Uganda,** Kampala, Uganda \$30,390 for use by its Population Secretariat for new activities designed to build capacity within the District Planning Units of three districts to collect, analyze, disseminate and utilize information and data about adolescent reproductive health for program development and implementation, and to build capacity at the Secretariat for policy analysis and coordination

**Population Council,** New York, New York \$100,000 to enable its New Delhi regional office to expand

its technical support to local professionals and institutions undertaking reproductive health research

**Population Council**, New York, New York \$360,460 to enable its staff in Hanoi and Bangkok to continue to provide technical assistance to Vietnamese NGOs and government agencies to develop research projects in reproductive health, and to assist UNICEF's Myanmar office with conducting research and training on critical issues in reproductive health in Myanmar

**Population Secretariat, Uganda**, Kampala, Uganda \$154,280 for activities designed to build capacity within the District Planning Units of three districts to collect, analyze, disseminate and utilize information and data about adolescent reproductive health for program development and implementation, and to build capacity at the Secretariat for policy analysis and coordination

**Swaasthya Project**, New Delhi, India \$75,050 for a community-based intervention research project targeting adolescents, to improve HIV/AIDS and STD prevention in the Tigris area of New Delhi

**United Nations Children's Fund**, New York, New York \$169,950 for activities to be conducted in collaboration with the World Health Organization and working groups from six countries to establish which factors related to country level programming for adolescent health are most useful to measure, how best to collect and use the data for monitoring and evaluation, and to conduct local/district-level field tests

**University of Ouagadougou**, Ouagadougou, Burkina Faso \$22,690 for a study to be conducted by its Research and Training Unit in Demography in collaboration with the Institut du Sahel's Center for Applied Research on Population and Development and the London

School of Hygiene and Tropical Medicine to link adolescent sexuality to reproductive health and fertility outcomes in Mali and Burkina Faso

**University of Ouagadougou**, Ouagadougou, Burkina Faso \$42,120 for a community-based study to be conducted by its Research and Training Unit in Demography and the Association pour la Promotion de la Jeunesse Africaine et le Développement on social factors influencing the sexual behavior of adolescents in the context of HIV/AIDS in Burkina Faso

**World Health Organization**, Geneva, Switzerland \$330,000 for activities to be conducted in collaboration with UNICEF and working groups from six countries to establish which factors related to country-level programming for adolescent health are most useful to measure, how best to collect and use the data for monitoring and evaluation, and to conduct local/district level field tests

**World Health Organization**, Geneva, Switzerland \$60,000 to develop a set of guidelines for researchers on how to plan and implement rigorous studies in community settings in developing countries on the prevalence of reproductive tract infections/gynecological morbidities, as well as on their behavioral determinants and consequences for women's lives

**Public Health Schools Without Walls**  
*1998 appropriation of \$1,300,000 (joint with HS) in addition to previous funding for allocation by the officers to continue support for field-based, graduate-degree training for public health officers in Zimbabwe, Uganda, Ghana and Vietnam, as well as exploratory activities in other countries.*

**Chulalongkorn University**, Bangkok, Thailand \$24,925 for use by its College of Public Health to develop, test and modify 80 to 100 educational modules based on public health problem solving common in developing countries

**Foundation-administered project:** \$125,000 for the annual meeting and other collaborative network activities among the Public Health Schools Without Walls sites

**Hanoi School of Public Health**, Hanoi, Vietnam \$275,000 for continued support of its Public Health Schools Without Walls program activities

**Liverpool School of Tropical Medicine**, Liverpool, England \$50,000 to produce a new edition of "A Short Textbook of Preventive Medicine for the Tropics," which incorporates major developments in the public health field since 1990

**Makarere University**, Kampala, Uganda \$299,567 for use by its Institute of Public Health for its Master's in Public Health program

**Makarere University**, Kampala, Uganda \$28,366 to enable George Pariyo to complete his doctoral studies at Johns Hopkins University as part of a capacity building component under the Public Health Schools Without Walls program

**Makarere University**, Kampala, Uganda \$58,103 for a workshop on the training of trainers in problem-based learning methodology for the Public Health Schools Without Walls Initiative

**McMaster University**, Hamilton, Canada \$54,300 for a workshop on the training of trainers in problem based learning methodology in Kampala, Uganda

**University of Zimbabwe**, Harare, Zimbabwe \$225,322 for continued support of its Public Health Schools Without Walls Master's of Public Health training program

**University of Zimbabwe**, Harare, Zimbabwe \$35,105 in support of a field supervisors' workshop organized by its Public Health Schools Without Walls Master's of Public Health training program

#### GRANTS IN AID (JOINT WITH HS)

**Center for Reproductive Law and Policy**, New York, New York \$50,000 toward the production costs of its Women of the World Francophone Africa project

**International Agency for Research on Cancer**, Lyon, France \$50,000 for a project entitled, "Investigation of Chlamydia Trachomatis and Herpes Simplex Virus 2 in the Etiology of Cervical Cancer," in five developing countries

**International Union for the Scientific Study of Population**, Liège, Belgium \$20,000 toward the cost of a seminar entitled, "Gender Inequalities and Reproductive Health: Changing Priorities in an Era of Social Transformation and Globalization"

**Ministry of Health, Ghana**, Accra, Ghana \$100,000 for use by its Navrongo Health Research Centre for INDEPTH, a network of field sites in developing countries that collect demographic and health data on a continuous basis

**Ministry of Health, Ghana**, Accra, Ghana \$99,930 for use by its Navrongo Health Research Centre for a conference in Dar es Salaam for researchers in Africa, Asia and Latin America conducting longitudinal field studies on health and population issues

**Women's Health Project**, Johannesburg, South Africa \$75,000 for regional activities related to an international initiative entitled, "Operationalizing Cairo and Beijing: A training initiative in Gender and Reproductive Health"

## UNDERSTANDING DIVERSITY

**El Fideicomiso para la Cultura México/U.S.A. (U.S.-Mexico Fund for Culture), Mexico City, Mexico**

1998 appropriation of \$400,000 in addition to previous funding for release by the officers to continue a program of support for collaboration, exchange and interaction between U.S. and Mexican artists and scholars.

**Institute of International Education, New York, New York**  
1998 appropriation of \$375,000 in addition to previous funding to improve and increase the role of American artists in international visual-arts exhibitions and performing-arts festivals throughout the world.

**Latin American Network of Independent Producers of Contemporary Art (La Red Latinoamericana de Productores Independientes de Arte Contemporánea), Buenos Aires, Argentina**  
1998 appropriation of \$275,000 in addition to previous funding to facilitate intracontinental interaction among Latin American artists and independent arts organizations.

**Multi-Arts Production Fund**  
1998 appropriation of \$850,000 in addition to previous funding for allocation by the officers to support creative artists in the performing arts whose work reflects the boldest explorations in intercultural and/or international representation.

**American Conservatory Theatre Foundation, San Francisco, California**: \$10,000 to support the development of "Bethlehem," a play by Octavio Solis

**Art Sweats, New York, New York**: \$20,000 toward the costs of developing "Arts in Action: The No Roles Barred Project," a community based, dance-theater project by choreographer David Dorfman.

**Asia Society, New York, New York**: \$25,000 to support the creation and production of "Forgiveness," a music-theater work by theater artists Chen Shi-Zheng, composer Eve Beglarian, pansori musician Kang Kwon Soon, and Chinese opera performer Zhou Long.

**Bang On A Can, New York, New York**: \$25,000 toward the creation and production of a musical story work by composer Evan Ziporyn and Balinese storyteller I Wayan Wija

**Bloomsburg Theatre Ensemble, Bloomsburg, Pennsylvania**: \$20,000 to support the creation and production of "Coal Project," a dance-theater work by choreographer Karen Barnonte, composer Guy Klucvsek, and directors Laurie McCants and James Goode.

**Boys & Girls Clubs of Metro Atlanta, Atlanta, Georgia**: \$20,000 to support the development and production of "Girls &," a performance work by artist/writer/videographer Mary Ellen Strom in collaboration with 10 teenage girls.

**Brava for Women in the Arts, San Francisco, California**: \$20,000 to support the creation and production of "The Calle Venticuatro Project: Radio San Francisco," a performance work by writers/performers Ric Salinas, Herbert Siguenza, Richard Montoya, and filmmaker Lourdes Portillo.

**Center for the Arts at Yerba Buena Gardens, San Francisco, California**: \$20,000 to support the research and development of "A Slight Variance," a performance work by playwright/performer Brian Freeman.

**Children's Theater Company and School, Minneapolis, Minnesota**: \$20,000 to support the creation and production of a multimedia theater work based on the life and myth of Galileo by theater artists Kari Margolis and Tony Brown

**City Lore: The New York Center for Urban Folk Culture, New York, New York**: \$25,000 for the creation and production of "Rumbombazo," an evening-length music work by composers/performers Francisco Zamorra Chirino, Jesus Cepeda Brenes and Luis Bauzo

**Contemporary Dance Theatre, Cincinnati, Ohio**: \$25,000 to support the development of "At the Crossroads/Nagasaki in Black," a performance piece by writer/performer Keith Antar Mason, visual artist Thomas Phelps, and composer Charles Miller

**Dance Exchange, Takoma Park, Maryland**: \$25,000 to support the creation of "Hallelujah," a community-based dance collaboration by choreographer Luz Lemman, composer Andy Teirstein, and the vocal group Ulali.

**Dance Theatre Etcetera, New York, New York**: \$25,000 to support the creation and production of "Safe Harbor," a site-specific work, set in Red Hook, Brooklyn, by choreographer Martha Bowers and composer Tye Graud

**Dance Umbrella, Austin, Texas**: \$20,000 for the development and production of "The Architecture of Seeing," a multidisciplinary work by choreographer Patricia Hoffbauer and writer George Emilio Sanchez.

**Dancing in the Street, New York, New York**: \$25,000 to support the creation and production of "Picture Red Hook," a site-specific production in Red Hook, Brooklyn, by choreographer/director Joanna Haigood, composer Lauren Weinger, and visual/projection artist Krzysztof Wodiczko.

**Evidence, New York, New York**: \$30,000 to support the development and production of a new work of music and dance by choreographers Ronald Brown and Rokiya Kone.

**Fiji Theater Company, New York, New York**: \$20,000 to support the development and production of "The Korea Project," a multidisciplinary work by theater artist Ping Chong.

**Foundation-administered project**: \$75,000 for administrative costs of the Multi-Arts Production Fund

**Foundry Theatre, New York, New York**: \$25,000 to support the development and production of "The Valley of Iao," a multidisciplinary theater piece by playwright/performance/visual artist Lee Nagrin.

**Gamelan Pacifica, Seattle, Washington**: \$30,000 to support the creation and development of "Kali," a music-theater work by director Kent Devereaux, writer Goenawan Mohamed, composers Jarrad Powell and Tony Prabowo, and choreographers Mary Sheldon Scott and Gusmaiti Suid.

**Gamelan Sekar Jaya, El Cerrito, California**: \$15,000 to support the creation of new works of music integrating gamelan ensemble and other musical ensembles by composers Richard Marmott and Michael Tenzer.

**George Coates Performance Works, San Francisco, California**: \$20,000 to support the creation and production of "The Blind Messenger," a multimedia work by director George Coates, composer Forrest Fang, and photographer Stephen Joseph

**Guthrie Theatre Foundation, Minneapolis, Minnesota**: \$25,000 to support the development and production of "Black No More," a theater work by playwright Syl Jones.

**Hallwalls, Buffalo, New York**: \$20,000 to support the creation and production of "The Shadow Catchers," a music-theater work by writer/performer Judith Jackson.

**Helena Presents, Helena, Montana**: \$25,000 to support the creation and development of "When They Awake," a music project by composers Philip Aaberg and Darol Anger, Métis fiddler Jimmie Larocque, and folklorist Nicholas Vrooman.

**House Foundation for the Arts, New York, New York**: \$25,000 to support the development and production of a music-theater work by theater artist/composer Meredith Monk.

**International Arts Relations, New York, New York**: \$20,000 to support the production of "Manual for a Desperate Crossing," a theater work by playwright Maria Irene Fornes.

**Japanese American Cultural and Community Center, Los Angeles, California**: \$25,000 to support the development and production of an evening-length dance work, "In Between the Heartbeat," by visual/performance artist Hirokazu Kosaka, choreographer Ogun, and composer Yuval Ron.



**Kahilu Theatre Foundation,** Kamuela, Hawaii \$25,000 to support the development and production of an evening-length hula work by hula artists Pualani Kanahele and Nalani Kanaka'ole

**Kronos Performing Arts Association,** San Francisco, California \$25,000 to support the creation and production of a multidisciplinary staged work for string quartet by composer P. Q. Phan and production designer Larry Neff

**La Peña Cultural Center,** Berkeley, California \$20,000 to support the creation and production of "The Alameda Project," a community based site-specific work on the grounds of the former Alameda Naval Base by choreographer Pearl Ubungen and composer Joey Ayala

**La Peña Cultural Center,** Berkeley, California \$25,000 to support the development and production of "Suite for Iya," a musical suite by composers/performers Guillermo Cespedes, Wayne Wallace, Luchi Fuentes and Bobi Cespedes

**Lines Contemporary Ballet,** San Francisco, California \$25,000 toward the costs of commissioning a new ballet with music for Indian tabla and sarangi by choreographer Alonzo King and composer/musician Zakir Hussain

**LiveArt1st,** New York, New York \$20,000 toward the development and production of "Evolution Project/After Eros," a multimedia performance piece by choreographer Maureen Fleming, composer Philip Glass, and playwright David Henry Hwang

**Los Angeles Poverty Department,** Los Angeles, California \$25,000 for the development and production of "Taking Back My Neighborhood," a site-specific multidisciplinary performance work by theater artists John Malpede and Sara Shelton Mann

**Margaret Jenkins Dance Studio,** San Francisco, California \$20,000 to support the creation and production of "Time After," a dance theater work by choreographer Margaret Jenkins, actress Olympia Dukakis, and designer Tom Bonauro

**New Arts Foundation,** Emeryville, California \$25,000 for the creation and production of "Kuttumpalam," an evening length dance theater work by choreographer Nancy Karp and composer Paul Dresher

**New York Foundation for the Arts,** New York, New York \$20,000 for the creation and production of "A Shadow of Forgotten Ancestors," an interdisciplinary dance work by choreographer Koosil-ja Hwang, composer Gregore Asch, set designer Mark Parnish, and the video group OVNI

**New York Shakespeare Festival,** New York, New York \$25,000 for the development and production of "Everybody's Ruby Story of a Murder in Florida," a play by Thulani Davis

**Painted Bride Arts Center,** Philadelphia, Pennsylvania \$30,000 for the development and production of new works for percussion by composer/performers Lenny Seidman and Daryl Kwasi Burgee

**Pat Graney Performance,** Seattle, Washington \$25,000 for the development of "Tattoo," a dance-performance work by choreographer Pat Graney, composer Ellen Fullman, and visual artist Marilyn Lysohr

**Performance Zone,** New York, New York \$15,000 to support the creation and production of "De Flor," a multidisciplinary dance work by choreographer Margarita Guergue, composer Hahn Rowe, photographer Mana Anguera de Sojo, and visual designer Mimi Goese

**Pilobolus,** Washington Depot, Connecticut \$25,000 to support the development and production of "Telling Tales," a full-evening dance-theater by Pilobolus and theater artists/writers Maurice Sendak and Arthur Yorinks

**Play House,** Cleveland, Ohio \$25,000 to support the creation and production of "I Could Stop on a Dime and Get Ten Cents Change," a dance-theater piece by choreographer/director Dianne McIntyre

**Portland Institute for Contemporary Art,** Portland, Oregon \$20,000 to support the creation and production of "The Incredible Disappearing Woman," a multimedia piece by writer/performer Coco Fusco, visual artist Sergio De La Torre, and filmmaker Isaac Julien

**Reich Music Foundation,** New York, New York \$20,000 to support the creation and development of "Three Tales," a three-act video opera by composer Steve Reich and video artist Beryl Korot

**Ridge Street Theatre,** New York, New York \$25,000 to support the creation and production of a multimedia theater work by playwright Mac Wellman, composer Scott Johnson, director Bob McGrath, and designer Laurie Olander

**Salvage Vanguard Theater,** Austin, Texas \$25,000 to support the creation and production of "Ajilnna Id Dibayih in Night Vision," an opera by composer Fred Ho and playwright Ruth Margraff

**San Diego Repertory Theatre,** San Diego, California \$30,000 for the development and production of "Culture Clash In Bordertown," an evening length, multimedia theater work by theater artists Richard Montoya, Herbert Siguenza, Ric Salinas, and director Sam Woodhouse

**School of Hard Knocks,** New York, New York \$20,000 to support the creation and production of "Footprints of War," a dance work by choreographer Yoshiko Chuma and composer Alvin Curran

**St. Ann Center for Restoration and the Arts,** New York, New York \$25,000 for the development and production of two puppet theater works "The Arrangement of Familiar Things" and "The Unfinished Eye" by puppeteers/directors Janie Geiser and Stephen Kaplin, writer/composer Daniel Zippi, composer Chip Epstein, and choreographer Alexandra Montano

**Temple University,** Philadelphia, Pennsylvania \$25,000 for use by the Tyler School of Art for the development and production of "Crepuscule," a site specific work by composer/performer Douglas Ewart

**Trisha Brown Dance Company,** New York, New York \$25,000 for the development and production of "Orfeo," a dance opera by choreographer Trisha Brown, designer Roland Aeschlimann, and conductor Rene Jacobs

**Urban Bush Women (UBW),** New York, New York \$25,000 for the development of "Hair Stories," a character driven performance piece by choreographer Jawole Wilia Jo Zoliar

**Washington Performing Arts Society,** Washington, D C \$30,000 for the development of a dance theater work by composer Bemice Johnson Reagon and theater artist Soulymane Koly

**Whispering Voice,** New York, New York \$30,000 for the creation and production of "Love Songs," a dance-theater work by choreographer David Rousseve, visual artist Debby Lee Cohen, and lighting designer Beverly Emmons

**Women's Playhouse Trust,** London, England \$20,000 toward the costs of commissioning and developing a deconstructed version of "Mother Courage," a multidisciplinary theater work by writer Hanif Kureishi, composer Nitin Sawhney, and director Jules Whight

**Wooster Group,** New York, New York \$25,000 to support the development of "North Atlantic," a theatrical collaboration with the Dutch Theater Company Toneelgroep Amsterdam

**Yale University,** New Haven, Connecticut \$25,000 to support the development of "Geography, Part 2 Asia," the second installment of choreographer Ralph Lemon's Geography trilogy

**Yerba Buena Gardens Cultural Center**, San Francisco, California \$20,000 toward the production of "The Sisters Matsumoto," an evening-length theater work by playwright Philip Kan Gotanda

**Zaccho S F**, San Francisco, California \$30,000 for the creation and production of "Invisible Wings," an interdisciplinary dance work by choreographer Joanna Haigood, composer Linda Tillery, and storyteller Diane Ferlatte.

**Representing Cultures through Festivals**  
*1997 appropriation of \$700,000 in addition to previous funding for allocation by the officers to encourage festivals and related performance activities in the United States and internationally that promote social/cultural understanding and international dialogue.*

**American Dance Festival**, Durham, North Carolina \$75,000 for implementation of its 1998 international project activities

**Brooklyn Academy of Music**, New York, New York \$50,000 toward the commissioning, creation and presentation of events for the 1998 "Next Wave Festival."

**Chicago Caribbean Arts Association**, Chicago, Illinois \$10,000 for activities of the Puerto Rican Cuatro Conference and Festival

**Connecticut College**, New London, Connecticut \$35,000 for the panel discussions of its 1998 International Dance Festival

**Contemporary American Theatre Festival**, Shepherdstown, West Virginia \$50,000 for the production of Cherylene Lee's "Carry the Tiger to the Mountain" at the 1998 festival

**Creative Time**, New York, New York \$5,000 for activities of the "Anchorage '98 Festival "

**Cultural Co-operation**, London, England \$50,000 for planning activities of the 1999 "Sacred Voices" Music Village festival

**Cultural Council of Jackson Hole**, Jackson, Wyoming \$30,000 for activities of the Hispanic Cultural Festival

**Dance Theater Workshop**, New York, New York \$40,000 for planning activities of the "New Europe 1999 Consortium and Festival."

**EarthWays Foundation**, Malibu, California \$50,000 for planning activities of the 1999 World Festival of Sacred Music, the Americas.

**Henson Foundation**, New York, New York \$50,000 for the activities of the 1998 International Festival of Puppet Theater

**Idris Ackamoor and Cultural Odyssey**, San Francisco, California \$40,000 for activities of the 1999 "African-American Performance Art Festival."

**Institute of International Education**, New York, New York \$100,000 to support information and convening services of Arts International and the INROADS/THE AMERICAS festival

**Jacob's Pillow Dance Festival**, Lee, Massachusetts \$50,000 for activities of the 1998 festival season.

**Lincoln Center for the Performing Arts**, New York, New York \$50,000 for activities of "Lincoln Center Festival 98 "

**National Black Arts Festival**, Atlanta, Georgia \$50,000 for activities of the music programs of the 1998 festival season

**New Jersey Performing Arts Center Corporation**, Newark, New Jersey, \$50,000 for activities of "Inventing America Memory-Work-Spirit, A Festival of Pan African America."

**Point Community Development Corporation**, New York, New York \$30,000 for activities of the 1998 "All That Drama" theater conference and festival.

**Spanish Theatre Repertory**, New York, New York \$50,000 toward the Humanities Programs of the CUBATEATRO project

**Spoletto Festival U.S.A.**, Charleston, South Carolina \$50,000 for the "Echoes of Africa" dance and music project of the 1998 festival season

**Urban Bush Women (UBW)**, New York, New York \$20,000 for activities of "AIDS Awareness Our Stones, Our Voices, Our Lives," the 24-Hour Community Arts Festival of its 1998 Summer Dance Institute

**Resident Humanities Fellowships 1998 appropriation of \$2,600,000 in addition to previous funding for allocation by the officers to advance scholarship on global social and cultural issues relating to diversity, sustainability and civil society and to promote understanding across diverse communities.**

**Center for the Investigation of Central American Regions**, Antigua, Guatemala \$250,000 toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities entitled, "Beyond the 'Post-War'. Identity Construction and New Political Subjects in Central America."

**Foundation-administered project:** \$80,000 for administrative costs of the Resident Fellowships in the Humanities

**Institute of Peruvian Studies**, Lima, Peru \$250,000 toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities entitled, "Globalization, Cultural Diversity, and Redefinition of Identities in Andean Countries "

**Rutgers University**, New Brunswick, New Jersey \$250,000 toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities at the Institute for Research on Women entitled, "Gender/Race/Ethnicity Rearticulating the Local and the Global "

**University of California, Los Angeles**, Los Angeles, California \$250,000 toward the costs of a program of Rockefeller Resident Fellowships in the Humanities at the Center for Intercultural Performance entitled, "Cross-Cultural Collaboration - Examining Creativity in a Performance World "

**University of California, San Diego**, La Jolla, California \$250,000 toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities at the Center for the Study of Race and Ethnicity

**University of Memphis**, Memphis, Tennessee \$250,000 toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities at the Center for Research on Women entitled, "The Making of Race and Gender Memphis, the Delta, and the Mid South "

**University of North Carolina, Chapel Hill**, Chapel Hill, North Carolina \$250,000 toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities at the Center for International Studies entitled, "Creating the Transnational South "

**University of Oregon**, Eugene, Oregon \$250,000 toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities at the Center for the Study of Women in Society entitled, "Ecological Conversations. Gender, Science, and the Sacred "

**University of Puerto Rico**, San Juan, Puerto Rico \$15,000 for use by the College of Humanities to extend the work of its Caribbean 2000 project through publication, increased Internet visibility, and the hosting of an international symposium focusing on interculturalism in Caribbean performance.

**University of the Republic, Uruguay**, Montevideo, Uruguay. \$250,000 toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities at the Centro de Estudios Interdisciplinarios Latinoamericanos entitled, "Cultural Policies at the End of the Century State and Civil Society in a Time of Regional Integration and Globalization "

**Understanding Cultures through Museums**  
*1998 appropriation of \$1,000,000 in addition to previous funding for allocation by the officers to foster museum projects that explore group identities and intergroup connections.*

**American Academy of Arts and Sciences**, Cambridge, Massachusetts \$25,000 toward the planning and publication of a special issue of "Daedalus," the journal of the American Academy of Arts and Sciences, focused on museums

**Arizona State University**, Tempe, Arizona \$50,000 toward the exhibition, "Contemporary Art From Cuba Irony and Survival on the Utopian Island," at the Arizona State University Art Museum

**Asia Society**, New York, New York \$100,000 toward the exhibition, "Inside Out New Chinese Art "

**Birmingham Museum of Art**, Birmingham, Alabama \$50,000 toward the exhibition "CHOKWE! Art and Initiation among Chokwe and Related Peoples "

**Brooklyn Museum of Art**, New York, New York \$50,000 toward the exhibition, "The Qajar Epoch 200 Years of Painting from the Royal Persian Courts "

**Columbia College Chicago**, Chicago, Illinois \$35,000 toward the exhibition "Alienation and Assimilation Contemporary Images and Installations from the Republic of Korea," at the Museum of Contemporary Photography

**Contemporary Art for San Antonio**, San Antonio, Texas \$10,000 toward the Latina Postcolonial Photobureau residency and installation project

**Exit Art/The First World**, New York, New York \$25,000 toward the initial phase of the international contemporary art program "Futura" "

**Foundation-administered project**: \$55,000 to cover travel and meeting costs of three "Keyword" discussions on the current cultural climate

**Guadalupe Cultural Arts Center**, San Antonio, Texas \$35,000 toward the exhibition, "The Role of Paper Affirmation and Identity "

**Mexican Fine Arts Center Museum**, Chicago, Illinois \$50,000 toward the exhibition "Calendario "

**Mexican Museum**, San Francisco, California \$50,000 toward an exhibition of the work of Patssi Valdez entitled, "Precarious Comforts "

**Museo de las Americas**, Denver, Colorado \$35,000 toward the exhibition "1598, 1848, 1998 Conflicts, Conquest, and Consequences "

**Museum of Photographic Arts**, San Diego, California \$50,000 toward the exhibition, "The Model Wife "

**New Museum**, New York, New York \$100,000 toward support of 1998 exhibition and program expenses

**Ohio Historical Society**, Wilberforce, New York \$75,000 toward the exhibition, "When the Spirit Moves The Africanization of American Movement" at the National Afro-American Museum and Cultural Center

**Pueblo of Pojoaque**, Santa Fe, New Mexico \$40,000 for use by the Poeh Center toward the exhibition, "Nah Poeh Me," at the Poeh Museum

**Smithsonian Institution**, Washington, D.C. \$100,000 toward the exhibition, "Devi: The Great Goddess," at the Arthur M Sackler Gallery

**Smithsonian Institution**, Washington, D.C. \$50,000 toward continuing support for the online exhibition project, "Revealing Things "

**Southeastern Center for Contemporary Art**, Winston-Salem, North Carolina \$30,000 toward an exhibition in the Artist and the Community series entitled, "Ingo Mangano-Ovalle: The Garden of Delights "

**Southwest Museum**, Los Angeles, California \$25,000 toward the exhibition, "Common Threads Navajo and Pueblo Textiles "

**Statue of Liberty Ellis Island Foundation**, New York, New York \$75,000 toward the exhibition "America's Concentration Camps Remembering the Japanese-American Experience," at the Ellis Island Immigration Museum

**Storefront for Art and Architecture**, New York, New York \$40,000 in support of the Detroit Project titled, "Home Made in Detroit "

**University of Maryland**, College Park, Maryland \$50,000 toward the exhibition, "The David C. Driskell Collection Narratives of Twentieth Century African-American Art and Identity "

**University of New Orleans**, New Orleans, Louisiana \$19,000 toward the symposium, "Boundaries, Barriers, and Bridges Creating Inclusive Audiences for Southern Art," at the Ogden Museum of Southern Art

**University of South Carolina**, Columbia, South Carolina \$30,000 toward the catalogue for the exhibition, "I made this jar The life and works of the enslaved African potter, Dave," at the McKissick Museum

**Walker Art Center**, Minneapolis, Minnesota \$50,000 toward the exhibition "Unfinished History "

**Yerba Buena Gardens Cultural Center**, San Francisco, California \$30,000 toward the festival, "A Mexican Presence "

**Understanding Diversity through Film, Video and Multimedia 1998 appropriation of \$1,000,000 in addition to previous funding for allocation by the officers to enable U.S. and international film, video and multimedia producers to create independent work exploring the conflicts, connections and commonalities of diverse communities.**

**Seoungcho Cho**, New York, New York \$35,000 for "Desert Project," a single-channel video and video installation that utilizes the barren surroundings of the California desert to explore the self in isolation

**Tina DeFeliciano and Jane C. Wagner**, New York, New York \$35,000 for "Silent Voices," a documentary about women in the early film industry that will address the question of who controlled access to mass media and how that has affected American culture and history

**Cheryl Dunye**, Los Angeles, California \$35,000 for "The Prison Project," a narrative film script about the struggles of an African American girl determined to break the chain of violence and poverty in her life

**Foundation for Independent Video and Film**, New York, New York \$50,000 for the development of a Web site and online distribution and information services

**Foundation-administered project**: \$208,188 for a service arrangement with National Video Resources to manage the Film/Video/Multimedia Fellowships program and to produce its communications initiative

**Ava Hamilton**, Boulder, Colorado \$35,000 for "Cheyenne Dog Soldiers Story," a documentary about the history of the Cheyenne people in Colorado from the mid 1800s to the present

**Internews Networks**, Arcata, California \$25,000 for the interactive video project "Vis à Vis Bridging Otherness Between Societies "

**International Media Resource Exchange**, New York, New York \$15,000 for the expansion of the Latin American Video Archive's online database and distribution services

**Tom Kalin**, New York, New York \$35,000 for "Some Desperate Crime on My Head or Alfred Chester's Wig," an experimental narrative exploration of the life and work of the writer, Alfred Chester

**Lodge Kerrigan**, New York, New York \$35,000 for "Blood in the Water," a narrative feature film about a woman's attempts to understand the memories of her traumatic relationship with her father

**Dai Sil Kim-Gibson**, New Paltz, New York \$35,000 for "Japanese Military Supplies Korean Comfort Women," a documentary about the Korean women forced into sexual slavery during the Asia/Pacific War

**Shigeko Kubota**, New York, New York \$35,000 for "Hospital Project," a video installation that challenges notions of illness and mortality

**George Kuchar**, San Francisco, California \$35,000 for "The Shadow People," an experimental narrative based on the life and work of John Keel, author of several books on the UFO phenomenon

**Anne Lewis**, Whitesburg, Kentucky \$35,000 for "Moms-town," a documentary about poor and working class Mexicans and Americans in Tennessee caught in a period of massive social change

**McGill University**, Montreal, Canada \$22,971 for use by its Centre for Research on Canadian Cultural Industries and Institutions to support the study, "Pathways to Innovation Culture, Creativity, and the Development of Human Capabilities in Information and Communications Technologies"

**Nina Menkes**, West Hollywood, California \$35,000 for "Heat stroke," an experimental narrative film about two estranged sisters

**Alex Rivera**, New York, New York \$35,000 for "Why Cybracos," a meditation on the future of technology and immigration, set in a future of telemigrants working in "El Norte" while their bodies remain in Mexico

**María Santiago Ruiz**, Oaxaca, Mexico \$20,000 in support of "Bashu'an Guou (The Owner of the Mountain)," a three-video series that recounts the legends and traditions of the Zapotec culture

**Barbara Sonneborn**, Berkeley, California \$35,000 for "Regret to Inform," a documentary about the long-term impact of war, as seen through the eyes of Vietnamese and American widows of the Vietnam War

**Gerardo Suter**, Cuernavaca, Mexico \$20,000 in support of "TranSitus," a two-part video installation that explores the idea of origins and identity in a time when borders did not exist

**University of California, Berkeley**, Berkeley, California \$35,000 for use by its Pacific Film Archive to preserve and exhibit videotapes from the National Center for Experiments in Television

**Juan Francisco Urrusti**, Mexico City, Mexico \$20,000 in support of "La Gnetta (The Fissure)," a documentary about Mexico City in the years approaching the end of the millennium

**Edin Velez and Chon Noriega**, New York, New York \$35,000 for "Of Every Hue and Cast Another History of American Art," a documentary that will re-examine issues of avant-garde and postmodern art as it relates to artists of color

**University of Houston, Houston, Texas**

*1998 appropriation of \$350,000 in addition to previous funding to continue support for a long-term research, preservation and publication project to recover the Hispanic literary heritage of the United States.*

#### GRANTS IN AID

**Alliance for the Arts**, New York, New York \$50,000 toward the costs of the film and media preservation component of The Estate Project for Artists with AIDS

**Art 21**, New York, New York \$75,000 for the public television series entitled, "Art for the Twenty First Century"

**Atlatl**, Phoenix, Arizona \$25,000 toward the conference "Native Arts Network 1998"

**Brown University**, Providence, Rhode Island \$100,000 toward phase two of The Library of Latin America book series at the John Carter Brown Library

**Center for Arts and Culture**, Washington, D.C. \$100,000 for its general programming

**Creative Capital Foundation**, New York, New York \$100,000 toward its ongoing activities

**DanceWorks**, New York, New York \$50,000 toward the costs of "The Help Desk" project, an effort to help small dance companies explore creative opportunities to stabilize their administrative practices and strengthen their artistic output

**District Curators**, Washington, D.C. \$50,000 toward the first phase of the "Performance Portraits" project

**Fund for Independent Publishing**, New York, New York \$70,000 for editorial and outreach expenses for a book and-tapes project entitled, "Remembering Slavery"

**Grantmakers in the Arts**, Seattle, Washington \$15,000 for its 1998-99 activities

**Institute of International Education**, New York, New York \$250,000 toward the costs of a research and exploration project entitled, "Toward a Global Cultural Community Systems for Partnerships and Capacity Building Through the Arts."

**Jacob's Pillow Dance Festival**, Lee, Massachusetts \$40,000 toward the costs of the Leadership Forum on Presenting International Work

**National Library of Chile**, Santiago, Chile \$17,500 to obtain a set of José Medina's microfilm collection of colonial Latin American imprints

**New England Foundation for the Arts**, Cambridge, Massachusetts \$150,000 toward research and documentation of the project entitled, "Developing an Effective International System for the Commissioning and Touring of New Work"

**New School University**, New York, New York \$30,000 for use by the Graduate Faculty of Political and Social Science to support a conference, "Food Nature and Culture"

**Princeton University**, Princeton, New Jersey \$10,000 toward the costs a conference entitled, "Envisioning Paradise: A Conference on Toni Morrison's Vision, Art, and Imagination" at the African-American Studies Program

**Seven Loaves**, New York, New York \$22,000 for the "Demeter's Daughter Video Project"

**The Society for the Preservation of Weeksville and Bedford Stuyvesant History**, Brooklyn, New York \$35,000 toward the costs of stabilization and expansion activities

**University of California, Los Angeles**, Los Angeles, California \$25,000 to complete the Caribbean Series in The Marcus Garvey and Universal Negro Improvement Association Papers

**University of California**, San Diego, La Jolla, California \$8,000 toward the conference on "Collective Identities, Public Spheres, and Political Order in the Americas"

**University of Illinois**, Chicago, Illinois \$20,000 toward the costs of a post-Latin American Studies Association meeting entitled, "Mapping Latino/Latin American Chicago"

**Vivian Beaumont Theater**, New York, New York \$25,000 for the African Theater Project of the 1998 Directors Lab

#### LIVING WITH DIVERSITY

*Culture and Community Building 1998 appropriation of \$300,000 in addition to previous funding for allocation by the officers to foster the role of culture in community building by identifying and developing arts and cultural indicators.*

**Americans for the Arts**, Washington, D.C. \$25,000 to support the third year of the pilot phase of the Institute for Community Development and the Arts and the strategic planning process for the future of the Institute

**Social Science Research Council**, New York, New York \$250,000 for activities of its Research Planning Committee on the Arts

**University of Chicago**, Chicago, Illinois \$15,000 to support a study of arts programs for children and youth in Chicago at its Chapin Hall Center for Children

**Fortifying Civil Societies across Muslim Regions through their Cultural Institutions**  
1998 appropriation of \$1,000,000 in addition to previous funding for allocation by the officers to continue fostering a set of activities in Muslim societies where issues of pluralism are the subjects of public and policy discussion.

**Al-Urdun Al-Jadid Research Center**, Amman, Jordan \$28,929 toward the costs of publishing a book and other expenses related to the 1998 social history program.

**ASHTAR for Theatre Productions and Training**, Jerusalem, Israel \$33,500 toward the costs of its production of "Abu Shaker's Affair '98"

**Bilgi University**, Istanbul, Turkey. \$242,000 toward the costs of the exhibition "Modernities and Memories: Recent Works from the Islamic World," held in Istanbul, October 6 to 30, 1998

**Foundation-administered project:** \$100,000 toward meeting and consultancy expenses in connection with the Foundation's Muslim world initiative

**Foundation-administered project:** \$100,000 toward the costs of the exhibition "Modernities and Memories: Recent Works from the Islamic World," held in Istanbul, October 6 to 30, 1998

**International Association for the Study of Persian-Speaking Societies**, Stony Brook, New York \$57,982 toward meetings of the Joint Planning Committee for Iran, India and central Asia to discuss proposals for interregional cultural and scholarly projects dealing with issues of civil societies

**International Council of Museums**, Paris, France \$100,000 toward a workshop on the fight against illicit traffic of cultural property in Arab countries

**International Peace and Cooperation Center**, Jerusalem, Israel \$165,000 toward the costs of a research project on the mutual perceptions of Jews and Muslims

**Jerusalem Institute for Israel Studies**, Jerusalem, Israel \$165,000 toward the costs of a research project on the "Image of the Other"

**Lawyers Committee for Human Rights**, New York, New York \$74,250 for the translation, publication and distribution in the Middle East and North Africa of Islam and Justice and Islam and Equality

**The Legacy of Absence**  
1998 appropriation of \$1,000,000 in addition to previous funding for allocation by the officers to foster a set of activities which explore how artists and writers assess the consequences of war and conflict for their societies.

**Foundation-administered project:** \$75,000 toward meeting and consultancy expenses in connection with the "Legacy of Absence" project

**Foundation-administered project:** \$30,080 toward the costs of a service arrangement with Rutgers University to be used by the Jane Voorhees Zimmerli Art Museum to produce a formal presentation of 60 to 80 works of art from the Dodge collection for the "Legacy of Absence" project

**Oldenburg University**, Oldenburg, Germany \$51,742 toward the costs incurred in gathering Holocaust related materials for an exhibition.

**Partnerships Affirming Community Transformation**  
1998 appropriation of \$600,000 in addition to previous funding for allocation by the officers to identify, support and learn from community partnerships that integrate the arts and humanities into their efforts to build bridges across racial and ethnic differences.

**Appalshop**, Whitesburg, Kentucky \$35,000 toward the costs of a community partnership addressing the economic, social and environmental conflicts associated with forestry practices in Appalachia

**Appalshop**, Whitesburg, Kentucky: \$68,000 toward the costs of a convening of PACT grantees and their partners to learn lessons about effective partnerships and different approaches to the work of art and social change

**Center for Arts Criticism**, Minneapolis, Minnesota \$48,587 for "Sisters in Leadership," a program that uses media arts production, writing and public presentations as a way to discuss racism and the economic and cultural factors that contribute to its growth

**Coalition for Immigrant and Refugee Rights and Services**, San Francisco, California \$50,000 toward the costs of the "Transforming Institutions" project, a leadership training program for Latina and Chinese immigrant women

**East Bay Institute for Urban Arts**, Oakland, California \$50,000 for a yearlong arts and social change apprenticeship program for youth

**Esperanza Peace and Justice Center**, San Antonio, Texas \$50,000 toward efforts to bring diverse communities together who are working for social and economic change through workshops and cultural arts programming

**Foundation-administered project:** \$150,000 for the administrative costs related to the PACT program

**Pepatian**, New York, New York \$45,000 toward the creation of a community arts project that will focus on issues of race within Puerto Rican communities in the South Bronx

**San Diego Repertory Theatre**, San Diego, California \$50,000 toward the costs of "Mid-City is Calafia," a series of community-based performances and exhibits that reflect the cultural diversity of the San Diego/Tijuana region

**Sitka Tribe of Alaska**, Sitka, Alaska \$50,000 toward the costs of the "Healing Heart Totem Pole Project," a video, user's guide, Web site and series of related community discussions about alienation and cultural upheaval

**Village of Arts and Humanities**, Philadelphia, Pennsylvania \$50,000 toward the costs of a series of events that connect land, art making and garden design into the transformation of abandoned inner-city spaces

**Wing Luke Memorial Foundation**, Seattle, Washington \$50,000 toward the costs of "A Long Journey Home: Reflections of Asian-Pacific American Veterans," a series of public programs, artwork and educational materials about the experience of American veterans of Asian descent

**Promoting African Civil Society through Cultural Initiatives**  
1998 appropriation of \$1,100,000 in addition to previous funding for allocation by the officers to fortify civil society in Africa through support for cultural sector-building activities.

**18th Street Arts Complex**, California, Santa Monica, California \$21,350 toward the support of residencies for two African artists

**African Books Collective**, Oxford, England \$39,805 toward its promotion, research and dissemination activities

**African Publishers' Network**, Harare, Zimbabwe \$150,000 toward 1998 program activities.

**Association de la Plume Noir**, Paris, France \$50,000 toward costs related to the participation of writers and artists in the 4th Literary Salon

**Boston College**, Chestnut Hill, Massachusetts \$16,864 to be used by the Bellagio Publishing Network Research and Information Center toward the costs of its publications, research projects and other activities related to indigenous publishing in Africa and the Third World

**Casa Via Magia**, Salvador, Brazil \$60,000 toward travel and project costs related to the "2nd Celebration of African Heritage" in Salvador, Brazil

**Contemporary African Music & Arts Trust**, Cape Town, South Africa \$200,000 toward costs of "Jazz Africa Cape Town to Cairo."

**Council for the Development of Social Science Research in Africa**, Dakar, Senegal \$100,000 toward the Goree-Robben Island workshop series on the cultural history of contemporary Africa

**Film and TV Market Initiative**, Johannesburg, South Africa \$100,000 toward training workshops in African countries, and the participation of African delegates at Sithenge '98

**International African Institute**, London, England: \$100,000 toward travel costs and administrative expenses for the "Pan-African Consultation on Cultural Cooperation and Development" held in Lome, Togo, and a conference on the planning of an African seminar on the "Vision of African Cooperation" being held in Stockholm, Sweden.

**International Music Council**, Paris, France \$130,000 toward the development of professional networking among national music associations in Africa

**New York Foundation for the Arts**, New York, New York \$80,500 toward the costs of the 1998 African Film Festival

**Open Window Network**, Johannesburg, South Africa \$27,240 toward the transportation and accommodation costs of the African delegates participating in the 1998 Videazmut International Seminar and General Assembly.

**Panos Institute**, Paris, France: \$100,000 toward strengthening independent radio in west Africa

**Research and Technology Exchange Group**, Paris, France. \$43,134 to be used by the African Media Partners Network toward the support of 1998 activities.

**Women's World Organization for Rights, Literature and Development**, New York, New York: \$75,000 toward the costs of a project to develop women's literature and civil society in east Africa

**Role of Religion in the Civil Society 1998 appropriation of \$800,000 in addition to previous funding for allocation by the officers to support humanities scholarship on the role of religion in building civil society and to help communities utilize the intellectual and ethical assets of religion in finding common ground.**

**Life & Peace Institute**, Uppsala, Sweden. \$54,500 to support a case study documenting the role of Argentine religious institutions in that country's transition to democracy and to defray the cost of a series of seminars and conferences to discuss and disseminate study findings.

**World Conference on Religion and Peace**, New York, New York \$1,000,000 toward the costs of the religion and civil society program.

#### GRANTS IN AID

**Asian Cultural Council**, New York, New York. \$50,000 toward continuing support of the Cambodian Artists Mentorship Program

**Council on Foreign Relations**, New York, New York \$75,000 toward the editorial and production costs of a book and CD-ROM entitled *Ethnic Conflict, Partition and Post-Conflict Reconstruction*

**Network of Cultural Centers of Color**, New York, New York \$50,000 to support the development and first phase implementation of its business plan

**New York University**, New York, New York \$50,000 toward the costs of two summer institutes, in 1999 and 2000, to be presented jointly with the Organization of American Historians on "Internationalizing the Study of American History"

#### EXPLORATION: INITIATIVES FOR EQUITY IN HEALTH

**Initiatives for Equity in Health 1998 appropriation of \$3,000,000 for allocation by the officers to conduct explorations that will enable Health Sciences to develop a sound and effective strategy, gain a realistic sense of how the strategy will materialize on the ground, and create a strong and self-sustaining program infrastructure.**

**Bangladesh Rural Advancement Committee**, Dhaka, Bangladesh \$115,315 to organize and conduct a meeting called the Global Health Equity Initiative Phase One Exchange Conference in Bangladesh, held December 11-18, 1998

**Catholic University of Chile**, Santiago, Chile \$19,660 for use by its Department of Public Health for a study entitled, "Social Inequalities and Health in an Intermediate Development Nation Chile 1980-1996"

**Foundation-administered project:** \$125,000 to conduct an exploration on the feasibility of establishing a Global Health Watch.

**Foundation-administered project:** \$264,750 for a series of activities in sub-Saharan Africa to ascertain national and regional priority health issues, and to identify opportunities for partnerships with government ministries, academic institutions, nongovernmental, community and private organizations

**Hastings Center**, Garrison, New York \$70,000 toward the costs of regional meetings and dissemination activities to investigate the international and American dimensions of market programs and strategies on the future of health care systems

**New England Medical Center Hospitals, Inc.**, Boston, Massachusetts \$69,020 to organize and conduct a meeting entitled, *Workshop on Society and Health: A Health Equity Perspective*, as part of the Global Health Equity Initiative

**Tufts University**, Medford, Massachusetts \$82,000 for the adaptation of a United States health-policy tool known as the "benchmarks of fairness" for implementation in Mexico, Pakistan and Thailand.

#### ENHANCING PARTICIPATION AND CAPACITY

**Clinical Epidemiology 1998 appropriation of \$4,600,000 in addition to previous funding for allocation by the officers to continue support for core activities of the International Clinical Epidemiology Network (INCLIN) and the operations of INCLIN, Inc., including its transition toward institutional autonomy and stability.**

**Beijing Hui Long Guan Hospital**, Beijing, China. \$67,100 for use by its Research Center of Clinical Epidemiology to expand the use of the Health Social Science course.

**Harvard University**, Cambridge, Massachusetts \$25,000 toward the costs of training fellowships for the workshop "Using Burden of Disease and Cost-Effectiveness to Define National Control Priorities and Essential Packages of Care"

**INCLIN, Inc.**, Philadelphia, Pennsylvania \$4,701,800 for the operational costs of its Executive Office and for program activities of the International Clinical Epidemiology Network

**INCLIN, Inc.**, Philadelphia, Pennsylvania \$50,000 toward the costs of an evaluation of the International Clinical Epidemiology Network program and activities.

**London School of Economics and Political Science**, London, England \$50,000 toward the creation of an academic position in the field of health policy of developing countries to be known as the Brian Abel-Smith Readership in Health Policy

**World Health Organization**, Geneva, Switzerland \$250,000 for use by its Tropical Disease Research Programme toward the cost of a multilateral initiative on malaria to strengthen research capability in Africa

**Improving Reproductive Health Service Delivery 1997 appropriation of \$6,000,000 (joint with PS) in addition to previous funding for allocation by the officers to support research in selected developing countries to identify sustainable models to improve the reach and quality of reproductive health services, especially for neglected populations and services.**

**Ashish Gram Rachna Trust**, Pachod, India \$81,000 for use by its Institute of Health Management, Pachod, to develop, monitor and evaluate a model reproductive and child health program through a target-free approach, in a slum setting in Pune city

**Burkina Faso Association for Family Well-Being**, Ouagadougou, Burkina Faso \$89,740 for a community-based study on the needs, attitudes, practices and sexual behavior of youth in the context of STDs and HIV/AIDS in a rural setting of Yatenga Province, Burkina Faso

**Foundation-administered project:** \$156,279 for a Foundation work shop in east Africa for grantees and technical support partners conducting reproductive health intervention planning and research in Africa

**Foundation-administered project:** \$177,000 for administrative costs associated with implementing the reproductive health services research program

**International Center for Research on Women**, Washington, D C \$142,000 to collaborate with researchers in India in conducting a dissemination conference and an intervention proposal development workshop, in connection with documentation research projects on adolescent sexuality and fertility

**Ministry of Health, Burkina Faso**, Ouagadougou, Burkina Faso \$136,590 to conduct a community based study in collaboration with the Population Council (Ouagadougou), to document and understand norms and practices related to induced abortion and prevention of unwanted pregnancies in a rural area of Bazega Province

**Ministry of Planning and Economic Development, Uganda**, Kampala, Uganda \$30,390 for use by its Population Secretariat for new activities designed to build capacity within the District Planning Units of three districts to collect, analyze, disseminate and utilize information and data about adolescent reproductive health for program development and implementation and to build capacity at the Secretariat for policy analysis and coordination

**Population Council**, New York, New York \$100,000 to enable its New Delhi regional office to expand its technical support to local professionals and institutions undertaking reproductive health research

**Population Council**, New York, New York \$360,460 to enable its staff in Hanoi and Bangkok to

continue to provide technical assistance to Vietnamese NGOs and government agencies to develop research projects in reproductive health, and to assist UNICEF's Myanmar office with conducting research and training on critical issues in reproductive health in Myanmar

**Population Secretariat, Uganda**, Kampala, Uganda \$154,280 for activities designed to build capacity within the District Planning Units of three districts to collect, analyze, disseminate and utilize information and data about adolescent reproductive health for program development and implementation, and to build capacity at the Secretariat for policy analysis and coordination

**Swaasthya Project**, New Delhi, India \$75,050 for a community based intervention research project targeting adolescents, to improve HIV/AIDS and STD prevention in the Tign area of New Delhi

**United Nations Children's Fund**, New York, New York \$169,950 for activities to be conducted in collaboration with the World Health Organization and working groups from six countries to establish which factors related to country level programming for adolescent health are most useful to measure, how best to collect and use the data for monitoring and evaluation, and to conduct local/district level field tests

**University of Ouagadougou**, Ouagadougou, Burkina Faso \$22,690 for a study to be conducted by its Research and Training Unit in Demography in collaboration with the Institut du Sahel's Center for Applied Research on Population and Development and the London School of Hygiene and Tropical Medicine to link adolescent sexuality to reproductive health and fertility outcomes in Mali and Burkina Faso

**University of Ouagadougou**, Ouagadougou, Burkina Faso \$42,120 for a community based study to be conducted by its Research and Training Unit in Demography and the Association pour la Promotion de la Jeunesse Africaine et

le Developpement, on social factors influencing the sexual behavior of adolescents in the context of HIV/AIDS in Burkina Faso

**World Health Organization**, Geneva, Switzerland \$330,000 for activities to be conducted in collaboration with UNICEF and working groups from six countries to establish which factors related to country level programming for adolescent health are most useful to measure, how best to collect and use the data for monitoring and evaluation, and to conduct local/district level field tests

**World Health Organization**, Geneva, Switzerland \$60,000 to develop a set of guidelines for researchers on how to plan and implement rigorous studies in community settings in developing countries on the prevalence of reproductive tract infections/gynecological morbidities, as well as on their behavioral determinants and consequences for women's lives

#### RELATED GRANTS (JOINT WITH PS)

**Center for Reproductive Law and Policy**, New York, New York \$50,000 toward the production costs of its Women of the World Francophone Africa project

**Chinese Academy of Preventive Medicine**, Beijing, China \$15,000 in support of a workshop, "Intervention of HIV/AIDS in China"

**International Union for the Scientific Study of Population**, Liège, Belgium \$20,000 toward the cost of a seminar entitled, "Gender inequalities and reproductive health Changing priorities in an era of social transformation and globalization"

**International Agency for Research on Cancer**, Lyon, France \$50,000 for a project entitled "Investigation of Chlamydia Trachomatis and Herpes Simplex Virus 2 in the Etiology of Cervical Cancer" in five developing countries

**Ministry of Health, Ghana**, Accra, Ghana \$100,000 for use by its Navrongo Health Research Centre for INDEPTH, a network of field sites in developing countries that collect demographic and health data on a continuous basis

**Ministry of Health, Ghana**, Accra, Ghana \$99,930 for use by its Navrongo Health Research Centre for a conference in Dar es Salaam for researchers in Africa, Asia and Latin America conducting longitudinal field studies on health and population issues

**Women's Health Project**, Johannesburg, South Africa \$75,000 for regional activities related to an international initiative entitled, "Operationalizing Cairo and Beijing A training initiative in gender and reproductive health"

#### Public Health Schools Without Walls

1997 appropriation of \$1,300,000 (joint with PS) in addition to previous funding for allocation by the officers to continue support for field-based, graduate-degree training for public health officers in Zimbabwe, Uganda, Ghana and Vietnam, as well as exploratory activities in other countries.

**Chulalongkorn University**, Bangkok, Thailand \$24,925 for use by its College of Public Health to develop, test and modify 80 to 100 educational modules based on public health problem solving common in developing countries

**Foundation-administered project:** \$125,000 for the annual meeting and other collaborative network activities among the Public Health Schools Without Walls sites

**Hanoi School of Public Health**, Hanoi, Vietnam \$275,000 for continued support of its Public Health Schools Without Walls program activities

**Liverpool School of Tropical Medicine**, Liverpool, England \$50,000 to produce a new edition of "A Short Textbook of Preventive Medicine for the Tropics," which incorporates major developments in the public health field since 1990

**Makere University**, Kampala, Uganda \$299,567 for use by its Institute of Public Health for its Master's in Public Health program

**Makere University**, Kampala, Uganda \$28,366 to enable George Panyo to complete his doctoral studies at Johns Hopkins University as part of a capacity building component under the Public Health Schools Without Walls program

**Makerere University**, Kampala, Uganda \$58,103 for a workshop on the training of trainers in problem based learning methodology for the Public Health Schools Without Walls Initiative.

**McMaster University**, Hamilton, Canada \$54,300 for a workshop on the training of trainers in problem based learning methodology in Kampala, Uganda

**University of Zimbabwe**, Harare, Zimbabwe. \$225,322 for continued support of its Public Health Schools Without Walls Master's of Public Health training program

**University of Zimbabwe**, Harare, Zimbabwe \$35,105 in support of a field supervisors' workshop organized by its Public Health Schools Without Walls Master's of Public Health training program

#### GRANTS IN AID

**Foundation-administered project:** \$25,000 to facilitate the creation of an international network of longitudinal community based health research sites.

**Foundation-administered project:** \$40,000 for a Bellagio Team Residency meeting, "Understanding the society and health interface: New opportunities for improving health "

**Foundation-administered project:** \$6,000 for the translation from Swedish to English and distribution of "Gender Inequalities in Health "

**French Center for Population and Development**, Paris, France \$24,330 for a research project, "Sex differences in mortality: The case of the 1918 influenza pandemic "

**Harvard University**, Cambridge, Massachusetts \$25,000 for use by its Medical School in support of a meeting "Community-Based Approaches to the Treatment and Control of Multi-Drug Resistant Tuberculosis "

**Mexican Health Foundation**, Mexico City, Mexico \$48,925 in support of a research project "Health inequalities in Mexico Study by socioeconomic strata at the municipal and household level "

**National Foundation for the Centers for Disease Control and Prevention**, Atlanta, Georgia \$10,000 in support of an international conference on emerging infectious diseases

**National Institute of Health Services Management**, Tokyo, Japan \$50,000 in support of a research project, "Global health equity initiative: A case study of Japan."

**World Health Organization**, Geneva, Switzerland \$48,885 for a meeting in Bellagio, Italy, on aging, health and development

#### HARNESSING THE NEW SCIENCES

**Harnessing the New Sciences 1998 appropriation of \$2,300,000 in addition to previous funding for allocation by the officers to accelerate the discovery, development and distribution of vaccines, drugs and diagnostics against diseases of the poor and excluded.**

**Foundation-administered project:** \$325,000 to undertake an inventory of public/private collaborations for vaccine research and development.

**World Health Organization**, Geneva, Switzerland \$575,000 to continue support for the activities of the Children's Vaccine Initiative

**World Health Organization**, Geneva, Switzerland \$700,000 to continue support for its Global Programme for Vaccines and Immunization.

**HIV in the Developing World 1998 appropriation of \$400,000 (all from PS) in addition to previous funding for allocation by the officers to help developing countries improve their capabilities for reducing the spread of HIV and other sexually-transmitted diseases and mitigate the consequences of current HIV infection.**

**International AIDS Vaccine Initiative**, New York, New York. \$1,250,000 for continued funding of its activities to ensure development of safe, effective, preventive HIV vaccines for use throughout the world

#### STRENGTHENING GLOBAL LEADERSHIP

**World Health Organization**, Geneva, Switzerland 1998 appropriation of \$2,500,000 to establish a *Global Health Leadership Fund, which will bring exceptional people to the World Health Organization in order to accelerate the launch of the WHO's new direction and agenda.*

#### OTHER GRANTS

**National Epidemiology Boards 1994 appropriation of \$700,000 in addition to previous funding for allocation by the officers to continue support for core activities of the epidemiology boards in Thailand and Cameroon.**

**National Epidemiology Board of Cameroon**, Yaoundé, Cameroon \$350,000 to provide terminal support for its core activities

**Northeast Asia Peace and Security Network 1998 appropriation of \$200,000 for allocation by the officers to facilitate the next steps in the denuclearization of the Korean Peninsula, which is important in the long-term abolition of weapons of mass destruction.**

**Nautilus of America**, Berkeley, California \$150,000 toward continued support for its Northeast Asia Peace and Security Network.

#### GRANTS IN AID

**Asia Society**, New York, New York: \$25,000 toward the costs of organizing a roundtable workshop on south Asia after India and Pakistan's nuclear tests

**Atlantic Council of the United States**, Washington, D.C. \$30,000 to facilitate denuclearization of the Korean Peninsula for the long-term abolition of weapons of mass destruction

**Brown University**, Providence, Rhode Island \$104,475 in support of a Bellagio conference on critical oral history and the methodology's application to a study of the Vietnam War

**Carnegie Mellon University**, Pittsburgh, Pennsylvania \$100,000 in support of a study exploring the relationship between technology transfer and global security

**Carter Center**, Atlanta, Georgia \$100,000 in support of its Conflict Resolution Program's activities, particularly those involving nonproliferation and the abolition of weapons of mass destruction

**Centre for the Study of Developing Societies**, Delhi, India \$33,850 in support of its electronic data collection and analysis through its Web page. Apropos.

**China Defense Science and Technology Information Center**, Beijing, China: \$5,000 toward the costs of creating a handbook in Chinese on arms control and disarmament to be edited and published by the Center's Arms Control and Disarmament Program.

**Federation of American Scientists**, Washington, D.C. \$119,368 in continued support of the Federation's work to facilitate the effective control of chemical and biological weapons

**Federation of American Scientists**, Washington, D.C. \$15,000 in support of the Federation's Public Eye work focused on North Korea

**Foundation-administered project:** \$30,000 to support the collection, indexing and initial analysis of materials for preparation of a case study on land mines

**Foundation-administered project:** \$100,000 for the development and enhancement of prototype CD-ROMs focused on peace and security issues on the Indian subcontinent.

**Foundation-administered project:** \$14,478 to assist officers in the closeout of the Foundation's International Security program

**Foundation-administered project:** \$59,000 for support of Foundation-initiated activities surrounding the International Security program's work in security and nuclear nonproliferation in south Asia, including consultancy expenses

**Foundation-administered project:** \$59,400 for support of Foundation-initiated activities surrounding the International Security program's work in security and nuclear nonproliferation in northeast Asia

**George Washington University**, Washington, D.C. \$20,000 toward the costs of sending a United States delegation of experts on the Chinese military to China for an October 1998 dialogue on Chinese military modernization

**Henry L. Stimson Center**, Washington, D.C. \$4,334 in support of the south Asia distribution of its Handbook of Confidence-Building Measures for Regional Security

**Human Rights Watch**, New York, New York \$150,000 in support of its work on antipersonnel land mines

**International Physicians for the Prevention of Nuclear War**, Cambridge, Massachusetts \$25,000 in support of a project on NATO and nuclear weapons

**Monterey Institute of International Studies**, Monterey, California. \$50,000 in support of an assessment of the effectiveness of the United Nations Special Commission

**Nautilus of America**, Berkeley, California \$18,000 for the costs of connecting the New York Mission of the Democratic People's Republic of Korea to the Internet and training Mission staff in the use of Internet technologies

**Nautilus of America**, Berkeley, California \$20,000 in support of a project to explore the feasibility of creating an e-mail network focused on NATO nuclear-policy issues



**Oxford Research Group**, Oxford, England \$10,000 for a two-day meeting on the management of plutonium in England, and its implications for nuclear weapons proliferation around the world

**Regional Centre for Strategic Studies**, Colombo, Sri Lanka \$18,800 toward the costs of the Centre's publication, "Regional Press Digest on Nuclear Issues in South Asia"

**Search for Common Ground**, Washington, D.C. \$20,000 in support of its United States-Iranian Working Group

**Social Science Research Council**, New York, New York \$70,000 in support of a project to research a Case Study on the International Effort to Ban Land Mines

**Social Science Research Council**, New York, New York \$70,295 in support of a research project on Korean Peninsula security issues

**State of the World Forum**, San Francisco, California \$10,000 in support of its Nuclear Abolition Initiative

**State of the World Forum**, San Francisco, California \$100,000 in support of an educational documentary on past and present nuclear dangers

**Stockholm International Peace Research Institute**, Solna, Sweden \$30,000 for a meeting of Iranian and American academics

**University of Georgia**, Athens, Georgia \$25,000 in support of the Center for International Trade and Security's work on United States policy toward India

**Vietnam Veterans of America Foundation**, Washington, D.C. \$150,000 in support of its demining initiative

**Vietnam Veterans of America Foundation**, Washington, D.C. \$25,000 in support of a conference on seeking alternatives to land mines

#### GLOBAL EQUITY AND ENGAGEMENT

**Carnegie Endowment for International Peace**, Washington, D.C. 1998 appropriation of \$1,000,000 to advance understanding of globalization, especially the relationship between economic, political and technological changes and the inequality within and between countries.

#### GRANTS IN AID

**Aspen Institute**, Washington, D.C. \$200,000 toward the costs of its Women's Lens Agenda, a project to build a new constituency for international engagement

**Tufts University**, Medford, Massachusetts \$25,000 toward the costs of a workshop in preparation for a book on highly capitalized antisocial activities

**United Nations Development Programme**, New York, New York \$75,000 toward the costs of a conference on globalization for human development at the Bellagio Study and Conference Center, May 1998

#### SYNERGY FUND

#### GRANTS IN AID

**Graduate School and University Center, City University of New York**, New York, New York \$300,000 toward the cost of its project to produce a future oriented intellectual history of the United Nations in the world economy

**University of Washington**, Seattle, Washington \$40,000 toward the costs of its conference on the challenges of the globalization of international relief and development nongovernmental organizations

#### INTERNATIONAL PHILANTHROPY

**Building Foundation-Like Organizations**  
1995 appropriation of \$330,000 in addition to previous funding for allocation by the officers to support the continued development of foundation-like organizations by building individual institutions and through sector-building activities.

**Esquel Foundation**, Quito, Ecuador \$50,000 toward the second stage of the Social Responsibility program

**India Foundation for the Arts**, Bangalore, India \$100,000 toward its endowment

**Oaxaca Community Foundation**, Oaxaca, Mexico \$100,000 toward the creation of the Gender and Social Responsibility programs

#### GRANTS IN AID

**Asia Foundation**, San Francisco, California \$150,000 toward phase two of the Asia Pacific Philanthropic Consortium activities

**City University of New York**, New York, New York \$50,000 for use by its Graduate School and University Center toward the costs of the 1999 International Fellows Program at the Center for the Study of Philanthropy

**Foundation-administered project:** \$150,000 toward administrative costs of The Philanthropy Workshop

# Report of Independent Auditors

The Board of Trustees  
The Rockefeller Foundation

**W**e have audited the accompanying statements of financial position of the Rockefeller Foundation as of December 31, 1998 and 1997, and the related statements of activities and cash flows for the years then ended. These financial statements are the responsibility of the Rockefeller Foundation's management. Our responsibility is to express an opinion on these financial statements based on our audits.

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We conducted our audits in accordance with generally accepted auditing standards. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the Rockefeller Foundation at December 31, 1998 and 1997, and the changes in its net assets and its cash flows for the years then ended in conformity with generally accepted accounting principles.

*Ernst + Young LLP*

New York, New York  
February 18, 1999

Statements of	Financial	Position
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	<i>December 31 (In Thousands)</i>	
	<b>1998</b>	<b>1997</b>
<b>Assets</b>		
Cash, including restricted bond funds of \$717 in 1998 and \$1,255 in 1997 (Note 5)	\$ 2,699	\$ 2,817
Dividends, interest and other receivables	14,177	11,094
Investments (Notes 1 and 2)	3,273,745	3,058,469
Property, net of accumulated depreciation and amortization (Note 3)	26,231	27,340
Prepaid pension cost and other assets (Note 4)	40,800	32,243
Total assets	<b>\$ 3,357,652</b>	<b>\$ 3,131,963</b>
<b>Liabilities and Net Assets</b>		
Liabilities:		
Accounts payable and accrued liabilities	\$ 9,963	\$ 13,448
Appropriations by the trustees, approved for specific grantees/purposes but not yet paid (Note 6)	66,797	66,716
Bonds payable, net of unamortized discount (1998: \$302; 1997: \$314) (Note 5)	27,338	27,886
Deferred Federal excise tax (Note 7)	7,034	5,815
Accrued post-retirement benefits (Note 4)	17,876	17,803
Total liabilities	<b>129,008</b>	<b>131,668</b>
Commitments (Notes 1 and 2)		
Unrestricted and total net assets (including board-designated amounts of \$251,647 in 1998 and \$224,604 in 1997) (Note 6)	3,228,644	3,000,295
Total liabilities and net assets	<b>\$ 3,357,652</b>	<b>\$ 3,131,963</b>
<i>See accompanying notes.</i>		

Statements of Activities
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	Year ended December 31 (In Thousands)	
	1998	1997
<b>Changes in Net Assets</b>		
Investment return:		
Net realized and unrealized gain on investments	\$ 272,039	\$ 385,290
Dividend and interest income	115,187	124,091
Other investment income	1,121	738
	<b>388,347</b>	<b>510,119</b>
Investment expenses		
(including performance-based fees of \$2,800 in 1997 (none in 1998))	(12,942)	(15,200)
Net investment return	<b>375,405</b>	<b>494,919</b>
Other expenses:		
Approved grants and program costs	129,147	116,573
General administrative expenses	11,389	10,929
Provision for Federal excise tax (Note 7):		
Current	5,301	8,776
Deferred	1,219	820
	<b>147,056</b>	<b>137,098</b>
Increase in unrestricted net assets	<b>228,349</b>	<b>357,821</b>
Unrestricted net assets, beginning of year	<b>3,000,295</b>	<b>2,642,474</b>
Unrestricted net assets, end of year	<b>\$ 3,228,644</b>	<b>\$ 3,000,295</b>

See accompanying notes.

# Statements of Cash Flows

	Year ended December 31 (In Thousands)	
	1998	1997
<b>Cash Flows from Operating Activities</b>		
Increase in net assets	\$ 228,349	\$ 357,821
Adjustments to reconcile increase in net assets to net cash used in operating activities:		
Depreciation and amortization	1,307	1,156
Net unrealized gain on investments	(56,267)	(39,861)
Net realized gain on investments	(215,772)	(345,429)
Changes in operating assets and liabilities:		
Dividends, interest and other receivables	(3,083)	(2,402)
Prepaid pension cost and other assets	(8,557)	(5,724)
Accounts payable and accrued liabilities	(3,485)	3,783
Appropriations by the Trustees, approved for specific grantees/purposes but not yet paid	81	2,810
Deferred Federal excise tax	1,219	820
Accrued post-retirement benefits	73	257
	(284,484)	(384,590)
Net cash used in operating activities	(56,135)	(26,769)
<b>Cash Flows from Investing Activities</b>		
Net sales of investments	56,763	28,210
Property additions	(186)	(335)
Net cash provided by investing activities	56,577	27,875
<b>Cash Flows from Financing Activities</b>		
Repayments of bonds payable	(560)	(540)
Net cash used in financing activities	(560)	(540)
Net (decrease) increase in cash, excluding cash held in investment portfolio	(118)	566
Cash, beginning of year	2,817	2,251
Cash, end of year	\$ 2,699	\$ 2,817
<i>See accompanying notes.</i>		

**1. Investments**

The Foundation's significant investments are carried at fair value or contractual values which approximate fair value. Fair value is the amount at which a financial instrument could be exchanged in a current transaction between willing parties, other than in a forced sale or liquidation. The fair values of financial instruments are estimates based upon market conditions and perceived risks as of the statement of financial position date and require varying

degrees of management judgment. Quoted market prices, when available, are used as the measure of fair value. In cases where quoted market prices are not available, fair values are based on appraisals, quotations of similarly traded instruments, pricing models or other estimates.

Investing activities are reported on a trade date basis. Realized gains and losses are calculated based on the specific identification method for both financial statement and tax return purposes.

The Foundation's investment portfolio consists of the following:

	<i>December 31 (In Thousands)</i>			
	1998		1997	
	Cost	Fair Value	Cost	Fair Value
<b>Marketable securities:</b>				
Money market funds	\$ 185,773	\$ 185,771	\$ 198,103	\$ 197,927
Foreign currency—short-term	-	(2,912)	-	9,297
U.S. and other government obligations	370,130	374,816	368,914	378,788
Corporate obligations	451,169	438,779	306,823	307,501
Common stock (including REITs)	1,696,948	2,063,646	1,627,179	1,890,084
Other investments	25,322	21,109	20,742	20,467
Subtotal	<b>2,729,342</b>	<b>3,081,209</b>	2,521,761	2,804,064
<b>Limited partnerships and similar interests:</b>				
Real estate	167,232	152,479	198,383	182,667
Private equity	144,525	145,460	128,459	143,735
Subtotal	<b>311,757</b>	<b>297,939</b>	326,842	326,402
Pending securities transactions—net	(105,406)	(105,403)	(71,919)	(71,997)
<b>Total</b>	<b>\$ 2,935,693</b>	<b>\$ 3,273,745</b>	\$ 2,776,684	\$ 3,058,469

As of December 31, 1998, under the terms of various venture capital, real estate limited partnership and other agreements, the Foundation has commitments to contribute approximately \$163.8 million in additional capital over the next 10 years.

**2. Derivative Financial Instruments**

Derivatives are financial instruments whose value is based upon an underlying asset (e.g., treasury bond), index (e.g., S&P 500) or reference rate (e.g., LIBOR). Over-the-counter ("OTC") derivative products are privately negotiated contractual agreements that can be tailored to meet individual client needs and include futures, forwards and options. Exchange-traded derivative products are standardized contracts transacted through regulated exchanges and include futures and certain options contracts listed on an exchange. Derivatives are utilized extensively as highly

effective tools that enable users to adjust risk profiles, such as interest rate, currency or other market risks. Additionally, derivatives provide users with access to market risk management tools, which are often unavailable in traditional cash instruments.

As a result of its investing strategies, the Foundation is a party to various derivative financial instruments. Derivatives are used by the Foundation primarily to maintain asset mix or to hedge a portion of currency or interest rate exposure.

The Foundation invests in international securities and therefore is exposed to the effects of foreign exchange rate fluctuations predominantly in Japanese Yen, Swiss Francs, Australian Dollars, British Pounds, German Marks and French Francs. Foreign currency options and forward contracts may be used to hedge a portion of this currency risk. The Foundation also employs derivatives to maintain a desired asset mix. For example, S&P 500 index futures contracts are used in combination with cash invested in money market instruments to replicate an investment

in an S&P 500 stock portfolio. This combination yields the same return, while often providing for savings on transaction costs.

The Foundation's fixed income managers set a target for the duration of their portfolios and use duration analysis to estimate the degree of the portfolio's sensitivity to interest rate changes. When the duration of a particular portfolio of securities is different from their target, Eurodollar or Treasury note futures or options may be used to adjust the portfolio's duration.

Total margin requirements on deposit for futures contracts were \$7 million at December 31, 1998 (\$6.4 million at December 31, 1997).

The underlying contract amounts ("Notional Values") and fair values of the Foundation's derivative financial instruments at December 31, 1998 and 1997, are summarized below; all are held for other than trading purposes.

	<i>December 31 (In Thousands)</i>			
	1998		1997	
	Notional Value	Fair Value	Notional Value	Fair Value
Equity contracts to manage desired asset mix (contracts primarily based on S&P 500 Index):				
Futures contracts:				
Liabilities	\$ (21,485)	\$ (59)	\$ (51,158)	\$ 10
Fixed income contracts to manage portfolio duration and interest rate risk:				
Futures contracts:				
Assets	122,428	(13)	86,799	232 <sup>4</sup>
Liabilities	(11,104)	6	(10,459)	(48)
Put and call options:				
Assets	12,500	40	39,200	65
Liabilities	(24,500)	(262)	-	-
Foreign currency contracts to hedge foreign exchange exposure in nondollar securities:				
Forward contracts:				
Assets	65,848	(67)	86,154	(2,888)
Liabilities	(287,590)	(2,846)	(347,735)	12,283
Put and call options:				
Assets	60,206	3,216	49,825	1,384

The Foundation records its derivative activities on a mark-to-market or fair value basis. Assets included in the preceding table represent the Foundation's unrealized gains, net of unrealized losses. Similarly, liabilities represent net amounts owed to counterparties. Therefore, the fair value of assets/liabilities related to derivative contracts at December 31, 1998 and 1997, represents the Foundation's net receivable/ payable for derivative financial instruments. Market or fair value for the Foundation's derivative financial instruments is generally determined by either quoted market prices or third-party pricing models. Pricing models utilize a series of market inputs to determine the present value of future cash flows, with adjustments, as required, for credit risk, liquidity risk and ongoing costs.

Off-balance sheet financial instruments involve elements of market risk and credit risk. Market risk represents potential loss from the decrease in the value of off-balance sheet financial instruments. Credit risk represents potential loss from possible nonperformance by obligors and counterparties on the terms of their contracts.

At December 31, 1998, there was approximately \$6.1 million related to OTC contracts and \$0.4 million related to exchange-traded contracts. Counterparties to the Foundation's OTC derivative products are high credit quality institutions, which are primarily banks, securities firms and investment companies. Management does not anticipate that losses, if any, resulting from credit or market risk, would materially affect the Foundation's financial position.

The Foundation's two custodians maintain securities lending programs on behalf of the Foundation, and maintain collateral at all times in excess of the value of the securities on loan. Investment of this collateral is in accordance with specified guidelines. Interest earned on these transactions is included with other investment income in the statements of activities. The market value of securities on loan at December 31, 1998 and 1997, was \$226.6 million and \$236.9 million, respectively.

### 3. Property

Expenditures for capital items currently in use are included in the property account and depreciated on a straight-line basis over the lives of the respective assets. At December 31, 1998 and 1997, the property account included the following:

	<i>(In Thousands)</i>	
	1998	1997
Condominium interest in 420 Fifth Avenue	\$ 16,555	\$ 16,555
Condominium improvements	11,162	11,162
Furniture, fixtures and equipment	3,284	4,049
	<b>31,001</b>	31,766
Less accumulated depreciation and amortization	4,770	4,426
Property—net	<b>\$ 26,231</b>	<b>\$ 27,340</b>

### 4. Pensions and Other Post-Retirement Benefits

The Foundation maintains a defined benefit pension plan (the "Plan") for regular salaried employees who are at least 21 years old and have completed one year of service or have attained the age of 40. The Plan provides retirement benefits based on years of service and final average pay, with benefits after retirement subject to increase under a cost-of-living augmentation formula. The Foundation makes annual contributions to the Plan, as needed, based on actuarial calculations, in amounts sufficient to meet the minimum funding requirements pursuant to the Employee Retirement Income Security Act of 1974. Plan assets are invested in a diversified portfolio of equities and fixed income securities.

In 1998, the Foundation replaced its 401(a) Trusteed Savings-Plan with a 401(k) plan of the same name. Foundation contributions are made to equal 3 percent of compensation plus a dollar-for-dollar match of up to an additional 2 percent of compensation contributed on a pre-tax basis by staff up to the compensation cap of \$160,000. Staff can make additional unmatched pre-tax contributions which, combined with staff contributions that are matched, cannot exceed the maximum pre-tax contribution limit of \$10,000. All contributions are credited to the staff members' accounts. The Foundation's contributions to

the plans were \$506,000 in 1998 and \$405,000 in 1997.



The Foundation provides certain health care and life insurance benefits ("Other Benefits") for retired employees. Employees are eligible for these benefits when they meet the criteria for retirement under the Foundation's pension plan. The plans are noncontributory and there are no cost sharing features. The

Foundation accrues the expected cost of providing post-retirement benefits over the years that employees render service and pays the cost of retiree health care benefits with excess pension plan assets under the provisions of Section 401(h) of the Internal Revenue Code.

*(In Thousands)*

	Pension Benefits		Other Benefits	
	1998	1997	1998	1997
Benefit obligation at year-end	\$ 51,661	\$ 47,706	\$ 14,664	\$ 12,939
Fair value of plan assets at year-end	97,305	93,066	-	-
Funded status of the plan (underfunded)	45,644	45,360	(14,664)	(12,939)
Prepaid (accrued) benefit cost recognized in the statement of financial position	36,171	30,288	(17,876)	(17,803)
Weighted-average assumptions as of December 31:				
Discount rate	6.75%	7.00%	6.75%	7.00%
Expected return on plan assets	9.00%	9.00%		
Rate of compensation increase	5.00%	5.00%		

For measurement purposes, an 8 percent annual rate of increase in the per capita cost of covered health care benefits was assumed for 2000. The rate was assumed to decrease gradually to 6 percent by 2002 and remain at that level thereafter.

*(In Thousands)*

	Pension Benefits		Other Benefits	
	1998	1997	1998	1997
Net periodic benefit cost (credit)	\$ (5,883)	\$ (5,299)	\$ 847	\$ 994
Benefits paid	2,669	2,565	774	737

## 5. Bonds Payable

During fiscal 1993, the Foundation issued \$20,445,000 in tax-exempt term bonds and \$9,815,000 in tax-exempt serial bonds to fund the acquisition, construction and furnishing of a new office facility (the "Facility"). The bond proceeds and related investment income earned were held by a trustee (the "Trustee") and have been disbursed at the direction of the Foundation to fund allowable Facility-related costs.

The bonds are rated Aaa by Moody's and AAA by Standard & Poor's, and are backed by the general assets of the Foundation. In addition, the bonds are secured by the Foundation's ownership interest in the Facility, a leasehold interest in the Facility, insurance proceeds with respect to the Facility and certain amounts held by the Trustee. The nominal interest rates on the serial bonds range from 4.1

percent to 5.1 percent. The nominal interest rates attributable to the term bonds are 5.3 percent and 5.4 percent.

The serial bonds mature in various amounts, ranging from \$585,000 to \$880,000 per year, through 2008. The term bonds are due in 2013 (\$5,140,000) and 2023 (\$15,305,000). Bond maturities are as follows:

*(In Thousands)*

Fiscal year ending December 31:	
1999	\$ 585
2000	610
2001	635
2002	665
2003	695
Thereafter	24,450
	<b>\$ 27,640</b>

## 6. Appropriations and Expenditures

Appropriations by the trustees are considered to be obligations when grants are approved (awarded) for specific grantees; appropriations not released for specific grantees and the appropriation for the budget for the next year are considered as board-designated net assets. The majority of approved grants are scheduled

for payment within one year. Administrative costs, including investment expenses and excise taxes, account for approximately 19 percent (23 percent in 1997) of the Foundation's total expenses, and are charged to operations when incurred.

Appropriations and expenditures for the year are summarized as follows:

	<i>(In Thousands)</i>		
	Appropriated for Specific Grantees/Purposes	Appropriated for Allocation and Next Year's Budget	Total Appropriated
Balance, January 1, 1998	\$ 66,716	\$ 224,604	\$ 291,320
Approved grants and program and administrative costs	145,899	(147,934)	(2,035)
Lapses and refunds	(177)	(23)	(200)
Expenditures for grants and operations	(145,641)	—	(145,641)
1999 budget	—	175,000	175,000
Balance, December 31, 1998	\$ 66,797	\$ 251,647	\$ 318,444

## 7. Federal Excise Tax

The Foundation is a philanthropic organization chartered in 1913 "to promote the well-being of mankind throughout the world." The Foundation qualifies as a tax-exempt organization under Section 501(c)(3) of the Internal Revenue Code and, accordingly, is not subject to Federal income tax. Because the Foundation is classified as a private foundation, it is subject to a Federal excise tax of 1 percent or 2 percent on investment income (its principal source of revenue) less investment expenses, and on net realized taxable gains on securities transactions.

In accordance with Section 4940(e) of the Internal Revenue Code, for the years ended December 31, 1998 and 1997, the Foundation did not meet the specified distribution requirements and, therefore, was subject to a Federal excise tax of 2 percent.

Deferred Federal excise tax arises from temporary differences between financial and tax reporting related to investment income and the difference between the cost basis and market value of marketable securities.

## **8. Use of Estimates**

The preparation of financial statements in conformity with generally accepted accounting principles requires management to make estimates and assumptions that affect the amounts reported in the financial statements and accompanying notes. Management believes that the estimates utilized in preparing its financial statements are reasonable and prudent. Actual results could differ from these estimates.

## **9. Year 2000 (Unaudited)**

Like other companies, financial and business organizations, and individuals around the world, the Foundation could be adversely affected if the computer systems it uses and those used by the Foundation's investment managers, custodians and other major service providers do not properly process and calculate date-related information and data from and after January 1, 2000. This is commonly known as the "Year 2000 Issue."

The Foundation has assessed its computer systems and is in the process of testing its ability to interface with the systems of its primary custodian and other major service providers. The Foundation is taking steps to address the Year 2000 Issue with respect to the computer systems it uses and is continuing to work with its custodians and investment managers and other service providers. Both of the Foundation's custodians are leading worldwide institutions and have dedicated significant staff and monetary resources to ensuring compliance. At this time, however, there can be no assurance that all Year 2000 issues will be satisfactorily resolved. The inability of the Foundation or its third party service providers to timely complete all necessary procedures to address the Year 2000 Issue could have a material adverse effect on the Foundation's operations. Management will continue to monitor the status of and its exposure to this issue.

Although specific costs incurred by the Foundation in achieving Year 2000 compliance have not been isolated, the total cost for making all currently planned and in-process systems alterations is estimated at approximately \$2 million. This includes \$1 mil-

lion for the purchase of new hardware and \$1 million for software, professional services and staff costs that will be expensed as they are incurred. As of December 31, 1998, the Foundation has incurred approximately \$800,000 for the development and installation of new software and hardware, which includes assessing and monitoring the Year 2000 Issue. The projects are all estimated to be completed not later than August 31, 1999, which is prior to any anticipated impact on the operating systems. The costs of the projects and the date on which the Foundation believes it will complete the systems and infrastructure replacements, including the Year 2000 modifications, are based on management's best estimates, which were derived utilizing numerous assumptions of future events, including the continued availability of certain resources and other factors. However, there can be no guarantee that these estimates will be achieved and actual results could differ materially from those anticipated.

The Foundation is in the process of establishing a contingency plan to address recovery from unavaoided or unavaoidable Year 2000 problems. Such plan is intended to be completed by September 1999.

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As of December 31, 1998

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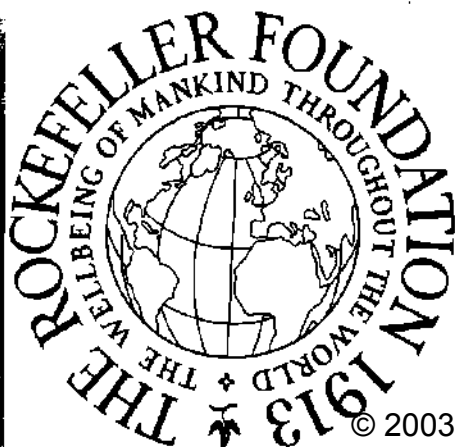
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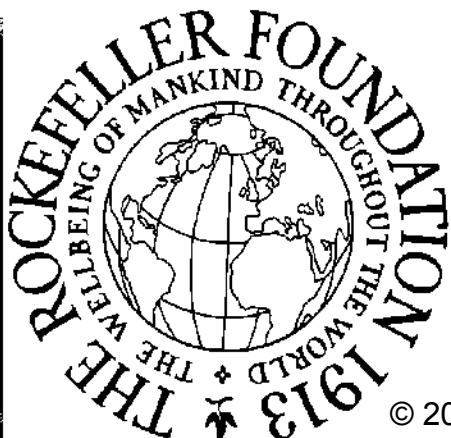
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