

2001 ANNUAL REPORT

ABOUT THE FOUNDATION

The Rockefeller Foundation is a knowledge-based global foundation with a commitment to enrich and sustain the lives and livelihoods of poor and excluded people throughout the world.

In order to maximize its resources and leverage the Foundation's strengths, grantmaking is organized around four thematic lines of work: Creativity & Culture, Food Security, Health Equity and Working Communities. A cross-theme of Global Inclusion supports, promotes and supplements the work of these themes.

In addition, the Foundation supports a number of regional and special programs that are developing or in transition, among them the Africa Regional Program, Southeast Asia Regional Program, Communication for Social Change, Public/Private Partnerships and Global Philanthropy. We also offer a unique place for study and creative endeavor through our Bellagio Study and Conference Center in Northern Italy.

The Foundation's strategic direction focuses explicitly on the challenges faced by poor and excluded people and affirms our assumptions about development, most notably that:

- For the Foundation's strategies to be most effective, poor and excluded people should have a voice in the process, we should actively find ways to unleash those voices, and such voices should be heeded; that
- The poor and excluded people themselves should participate in researching, planning and doing the work, and that
- We must seek creative ways to leverage our limited dollars in order to attract new funding from the private sector, international-aid organizations, and national, state and provincial governments.

The challenges confronting poor and excluded people are too numerous, complex and massive to be addressed by any single foundation alone. A \$15 million grant, or even a \$50 million grant, cannot begin to address a cure for AIDS or development of new tuberculosis drugs, for example. We must continue to emphasize the creation and support of global partnerships, alliances and collaboratives to effect positive change in the daily lives of poor people. The Foundation will continue to join forces with governments, industry, other foundations and nongovernmental organizations to ensure that poor people are included in decisions that affect their lives.

MISSION AND VISION

As I write these words, reflecting on the Foundation's work in the year 2001, a few images recur in my mind. Some are the faces of people we're trying to help such as poor farmers in western Kenya or healthy babies whose mothers, suffering from AIDS, could greatly benefit from affordable anti-retroviral treatments.

Yet I also see the recurring image of smoke rising from the ruins of the World Trade Center.

Many of the Foundation's staff witnessed the collapse of the towers from our office windows. Some lost close family and friends. We all were deeply affected by the tragic events of September 11, 2001.

But like so many New Yorkers, we soon found ways to respond in a positive fashion. Dozens of Foundation staffers collected supplies and worked in a SoHo warehouse distributing these supplies to rescue volunteers.

Others gathered in discussion groups, drawn from both support and program staff, to work out ways of spending the \$5 million we committed to the relief effort. The program they designed was closely tied to Foundation values and to our mission of improving "the lives and livelihoods of the poor and excluded."

For example, we provided one set of grants in support of organizations helping the families of low-paid workers who had lost their lives or been injured or displaced. Many of the missing workers were immigrants, both legal and illegal. Other grants went to organizations helping South Asian, Arab and Muslim communities who suffered from backlash based on their background or religion. In many respects, the grants were unconventional but we were pleased to find other foundations following in our footsteps.

A couple of months later a news reporter asked how the events of September 11 had changed our grantmaking. While I don't think a single event should change our fundamental strategic direction, I did cite our immediate responses, and how we had intensified some of our existing programs. For example, we fund the World Council on Religion and Peace. They have been very active in bringing religious leaders together, especially hosting discussions between Muslim, Christian and Jewish leaders from the Middle East.

While it is natural to ask the question, "What can foundations do to help prevent such future acts of terrorism?" the answers can never be simple.

There is rarely a direct link between terrorism and poverty and exclusion. But it is evident that terrorists draw much of their support and justification from those who are, or perceive themselves as, unjustly impoverished. It is to these people, especially in Africa, that we are devoting our funds and will continue to do so. They deserve better lives, and we will continue to help provide them with the knowledge, technology and resources to help them improve their circumstances.

Beyond the war on terrorism is a larger war—on poverty, hunger and disease. And here, we believe, we can make a significant difference. In West Africa the new rices we have helped to develop are spreading rapidly, tripling yields and bringing several countries toward self-sufficiency. Our funding of research on HIV-AIDS vaccines, for microbicides and for new drugs against tuberculosis is showing promise.

At year-end we helped launch a new interfoundation partnership committed to providing \$100 million for the treatment of HIV-AIDS infected pregnant women in Africa.

These are examples of big philanthropy. We know from experience that it can often transform the lives of poor and excluded people. But I believe that equally powerful is our support for countless individuals in local communities, in the United States and in other nations, who through their skills, their abilities and their sheer energy can be forces for change for the good.

In the words of one of the Foundation's trustees, Stephen Jay Gould, writing in *The New York Times* following the September attacks: "Good and kind people outnumber all others by thousands to one. The tragedy of human history lies in the enormous potential for destruction in rare acts of evil, not in the high frequency of evil people. Complex systems can only be built step by step, whereas destruction requires but an instant. Thus, in what I like to call the Great Asymmetry, every spectacular incident of evil will be balanced by 10,000 acts of kindness, too often unnoted and invisible as the 'ordinary' efforts of a vast majority."

This is where our hope lies.

Gordon Conway
April 2002

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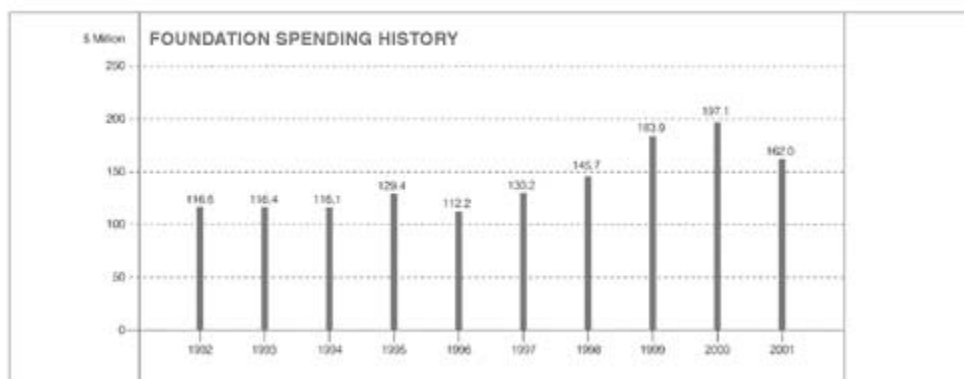
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FINANCIAL REPORT

The year 2001 will long be remembered as one in which the ability of long-term investors to "stay the course" was challenged on numerous fronts, all compounded by the shocking terrorist attacks on New York and Washington, D.C. The events of September 11 destroyed any chance of the United States avoiding a recession following the longest period of prosperity in its history. The U.S. equity markets experienced a second year of double-digit declines, and all major world economies were simultaneously in a recession for the first time since 1973-74. U.S. equities, as measured by the Russell 3000 index, declined 11.5 percent, and developed international markets, as measured by the EAFE index, declined 21.4 percent.

The Rockefeller Foundation's portfolio, which declined 6.7 percent for the year, benefited from its broad diversification and, particularly from an average 24 percent exposure to bonds and its commitment to real estate. The portfolio's return for the five-year period ending in 2001 averaged 8.8 percent. In the equity portfolio, strong active management and commitments to value managers provided some protection. The chart below illustrates the benefits of diversification as equity and fixed income alternated in generating returns from quarter to quarter during 2001.



While the overall U.S. equity market declined 11.5 percent, there was significant divergence in the performance of various sectors of the market. The NASDAQ index of technology stocks declined 20.8 percent, while small and mid-sized value stocks, as measured by the Russell 2000 Value index, generated a positive return of 14.0 percent. The performance of growth versus value stocks shifted several times during the year, but for the year overall value stocks continued the leadership begun in 2000 as shown in the chart below.

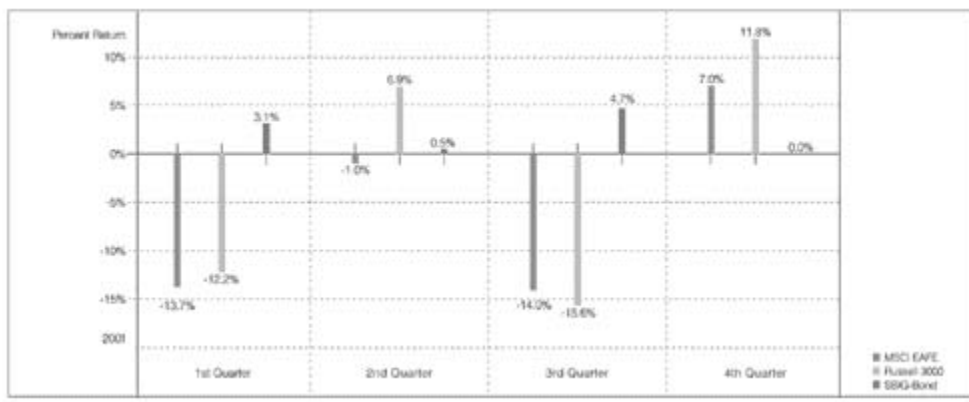
	1999 (%)	2000 (%)	2001 (%)
Russell 3000 Value	6.7	8.1	-4.3
Russell 3000	33.8	-22.4	-19.6

Growth			
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U.S. fixed income markets benefited from a continuing low-inflation environment and aggressive Federal Reserve rate cuts. Short-term rates were lowered 11 times during the year for a total rate reduction of 4.75 percent, and at year-end were at 1.75 percent, creating the largest gap between rates on two-year Treasuries and 30-year Treasuries since the economy emerged from recession in the early 1990s. The Salomon Broad bond index returned 8.5 percent for the year.

International conflict and global recession had a severe negative impact on non-U.S. equity markets. As in the United States, technology and telecommunications stocks were the hardest hit while defensive stocks, consumer staples, retail, and food and beverage companies held up well until late in the year. Europe was a major disappointment, demonstrating that these markets are now more closely tied to the United States economy than some forecasters predicted. Asia's reliance on exports, especially to the United States, crippled the area's markets except for South Korea, which benefited from restructuring and cost-cutting efforts in the corporate sector. Long-awaited structural reforms in Japan did not occur, and their economy remained mired in one of the deepest recessions on record. While emerging markets as a whole did not experience declines as severe as developed countries, they remain at 10-year lows.

Private equity markets, especially venture capital, have been severely impacted by the 2000 and 2001 bursting of the technology bubble. These portfolios experienced substantial write-downs at the end of 2000 and again at year-end 2001. It is anticipated that some less-established investment firms in this sector will fail as a result of market conditions. For seasoned, top-tier firms that raised significant sums in 1999 and 2000, this market may ultimately provide the opportunity to invest at more attractive prices. Currently, most firms in the private equity arena are focusing on preserving as much value as possible in their existing portfolios.



The severity of market declines and the number and size of bankruptcies, most notably Enron, have focused investors on the fact that, while information is now plentiful and instantly available, its quality and integrity must be questioned. Investors must exhaustively scrutinize the most fundamental

aspects of a company's ability to generate ongoing earnings as well as the risks inherent in its operations. No purely mechanical approach to selecting securities can replace seasoned judgment. Changes in the accounting standards will be required to provide better information about the complex financial structures and transactions that are now utilized by the corporate sector.

In recent years institutional investors have begun to focus more intently on the level of risk in their portfolios, and the events of 2001 have underscored the importance of continuous scrutiny of investment risks. The Treasurer's Office has developed a methodology based on quantitative measures of risk that has enhanced the Foundation's ability to assess changes in the overall level of portfolio risk, to track risk by manager and asset class, and to factor market risk into decisions about rebalancing the portfolio's asset allocation. While these approaches are based on the standard deviation of returns as a measure of risk and, therefore, are inadequate on their own, such tools provide a disciplined approach to the process of monitoring portfolio risk.

Created in 1913, the Rockefeller Foundation was endowed in several installments that totaled about \$250 million. The market value of the Rockefeller Foundation's endowment was \$3.1 billion at year-end 2001. In providing oversight of the endowment, the key financial objectives of the Foundation's board of trustees are (1) maintaining the long-term purchasing power of the endowment after inflation and grantmaking, and (2) maximizing funds available for current program needs and administrative support.

These two conflicting goals are balanced through policies on the spending rate and on the asset allocation of the investment portfolio. The Foundation's long-term target for annual spending is 5.5 percent of the market value of the endowment. The chart below summarizes the Foundation's spending history since 1992. Strong financial markets in the 1980s and 1990s allowed the Foundation to increase its spending for grantmaking and administrative expenses from \$117 million in 1992 to \$197 million in 2000. Spending in 2001 totaled \$162 million.

After an unusually long period of equanimity, the severity of market declines in 2000 and 2001 has reminded foundation investors of the challenge they face in meeting a 5 percent IRS mandated annual spending target and preserving endowment value after inflation. The Rockefeller Foundation has curtailed spending increases for 2002 and set aside reserves in the event that market declines further impair portfolio value.

Asset allocation policy is reviewed annually by the Finance Committee, which establishes a target allocation for each asset class. The Foundation rebalances to policy targets as markets move, but does not make tactical shifts in asset allocation. The long-term asset allocation targets are:

Asset Class	Percent
U.S. Equity	32
International Equity	19

Bonds	20
Real Estate	10
Private Equity	10
Absolute Return	8
Cash Reserve	1

INFORMATION FOR APPLICANTS

The Foundation's investment staff develops overall strategy, recommends investment managers and oversees their performance and adherence to guidelines, researches new investment opportunities and determines their feasibility for the Foundation, and monitors and controls portfolio risks. During 2001 a transition in the Treasurer's Office, which began with the appointment of the current chief investment officer in December 2000, was completed with the addition of a new senior portfolio manager and a manager of investment operations.

A few fundamental principles underlie the investment program. Asset allocation is an important focus for the trustees and the investment staff. Diversification is essential to portfolio design, but new approaches are added only if they are fully understood, serve a clear purpose and can be implemented in meaningful quantities. In selecting outside managers, we seek firms that, in addition to strong track records, have the people, management structure and disciplined processes to generate superior future results. While quantitative tools are essential for organizing data and for portfolio analysis, we believe that fundamental research and judgment always will be necessary in a world of rapidly changing capital markets. We recognize that investment expenses have a substantial impact on long-term results, and we consider cost control an important component of effective portfolio oversight.

The U.S. equity portfolio currently has approximately 30 percent invested in an S&P 500 index fund, and the remainder is allocated among nine active managers. This asset class is benchmarked against the Russell 3000 index and is designed to roughly approximate index allocations to small-, medium- and large-capitalization stocks.

The U.S. bond portfolio is managed by five advisers. In addition to U.S. Treasury and agency securities, the portfolio includes mortgages, corporate bonds, asset-backed securities, high-yield bonds and international bonds.

The Foundation's international equity portfolio has a small index-fund component, which is maintained for purposes of portfolio rebalancing, plus six active managers. Currency risk is hedged at a 50 percent level by specialists, who manage only currency positions and do not select the underlying equity securities. Emerging markets can represent up to 20 percent of the international equities portfolio, and the Foundation has two managers who specialize in these markets.

During 2001, the Absolute Return asset class was established in the Foundation's portfolio. This asset class, which will be built slowly with top-tier firms, will include investments in event driven strategies, long/short equity strategies and distressed debt. These investments are expected to provide equity-like returns that are not highly correlated with the public equity and fixed income markets.

In addition to marketable securities, the Foundation makes investments in private equity and real estate through funds run by experienced teams in these sectors. The inefficiency of private markets offers long-term institutional

investors, who can tolerate illiquidity, the opportunity to benefit from value added by experienced principals in selecting, structuring and managing investments. Our strategy is to build relationships with top-tier firms with whom we can invest in a series of funds over time and to structure partnerships that align our interests with those of our partners.

Contacting The Foundation About Grants

The Rockefeller Foundation works to enrich and sustain the lives and livelihoods of poor and excluded people throughout the world.

The Foundation works through four themes, or subject areas of work— Creativity and Culture, Food Security, Health Equity and Working Communities, and one cross-theme, Global Inclusion. This cross-theme addresses issues that connect the themes and their constituencies, and identifies ways to strengthen the linkages between them. In addition, the Foundation funds a number of programs that are new or exploratory in nature. Foundation programming is managed from the Foundation's offices in New York City; Bangkok, Thailand; Nairobi, Kenya; Harare, Zimbabwe; Mexico City; and San Francisco. The Foundation is a proactive grantmaker - that is, the staff seek out opportunities that will advance the Foundation's long-term goals rather than reacting to unsolicited proposals. Foundation staff receive more than 12,000 unsolicited proposals each year, more than 75 percent of which cannot be considered because their purposes fall outside the Foundation's program guidelines.

The Foundation strongly discourages unsolicited grant proposals. We do not use an application form or standard format for proposals. Organizations seeking funding should carefully review the Foundation's grantmaking guidelines included in this publication or visit the Foundation's Web site at www.rockfound.org to determine if their project conforms to the Foundation's strategic interests. Only then should organizations send a short letter of inquiry addressed to the director of the subject area of interest, Rockefeller Foundation, 420 Fifth Avenue, New York, N.Y. 10018. Inquiries can also be sent electronically to the e-mail addresses listed after each description below.

Letters of inquiry should briefly describe the purpose of the project for which funds are being requested; the issues the proposed project will address; information about the organization; estimated budget and period for which funds are being requested; and qualification of key personnel involved in the project. Please do not send attachments.

Letters of inquiry will be considered as they are received throughout the year. Inquiries take from six to eight weeks for review. Organizations submitting inquiries that are of interest to the Foundation may be asked to submit a proposal.

It is important to note that, as a matter of policy, the Foundation does not give or lend money for personal aid to individuals or, except in rare cases, provide general institutional support, fund endowments, or contribute to building and operating funds.

PROGRAM OBJECTIVES

Theme: Creativity & Culture

Program Goal: To give full expression to the creative impulses of individuals and communities in order to enhance the well-being of societies and better equip them to interact in a globalized world.

Creativity & Culture's grantmaking falls into several primary areas:

- The Recovering and Reinventing Cultures Through Museums work supports exhibitions that broaden the definition of American art and chart the cultural contributions of non-Western populations.
- The Partnerships Affirming Community Transformation (PACT) initiative supports community partnerships that use the arts and humanities to bridge difference and effect social change. Support is also given to ongoing research initiatives on cultural indicators and other means of understanding the role of culture in building community.
- Support is provided to preserve and strengthen threatened traditional art forms in Southeast Asia; to help communities recover and interpret cultural materials, such as literary or religious texts and oral histories; and to examine the role that memory, history and imagination play in helping communities withstand and adapt to the stresses of poverty, exclusion and violence.
- Funding is provided for efforts to fortify civil society through cultural institutions in Africa, Southeast Asia and Latin America; and for mobilizing the assets of religions to build resilient communities. Support is provided for scholarship and research networks through a competitive program of Resident Humanities Fellowships hosted by humanities centers in North and South America.
- Funding offered through application to the Multi-Arts Production (MAP) fund supports the creation of new work in the performing arts (dance, music and theater). Support for independent media artists in the United States and Mexico working in documentary, video, dramatic narrative film and experimental digital design is provided through New Media fellowships awarded by nomination—not by direct application—and administered by National Video Resources, a not-for-profit organization.

The theme has also funded an exploration to create environments that encourage new media collaborations between the artistic, scientific and technological communities, and to engage humanists and social scientists to probe the meaning of the cultural expression and new forms of social organization enabled by the Internet.

More detailed information on deadlines and application procedures for the competitive programs (Museums, PACT, Humanities Fellowships, MAP and New Media Fellowships) can be accessed at the Foundation's Web site: www.rockfound.org.

Inquiries at: creativity@rockfound.org or fax (212) 852-8438.

Theme: Food Security

Program Goal: To improve the food security of the rural poor through the generation of agricultural policies, institutions and innovations that will provide sustainable livelihoods in areas of sub-Saharan Africa, Asia and Latin America bypassed by the Green Revolution.

Food Security's grantmaking falls into three distinct areas:

- Enabling farmer participation in setting priorities for and in conducting plant breeding, developing seed production and distribution systems, and improving agronomic practices.
- Accelerating the discovery, development and application of new genetic and agroecological strategies for enhancing yield stability, producing more resilient crops, improving human nutrition and preventing environmental degradation.
- Fostering national development of policies that support resilient and profitable smallholder agriculture, and strengthen institutions that integrate the scientific and participatory approaches to innovation development.

The work of the Food Security theme is global in scope, but has a special emphasis on sub-Saharan Africa and South and Southeast Asia. Most grants are made to organizations in these regions. Training of national scientists from these regions can be included in research grants, and postdoctoral fellowships may be awarded to candidates nominated by grantee institutions.

Inquiries at: food@rockfound.org or fax (212) 852-8442, or refer to the Foundation Web site.

Theme: Health Equity

Program Goal: To advance global health equity by pursuing the reduction of avoidable and unfair differences in the health status of populations.

Health Equity's grantmaking falls into the following areas:

- Acceleration of product development for neglected diseases afflicting the poor, including vaccines for children's diseases and for AIDS, microbicides to prevent sexually transmitted infections, and medicines for malaria and tuberculosis.
- Training of public-health professionals and focused research partnerships related to disease surveillance and HIV/AIDS care.
- Strengthening health-equity analysis, identifying best practices and tools for equitable health-sector reform, and promoting greater institutional responsiveness and accountability to the concerns of the poor in the context of new and emerging health problems such as tobacco-related illness.
- Continued support for women's reproductive and sexual-health projects.

The work of the Health Equity theme is global in focus, but has special emphasis on sub-Saharan Africa and South and Southeast Asia. Health Equity does not support fellowships or scholarships for higher education.

Inquiries at: health@rockfound.org or fax (212) 852-8279, or refer to the Foundation Web site.

Theme: Working Communities

Program Goal: To transform poor urban neighborhoods into working communities—safe, healthy and effective neighborhoods—by increasing the amount and quality of available employment, improving the quality of all urban schools, and addressing inequities based on race, ethnicity, nationality and language; to increase the influence and voice of poor and excluded people in political decisions that affect their lives; and to expand public discourse to address problems of poverty, inequality and inequity.

Working Communities supports work in the following areas:

- Research on the consequences of economic, technological and demographic trends on the structure of work, and their impact on the least skilled; and into the structural components of racial and ethnic exclusion and their implications for democracy.
- National initiatives, such as the National Community Development Initiative, which support community-development corporations, or city-specific initiatives, to increase the scale and impact of reform in poor school districts. Direct funding is provided to selected work-force development providers that serve very poor communities. Projects are funded by invitation only.
- Well-designed and rigorously evaluated models to improve: employment access and advancement opportunities; the quality of education for poor and limited English-speaking children; and innovative locally based projects that increase voice and participation of the poor and excluded so as to address racial and ethnic exclusion. Projects are funded by invitation only.

Inquiries at: work@rockfound.org or fax (212) 852-8273, or refer to the Foundation Web site.

Cross-theme: Global Inclusion

Program Goal: To help broaden the benefits and reduce the negative impacts of globalization on vulnerable communities, families and individuals around the world.

Global Inclusion (GI) makes connections among the four themes, regional offices and special programs. This cross-theme tackles issues that connect the themes and their constituencies, and identifies ways to strengthen the linkages between them.

This cross-theme seeks to frame issues and focus public will and resources on critical world issues. Grantmaking is designed to encourage a more open and productive atmosphere in current global debates and to enhance the participation and voice of developing-country actors in policymaking. GI makes grants according to the following lines of work: transnational communities; peace, justice and security; intellectual-property rights; trade and development; labor conditions globally; and science in the service of the poor.

Global Inclusion also serves as the Foundation's internal think tank, analyzing policy issues and global trends. It makes grants and supports these tasks. Funds are limited and will be primarily directed by Foundation staff. Large institutional grants will not be considered. Global Inclusion does not support educational fellowships.

Inquiries at: global@rockfound.org or fax (212) 852-8461, or refer to the Foundation Web site.

Regional Program Special Program/Assets and Capacities

AFRICA REGIONAL PROGRAM

Goal: To contribute to the revitalization of the African continent by building the required human and institutional capacity and by providing critical information that will promote effective policies and programs to improve the lives and livelihoods of the poor.

Grantmaking supports work in three areas:

- Activities aimed at closing the gender gap in school access and achievement, and at enhancing the effectiveness of school systems in countries where universal primary education is becoming a reality. The program also supports efforts to improve the understanding of the challenges facing higher education in Africa and at helping universities become more relevant to the development of the continent.
- Research is funded that informs policy development, program design and resource allocation by providing local-level, multifaceted information on food, health, work and other human conditions that is needed to understand and address the root causes of poverty.
- The Africa Regional Program is also engaged in a number of explorations that are not open to direct application, including an effort to address the broader contextual and developmental issues that shape the contribution of capacity building in the areas of food, health, culture and work.

Staff located at the Foundation's Africa offices also make grants in the subject areas in which they have special competence. The Africa Regional Program does not support fellowships or scholarships for higher education.

Inquiries at: info@rockfound.or.ke or fax +254 (2) 218 840, or refer to the Foundation Web site.

COMMUNICATION FOR SOCIAL CHANGE

Goal: To enhance the effectiveness of development initiatives that focus on improving the lives of poor and excluded people by fostering innovative, sustainable and empowering communication approaches aimed at engendering positive social change.

CFSC supports funding in three areas:

- Developing new methods for implementation, analysis and dissemination of communication as a tool for development and social change especially as it affects the work of the Foundation and its grantees.
- Researching and testing the effectiveness of communication for social change in addressing critical issues faced by poor communities and on developing innovative evaluation measures and methodologies.
- Strengthening the capacity of local media organizations and community-based communication professionals, primarily within developing countries, to better serve as tools by which poor and excluded people can participate in addressing their own development challenges.

Inquiries at: csc@rockfound.org or fax (212) 852-8441, or refer to the Foundation Web site.

THE PROGRAMS: CREATIVITY & CULTURE

Goal: To give full expression to the creative impulses of individuals and communities in order to enhance the well-being of societies and better equip them to interact in a globalized world.

Culture and artistic expression serve as both barometers of the quality of people's lives and livelihoods, and as agents for improving them. Cultural workers—ranging from humanities scholars to traditional African griots and artists—serve as catalysts for comprehending and addressing the needs and aspirations of individuals and communities. Their role and the roles of their institutions are essential to preserving community traditions and memories, and to provide critical commentary about a rapidly changing world. Cultural workers and artists are vital to community resiliency as they help people withstand and respond to the stresses of poverty, migration, violence and discrimination.

Globalization, flowing on the currents of new technologies, can place creativity at risk as well as offer new avenues for expression. Technology can capture and provide new energy to threatened traditions by offering renewed strength to communities, and also give life to altogether new, borderless "imagined communities" that unite people through shared experiences. At the same time, globalization can lead to the homogenization of cultures and may undermine cultural diversity.

As it has throughout most of its history, the Rockefeller Foundation bases its support for the arts and humanities on the conviction that societies are enriched by the free expression of creative individuals. So as to address today's challenges of globalization, the Foundation aims to enhance the creativity of individuals and communities through the expansion of opportunities for creative expression to children from poor and marginalized communities, the preservation and renewal of the cultural heritage of poor and excluded people, the engagement of artists and humanists in the creation of democratic and inclusive societies, and the support of diverse creative expression and experiments with the new digital technologies.

The Foundation supports the recovery and reinvention of cultures through such vehicles as museum exhibitions, preservation of traditional art forms, cultural-heritage and folk-life projects, and community-arts projects, as well as efforts to promote cultural policy and to understand the cultural components of well-being. It promotes social critique and the free flow of ideas through humanities research and efforts to strengthen pluralism and institutions of public culture in Africa, Latin America and within Muslim communities, as well as the mobilization of religious organizations and workers in building civil society. In addition, the Foundation supports media and performing artists through fellowships, festivals and the creation/presentation of new work; it facilitates the interaction of the arts and new technologies; and it probes the meaning and impact of those technologies.

Although much of the Foundation's Creativity & Culture work is concentrated in the United States, initiatives in other countries include strengthening the World Conference on Religion and Peace, an international and multi-religious

nongovernmental organization, and mobilizing multi-religious cooperation in Africa, Asia and parts of the Muslim world; the inaugural exhibition of the National Museum of Popular Art in Mexico; a script-development fund for African filmmakers; a project to recover and publish literature by African women writers; a program at the Vietnam Museum of Ethnology of training and capacity building on Mekong Life Ways; and a research program seeking to conserve and renew Cambodian culture at the Center for Khmer Studies in Siem Reap.

THE PROGRAMS: FOOD SECURITY

Goal: To improve the food security of the rural poor through the generation of agricultural policies, institutions and innovations that will provide sustainable livelihoods in areas of sub-Saharan Africa, Asia and Latin America bypassed by the Green Revolution.

Food security—all people having enough food to carry on normal activities at all times—will continue to be a central challenge for millions of households, numerous countries and at least one continent, Africa, over the next half century. Of the 5.1 billion people living in developing countries, 3 billion live in rural areas, most of them dependent on agriculture for their livelihoods. Currently, about 800 million people remain undernourished and roughly 24,000 people die each day from hunger and hunger-related causes.

Most of those who remain undernourished live in regions bypassed by the agricultural advances of the Green Revolution that contributed to dramatic improvements in food security for the majority of the world's people. Living on land that is often lower in natural agricultural potential, having few formal educational opportunities and little access to technology, these farming families, concentrated in sub-Saharan Africa, and less-favored parts of Asia and Latin America, remain in poverty.

To help these farm families move out of poverty, Foundation grantees are generating agricultural innovations, including more dependable and sustainable farming practices, and new crop varieties developed for the specific environmental and socioeconomic conditions under which the poor farm. The National Agricultural Research Organization of Uganda, for example, has released new maize varieties that have improved disease resistance, more efficient nitrogen utilization and that breed true, so farmers can save seed from their harvest for the next planting.

Our grantees are engaging the farmers themselves as participants in scientific investigations and in the development of new technologies to meet their needs. This is illustrated by the central role of farmer participation in research, conducted by the University of Zimbabwe, on maize-soybean rotations. Farmers participating in the program generally select large leafy soybean varieties that fix considerable nitrogen under local conditions and produce much stover, crop residue that remains after the harvest; and they helped develop cultivation practices that use the residual nitrogen in the stover to improve soil fertility and benefit subsequent maize crops.

The ability of local organizations to access and move key institutional, policy and technological levers is critical to the success of this process. To foster development of local, national and international policies that will increase the productivity, stability and sustainability of smallholder agriculture, the Foundation seeks to empower and invigorate institutions that provide goods and services to poor farmers. In Africa, for example, the Foundation provides funding to the Ministry of Agriculture and Irrigation in Malawi, to assist the government's development of a long-term strategy for sustainable soil-fertility management and food security for smallholder farmers; to the African Centre for Fertilizer Development to facilitate greater private-sector participation in

the dissemination of soil-fertility technologies to smallholder farmers; and to the University of Pretoria to conduct research on the risks and benefits associated with the adoption of agricultural biotechnologies by smallholder farmers in Africa.

THE PROGRAMS: HEALTH EQUITY

Goal: To advance global health equity by pursuing the reduction of avoidable and unfair differences in the health status of populations.

The 20th century generated tremendous technological, economic and social change, of which one result has been a dramatic increase in both life expectancy and quality of life. Yet the majority of these advances have accrued to a very small fraction of humankind. Progress in health has not been equally distributed, either among or within countries.

These disparities in health achievement arise because of a host of factors including genetic predisposition, crowded living conditions, environmental exposures, food insecurity and inadequate access to health care. While some of these health inequalities may be considered reasonable or unavoidable, others are deemed unjust and therefore inequitable. Making this distinction involves an ethical notion of fairness. There is equity in health when individuals are able to attain their full health potential regardless of age, gender, race or socioeconomic circumstances.

Health-product market failures, crumbling health systems in the wake of health-care reform and a myriad of looming health threats have combined to generate inequities in health that the Foundation is working to address by harnessing the new sciences, resourcing public health and strengthening global leadership.

Since its creation, the Rockefeller Foundation has pursued scientific approaches to global health—from pioneering strategies for disease control and establishing the first schools of public health and tropical medicine, to fostering such new disciplines as molecular biology. The Foundation's accomplishments are many, including support for research leading to the discovery of penicillin and the yellow-fever vaccine, for which a staff member won a Nobel Prize.

The Foundation's Health Equity theme envisions a "new health world" whereby poor and excluded people can achieve their full health potential. To help achieve this the Foundation seeks to counter health-product market failures with advocacy, capacity building and support for specific product initiatives. Much of this work is being done through public/private partnerships, including a new alliance to accelerate tuberculosis drug development. This year, for example, saw progress toward the creation of a partnership to speed the development of safe, effective microbicides—substances that can substantially reduce transmission of HIV and other sexually transmitted infections when applied in the vagina or rectum.

The importance of partnerships is underscored by the creation and funding, by a broad community of global foundations, of a pilot program in AIDS

prevention and care. The program, known as Mother-To-Child Transmission of HIV or MTCT-Plus, builds on the opportunity of preventing HIV transmission from mother to child by extending care to the mothers, thereby increasing the chances of survival for infected mothers and diminishing the incidence of orphanhood.

The Foundation aims to revitalize public-health systems to address the health priorities of poor and marginalized people and to redress disparities, particularly in sub-Saharan Africa and South and Southeast Asia. A recent evaluation of an innovative training program in public health provided encouraging evidence that graduates are not only capable of responding to local health challenges but are also more likely to remain in rural areas.

THE PROGRAMS: WORKING COMMUNITIES

Goal: To transform poor urban neighborhoods into working communities—safe, healthy and effective neighborhoods—by increasing the amount and quality of employment, improving the quality of all urban schools, and increasing the influence and voice of the poor and excluded in political decisions that affect their lives.

The mix of productive work, quality education and racial equity makes a working community. Employment provides the material means of support for individuals, structures their daily lives and engenders fulfillment or frustration. Education and training determine access to meaningful employment with advancement potential. Racial equity ensures that all residents have access to the means necessary to achieve stable livelihoods and become full and productive members of the community.

In its effort to make this vision a reality in the United States, the Foundation faces a multitude of challenges. For example:

- Despite sustained U.S. economic growth throughout the 1990s, one in every eight persons remains in poverty.
- Income inequality in the United States is the highest among all industrialized nations, due, in part, to the decline in real wages of low-skilled workers.
- Poverty is primarily an urban phenomenon: three fourths of the poor live in metropolitan areas, and central U.S. cities are home to half of the nation's poor.
- Poverty weighs more heavily on minorities and non-English speakers—a quarter of all African-Americans and a fifth of Latinos are poor; half of the foreign-born are poor.
- An estimated third of public schools that are failing to teach are in central cities, and teachers continue to report that they are unprepared to teach growing numbers of minority and new English-language learning.

The Foundation supports three areas of activities to improve these conditions: public policies with explicit goals to eliminate or reduce inequities and disparities in education, employment and civic participation; competent public and private organizations to implement and sustain such policies and the programs; and detailed practical knowledge and research about which programs work, which do not, and with what costs and benefits. Partnerships and public/private initiatives will continue to play an important role in addressing the plight of urban neighborhoods. For example, spurred by the foundations that started the National Community Development Initiative (NCDI) and the Ford Foundation—and by such federal policies as the Community Reinvestment Act and the low-income housing tax credit, multibillion-dollar investments were made in housing and community development. With these resources, community-development corporations have created hundreds of thousands of units of affordable housing in inner-city neighborhoods.

The Foundation continues support for strategies to improve wages, employment and economic opportunities for the working poor. This includes funding of research and policy analysis, as well as grassroots initiatives to improve employment access and job opportunities for low-skilled urban residents—such as innovative, paid community-service jobs and more effective training and placement services for low-income people. Funding also supports a rigorous experiment aimed at increasing employment rates among public-housing residents.

In 2001, the Foundation completed its partnership with the Comer School Development Program, after a decade of funding that helped it expand to more than 700 schools and train thousands of educators and school administrators nationwide. Broadly, the experience of the school-reform movement and evaluations of Foundation-led initiatives have led to the conclusion that adequate financial resources are critical to any strategies to improve educational outcomes for all children. The Foundation will examine and build models of education-finance reform and accountability that can address current disparities in educational resources and student achievement. To increase the participation of racial and ethnic minorities in shaping solutions to inequality and exclusion, the Foundation supports collaboration among scholars, activists and community leaders that combines research and community interests; innovative legal practices that encourage community participation in addressing the problems of racial justice; and broad, deliberate and informed discourse to set remedies.

THE PROGRAMS: GLOBAL INCLUSION

Goal: To help broaden the benefits and reduce the negative impacts of globalization on vulnerable communities, families and individuals around the world.

It is essential to the work of the Foundation, in an age of continuous and rapid change, that we maintain a constant analytical vigil seeking to identify and understand the impacts of global trends, especially those that impact the lives of poor people, before or as soon as they occur. The Foundation's Global Inclusion cross-theme monitors the pace and scale of change in all four of our themes while it also works to build a deeper appreciation of the complexity and diversity of human experience. Global Inclusion supports, promotes and supplements all four of the Foundation's thematic lines of work.

Reaching across boundaries of discipline and experience, Global Inclusion provides analyses of global trends and policy issues, and stimulates and incubates work among the themes that seeks a comprehensive, holistic approach to enriching the lives and livelihoods of poor communities.

By analyzing, interpreting and debating important global trends and issues in poverty and exclusion, ranging from protests against scientific innovations and protection of indigenous rights, to migration restrictions in the face of liberalizing cross-border trade, Global Inclusion helps position the Foundation on a complex array of crosscutting policy and strategic concerns.

At any moment, a discrete issue or a few selected issues affect each of the Foundation's themes and demands an overarching response that acknowledges the interconnected and intertwined themes of people's lives—their health, food, work and creative expression. One example involves the Foundation's commitment to the promotion of science and technology to help enrich the lives of poor people. The cross-theme is engaged in finding an appropriate set of positions in many of the public-policy and scientific debates that emerge from the tension between science and society.

Global Inclusion also serves as an incubator for emerging Foundation interests. One example involves work around impoverished transnational communities that organize their members' lives and livelihoods across international boundaries. Developing these new interests may lead to cross-thematic programming and open up new areas of long-term grantmaking.

THE PROGRAMS: ASSETS & CAPACITIES

Communication for Social Change

Goal: To enhance the effectiveness of development initiatives that focus on improving the lives of poor and excluded people by fostering innovative, sustainable and empowering communication approaches aimed at engendering positive social change.

Positive social change is more likely to occur when people have both the opportunity and the means to determine who they are, what they want and how they will obtain it. When the voices of poor and excluded people are more fully engaged in their own social and economic development, progress toward attaining good health, achieving food security, building working communities and preserving cultural traditions is more sustainable. The Communication for Social Change special program supports work toward defining and testing a more inclusive model of communication for development that moves away from top-down, externally-driven models emphasizing transmission of knowledge through persuasion toward communication that is controlled or owned by the community.

Favoring participatory communication, CFSC is a process of community dialogue, problem identification, information sharing, mutual agreement and understanding, and collective action. The social change it catalyzes is generally based on community dialogue and collective action that clearly specifies not just individual outcomes, but broad-based societal outcomes.

In Zimbabwe, for example, the special program is supporting community-based efforts aimed at developing effective ways for rural youth groups to communicate about AIDS prevention. By developing and testing their own prevention messages and then embracing established local forms of communication including drama, song and community dialogue circles—as well as opinion polling and moderated focus groups—the communities have begun to move toward more sustained social change. The special program has also supported a variety of other innovative ways to improve development communication practice including support for community-based media as a way of enabling communities to share ideas and stimulate debate on a range of development issues as defined by the users of the media themselves.

Global Philanthropy

The Foundation has supported projects designed to encourage philanthropy on a global basis. These efforts help to mobilize new resources in order to adequately tackle the world's most critical problems as well as to develop partnerships with other potential funders.

Foundation grantmaking in this area seeks to learn about new trends in giving, to offer training in philanthropy to those who have newly acquired wealth, to help sustain the philanthropic sector in the United States and to encourage growth of the philanthropic sector in other parts of the world. This work incorporates two ongoing projects: The Philanthropy Workshop, a leadership development and networking program for individual donors who wish to bring their philanthropy to more strategic levels; and the Next Generation Leadership program for creating a diverse intersectoral network of young leaders to develop problem-solving models and to identify solutions to the social, economic and technological disparities that threaten democracy. A third project, the Acumen Fund, now an independent not-for-profit organization, accelerates positive global change by connecting committed philanthropists to strategic portfolios of social-change enterprises. We are also encouraging new philanthropic ideas while continuing to explore new partnerships and collaborations with established, as well as newer, foundations.

Public/Private Partnerships

The Program Venture Experiment (ProVenEx) seeks to catalyze private-sector investments in areas that will benefit poor and excluded people. Through this program the Foundation is testing the hypothesis that philanthropic, market-driven investment tools are capable of earning a return on capital while engaging the private sector in accomplishing program goals, addressing market failures in a financially sustainable manner and achieving greater scale in addressing the needs of poor and excluded people. Through investments in early-stage and growing companies, ProVenEx may be able to mend key market failures related to specific program goals, such as drugs and vaccines for diseases of the poor, jobs in low-income communities, artistically-derived creations shown in a way that preserves artistic traditions, and large-scale distribution of seeds to African farmers.

Bellagio

The Bellagio Study and Conference Center, located on a historic estate on Lake Como, Italy, provides an ideal environment of solitude, contemplation and productivity in which scholars, scientists, artists, writers, policymakers and practitioners from all over the world may pursue their creative and scholarly work.

The Center offers one-month stays for 15 residents in any discipline or field and coming from any country who expect a publication, exhibition, performance or other concrete product to result. Applicants are accepted not just for individual excellence or for the potential of their proposed projects, but also for the geographical diversity of their homelands and for their capacity to contribute to the intellectual mix of life at the Center.

The Center also offers interdisciplinary, intercultural networking through the convening of small working groups (from three to 25 participants) of policymakers, practitioners, scholars, scientists, artists and others. Priority is accorded to proposals that address significant issues and problems within or across given fields, are innovative in their design, and promise concrete outcomes beyond the drafting of a statement or recommendations.

Applications are reviewed by an interdisciplinary group of Rockefeller Foundation staff and outside specialists. Decisions are based upon the quality of the project proposed, the importance of the proposed work in its field and discipline, the qualifications of the applicant(s), and the suitability of the Center for the proposed activity.

The Foundation provides room and board without charge for all residents and workshop/team participants. Some travel assistance is available for those from developing countries who qualify.

THE PROGRAMS: REGIONAL OFFICES

Over the course of its history, the Rockefeller Foundation has worked in many parts of the world. Our earliest work in hookworm, malaria and yellow fever was rapidly extended into Latin America and Asia. John D. Rockefeller himself, perhaps spurred by the Christian missionary movement of the 19th century, was especially interested in the modernization of China, where the Foundation operated its largest-ever program at the Peking Union Medical College. During the 1930s and 1940s, we worked extensively throughout Europe.

In the past two decades, we have concentrated our overseas work in eastern and southern Africa, the most economically deprived world region. Much of our early work involved posting technical officers overseas. Due to budgetary constraints and changing contexts, we withdrew our large field staff in the 1970s. Since then, we have operated with fewer than 10 overseas officers servicing specific programs.

For the future, we find it difficult to conceive of a global foundation based exclusively in New York City. The concept of a "global foundation" implies global awareness, an open mind-set, consciousness of globally shared (and differing) values, and an institutional capacity to harness global knowledge and learn from diverse societies. It also implies the intention to apply knowledge on the ground among specific people and in specific places. Foundation programs thus will adopt a global-planning framework, but will decentralize the implementation of the programs, wherever feasible, in response to local contexts, people and institutions.

Recognizing that the Foundation cannot hope to work everywhere, staff planning proposes that we seek means of enhancing our "field presence" in selected key regions. The means include residential staff, international networks, advisory inputs of local leaders and other modalities.

Our current regional bases vary greatly in their functions. Bellagio is the site of an international conference and study center under the direct management of the Foundation. The most developed multi-thematic engagement is in Africa, while offices in Asia, Latin America and on the U.S. West Coast service single programs. Several of our geographic bases will be developed into more ample regional offices, with full-time, resident professional staff. These regional offices will support, promote and supplement global thematic programs.

Bellagio

The Bellagio Study and Conference Center is a Foundation-operated charitable activity. Our proposed budget continues Bellagio as a Foundation-administered project. The Bellagio Committee will explore greater diversity and quality among selected artists and scholars for the future. It also encourages the Foundation to pursue more focused and sustained program interactions based at the facility.

Nairobi and Harare

These two Africa offices will conduct ongoing programs in Food Security, Health Equity, Population, African Higher Education and Creativity & Culture. As such,

the Africa offices will take the lead in the female education program, the strengthening of Makerere University and the collaboration with the Carnegie Corporation of New York and the Ford and MacArthur foundations in the Partnership to Strengthen African Universities.

Bangkok, Mexico City and San Francisco

The Foundation's offices in Bangkok, Mexico City and San Francisco execute single-theme program objectives. These offices are in different stages of development and implementation.

Creativity and Innovation in a Global Age

Creative Environments in the Digital Age

American Composers Orchestra, New York, New York \$60,000 toward the costs of the Orchestra Technology Initiative, a five-year initiative to encourage integration of technology into the modern orchestra and creation of new symphonic music

American Film Institute, Los Angeles, California \$50,000 toward the costs of its third Digital Arts Workshop, an international forum on the role of streaming media in the work of artists

American Museum of the Moving Image, Astoria, New York \$50,000 toward the costs of launching a "Digital Arts Project Room," a presentation space for evolving digital media and computer-based artwork

Art and Science Laboratory, Santa Fe, New Mexico \$100,000 toward the costs of projects exploring how digital code and computing tools define a new type of human perceptual space and a new potential for creative imagination

Art Center College of Design, Pasadena, California \$100,000 toward the costs of the design and publication of Mediawork Pamphlets, a series of short books on visual culture, representing a transmedia approach to issues of the digital era

Bang on a Can, New York, New York \$100,000 toward the costs of the Bang on a Can E-Festival

Brooklyn Academy of Music, Brooklyn, New York \$75,000 toward the costs of presentations and a lecture demonstration of three "Arts in Multimedia" works created by artists in collaboration with technology researchers

Centre for the Study of Developing Societies, New Delhi, India \$89,320 toward the costs of Sarai the New Media Initiative, a program to reconstitute urban public culture from a new media perspective in a South Asian/Asian context

Creative Time, New York, New York \$40,000 toward the costs of Creative Time in the Anchorage 2001, a multidisciplinary arts festival featuring artists whose work explores how technology has shifted our understanding of time and place

Graduate School and University Center, City University of New York, New York, New York \$75,000 toward the costs of "Streaming Culture," a capacity-building initiative to provide minority artists and cultural organizations with streaming-media services in order that they can better identify and educate their diverse audiences

Harvestworks, New York, New York \$30,000 toward the costs of the League of Electronic Musical Urban Robots (LEMUR), a group of artists and technologists working to produce an orchestra of robotic musical instruments

Leonardo Observatory for the Arts and Techno-Sciences, Boulogne-Billancourt, France \$50,184 toward the costs of two projects "Pioneers and Pathbreakers," documenting 20th-century artists whose works have influenced technological art, and "Virtual Africa," an online exchange between intellectuals and artists from Africa with their peers on other continents

Massachusetts Institute of Technology, Cambridge, Massachusetts \$40,000 toward the costs of the Conference on Race in Digital Spaces, a three-day meeting to explore issues of race and technology, including the digital divide, portable technologies, professional and artistic expression, public policy, and infrastructure

National Indian Telecommunications Institute, Santa Fe, New Mexico \$100,000 toward the costs of a project to assist all the tribes of New Mexico in creating their own Tribal Virtual Museum

Rhizome Communications, New York, New York \$100,000 toward the costs of outreach services, the Virtual Internship program, and the initiation of a strategic planning process

Signature Theatre Company, New York, New York \$15,000 toward the costs of the use of the Production Designer Software, new technology for digital video and sound production, in the theater piece "Urban Zulu Mambo"

SRI International, Menlo Park, California \$76,700 toward the costs of development of models and specifications for tools that will enhance the quality of human experience in public spaces such as museums, interactive performances, kiosks and libraries

The Thing, Inc., New York, New York \$75,000 toward the costs of capacity-building initiatives for developing independent and sustainable media projects in creative communities

University of California, Irvine, Irvine, California \$26,030 toward the costs of Digital Dilemmas, a series of three workshops on digital culture, at the Humanities Research Center

University of New Mexico, Albuquerque, New Mexico \$75,000 toward the costs of a program to bring together artists and scientists at its Arts Technology Center to explore the development and scope of fine arts in the realm of supercomputing, using the three-dimensional, open-source software "Flailand"

Walker Art Center, Minneapolis, Minnesota \$100,000 toward the costs of planning a cost-share online/off-line network portal to enrich the contemporary arts field and its local and global communities

Whitney Museum of American Art, New York, New York \$54,500 toward the costs of the exhibition "Data Dynamics" and the organization of a new media art think-tank conference

Film/Video Fellowships and Incubators

Asian Cinevision, New York, New York \$63,000 toward the costs of a capacity-building initiative to support the needs of Asian/Asian-American media artists in the United States

Arcangel Constantini, Mexico City, Mexico \$20,000 toward the costs of "do lo popular a lo electrónico (From the Popular to the Electronic)," a series of interactive animations and Net artworks based on the objects, situations and sounds found in Mexico City's flea markets

Electronic Arts Intermix, New York, New York \$25,000 toward the costs of the Cataloging Project and Technical Assistance program for Independent Media Arts Preservation

Foundation-administered project: \$284,115 for a service arrangement with National Video Resources to manage the Media Arts Fellowships program

Jill Godmilow, South Bend, Indiana \$35,000 toward the costs of "Animal Farm," a documentary about human relationships with, and responsibilities toward, animals

Kayo Hatta, Los Angeles, California \$35,000 toward the costs of "Raw Fish," a narrative feature about a charismatic Buddhist priest who decides in midlife to leave his ministry in Hawaii and open a sushi restaurant in Manhattan

Julia Heyward, New York, New York \$35,000 toward the costs of "Miracles in Reverse," an interactive digital video disk that studies trauma and its aftermath of chaos, fear, acceptance, forgiveness and love

Independent Television Service, San Francisco, California \$47,300 toward the costs of capacity-building initiatives for the independent media community to examine the impacts of digital technology

Ken Kobland, New York, New York \$35,000 toward the costs of an experimental film that explores whether science and technology will save or destroy mankind

L.A. Freewaves, Los Angeles, California \$75,000 toward the costs of "The Big Box Arts and Mass Media," a capacity-building initiative to give marginalized voices access to the public forum of media arts

Deann Borschay Liem, Berkeley, California \$35,000 toward the costs of "Into the Arms of Strangers," a feature-length documentary that will examine the historical and socioeconomic factors that led South Korea to become the world's largest supplier of children available for adoption in developed countries

Mary Lucier, New York, New York \$35,000 toward the costs of "Ghost Towns (The Emptying of the Plains)," an eight-channel video installation that will describe, in image and sound, a personal journey through the Great Plains during various seasons and weather

Malinda Maynor, Chapel Hill, North Carolina \$35,000 toward the costs of "Labors of Love Lumbree Indian Art & Work," a video, a traveling museum exhibit and a theatrical performance that will explore how the Lumbee community's identity has been maintained and changed by their labor

Jim McKay, New York, New York \$35,000 toward the costs of "On the Way Out," a narrative feature film about a year in the life of a young black man preparing to leave the Brooklyn projects for college in Atlanta, Georgia

Media Access Project, Washington, D.C. \$100,000 toward the costs of a study, being conducted jointly with the Future of Music Coalition, of the effects of the consolidation of radio-station ownership on musicians and the American public after the passage of the Telecommunications Act of 1996

Museum of Modern Art, New York, New York \$50,000 toward the costs of education programs and marketing efforts to accompany an exhibition in celebration of the 15th anniversary of the Rockefeller Foundation's New Media Fellowships program

National Council of La Raza, Washington, D.C. \$100,000 toward support of its National Association of Latino Independent Producers' organizational development and program activities

National Video Resources, New York, New York \$20,000 toward the costs of ongoing activities of Grantmakers in Film and Electronic Media and a series of showcase events and publications celebrating its 35 years of promoting media funding

National Video Resources, New York, New York \$65,000 toward the costs of, in part, creating "After 9/11: A Video Collection That Promotes Knowledge, Understanding and Tolerance," to give face and voice to Arab-Americans, Middle Eastern and Asian communities in the United States and to help grassroots organizations combat anti-Arab prejudices in their communities

New York University, New York, New York \$85,000 toward the costs of two capacity-building initiatives to use the intellectual and creative space of the university to develop work in and knowledge about new media and cultural activism at the Center for Media, Culture and History

Ed Radtke, Yellow Springs, Ohio \$35,000 toward the costs of a feature narrative film based in part on the lives of juvenile felons who have been tried and convicted as adults

José Bull Ríos, Mexico City, Mexico \$20,000 toward the costs of "Los Crímenes de Mar del Norte (The North Sea Crimes)," a documentary about Mexico's most celebrated criminal of the 20th century, Goyo Cárdenas.

Lynne Sachs, Baltimore, Maryland \$35,000 toward the costs of "Investigation of the Flame," an experimental documentary portrait of the Catonsville Nine, the Vietnam War protesters who grabbed hundreds of selective-service records and burned them with homemade napalm

Carolee Schneemann, New Paltz, New York \$35,000 toward the costs of "Transport," a multichannel video installation that will contrast simple technologies, nature and the human body

Vibeke Sorensen, Solana Beach, California \$35,000 toward the costs of "Sanctuary," an interactive installation that explores multicultural interpretations of "safe haven"

Kim-Trang Tran, Los Angeles, California \$35,000 toward the costs of "Call Me Sugar," an experimental film about a single, working mother of six who is an immigrant from Vietnam

University of California, Berkeley, Berkeley, California: \$25,000 for use by its Center for New Documentary toward the costs of creating a low-cost production guide for documentary makers

Kinan and Anahuac Valdez, San Juan Bautista, California \$35,000 toward the costs of "Ballad of a Soldier," a narrative film about a young private who leaves his family, his love and his barno in an attempt to be somebody by going to fight in Vietnam

WBEZ Alliance, Inc., Chicago, Illinois \$25,000 toward the costs of the Third Coast International Audio Festival, a festival that celebrates the radio-documentary form

Multi-Arts Production Fund

A Contemporary Theatre, Seattle, Washington: \$15,000 to support the creation and development of "John School," a new theater work by Dael Orlandersmith

Aaron Davis Hall, Inc., New York, New York \$30,000 to support the development and production of "Brown Butterfly," a multimedia interdisciplinary performance piece with live video installations by choreographer Marlies Yearby, composer Craig Harris, and artist Jonas Goldstein

American Composers Orchestra, New York, New York \$15,000 to support "Midnight Movie," a new collaborative musical work by composer Stewart Wallace and the ensemble Icebreaker

Appalshop, Whitesburg, Kentucky \$20,000 to support the creation and production of "From the Hood to the Holler," a multimedia collaboration between musicians Dirk Powell, Rich Kirby and Adolphus Maples

Asia Society, New York, New York \$25,000 to support the creation of "Wenji: Eighteen Songs of a Nomad Flute," a one-act chamber opera by composer Bun-Ching Lam and librettist Xu Ying

Asian Improv Arts, San Francisco, California \$17,500 to support the development and premiere of "Up From the Root!" a new musical work by Jon Jang that explores the theme of cultural transmigrations, focusing on the intersection of Chinese, Chinese-American and African-American cultures

Bill T. Jones/Arnie Zane Dance Company, New York, New York \$25,000 to support the development and premiere of an as yet untitled series of new dance works choreographed by Bill T. Jones in collaboration with the Chamber Music Society of Lincoln Center

Carpetbag Theatre, Knoxville, Tennessee \$20,000 to support the creation of "Spoken Word Opera," a performance work by collaborating writers Linda Parris-Bailey, Robert Lynn Heathcock and Zakiyyah Modeste with choreography by Ajeet Kaur Khalsa

Center Theatre Group, Los Angeles, California \$20,000 to support the creation of "The Chavez Ravine Project," a new play by theater artists Culture Clash—Richard Montoya, Ric Salinas and Herbert Siguenza

Children's Theatre Company, Minneapolis, Minnesota \$15,000 to support the development of an as yet untitled original play by Kia Corthron about the experience of Somali immigrant youth in America

Cleveland Public Theatre, Inc., Cleveland, Ohio \$25,000 to support the creation of "Blue Sky Transmission," a new theater work based on the "Tibetan Book of the Dead," written by Holly Hollinger, Brett Keiser and Mike Geither, with music by Halim El-Dabh and directed by Raymond Bobgan

Creative Time, New York, New York \$20,000 to support the development and premiere of "The East New York Project," a multidisciplinary piece written and performed by poet Tracee Morris, and directed by Grisha Coleman with music by Mark Batson

Cross Performance, Inc., New York, New York \$35,000 to support the development and premiere of the final portion of "The Geography Trilogy," a dance performance work by choreographer Ralph Lemon developed with visual artist Nan Ward

Dance Theater Workshop, New York, New York \$20,000 to support the creation and development of "Report of the Body," by choreographer Wen Hui and filmmaker Wu Wenguang

Foundation-administered project \$250,000 toward the costs of a service arrangement with the Creative Capital Foundation to administer the Multi-Arts Production (MAP) Fund

Fund for Women Artists, Inc., Florence, Massachusetts \$20,000 to support the development and premiere of "The Doll Plays," a new theater work by Alva Rogers, directed by Peter Dubois, and presented in collaboration with the Actors' Express Company of Atlanta

Gale Gates et al., Brooklyn, New York \$19,000 to support the creation and premiere of "Wine-Blue-Open-Water," a collaborative performance/installation by director Michael Counts, performer Michelle Stern, composer Joseph Diebes, writer Ruth Margraff and choreographer Ken Roht

HERE, New York, New York \$25,000 to support the development and production of "Dead Tech," a site-specific dance-theater work, inspired by Henrik Ibsen's "The Master Builder," directed by Kristin Marting, with music by Matthew Pierce

House Foundation for the Arts, New York, New York \$20,000 to support the creation and development of a collaborative music-theater work between Meredith Monk and Ong Keng Sen of Theatreworks, Singapore

Intersection for the Arts, San Francisco, California \$20,000 to support the development and workshop production of "Blood in the Brain," a new adaptation of Shakespeare's "Hamlet," by playwright Naomi Iizuka and directed by Jonathan Moscone

Jane Comfort and Company, New York, New York \$15,000 to support the development of "Persephone," a dance-theater piece, with choreography by Jane Comfort, that juxtaposes Javanese musical structures with the Greek myth of Persephone

Jazz Gallery, New York, New York \$15,000 to support the commissioning and presentation of "Sangha: Collaborative Fables," a new musical suite composed and performed by Rudresh K. Mahanthappa and Vijay Iyer

Kitka Women's Vocal Ensemble, Oakland, California \$26,000 to support the creation and production of "The Rusalki Cycle," a folk opera scored for the Kitka Women's Vocal Ensemble and an ensemble of Western classical and Eastern European folk instruments by composer Richard Einhorn, and directed by Ellen Sebastian Chang

Los Angeles Chamber Orchestra, Los Angeles, California \$15,000 to support the commissioning and premiere of a new work by composer Kenneth Frazelle

Lower Manhattan Cultural Council, New York, New York \$25,000 to support the completion of "Spectropia," an evening-length interactive media performance work by artist Toni Dove

Lower Manhattan Cultural Council, New York, New York \$15,000 to support the continued development of "Miracles in Reverse," an autobiographical performance work by Julia Heyward

Lyric Opera Center for American Artists, Chicago, Illinois \$25,000 to support the development of "Morning Star," a new opera by composer Ricky Ian Gordon with libretto by William M. Hoffman, based on the play by Sylvia Regan

Mabou Mines, New York, New York \$20,000 to support the development and premiere of "Red Beads," a multidisciplinary opera written by Lee Breuer, with composer Ushio Tonkar and puppet direction by Basil Twist

Massachusetts Museum of Contemporary Art, North Adams, Massachusetts \$25,000 to support the development and premiere of "The Dream Life of Bricks," a site-specific multimedia performance piece by choreographer Martha Bowers and composer Philip Hamilton

Maul Arts & Cultural Center, Kahului, Hawaii \$15,000 to support the development and production of "When We Were One," a new trilogy of plays by Lane Nishikawa

McCarter Theatre, Princeton, New Jersey \$20,000 to support the development and production of "Crowns," a multidisciplinary stage adaptation by Regina Taylor of the book, "Crowns: Portraits of Black Women in Church Hats"



Museum of Contemporary Art, Chicago, Illinois \$22,000 to support the development and production of "Zeno at 4 A.M.," a new music-theater work with film directed by William Kentridge, music by Kevin Volans, and libretto by Jane Taylor, in collaboration with the Handspring Puppet Company

Musical Traditions/Paul Drescher Ensemble, San Francisco, California \$18,000 to support the development and production of "Sound Stage," a new music-theater work featuring invented musical instruments and sound sculptures by Alexander V Nichols, with music by Paul Drescher

Northeastern University, Boston, Massachusetts \$25,000 for use by its Center for the Arts to support the development and premiere of "All Power to the People," a martial-arts ballet with choreography by Jose Figueroa, music by Fred Ho and video design by Paul Chan

Ohio State University, Wooster, Ohio \$20,000 for use by its Wexner Center for the Arts to support the development and premiere of "Score," a play based on the life of conductor Leonard Bernstein directed by Anne Bogart

Ontological-Hysteric Theater, New York, New York \$20,000 to support the development and production of "Transcendental Race Car," a new multidisciplinary theater work by Richard Foreman

Perseverance Theatre, Douglas, Alaska \$30,000 to support the development and production of "The Cannery Project," a multidisciplinary theater work written and directed by Chay Yew

Ping Chong and Company, New York, New York \$20,000 to support the creation and development of "Shaolin 2002," a new movement-theater work written, choreographed and directed by Ping Chong in collaboration with martial-arts master Lawrence Tan

Rennie Harris Puremovement, Philadelphia, Pennsylvania \$25,000 to support the development and production of "Facing Mecca," a new dance piece choreographed by Rennie Harris with music by Darrin Ross and Kenny Muhammad

Rude Mechanicals, Austin, Texas \$20,000 to support the development and production of "The Maria Project," a multimedia performance work written by Kirk Lynn and directed by Shawn Sides

San Jose Repertory Theatre, San Jose, California \$20,000 to support the premiere production of "Las Meninas," a theater work by playwright Lynn Nottage

San Jose Talko Group, San Jose, California \$22,500 to support the development and production of "The Triangle Project Homecoming Home," a multimedia performance directed by Roy Hirabayashi, featuring PJ Hirabayashi, Nobuko Miyamoto and Yoko Fujimoto

Tamar Rogoff Performance Projects, New York, New York \$25,000 to support the development and production of "Daughter of a Pacifist," a multidisciplinary performance work choreographed by Tamar Rogoff with music by Ralph Denzer

Theater Offensive, Boston, Massachusetts \$20,000 to support the continued development and production of "Bel Canto," a new play by Daniel Alexander Jones

Theatreworks/USA, New York, New York \$25,000 to support the development and production of a multimedia musical about racial tolerance based on interviews with teenagers, directed by Robert O'Hara with music by Charles Anthony Burks III

University of Illinois at Urbana-Champaign, Urbana, Illinois \$15,000 to support the creation of the "Beethoven Project," a new composition for string quartet by composer Augusta Reed Thomas to be performed by the Alexander String Quartet at the Krannert Center for the Performing Arts

Wooster Group, New York, New York \$30,000 to support the development and production of "As I Lay Dying," a theatrical adaptation of William Faulkner's novel, directed by Elizabeth LeCompte

Yerba Buena Center for the Arts, San Francisco, California \$20,000 to support the development and production of "From Our Mother," a symphonic score created by jazz composer/pianist Omar Sosa and performed by the Oakland Youth Orchestra

Creativity, Knowledge and Freedom in the Public Sphere

Collaborative Programming

Cine Qua Non, Inc., New York, New York \$150,000 toward the costs of a media capacity-building initiative encouraging public participation in racially and economically marginalized communities (\$50,000 from Working Communities)

Kean University, Union, New Jersey \$25,500 toward the costs of editing and translating into Spanish and Portuguese articles originally included in a book entitled, "Between Cholera and AIDS: History and Disease in Modern Latin America"

National Autonomous University of Mexico, Mexico City, Mexico \$40,000 for use by its Centro Regional de Investigaciones Multidisciplinarias toward the costs of the "Mexican Cultural Report," the first comprehensive study of cultural trends in Mexico

University of Texas at San Antonio, San Antonio, Texas \$150,117 for use by its Division of Bicultural Bilingual Studies toward the costs of a research study of transnational U.S.-born Mexican-Americans in San Antonio

Global Civil Society and Culture

African Books Collective, Ltd., Oxford, United Kingdom \$73,558 toward the costs of a program to disseminate African writing and scholarship, and to develop strategies for strengthening African publishing

African Script Development Fund, Harare, Zimbabwe \$200,000 toward the costs of its 2001 programming

Al-Urdun Al-Jadid Research Center, Amman, Jordan \$60,056 for the inclusion of two additional countries in the project, Elites in the Middle East

Book Aid International, London, United Kingdom \$80,721 toward the costs of its resource packs initiative, a workshop at the Zimbabwe International Book Fair in 2002, and the implementation of its programmatic monitoring and evaluation strategies

California Newsreel, San Francisco, California \$100,000 toward the costs of "Africa in the Picture: A New Cinema for a New Century," the first national broadcast of African films presented as a four-part public-television series

International African Institute, London, United Kingdom \$50,000 toward the costs of two meetings of the Bellagio Publishing Network

Palestinian American Research Center, Villanova, Pennsylvania \$50,000 toward the costs of its predoctoral and postdoctoral fellowships program

Research and Technology Exchange Group, Paris, France \$22,694 to support the 2001 activities of the Partners for Media in Africa (ParMA) Network

Riwaq: Center for Architectural Conservation, Ramallah, West Bank/Gaza Strip \$142,000 toward the costs of the "National Inventory of Historic Buildings in Palestine" project

University of Southern Denmark, Odense, Denmark \$15,450 for use by its Center for Contemporary Middle East Studies toward the costs of a project entitled, Forum for Dialogue Between Civilizations

Women's World Organization for Rights, Literature and Development, New York, New York \$100,000 toward the costs of an international program to preserve women writers' freedom of expression

Humanities Residency Fellowships and Research

American Academy of Arts and Sciences, Cambridge, Massachusetts \$250,000 toward the costs of developing a research infrastructure and a coordinated national plan for improving data collection in the humanities

Bryn Mawr College, Bryn Mawr, Pennsylvania \$325,000 for use by its Center for the Study of Ethnicities, Communities and Social Policy toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities entitled, Ethnic Identities and Transformations: The Meaning and Experience of Ethnicity in the 21st Century.

Center for the Investigation of Central American Regions, Antigua, Guatemala \$100,000 toward the costs of Identity Construction Among Youth in Post-War Central America, a collaborative project between the Centro de Investigaciones Regionales de Mesoamerica and the University of Costa Rica to provide support for four fellows and a series of seminars to advance the study of youth culture in Central America

Columbia University, New York, New York \$325,000 for use by its Department of Sociomedical Sciences toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities entitled, Program for the Study of Gender, Sexuality, Health and Human Rights

Foundation-administered project \$80,000 toward the administrative costs of the Resident Fellowships in the Humanities

Institute of Economic and Social Development, Buenos Aires, Argentina \$55,700 toward the costs of an international convening of Humanities Residency site leaders entitled, Critical Agendas in Latin America, held in Buenos Aires, summer 2001

Institute of Economic and Social Development, Buenos Aires, Argentina \$71,000 toward the costs of additional funding for the final year of its Rockefeller Foundation Resident Fellowships in the Humanities program

Library of Congress, Washington, D.C. \$325,000 for use by its Area Studies Collections toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities entitled, Globalization and Muslim Societies

National Council for Research on Women, New York, New York \$380,000 toward the costs of a program in collaboration with the Center for the Study of Women and Society of the CUNY Graduate Center, of Rockefeller Foundation Resident Fellowships in the Humanities entitled, Facing Global Capital, Finding Human Security: A Gendered Critique



Photograph Excised Here

The Rev. Stephen Jasso

Religion and spirituality offer sustenance to most families of the Near Northside, a largely Mexican-American community in Fort Worth, Texas. One of the community's spiritual leaders is the Rev. Stephen Jasso, pastor of the All Saints Roman Catholic Church. More than half of the roughly 14,000 residents of the community are parishioners at All Saints. "The Church provides history, tradition and scripture," says Father Jasso. "Each is very important to people's lives here." Turn to P.034 → Photo Report

New York University, New York, New York \$50,000 for use by its Asian/Pacific/ American Studies Program and Institute toward the costs of two symposia on gender and cultural citizenship, one addressing the question of democracy and difference and one addressing migration, borderlands and diasporas.

Newberry Library, Chicago, Illinois \$325,000 for use by its D'Arcy McNickle Center for American Indian History toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities entitled Tribal Histories and a Plural World Toward a New Paradigm

University of California, Irvine, Irvine, California \$100,000 for use by its Humanities Research Institute toward the costs of a project to advance African-American studies in the University of California system

University of Kentucky, Lexington, Kentucky \$325,000 for use by its Committee on Social Theory and its Appalachian Center toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities entitled, Civic Professionalism and Global Regionalism Justice, Sustainability and the 'Scaling Up' of Community Participation

University of Massachusetts, Boston, Boston, Massachusetts \$50,000 for use by its William Joiner Center for the Study of War and Social Consequences to offer residencies to scholars not based at academic institutions during the third year of the Center's program of Rockefeller Foundation Resident Fellowships in the Humanities

University of North Carolina at Chapel Hill, Chapel Hill, North Carolina \$325,000 for use by its University Center for International Studies toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities entitled, Reimagining Civil Society in an Era of Globalization The American South in Applied Humanistic Perspectives

University of the Republic, Uruguay, Montevideo, Uruguay \$70,000 to supplement a program of Rockefeller Foundation Resident Fellowships in the Humanities entitled, Cultural Policies at the End of the Century State and Civil Society in a Time of Regional Integration and Globalization

University of Washington, Seattle, Washington \$7,500 for use by its Walter Chapin Simpson Center for the Humanities to support development activities of the Consortium for Humanities Centers and Institutes

University of Wisconsin-Madison, Madison, Wisconsin \$28,502 for use by its Women's Studies Research Center and its Global Studies Program toward the costs of a workshop and meeting entitled, the Gendered Dimensions of Authoritarian Legacies, held at the University of Wisconsin-Madison, fall 2001

Woodrow Wilson National Fellowship Foundation, Princeton, New Jersey \$150,000 to support the Imagining America public scholarship grants program

World Monuments Fund, New York, New York \$300,000 for use by the Center for Khmer Studies, a project of the World Monuments Fund, toward the costs of a program entitled, Building Intellectual Capacity, which will study pre-Angkorian archeology, vernacular architecture and youth culture

Role of Religion

Columbia University, New York, New York \$65,000 for use by its Center for the Study of Human Rights toward the cost of the conference, *New Faces: Religion, Human Rights and Societal Reconstruction in a Pluralist World*, to be held at the Bellagio Study and Conference Center, July 2002

Interfaith Alliance Foundation, Washington, D.C. \$70,017 toward the costs of an initiative to create congregational partnerships nationwide that will contribute to improved public understanding of religious diversity.

International Association for Religious Freedom, Oxford, United Kingdom \$73,185 toward the costs of a project to develop a Voluntary Code of Conduct for Religious Communities.

Interreligious Coordinating Council in Israel, Jerusalem, Israel \$150,000 toward the costs of programming in its Education Center aimed at leveraging the assets of religion to build a healthy civil society

National Interfaith Hospitality Network, Summit, New Jersey \$70,000 toward the costs of a program entitled *Building Understanding Through Interfaith Action*

United States Conference of Religions for Peace, New York, New York \$300,552 toward the costs of a series of meetings in 12 cities that will explore religious responses to interfaith conflicts in an increasingly multireligious United States

Resilient and Creative Communities

Recovery/Reinvention of Cultures

Cultural Absence/Cultural Recovery

Association for Cultural Equity, New York, New York \$100,000 toward the costs of preserving the Alan Lomax folklore archives ensuring that the artists' rights are recognized

Columbia University, New York, New York \$132,894 for use by its Oral History Research Office toward the costs of, *The September 11, 2001 Oral History and Narrative Memory Project*

Fund for Independent Publishing, New York, New York \$100,000 toward the costs of publishing and marketing "Remembering Jim Crow African-Americans Tell About Life in the Segregated South," a book and-tape set

Graduate School and University Center, City University of New York, New York, New York \$57,000 for use by the Activist Women's Oral History Archive of its Center for the Study of Women and Society toward the costs of identifying and inventorying oral histories that document new immigrant women's social movements, as the foundation of a National Women's Oral History Consortium

Lower East Side Tenement Museum, New York, New York \$100,000 for use by its International Coalition of Historic Site Museums of Conscience, toward the costs of *Dialogues for Democracy*, a network of initiatives at historic sites around the world that use history to stimulate public dialogue

Memoria Abierta, Buenos Aires, Argentina \$75,000 toward the costs of *Representation and Public Culture* a project in three parts that will result in an exhibition a catalogue of audiovisual productions on state terrorism and the creation of an oral archive

New York University, New York, New York \$97,510 for use by its Asian/Pacific/American Studies Program and Institute toward the costs of developing an archive of materials related to the Asian-American experience in the New York metropolitan area and for the publication of a book entitled, "Vestiges of War"

Other Minds, San Francisco, California \$40,000 toward the costs of the *Web Radio/Net Music Initiative*, a project to expand the accessibility and dissemination of a benchmark archive of contemporary music, and provide information and creative possibilities for composers to incorporate digital technologies into their work

Recovering and Reinventing Cultures Through Museums

American Craft Museum, New York, New York \$75,000 toward the costs of the exhibition, "Changing Hands Native American Arts Today"

Asia Society, New York, New York \$150,000 toward the costs of "Shazia Sikander and Nilima Sheikh" and "New Ways of Tea," two exhibitions which form part of a four-year exhibition series entitled, "Conversations With Tradition"

Asociación de Amigos del Museo de Arte Popular, Mexico \$100,000 toward the costs of the exhibition, "Sala de Introducción"

Balch Institute, Philadelphia, Pennsylvania \$67,700 toward the costs of the exhibition, "Africans in America The New Diaspora"

Blue Star Art Space, San Antonio, Texas \$20,000 toward the costs of the exhibition, "Celia Alvarez Muñoz A Survey Retrospective"

Bronx Museum of the Arts, New York, New York \$75,000 toward the costs of the exhibition, "One Planet Under a Groove Hip-Hop and Contemporary Art," exploring the connection between visual art and the spirit of hip-hop

Documenta 11, Kassel, Germany \$50,000 toward the costs of *Creolite and Creolization*, a conference and workshop

Exhibitions International, New York, New York \$75,000 toward the costs of the exhibition "Testimony Vernacular Art of the African-American South"

Foundation-administered project: \$140,000 toward the costs of two international convenings, in Buenos Aires and in Cape Town, that will help plan a conference on Museums and Global Public Spheres

Mint Museum of Art, Charlotte, North Carolina \$75,000 toward the costs of the exhibition, "The Sport of Life and Death. The Meso American Ballgame"

Museum of Contemporary Art, Chicago, Illinois \$50,000 toward the costs of an exhibition of the works of South African artist, William Kentridge

Museum of Fine Arts, Houston, Houston, Texas \$100,000 toward the costs of the exhibition, "Splendors of Vice Regal Mexico Three Centuries of Treasures From the Museo Franz Meyer," an exhibition of Spanish colonial fine and decorative art

Museum of Modern Art, New York, New York \$15,000 toward the costs of the "African Museum Professionals Workshop"

North Carolina Central University, Durham North Carolina \$50,000 toward the costs of the exhibition, "Malvin Gray Johnson Modern Painter," held at the NCCU Art Museum January 2002

Queens Museum of Art, Queens, New York \$75,000 toward the costs of the exhibition, "Translated Acts," a survey of the last decade of East Asian performance art

Union of Community Museums of Oaxaca, Oaxaca Mexico \$75,000 toward the costs of a series of three annual conferences to develop and strengthen the Network of Community Museums of the Americas

University of California, Los Angeles, Los Angeles, California \$65,000 toward the costs of the exhibition "The Contemporary Katsina," at the Fowler Museum of Cultural History

University of Utah, Salt Lake City Utah \$30,000 toward the costs of the exhibition, "Utah's First Nations Peoples of the Great Basin and Colorado Basin," at the Utah Museum of Natural History

University of Wisconsin-Milwaukee, Milwaukee, Wisconsin \$60,000 toward the costs of the "Caribbean Artist Series," eight individual artist's exhibitions at the Institute of Visual Arts

Strengthening/Preserving Threatened Traditional Art Forms

Aid to Artisans, Hartford, Connecticut \$100,000 toward the costs of a project entitled *Culture-Based Marketing* a craft-development initiative in Southeast Asia

Alaska Native Heritage Center, Anchorage, Alaska \$100,000 toward the costs of "Furs, Feathers and Fiber—Covering Native Alaska," an exhibition and training project exploring the history and methods of creating the clothing of Alaska's native peoples

Asian Cultural Council, New York, New York \$542,300 toward the costs of the Mekong Region Arts and Culture Grants Initiative, which will support a network, a conference and a three-year fellowship program for artists and scholars from countries in the Mekong region

Center for Traditional Music and Dance, New York, New York \$300,000 toward the costs of its Community Cultural Initiatives, a project designed to enhance the cultural infrastructure within New York's immigrant and ethnic communities, and "New York The Global City" a recording project documenting traditional music from New York's diverse ethnic cultures

Conservancy for Tibetan Art and Culture, Washington, D.C. \$68,000 toward the costs of a Tibetan-American community-needs assessment

Fund for Folk Culture, Santa Fe New Mexico \$90,000 toward the costs of a capacity-building initiative to advance the field of folk and traditional arts and culture in the United States

Mexico-North Research Network, San Antonio, Texas \$83,000 toward the costs of a project to preserve and renew Raramuri cultural heritage through the textile arts

Mongol-American Cultural Association, New Brunswick, New Jersey \$25,000 to support several programs of the Festival of Mongolia 2001

Natya Dance Theatre, Chicago, Illinois \$35,000 toward the costs of Bharatanatyam in the Diaspora: Spiritual, Classical and Contemporary, a conference focusing on the preservation of a premier classical Indian dance form

New England Foundation for the Arts, Boston, Massachusetts \$100,000 toward the costs of Phase III of its Cambodia project, to evaluate, document and hold planning meetings for its international collaborative programs dedicated to the restoration and growth of the traditional performing arts in Cambodia

New England Foundation for the Arts, Boston, Massachusetts \$200,000 toward the costs of "Dance, the Spirit of Cambodia," a national tour of Cambodian music and dance and related activities

Reyum, Phnom Penh, Cambodia \$96,150 toward the costs of research projects to investigate and record local knowledge on three topics: Khmer ornament, tools and practices of the Cambodian countryside, and the development of a memory bank

Smithsonian Institution, Washington D.C. \$51,027 toward the costs of a collaborative project with the Vietnam Museum of Ethnology (Hanoi) entitled, *Mekong Lifeways*

University of the Philippines, Diliman, Quezon City, Philippines \$18,103 for use by its Center for Ethnomusicology toward the costs of a symposium, *A Search in Asia for a New Theory of Music*, to be held at the university, February 2002

Understanding the Cultural Components of Well-Being

Cultural Indicators/Cultural Policy

American Assembly, New York, New York \$200,000 toward the costs of a national Assembly on Arts, Technology and Intellectual Property

Americans for the Arts, Washington, D.C. \$25,000 toward the costs of *pARTicipate 2001* a joint convention with the National Assembly of State Art Agencies to further professional development services for the nonprofit arts field

Roberto Bedoya, Washington, D.C. \$23,000 toward the costs of a research project to examine the developing field of American Cultural Policy

Canadian Conference of the Arts, Ottawa, Ontario, Canada \$100,000 toward the costs of the second conference of the International Network for Cultural Diversity, held in Lucerne, Switzerland, fall 2001

Columbia University, New York, New York \$74,900 toward the costs of a conference on arts and the First Amendment, and funding for a research fellow on arts and free speech

Lower Manhattan Cultural Council, New York, New York \$50,000 toward the costs of its participation in rebuilding the arts in downtown Manhattan following the attack on the World Trade Center

MEM Associates, Inc., New York, New York \$50,000 toward the costs of a direct-marketing test for a proposed, Chronicle of the Arts

National Coalition Against Censorship, New York, New York \$50,000 toward the costs of its Free Expression Policy Project, a strategic analysis of issues related to artistic and intellectual freedom that will inform the development of an arts policy supporting access, diversity and affirmative alternatives to censorship

Princeton University, Princeton, New Jersey \$50,000 for use by its Center for Arts and Cultural Policy Studies toward the costs of convening leading social scientists to help design a research agenda that will advance current methods and techniques for measuring the relationship between the arts and community life

University of Chicago, Chicago, Illinois \$75,000 toward the costs of a conference on the Contingent Valuation of Culture

University of Pennsylvania, Philadelphia, Pennsylvania \$100,000 toward the costs of a research assessment of the connection between cultural expression and other indexes of social well-being in metropolitan Philadelphia

Partnerships Affirming Community Transformation (PACT)

651 Arts, Brooklyn, New York \$35,000 for a series of community debriefings and performances entitled "HairStories," addressing issues of cultural identity, racism and neighborhood gentrification

Alutiiq Heritage Foundation, Kodiak, Alaska \$26,950 for use by its Alutiiq Museum and Archaeological Repository for a series of traditional carving workshops in rural Native American villages to reawaken woodworking skills and instill pride in Native American ancestry

Appalshop, Whitesburg, Kentucky \$38,500 toward the costs of a media arts project, *From the Holler to the Hood: Stories from the American Prison Industry*, working with individuals and groups struggling with the political, economic and social challenges that accompany the growing prison system in central Appalachia

Armory Center for the Arts, Pasadena, California \$50,000 for a project engaging members of the local Mothers' Club Community Center in the exploration and documentation of their personal experiences through arts processes including textile art, photography and writing

Downtown Community Television Center, New York, New York \$150,000 for the development of a cable television and Internet magazine program by and for members of the disabled community

Elders Share the Arts, Brooklyn, New York \$100,000 for the continuation of a media project on the lives and stories of new immigrants in the borough of Queens, New York

Foundation-administered project \$190,000 for administrative costs related to the PACT program and panel meeting, including an international conference of community cultural-development theorists and practitioners at the Bellagio Study and Conference Center, May 2001

Guadalupe Cultural Arts Center, San Antonio, Texas \$54,250 toward the costs of a program of civic dialogue, community development and arts workshops organized around the creation of a sculpture of the Virgen de Guadalupe on the side of the Guadalupe Theatre

Missouri Historical Society, St. Louis, Missouri \$88,800 toward the costs of an oral-history curriculum-development project

Omaha Theater Company for Young People, Omaha, Nebraska \$20,000 toward the costs of expanding its Pride Players Project, for the annual production of a play that dramatizes issues of intolerance and hate that threaten gay, lesbian and bisexual teenagers in Omaha

Philadelphia Mural Arts Advocates, Philadelphia, Pennsylvania \$70,000 for its Mural Arts Service Corps, a neighborhood-based youth arts-education program

Strategic Actions for a Just Economy, Los Angeles, California: \$75,000 toward the costs of *We Shall Not Be Moved*, a project to assemble and create posters that capture the Los Angeles Figueroa Corridor community's voice, values and vision through a community-arts process that can be used as a model for anti-gentrification efforts across the United States

University of Michigan, Ann Arbor, Michigan \$80,000 for use by its Prison Creative Arts Project toward the costs of *The Linkage Project*, which provides workshops, portfolio preparation, mentoring and exhibitions for formerly incarcerated artists

Visual Communications, Los Angeles, California \$75,000 toward the costs of a digital storytelling project by artists, community activists and workers in Asian Pacific communities of Los Angeles

White Earth Reservation, White Earth, Minnesota \$76,500 toward the costs of *Honoring the Seven Fires: Community Stories of Our Sacred Grain*, a project exploring the cultural and spiritual meanings of wild rice and waterways to the Anishinaabe or Minnesota Chippewa Tribe

Explorations

Asian American Arts Centre, New York, New York \$18,000 toward the costs of a Web site dedicated to visual and written documents of Asian-American artists

Caribbean Contemporary Arts, Trinidad & Tobago \$100,000 toward the costs of audience development, community outreach and intraregional exchange initiatives

Circle in the Square Theatre School, Inc., New York, New York \$50,000 toward the costs of outreach activities exploring the traditional beliefs and social norms of New York City's diverse communities

Grantmakers in the Arts, Seattle, Washington \$25,000 toward the costs of its 2001-2002 activities

Hip-Hop Theatre Junction, Washington, D.C. \$95,000 toward the costs of the second annual New York City Hip-Hop Theatre Festival held at Performance Space 122, June 2001

Joy2Learn Foundation, Riverdale, New York \$13,400 for a series of Internet-based visual and performing-arts education programs available to schools free of charge

La Morada Corporation for the Development of Women, Santiago, Chile \$20,000 toward the costs of the publication of two issues of *Revista de Critica Cultural*

Lincoln Center for the Performing Arts, New York, New York \$75,000 toward the costs of a four-concert miniseries featuring music from sub-Saharan Africa, presented at the Lincoln Center Festival 2001

Lincoln Center Theater, New York, New York \$25,000 to support the Directors Lab three-week exploration of Chen Shi-Zheng's play, "The Orphan of Chao"

Media Arts Center San Diego, San Diego, California \$20,055 toward the cost of the research and development phase of the documentary series, "Beyond the Dream: California and the Rediscovery of America"

Middlebury College, Middlebury, Vermont \$100,000 for use by its Bread Loaf School of English, toward the costs of a series of colloquia on Latino/Chicano and African-American cultural forms

National Association of Latino Arts and Culture, San Antonio, Texas \$100,000 toward the costs of education and community outreach efforts for the documentary series, "Visiones: Latino Arts and Culture"

National Performance Network, New Orleans, Louisiana \$80,000 toward the costs of two artists' retreats designed to break down the barriers that exist between artists and presenters

New Haven International Festival of Arts & Ideas, New Haven, Connecticut \$75,000 to support the commission and presentation, at the 2001 International Festival, of work that will give voice to marginalized communities

New York Chamber Symphony, New York, New York \$25,000 to support the New Music Competition, a performer-selected and audience-judged competition that will be broadcast nationally on NPR's "Performance Today"

New York Theatre Workshop, New York, New York \$35,000 toward the costs of youth audience development for the Universes' upcoming production of "Slanguage," a slam poetry and hip-hop production

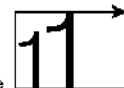
Opera America, Washington, D.C. \$100,000 toward the costs of The Opera Fund, a program to enhance the quality and creativity of American opera

Pentacle, New York, New York \$75,000 toward the costs of Cycles 3 and 4 of the Help Desk technical-assistance project for choreographers and their administrative support staff

Public Theater, New York, New York \$100,000 for the Public Theater's New Works Development Programs, to develop new theatrical works through a series of commissions, readings, workshops, residencies and a festival for new and established playwrights

University of California, Los Angeles, Los Angeles, California \$50,000 toward the costs of a Chicano Theater Festival investigating the Classics of Chicano Theater

University of Massachusetts at Amherst, Amherst, Massachusetts \$100,000 toward the costs of the New WORLD Theater's "Intersections III: Future Aesthetics," a two-day event exploring the intersections between theater, performance poetry, spoken word and hip-hop culture





Biotechnology Career Fellow Mane-Noelle Ndjondjop at the Department of Plant Breeding, Cornell University, Ithaca, New York

Producing More Resilient Crops

Abraham Blum, Tel Aviv, Israel \$38,400 toward the costs of maintaining a Web site to service the information and communication needs of scientists working to create more resilient crop species for less-favorable environments worldwide, with emphasis on drought tolerance in cereals

Cornell University, Ithaca, New York \$19,008 to enable a student from Kasetsart University, Thailand, to receive training in the University's Department of Plant Breeding in the use of a database of genetic information on drought-related quantitative trait loci in cereals

Crop Science Society of America, Madison, Wisconsin \$5,000 toward the costs of a symposium, Using Imaging and Spectral Methods to Quantify Plant Growth and Stress Responses held in Charlotte, North Carolina October 2001

Cuu Long Delta Rice Research Institute, Omon, Cantho, Vietnam \$10,000 to support in-country training of rice geneticists and breeders in the use of marker-assisted selection for increased efficiency in rice breeding

Donald Danforth Plant Science Center, St. Louis, Missouri \$25,000 toward the costs of the Fifth International Meeting of the Cassava Biotechnology Network, Constraints and Solutions for Improving Cassava Productivity, held in St. Louis, Missouri November 2001

Eduardo Mondlane University, Maputo, Mozambique \$15,000 toward the costs of an international conference Workshop on Crop and Pest Management Research and Development Strategy, held in Morrumbala, Mozambique June 2001

Foundation-administered project: \$50,000 toward the costs of operating the Foundation's program on improving drought tolerance in cereals

Foundation-administered project: \$130,000 toward the costs of commissioning a book documenting the results of and lessons learned from the Foundation's International Program on Rice Biotechnology

Foundation-administered project: \$100,000 toward the costs of operating the Foundation's program on genetic improvement of cereals for drought tolerance in Africa and Asia

Foundation-administered project: \$65,600 to provide administrative support for the rice drought-tolerance network and consulting activities in eastern India

Huazhong Agricultural University, Wuhan, Hubei, China \$325,000 for research on the genetic improvement of rice for drought tolerance to meet the needs of Chinese farmers practicing rain-fed rice cultivation

Institute of Biotechnology, National Center for Natural Science and Technology Hanoi, Vietnam \$37,080 for research on the genetic mapping of drought-tolerance traits in rice and breeding of new upland rice varieties for northern Vietnam

International Centre for Genetic Engineering and Biotechnology, New Delhi India \$98,900 to enable its New Delhi component to train and conduct collaborative research with other Indian institutions on the use of marker-assisted selection in breeding for stress tolerance in rice

International Centre for Genetic Engineering and Biotechnology, New Delhi India \$66,000 for research on mapping and tagging of gall midge resistance genes in rice

International Institute of Tropical Agriculture, Ibandan, Oyo State, Nigeria \$15,000 toward the costs of the Eighth Triennial International Symposium on Tropical Root and Tuber Crops, held at the Institute in November 2001

International Maize and Wheat Improvement Center, Mexico City, Mexico \$274,600 toward the costs of the on-farm testing and seeds component of the Southern Africa Drought and Low Soil Fertility Network's project to develop drought-tolerant varieties of maize

International Maize and Wheat Improvement Center, Mexico City, Mexico \$804,000 to support research linking plant breeding, molecular genetics and functional genomics for the improvement of drought tolerance in maize

International Rice Research Institute, Metro Manila, Philippines \$500,000 to support research on risk-management strategies used by rain-fed rice farmers in drought-prone environments and development of marker-assisted selection for breeding more drought-tolerant rice cultivars

Mahyco Research Foundation, Hyderabad, India \$20,000 toward the costs of a conference The Eighth Rice Biotechnology Network Meeting, held in Aurangabad India October 2001

National Center for Genome Resources, Santa Fe, New Mexico \$32,097 toward the costs of training scientists from developing countries and international agricultural research centers in bioinformatics

National Center for Genome Resources, Santa Fe, New Mexico \$34,380 to support development of bioinformatic capacity at the International Maize and Wheat Improvement Center, Mexico City, Mexico

Applying Science and Technology

Biotechnology, Breeding and Seed Systems for Africa

Basis for Integrated Development Initiatives, Machakos, Kenya \$39,436 for use by its BIDII Seeds Limited to support the production, promotion and distribution of high-quality affordable seed to smallholder farmers in the Makueni District of Kenya

George Bigirwa, Kampala Uganda \$33,385 for an African Career Award to enable him to undertake postdoctoral research at the Namulonge Agricultural and Animal Production Research Institute, on the occurrence and source of fungal rots and their impact on maize production in Uganda

Commonwealth Scientific and Industrial Research Organisation, Dickson Australia \$485,858 for research on the use of genetic engineering to improve the insect resistance of cowpeas in Africa

Egerton University, Njoro, Kenya \$13,750 for use by its Department of Biochemistry and Molecular Biology for research and training on the use of trap crops and resistant maize lines for *Stinga* control

Foundation-administered project: \$116,000 to reinforce capacity in applied biotechnology within the Kenya Agricultural Research Institute based at the National Agricultural Research Laboratories, especially within the context of projects focused on understanding resistance to maize-streak virus in east Africa

Foundation-administered project: \$50,000 toward administrative costs associated with consultants and advisory meetings primarily in the area of seed-production and distribution systems in Africa

International Center for Tropical Agriculture, Cali, Colombia \$60,000 toward the costs of the Africa-wide symposium on Participatory Plant Breeding held at Yamassoukouro, Ivory Coast, spring 2001

International Center for Tropical Agriculture, Cali, Colombia \$25,000 toward the costs of an international conference on comparative genomics in legumes

International Institute of Tropical Agriculture, Ibadan, Nigeria \$20,000 toward the costs of a conference on plant virology in sub-Saharan Africa, held at the Institute, June 2001

International Institute of Tropical Agriculture, Ibadan, Nigeria \$297,150 for research on introgressing genes for resistance to *Stinga hermonthica* into maize from teosinte

International Institute of Tropical Agriculture, Ibandan, Nigeria \$600,000 for research on the development and deployment of improved cassava varieties adapted to the poor soils of coastal lowlands in Kenya, Mozambique and Tanzania

International Maize and Wheat Improvement Center, Mexico City Mexico \$30,000 toward the costs of an eastern and southern Africa regional conference on low nitrogen and drought tolerance in maize, to be held in Nairobi, Kenya, February 2002

International Maize and Wheat Improvement Center, Mexico City, Mexico \$25,000 toward the costs of improving its facilities at Kibos in western Kenya for research on controlling the parasitic weed, *Stinga hermonthica*

International Maize and Wheat Improvement Center, Mexico City, Mexico \$354,800 toward the costs of the second phase of its East Africa Regional Maize Nursery's efforts to promote and enhance regional collaboration in eastern and southern Africa to address common disease and insect problems of maize

International Plant Genetic Resources Institute, Rome, Italy \$102,120 for research and training of a Ph.D. student at the University of Pretoria in the identification of genes to improve the resistance of banana and plantain to the banana weevil

Jomo Kenyatta University of Agriculture and Technology, Nairobi Kenya \$75,880 for research on the use of tissue-culture propagation of bananas to improve quality and increase output, thus increasing the food security and raising the incomes of resource-poor farmers in central Kenya

Kenya Agricultural Research Institute, Nairobi, Kenya \$49,995 for research on the identification and management of banana streak virus from tissue culture propagated plants

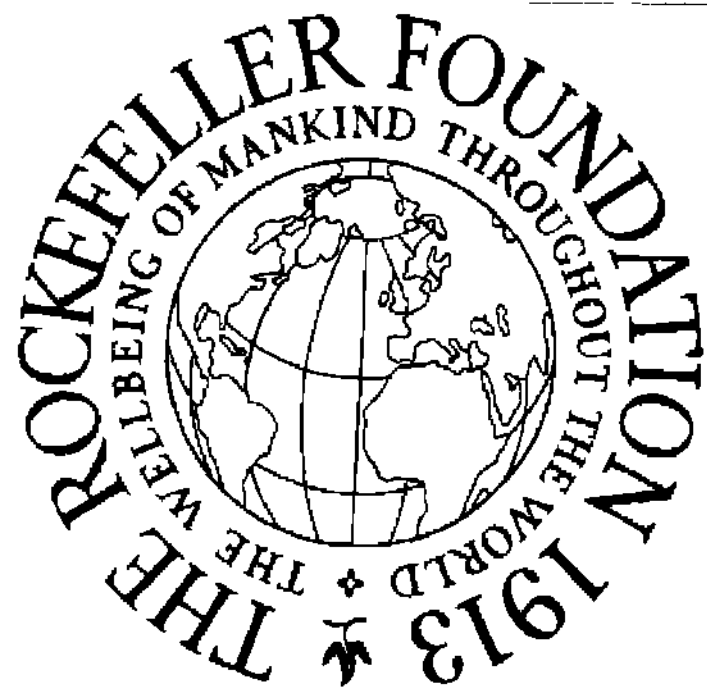
National Agricultural Research Organisation, Entebbe, Uganda \$80,016 toward project costs for participatory multiplication testing of improved upland rice in Uganda

National Institute for Agronomic Research, Maputo, Mozambique \$277,400 to support the Mozambique cassava brown-streak virus management project

Scientific & Industrial Research and Development Centre, Harare Zimbabwe \$170,000 for research aimed at achieving sustainable agricultural productivity through genetic engineering and clonal propagation of maize

University of Cape Town, Rondebosch, South Africa \$246,450 for research on the development of drought-tolerant crops through genetic engineering

West Africa Rice Development Association, Bouaké, Ivory Coast \$6,400 for a rice genome analysis of *Oryza glaberrima* germ plasm using microsatellite markers, to be undertaken by



Photograph Excised Here

Jansamorn Bunyawong crossed the Mekong River from Laos into Thailand seeking a better future. Like most immigrants, she encountered serious challenges such as landlessness and dependence on low-paying occasional work. Since taking in her AIDS-orphaned nieces, household spending has increased significantly. The youngest, 8-year-old Gontip, is creative and loves to make beautiful things. Jansamorn wants to do as well for her nieces as for her own children. She wants them to develop and learn. But given her struggle to provide basics like food and health care for nine family members, school fees may become expendable.

Rice Research Institute, Bangkok, Thailand \$28,000 to develop irrigation facilities allowing precision field screening of rice for drought tolerance

Shanghai Academy of Agricultural Sciences, Shanghai, China \$320,000 to build the necessary infrastructure and human capacity for genetic improvement of drought tolerance in rice in central and southern China

Swiss Federal Institute of Technology, Zurich, Switzerland \$22,500 for research, in collaboration with the Cuu Long Delta Rice Research Institute, Omon, Cantho, Vietnam, on using genetic transformation to develop Vietnamese rice varieties enriched with vitamin A and higher iron content

Tamil Nadu Agricultural University, Coimbatore, India \$4,050 for research on the genetic engineering of rice for resistance to major pests and diseases

Texas A&M University, College Station, Texas \$23,269 for use by the Texas Agricultural Experiment Station toward the costs of a workshop to assess graduate-training capacity for the genetic improvement of cereals for drought tolerance at public educational institutions in the United States, held in Providence, Rhode Island, July 2001

Texas Agricultural Experiment Station, College Station, Texas \$377,629 for Ph.D. training and research in maize genetic improvement with emphasis on tolerance to drought and low soil fertility in sub-Saharan Africa

University of Georgia, Athens, Georgia \$291,506 to support collaborative research with the University of Hyderabad, India, on the molecular genetics of drought tolerance in rice

University of Georgia, Athens, Georgia \$3,600 to enable Hugh Earl to travel to laboratories of the International Maize and Wheat Improvement Center in Mexico and Zimbabwe to plan collaborative research on the physiological genetics of drought tolerance in maize

University of Missouri-Columbia, Columbia, Missouri \$10,000 for use by the College of Agriculture, Food and Natural Resources for support of travel to enable African scientists to attend the Plant and Animal Genome X meeting, held in San Diego, California, January 2002

University of Queensland, Brisbane, Australia \$47,775 toward the development of a manual focusing on breeding rice for tolerance to drought

Youth for Action, Hyderabad, India \$93,200 to facilitate early access by farmers in eastern India to new drought-tolerant rice varieties for participatory field testing

Restoring and Maintaining African Soil Fertility

Foundation-administered project: \$90,000 toward the costs of operating the Foundation's program on integrated nutrient management and soil fertility in sub-Saharan Africa

Foundation-administered project: \$110,000 toward the costs of an analysis and assessment of the Food Security's current soil fertility and integrated nutrient-management programs in sub-Saharan Africa

Foundation-administered project: \$207,000 for administrative costs associated with the coordination of integrated nutrient management strategies in research and extension activities in Kenya

International Centre for Research in Agroforestry, Nairobi, Kenya \$65,700 to carry out studies on soil microbial communities and their effects on soil fertility

International Centre of Insect Physiology and Ecology, Nairobi, Kenya \$150,000 to continue support for its collaboration with the Uganda National Banana Research Program on socioeconomic studies to support technology development for banana cropping systems including integrated pest management

International Crops Research Institute for the Semi-Arid Tropics, Andhra Pradesh, India \$78,726 to support the addition of the Burkina Faso component to the joint research project with the Institut d'Economie Rurale, Mali, on guinea sorghum hybrids, bringing the benefits of hybrid technology to a staple crop of sub-Saharan Africa

International Institute of Tropical Agriculture, Ibadan, Nigeria \$15,950 to support the African Association for Biological Nitrogen Fixation planning meeting, held in Accra, Ghana, February 2001

International Institute of Tropical Agriculture, Ibadan, Nigeria \$35,000 for research to reduce constraints to widespread adoption of *Mucuna pruriens* as a green-manure cover crop for use by smallholder farmers in the tropics

International Livestock Research Institute, Nairobi, Kenya \$20,000 toward the costs of an international conference on sustainable crop-livestock production systems in sub-Saharan Africa, held in Ibadan, Nigeria, November 2001

Kenya Agricultural Research Institute, Nairobi, Kenya \$138,000 to support the activities of the Legume Research Network project to develop low-cost and sustainable technologies for increasing crop production in Kenya

Kenya Agricultural Research Institute, Nairobi, Kenya \$24,039 toward the costs of publishing the proceedings of the 18th Soil Science Society of East Africa conference

Makerere University, Kampala, Uganda \$29,920 for research on the development of a decision support system for banana management in smallholder farming systems within the Lake Victoria Basin

Marjatta Eilitta, Gainesville, Florida \$62,000 for a postdoctoral fellowship to continue work to compile and circulate information on green-manure cover crop systems, and to facilitate expansion of the use of *Mucuna*, a common green-manure cover crop in Africa and Latin America

National Agricultural Research Organisation, Entebbe, Uganda \$349,390 for research on the development and promotion of technologies for integrated banana-pest management

Sustainable Agriculture Centre for Research and Development in Africa, Bungoma Kenya \$71,501 for research on maize-legume intercropping systems in western Kenya

Tropical Soil Biology and Fertility Programme, Nairobi, Kenya \$10,000 to enable soil scientists from Latin America to participate in the symposium 'An Integrated Approach to the Biological Management of Soils' XV Latin American Soil Science Congress, held in Varadero, Cuba, November 2001

Tropical Soil Biology and Fertility Programme, Nairobi, Kenya \$1,800,000 in support of research on soil biology and ecology as a component of integrated soils management in African farming systems

Tropical Soil Biology and Fertility Programme, Nairobi, Kenya \$10,060 to enable scientists from eastern and southern Africa to attend a workshop on the measurement and modeling of carbon in agroecosystems, held in Dakar, Senegal, spring 2001

Tropical Soil Biology and Fertility Programme, Nairobi, Kenya \$45,000 to support expansion of the activities of its African Network for Soil Biology and Fertility Management to address the problem of soil nutrient depletion facing smallholder farmers in west Africa

Tropical Soil Biology and Fertility Programme, Nairobi, Kenya \$32,915 toward the costs of two symposia on organic resource management technologies held in Kenya in 2001, and the dissemination of information and training materials on organic resource management

Tropical Soil Biology and Fertility Programme, Nairobi, Kenya \$50,000 to support soil fertility improvement technologies in the Tororo district of eastern Uganda

University of Zimbabwe, Harare, Zimbabwe \$60,000 toward the costs of coordinating the dissemination of improved soybean and maize production technologies among smallholder farmers in Zimbabwe

University of Zimbabwe, Harare, Zimbabwe \$69,230 for use by its Faculty of Agriculture to design and implement a short training course on biological nitrogen fixation for scientists in Africa

Enabling Farmer Participation

New Curricula for Transforming the Innovation Paradigm

Foundation-administered project: \$250,000 toward the costs of initiating and assisting in curriculum review and reform at the nine Faculties of Agriculture in the Forum focus countries

New Partnerships to Strengthen the Roles of Farmers and Researchers

Autonomous University of Chiapas, Chiapas, Mexico \$160,000 for use by its Department of Agronomy toward the costs of developing a collaborative and participatory approach to agricultural technology innovation and dissemination in the Villaflores region of Chiapas

Green Manure Cover Crops Foundation, Villaflores, Mexico \$120,000 toward the costs of developing a collaborative and participatory approach to agricultural technology innovation and dissemination in the Villaflores Municipality in Chiapas

Francisco Guevara Hernandez, Oaxaca, Mexico \$98,500 toward the costs of his Ph.D. research at the Research School for Resource Studies for Development in the Netherlands on the development and improvement of smallholder farming systems in southern Mexico

International Centre for Research in Agroforestry, Nairobi, Kenya \$164,600 for the development of more effective and responsive agricultural extension for the uplands of Vietnam and Laos

Kenya Agricultural Research Institute, Nairobi, Kenya \$139,784 for continued support of on-farm research in improved soil management using participatory research methods at sites of the Kisumu Regional Research Centre

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Kenya Agricultural Research Institute, Nairobi, Kenya \$144,987 for continued support of on-farm research in improved soil

management using participatory research methods at sites of the Kitale Regional Research Centre

Kenya Agricultural Research Institute, Nairobi, Kenya \$48,560 toward the costs of a workshop for training African agricultural researchers and extensionists in participatory monitoring and evaluation strategies and methodologies with special emphasis on farmer field schools

Rodale Institute, Kutztown, Pennsylvania \$93,698 toward the costs of completing the implementation plan for the Institute's Global Young Farmers Leadership Development Program in Asia, Africa and Latin America

Wageningen Agricultural University, Wageningen, Netherlands \$588,000 for the development of a collaborative Ph.D. training and research program aimed at systematizing, analyzing and testing various modalities of farmers' participation in agricultural innovation and technology dissemination, and for assessment of strategies for scaling up of successful local experiences that improve the food security of resource-poor farmers in the tropics

Youth for Action, Hyderabad, India \$66,200 toward the costs of women farmer agricultural fairs as a means of information, technology and seed exchanges that will foster women's inclusion in local agricultural innovation in semi-arid India

Zimbabwe Institute of Permaculture, Harare, Zimbabwe \$32,100 for use by its PELUM College Zimbabwe toward scholarships for its two-year training program on agroecological land-use management and community development, and for participatory assessment of the College's training strategy

Global Plant Biotechnology Dialogue (Joint With Global Inclusion)

American Society for Microbiology, Washington, D.C. \$20,000 for use by its American Academy of Microbiology toward the costs of a colloquium to consider the science and safety to humans and the environment of genetically modified crops, specifically those containing the insecticidal protein gene from *Bacillus thuringiensis*, held in Ithaca, New York, November 2001

Center for Research and Advanced Studies of the National Polytechnic Institute, Irapuato, Mexico \$424,908 to organize a multidisciplinary team of Mexican scientists who will conduct a preliminary assessment of risks and opportunities related to transgenic maize in Mexico (\$347,490 from Global Inclusion)

Regents of the University of California, San Francisco, California \$58,160 for use by the University of California, Berkeley and the University of California, Davis, to evaluate public sector intellectual-property resources in agricultural biotechnology

University of California, Berkeley, California \$11,786 to conduct an economic study on the adoption patterns and impacts of transgenic, insect-resistant cotton in Argentina

Strengthening Policies and Institutions

Building National and Local Capacity

Brazilian Agricultural Research Enterprise, Brasilia, Brazil \$3,112 toward the costs of a study of the use of DNA markers for phylogeny reconstruction in the genus *Manihot* and analysis of genetic diversity in cassava, undertaken by Biotechnology Career Fellow Luiz J.C.B. Carvalho, under the direction of Barbara A. Schaal, Department of Biology, Washington University, St. Louis, Missouri

David Patrick Bwamiki, Kampala, Uganda \$51,172 for a Fellowship Research Allocation for dissertation research on the effects of nutrient interactions with nematodes on banana production, as part of a Ph.D. program in soil management at the Department of Soil, Crop and Atmospheric Sciences, Cornell University, Ithaca, New York

Cornell University, Ithaca, New York \$332,900 to support the training of an interdisciplinary cohort of fellows from eastern and southern Africa at the Ph.D. level in topics related to integrated nutrient management for sub-Saharan Africa

Eduardo Mondlane University, Maputo, Mozambique \$100,000 for use by its Faculty of Agronomy and Forestry Engineering to enable five students from rural Mozambique to receive training in its new Master of Science degree program in Agricultural Development

Egerton University, Njoro, Kenya \$4,955 toward the costs of a preparation grant to investigate the causes and consequences of the decline in indigenous crops grown by households in the Kisumu Central, Gucha and Nyamira districts of Kenya

Egerton University, Njoro, Kenya \$4,990 toward the costs of a workshop to discuss constraints and opportunities in natural resource management in the Lake Naivasha catchment area

Foundation-administered project: \$195,000 for purchase of The Essential Electronic Agricultural Library for six universities in eastern and southern Africa

Foundation-administered project: \$70,000 toward the costs of dissemination of science-based information on crop protection



Photograph Excised Here

Grace Sanchez-Silva

Like many of her neighbors in the Near Northside community of Fort Worth, Texas, Grace Sanchez-Silva's first job was tending fields. Forty years later, she found herself jobless and nearly homeless. Desperate, she approached the Near Northside Partners Council (NNPC) for help. → NNPC found Grace a job cleaning streets and within six months she was promoted. While the pay is modest—her salary barely covers rent, food and transportation—she is independent and dreams of better opportunities. "I thank God," Grace says, "for showing me that anyone can do whatever they set their mind to do." Turn to P.033 → Photo Report

Foundation-administered project. \$95,000 for administrative costs associated with managing the Foundation's program, Forum on Agricultural Resource Husbandry in sub-Saharan Africa

James G. Gethi, Bamburi, Kenya \$41,450 for a Fellowship Research Allocation for dissertation research in Kenya, as part of a Ph.D. program in plant breeding at the Department of Plant Breeding, Cornell University, Ithaca, New York

Haryana Agricultural University, Hisar, India \$57,200 for a study of the application of molecular markers in Basmati rice breeding for water-limited environments, to be undertaken by Biotechnology Career Fellow Sunita Jain at the Department of Plant Breeding, Cornell University, Ithaca, New York

Moses Imo, Eldoret, Kenya \$33,994 for an African Career Award to enable him to undertake postdoctoral research at Moi University on the sustainable management of Mt. Elgon Forest, Kenya

International Centre of Insect Physiology and Ecology, Nairobi, Kenya \$10,000 to support Ph.D. studies on the genetic biodiversity in banana weevil (*Cosmopolites sordidus*) populations in banana-growing regions of the world

International Maize and Wheat Improvement Center, Mexico City, Mexico \$40,000 to support Ph.D. training and research in maize genetic improvement with emphasis on tolerance to drought and low soil nitrogen in sub-Saharan Africa

Kenyatta University, Nairobi, Kenya \$66,700 to provide field training for African graduate students in the agricultural sciences and to support studies on the impact of organic resource management on the soil organic-matter status and its relationship to crop growth

Kenyatta University, Nairobi, Kenya \$5,700 to provide field training for African graduate students in the agricultural sciences and to support studies in rodent pest management in maize cropping systems in the Nakuru District of Kenya

Kenyatta University, Nairobi, Kenya \$60,000 to provide field training for African graduate students in the agricultural sciences and to support studies on the management of *Fusarium moniliforme* in maize and the reduction of the health risks posed by fungal toxins in western Kenya

Kenyatta University, Nairobi, Kenya \$4,996 to carry out preliminary investigations on nitrogen leaching in farming systems in the central highlands of Kenya

Mussolini Kithome, Nairobi, Kenya \$31,995 for an African Career Award to enable him to conduct research at the University of Nairobi on composted domestic garbage for soil-fertility improvement in Kenya

Makerere University, Kampala, Uganda \$15,000 to provide field training for African graduate students in the agricultural sciences and to develop a decision support system for sustainable productivity of the banana-based cropping systems of the Lake Victoria Basin

Makerere University, Kampala, Uganda \$75,000 to provide field training for African graduate students in the agricultural sciences and to support entomological research on using a parasitoid to control maize stemborers in Uganda

Makerere University, Kampala, Uganda \$92,000 to provide field training for African students in agricultural sciences and to support biometrics curriculum reform and training in the University's Faculty of Agriculture

Makerere University, Kampala, Uganda \$95,000 to provide field training for African students in agricultural sciences and to support economic research on resource-use efficiency among the potato and sweet-potato producers in Uganda

Makerere University, Kampala, Uganda \$95,000 to provide field training for African students in the agricultural sciences and to support agronomic research to improve the productivity of cereal-legume intercropping in the dry region of eastern Uganda

Makerere University, Kampala, Uganda \$20,540 toward the costs of two workshops designed to strengthen the proposal and publication writing, as well as the farmer-participatory research skills of the University's Faculty of Agriculture

Makerere University, Kampala, Uganda \$5,000 to provide a preparation grant to conduct a survey and develop a project on agronomic practices and constraints to vegetable production in central Uganda

Makerere University, Kampala, Uganda \$32,000 for use by the Faculty of Agriculture toward the costs of installation and maintenance of a local-area network to improve internet access and facilitate communication

Makerere University, Kampala, Uganda \$51,300 to support documentation of all research output from the program, Forum on Agricultural Resource Husbandry in sub-Saharan Africa, since its inception and to disseminate more widely in the region information about its research and development activities

Makerere University, Kampala, Uganda \$5,000 for a preparatory grant to develop strategies for optimal allocation of on-farm resources for improved crop and livestock mixed-production systems in Uganda

Makerere University, Kampala, Uganda \$68,827 to sustain and enhance the activities of the Forum on Agricultural Resource

Husbandry in sub-Saharan Africa at the University through a local Forum coordinator and for continued support of the Forum Internal Review Committee

Makerere University, Kampala, Uganda \$75,180 to provide field training for African graduate students in the agricultural sciences and to support studies on resource use in peri-urban smallholder mixed farming systems in Uganda

Makerere University, Kampala, Uganda \$89,963 to provide field training for African graduate students in the agricultural sciences and to support studies on the development of appropriate animal draft power technologies for increased agricultural productivity in eastern Uganda

Makerere University, Kampala, Uganda \$90,516 to provide field training for African graduate students in the agricultural sciences and to support studies on the effectiveness and efficiency of current approaches to improving potato-production technologies in the highlands of southwestern and eastern Uganda

Paul Maptumo, Harare, Zimbabwe \$33,949 for an African Career Award to enable him to conduct postdoctoral research at the University of Zimbabwe on the use of noncultivated herbaceous legumes to increase soil fertility in smallholder cropping systems in Zimbabwe

Ministry of Agriculture and Irrigation, Lilongwe, Malawi \$33,700 toward the costs of master's of science degree training in geographic information systems for a member of the Maize Agronomy Team at the Chitedze Agricultural Research Station

Ministry of Lands and Agriculture, Zimbabwe, Causeway, Zimbabwe \$15,250 for use by its Department of Research Specialist Services toward the costs of its scientists attending an international training program on development of fertilizer recommendations for optimum crop production

Moi University, Eldoret, Kenya \$70,000 to provide field training for African graduate students in the agricultural sciences and to support research on constraints to on-farm seed production of maize and beans in Kenya

Moi University, Eldoret, Kenya \$75,000 to provide field training for African graduate students in the agricultural sciences and to support research on the performance and economic viability of soil-fertility management technology in western Kenya

Daniel Njiru Mugendi, Nairobi, Kenya \$34,000 to enable him to conduct postdoctoral research at Kenyatta University on the use of agroforestry for enhanced soil productivity in Kenya

Florence Muranga, Kampala, Uganda \$32,000 to enable her to conduct postdoctoral research at Makerere University on the use of processed bananas to improve nutrition in Uganda

Naomi Norma Mivere, Bindura, Zimbabwe \$24,100 for a Fellowship Research Allocation for dissertation research on the topic, *Coping with the HIV/AIDS Epidemic Rural Women in Zimbabwe*, as part of a Ph D program at the School of Development Studies, University of East Anglia, Norwich, United Kingdom

Richard O. Nyankanga, Njoro, Kenya \$10,000 for research on resistance to potato late blight disease and a survey of farmers' knowledge of late blight in Kenya

James M. Ssebuliba, Kampala, Uganda \$34,000 for an African Career Award to enable him to conduct postdoctoral research at Makerere University on the nutritional potential of selected beta-carotene rich sweet-potato varieties in Uganda

Thai Rice Foundation, Bangkok, Thailand \$7,000 toward the costs of assessing the information technology needs of the Thai Rice Research Institute

University of California, Berkeley, Berkeley, California \$25,500 to enable senior-level African natural-resource managers and environmental policymakers to participate in the University's Center for Sustainable Resource Development's Behrs Environmental Leadership Program

University of Malawi, Zomba, Malawi \$19,000 for use by its Bunda College of Agriculture to support its Economics and Policy Working Group in the economic analysis of soil-fertility management technologies

University of Malawi, Zomba, Malawi \$77,300 for use by the Bunda College of Agriculture to provide field training for African graduate students in agricultural sciences and to support research on the biology and social impact of gray leaf spot disease of maize in Malawi

University of Nairobi, Nairobi, Kenya \$4,988 for research to determine the extent of ear rot infection and mycotoxin contamination in maize in central and eastern Kenya

University of Nairobi, Nairobi, Kenya \$69,998 for use by its Department of Crop Science to provide training for African graduate students in the agricultural sciences and to investigate the effects of organic and inorganic fertilizers on yields of traditional vegetables grown by the Luhya and Kalenjin tribes of Kenya

University of Nairobi, Nairobi, Kenya \$15,003 for research on characterizing resistance to angular leaf spot in beans

University of Nairobi, Nairobi, Kenya \$4,940 to enhance the teaching of biometrics at the Faculty of Agriculture by broadening the current curriculum to include modern teaching methods and computer training

University of Nairobi, Nairobi, Kenya \$5,000 for use by the College of Biological and Physical Sciences to conduct a Participatory Rural Appraisal of mycotoxin contamination in major cereals and legumes and its effect on human health in Kenya

University of Nairobi, Nairobi, Kenya \$5,000 to provide a preparation grant to conduct a survey of on-farm research done in Kenya, and to select case studies for inclusion in a training course for students in the University's Faculty of Agriculture

University of Nairobi, Nairobi, Kenya \$68,585 to provide field and laboratory training in plant pathology and molecular biology for African graduate students in the agricultural sciences and to support research on citrus pests in Kenya

University of Nairobi, Nairobi, Kenya \$73,007 to develop and implement aphid and virus disease-management strategies in farmer-based seed potato production systems in two major potato-producing areas in Kenya

University of Nairobi, Nairobi, Kenya \$74,227 to provide field training for African graduate students in the agricultural sciences and to support research to determine the magnitude of bean root-rot disease and to identify its main fungal causal agents in the Taita-Taveta and Embu districts in Kenya

University of Nairobi, Nairobi, Kenya \$9,700 to provide field training for African graduate students in the agricultural sciences and to support studies on technology adoption and resource management in maize-production systems in two agroecological zones in Kenya

University of Natal, Durban, South Africa \$1,128,707 to help establish an African center for crop improvement at the University, providing course-based Ph D training in the plant sciences (\$150,000 from Africa Regional Program)

University of Pretoria, Pretoria, South Africa \$400,000 to support collaboration between its Center for Environmental Economics and Policy in Africa and universities in eight other African countries in the development of an Africa-based regional master's degree program in environmental economics and policy

University of the Philippines, Los Baños, College, Laguna, Philippines \$6,342 to enable Luz B. Opeña, selected by the University, to participate in the training program, *Managing Technology From Research to Market*, held at the University of Wisconsin-Madison, August 2001

University of Zimbabwe, Harare, Zimbabwe \$12,650 to provide training for African students in agricultural sciences and to support the continued search for *Striga asiatica* resistance in sorghum

University of Zimbabwe, Harare, Zimbabwe \$5,000 for use by its Faculty of Agriculture to further the development of its programs

University of Zimbabwe, Harare, Zimbabwe \$64,880 to provide field training for African graduate students in the agricultural sciences and to support research on integrated approaches to crop protection in smallholder soybean production in Zimbabwe

University of Zimbabwe, Harare, Zimbabwe \$73,920 to provide field training for African graduate students in the agricultural sciences and to support studies on the control of *Striga asiatica* through integrated soil management

University of Zimbabwe, Harare, Zimbabwe \$5,000 toward the costs of compiling and publishing the proceedings of the 17th Weed Science Conference of Eastern Africa and for the development of a proposal on weed-science research

University of Zimbabwe, Harare, Zimbabwe \$65,355 to provide field training for African graduate students in the agricultural sciences and to support studies on crop-management practices to facilitate paprika production in the smallholder farming sectors of Zimbabwe

University of Zimbabwe, Harare, Zimbabwe \$72,990 to provide field training for African graduate students in the agricultural sciences and to support studies on managing soil acidity for sustainable crop production in the communal areas of Zimbabwe

Wageningen Agricultural University, Wageningen, Netherlands \$10,000 to enable a Kenyan Ph D student to write and defend his thesis on land-use change and management in the Narok District of Kenya

West Africa Rice Development Association, Bouake, Ivory Coast \$11,700 to enable a prospective staff member to receive training in intellectual-property protection and patent preparation at the International Service for National Agricultural Research, The Hague

Paul Woomey, Nairobi, Kenya \$98,500 to enable him to continue to provide grantee institutions in the eastern and southern Africa regions of the Foundation's program, *Forum on Agricultural Resource Husbandry in sub-Saharan Africa*, with assistance in curriculum development, and to provide technical assistance to faculty and students in the program

Redefining the Role of the Public Sector/New Policy Instruments

Association for Better Land Husbandry, Nairobi, Kenya \$491,400 to develop a marketing structure which links smallholder farmers to high-value organic-output markets in Kenya

Foundation-administered project: \$50,000 to provide support for administrative costs associated with the Food Security Theme's program to inform policymakers on matters that affect poor farming households in sub-Saharan Africa

Foundation-administered project: \$50,000 for administrative costs associated with the Food Security Theme's program to inform policymakers on matters that affect poor farming households in east Africa



Photograph Excised Here

Vichit Roongchot's annual planting of rice was not able to provide enough income to support his family. As a participant in Chiang Mai University's Multiple Cropping project, Vichit now cultivates his land throughout the year alternating between rice and other staple crops. Innovative techniques and improved crop varieties have reduced Vichit's costs while improving overall yields. With land that is producing more and better-quality food, Vichit foresees an increase in income that might enable him to provide higher education for his son. "But," says Vichit, "even with a degree he may choose to return to the village to farm." Turn to P 028 → Photo Report

International Food Policy Research Institute, Washington, D.C. \$110,302 to support a review of the status of agricultural economics in eastern and southern Africa as a guide to strengthening capacity in advanced-degree training

International Livestock Research Institute, Nairobi, Kenya \$706,750 toward the costs of strengthening its Intellectual Property Management Unit and enhancing intellectual-property management capacity within national agricultural-research systems in sub-Saharan Africa

International Service for National Agricultural Research, The Hague, Netherlands \$226,144 to undertake policy and institutional changes that will support the development of fertilizer and seed markets in east Africa

International Service for the Acquisition of Agri-Biotech Applications, Ithaca, New York \$95,000 to support an intellectual-property network to develop capacity in intellectual-property rights and crop biotechnology transfer in Southeast Asia

University of Dar es Salaam, Dar es Salaam, Tanzania \$32,386 to study Tanzania's agricultural sector with special reference to economic growth and poverty reduction.

Strengthening National Platforms for Food Security Policy Formation

African Centre for Fertilizer Development, Harare, Zimbabwe \$272,250 to support the promotion of private-sector participation in the dissemination of soil-fertility technologies to smallholder farmers in southern Africa

African Centre for Fertilizer Development, Harare, Zimbabwe \$3,000 toward the costs of engaging a consultant to design the structure of a proposed secretariat for the Agro Natural Resources Management Council of Zimbabwe

Africare, Washington, D.C. \$100,000 to develop farmer-led schools designed to foster agricultural experimentation and innovation, encourage the dissemination of new technologies in dry-land areas of Zimbabwe and to provide opportunities for creative interaction among farmers, researchers and extension workers

CARE USA, Atlanta, Georgia \$360,580 for use by CARE Zimbabwe to develop a private-sector based network providing smallholder farmers in Zimbabwe with expanded access to farm inputs

Citizens Network for Foreign Affairs, Washington, D.C. \$99,327 for the development of a program to improve access of poor farmers in Malawi and Zimbabwe to fertilizers, seeds and output markets

Cornell University, Ithaca, New York \$41,350 to support thesis research conducted by two Zimbabwean graduate students on the structure, conduct and performance of grain and horticultural markets for smallholder farmers in Zimbabwe

Huazhong Agricultural University, Wuhan, Hubei, China \$46,000 in support of research to better understand drought-related coping strategies of Chinese rice farmers, and to promote the development of new technologies to decrease the impact of drought on farming communities

Ministry of Agriculture and Irrigation, Malawi, Lilongwe, Malawi \$108,000 in support of the Government of Malawi's development of a long-term strategy for sustainable soil-fertility management and food security for smallholder farmers

University of Malawi, Zomba, Malawi \$75,000 for use by its Bunda College of Agriculture, toward the costs of establishing farmer-led schools aimed at developing creative and innovative approaches to improving food security in Malawi

University of Zimbabwe, Harare, Zimbabwe \$28,900 for use by its Department of Agricultural Economics and Extension to conduct research on institutional innovations and agribusiness opportunities for sustainable soybean production in the smallholder farming sector of Zimbabwe

Explorations

International Maize and Wheat Improvement Center, Mexico City, Mexico \$50,000 toward the costs of a workshop to plan for the use of functional cereals genomics in crop-improvement research at the centers of the Consultative Group on International Agricultural Research



Harnessing the New Sciences

Global Alliance for Vaccines

Global Forum for Health Research, Geneva, Switzerland \$500,000 for core support and its initiative on public/private partnerships for health, which focus on product development for diseases that affect poor people in developing countries

International Union Against Tuberculosis and Lung Disease, Paris, France \$3,810 for the translation into English of a manual, written in French, that provides up-to-date information to medical students on the management of tuberculosis patients and their participation in a national tuberculosis program

International Vaccine Institute, Seoul, South Korea \$200,000 for a meeting to accelerate the development and introduction of a dengue vaccine for poor children, held in Vietnam, December 2001

University of California, San Francisco, San Francisco, California \$350,000 to enable its Institute for Global Health to design, develop and launch a biotechnology foundation that will focus on producing drugs, diagnostics and vaccines for neglected diseases

World Health Organization, Geneva, Switzerland \$123,000 for use by its department of Research Policy and Cooperation to develop a process for promoting biotechnology applications to improve health in developing countries

World Health Organization, Geneva, Switzerland \$203,400 for use by its Initiative for Vaccine Research for activities of the Global Alliance for Vaccines and Immunization's research and development task force

World Health Organization, Geneva, Switzerland \$209,050 in support of its Stop TB initiative's efforts to develop mechanisms for global financial monitoring of tuberculosis-control activities

World Health Organization, Geneva, Switzerland \$78,820 for a meeting of its Stop TB initiative's coordinating board at the Bellagio Study and Conference Center

Global Alliance for TB Drug Development

Global Alliance for TB Drug Development, New York, New York \$3,500,000 for general support

Medical Research Council, South Africa, Cape Town, South Africa \$99,400 for use by its Tuberculosis Lead Research Programme to facilitate collaboration among tuberculosis drug, diagnostics, and vaccine research-and-development partners in high-burden countries

University of Science Malaysia, Pulau Pinang, Malaysia \$98,770 for a regional meeting in collaboration with the Global Alliance for TB Drug Development on tuberculosis research and development

Medicines for Malaria

Foundation for the National Institutes of Health, Bethesda, Maryland \$15,000 toward the costs of planning meetings for the third pan-African conference organized by the Multilateral Initiative on Malaria, to be held in Arusha, Tanzania, November 2002

Reproductive Health Technologies

Foundation-administered project: \$2,088,980 for an exploration that may lead to the development of a public/private partnership for vaginal microbicides that protect against HIV and other sexually transmitted diseases

Institute of Tropical Medicine, Antwerp, Belgium \$50,000 to enable 20 developing-country scientists to attend the Microbicides 2002 conference in Antwerp

International Center for Research on Women, Washington, D.C. \$134,090 to produce and widely disseminate live publications on the potential for vaginal microbicides that protect against HIV and other sexually transmitted infections

International Center for Research on Women, Washington, D.C. \$253,427 for meetings and other activities related to ensuring broad access to vaginal microbicides that protect against HIV and other sexually transmitted diseases

Medical Research Council, London, United Kingdom \$29,100 for use by its Clinical Trials Unit toward the costs of the International Working Group on Microbicides

Population Council, New York, New York \$121,610 for its participation in an exploration that may lead to the development of a public/private partnership for vaginal microbicides that protect against HIV and other sexually transmitted diseases

Program for Appropriate Technology in Health, Seattle, Washington \$363,500 for its participation in an exploration that may lead to the development of a public/private partnership for vaginal microbicides that protect against HIV and other sexually transmitted diseases

Vaccines

Foundation-administered project: \$170,000 to explore innovative ways, including public/private partnerships, to accelerate the development of vaccines and immunizations for use in developing countries

International Vaccine Institute, Seoul, South Korea \$60,000 toward the costs of an international course on vaccine evaluation in developing countries, held in Vietnam, December 2001

William Alan Muraskin, New York, New York \$23,000 to continue to research and write a book entitled, 'A History of the Growth of the Children's Vaccine Program'

Sustainable Sciences Institute, San Francisco, California \$5,000 for a workshop to train scientists from Latin America in molecular biology techniques for the diagnosis and surveillance of dengue fever, held in Ecuador, January 2002

Resourcing Public Health

AIDS

AIDS Empowerment and Treatment International, Washington, D.C. \$250,000 toward the costs of developing a model for drug treatment and social support by and for people living with HIV/AIDS in the developing world

Columbia University, New York, New York \$96,300 for use by its Mailman School of Public Health to begin planning for a Mother-to-Child Transmission Plus initiative that will add treatment for the mother to use anti-retroviral drugs to decrease the vertical transmission of HIV/AIDS to newborns in sub-Saharan Africa

Foundation-administered project: \$100,000 to analyze the capacity in sub-Saharan Africa to conduct clinical trials, especially in connection with AIDS-care research, and outline current and prospective training opportunities in this field

Foundation-administered project: \$275,000 to foster an African-led dialogue on AIDS care in resource-poor settings in sub-Saharan Africa

Foundation-administered project: \$95,000 to explore opportunities for a redirected strategy against HIV/AIDS, especially with respect to AIDS-care research to help the poor and excluded in sub-Saharan Africa

Gay Men's Health Crisis, New York, New York \$130,000 toward the costs of a workshop, in collaboration with Project Inform, the Joint Clinical Research Centre, Kampala, and U.S. government health agencies, on monitoring and diagnostic tools for the management of anti-retroviral therapy in resource-poor settings, held in Washington, D.C., November 2001

Gay Men's Health Crisis, New York, New York \$32,000 in support of a public policy forum on the Implementation of Anti-Retroviral Therapy in the Developing World, Brazil and Beyond, held in New York, June 2001

Global Network of People Living With AIDS, Amsterdam, Netherlands \$40,000 to cover the costs of African participants at the International Conference for People Living With HIV/AIDS, held in Trinidad, October 2001

Infectious Diseases Society of America, Alexandria, Virginia \$25,000 to enable participants from outside the United States to attend a conference to develop an HIV/AIDS therapeutic research agenda for developing countries, held in San Francisco, October 2001

Joint Clinical Research Centre, Kampala, Uganda \$260,990 for an international meeting on AIDS care in Africa, held in Kampala, April 2001

Joint Clinical Research Centre, Kampala, Uganda \$777,600 toward the costs of its African Dialogue on AIDS Care program that promotes research on HIV/AIDS care and treatment in sub-Saharan Africa

Joint Clinical Research Centre, Kampala, Uganda \$601,890 for its participation in a multicenter clinical trial organized by the Medical Research Council, London, to assess the safety and effectiveness of two strategies for the use of anti-retroviral therapy against HIV/AIDS in sub-Saharan Africa

Ministry of Health, Burkina Faso, Ouagadougou, Burkina Faso \$60,000 toward the costs of the 12th International Conference on AIDS and STDs in Africa, held in Burkina Faso, December 2001



Photograph Excised Here

Harriet Namundwe, 25 years old, speaks in a barely audible voice, her emaciated form scarcely discernable under the thin sheet. She is still beautiful with her large dark eyes and delicate features. Harriet's bed is in the hallway of the AIDS ward of Kampala's Mulago Hospital, as the floor is filled with patients. → Her husband, Ngobi Yafosi, speaks for her when she is unable. Harriet contracted meningitis one week before coming to the hospital. Her 9-month-old baby is also ill with a fever and vomiting. Harriet gathered enough strength to ask for medicine for the baby. (Harriet is believed to have died shortly after this photo was taken.) Turn to P.036-037 → Photo Report

University of Leeds, Leeds, United Kingdom \$69,110 to enable a scientist at its Nuffield Institute for Health to participate in the development of evidence-based guidelines for syndromic management of adult illness in primary-care settings in Uganda where HIV is prevalent

University of Zimbabwe, Harare, Zimbabwe \$638,160 for the participation of its Parirenyatwa Hospital in a multicenter clinical trial organized by the Medical Research Council, London, to assess the safety and effectiveness of two strategies for the use of anti-retroviral therapy against HIV/AIDS in sub-Saharan Africa

University of Zimbabwe, Harare, Zimbabwe \$20,000 for use by its Department of Medicine's Zimbabwe AIDS Prevention Project toward the costs of a meeting on developing feasible and affordable anti-retroviral therapy in Africa, held in Harare, June 2001

World Health Organization, Geneva, Switzerland \$277,880 for activities, in collaboration with partners in sub-Saharan Africa, to develop syndromic guidelines for common adult outpatient conditions in areas of high HIV prevalence

World Health Organization, Geneva, Switzerland \$96,000 to develop guidelines for syndromic case management in areas of high HIV prevalence

Worldwide Documentaries, Bloomfield, New York \$100,000 toward the production costs of a documentary on the global AIDS pandemic entitled, "A Closer Walk"

Disease Surveillance

Centre for Health, Science and Social Research, Lusaka, Zambia \$44,590 in support of the inaugural meeting and the initial planning activities of the Malawi, Mozambique and Zambia Integrated Disease Surveillance Network

Mexican Health Foundation, Mexico City, Mexico \$200,000 in support of a collaborative partnership to improve the links between reproductive health and health-sector reforms in Latin America and the Caribbean.

Ministry of Health, Lao P.D.R., Vientiane, Lao P.D.R. \$10,305 for use by its Department of Hygiene and Prevention in support of its efforts to strengthen in-country dengue surveillance and control programs

Ministry of Health, Vietnam, Hanoi, Vietnam \$46,500 to continue to build mechanisms and expertise for surveillance efforts in Vietnam, and to further coordinate activities with the wider Mekong Basin Disease Surveillance network

Ministry of Public Health, Thailand, Nonthaburi, Thailand \$25,000 toward the costs of a meeting on the epidemiology of dengue in the Mekong Basin countries, held in Ho Chi Minh City, Vietnam, December 2001

National Academy of Sciences, Washington, D.C. \$84,000 for use by its Institute of Medicine toward the costs of the first InterAcademy Medical Panel conference The World's Medical Academies and Their Role in Confronting Emerging Infectious Diseases, to be held in Paris, France, March 2002

Information Surveillance

Commonwealth Regional Health Community Secretariat for East, Central and Southern Africa, Arusha, Tanzania \$70,900 for a regional capacity-building workshop for officers of health and finance ministries of sub-Saharan African countries to strengthen the development and implementation of the health component of the poverty-reduction strategy papers prepared in the context of debt relief

National Health Research and Development Centre, Nairobi, Kenya \$72,600 in support of a workshop to bring together key stakeholders in Africa to explore opportunities for building and strengthening capacity for leadership development for health research in Africa, held in Mombasa, Kenya, October 2001

World Health Organization, Geneva, Switzerland \$540,000 to work with developing-country institutions to strengthen national research facilities, build local research networks and develop indicators to assess the performance of health-research systems

Public Health Schools Without Walls

Makerere University, Kampala, Uganda \$245,100 for the planning process to establish a College of Health Sciences at Makerere University

Makerere University, Kampala, Uganda \$289,405 for use by its Institute of Public Health for transitional funding for its Public Health Schools Without Walls program

Tropical Institute of Community Health and Development in Africa, Nairobi, Kenya \$99,132 to document existing models, methods and approaches to public health in sub-Saharan Africa and to identify those that promote community empowerment as a way of improving health and enhancing equity

University of Pretoria, Pretoria South Africa \$229,570 for use by its School of Health Systems and Public Health to document the training capacity of public health training institutions and research networks in sub-Saharan Africa

University of Zimbabwe, Harare, Zimbabwe \$249,460 for use by its Department of Community Health for transitional funding for its Public Health Schools Without Walls program

Reproductive Health

Burkina Faso Association for Family Well-Being, Ouagadougou, Burkina Faso \$144,480 to begin testing the effects of a project designed to improve the reproductive health of young people in the Ouahigouya zone of Burkina Faso that includes a partnership with existing health clinics a peer-educator approach and other communication strategies

IPAS, Chapel Hill, North Carolina \$971,705 for research projects in Ethiopia, Kenya, Tanzania and Zimbabwe that address unwanted pregnancy unsafe abortion and related aspects of reproductive health in order to improve service delivery and inform program and policy decision making

IPAS, Chapel Hill, North Carolina \$161,970 to provide technical assistance to India's National Abortion Assessment Project

Ministry of Health, Ghana, Accra Ghana \$61,930 for use by its Navrongo Health Research Centre to build a biomedical component into plans for an adolescent sexual-and-reproductive-health intervention project

Pacific Institute for Women's Health, Los Angeles California \$39,940 to provide technical assistance to the Burkina Faso Association for Family Well-Being, which is testing the effects of a project designed to improve the sexual and reproductive health of young people in the Ouahigouya zone of Burkina Faso

Partners in Population and Development, Dhaka, Bangladesh \$1,000,000 for general support

Population Council, New York, New York \$60,000 toward the costs of completing a study that is documenting the impact of quality of care on women's reproductive behavior

Population Council, New York, New York \$195,330 to support the assignment of a Resident Senior Fellow to the Navrongo Health Research Centre to provide full-time direction to an adolescent sexual-and-reproductive-health intervention research study in the Kassena-Nankana District of Ghana

Reproductive Health Matters, London, United Kingdom \$35,000 toward the costs of a conference on the impact of health-sector reform on sexual-and-reproductive-health policy and services, to be held at the Bellagio Study and Conference Center, winter 2002

Save the Children Fund (U.K.), London, United Kingdom \$25,000 for creating and strengthening the psychological and social resources of adolescents in Mali, in order to facilitate their acquisition and application of sexual-and-reproductive-health information

State Family Planning Commission, Beijing China \$96,480 for training courses for service providers in the removal of subdermal contraceptive implants

United Nations Population Fund, New York, New York \$500,000 toward the costs of a transition process developed under new leadership that is intended to strengthen the entire organization (\$50,000 from Global Inclusion, \$75,000 from Africa Regional Program)

Women's Dignity Project, Pelham, New York \$100,000 toward the costs of a study that uses a rights, gender and health equity lens on obstetric fistula in east Africa to inform development strategies and programs

Strengthening Global Leadership

Gender and Health Sector Reform

AIDS Network Development Foundation, Chiang Mai, Thailand \$203,290 to develop, analyze and document appropriate interventions for addressing HIV/AIDS vulnerability among minority/ethnic populations in six provinces in the upper north of Thailand

AIDS Society of the Philippines, Manila, Philippines \$81,570 toward the costs of participation of delegates from the Mekong region to attend the Sixth International Congress on AIDS in Asia and the Pacific, held in Melbourne, October 2001, as well as toward the costs of a satellite symposium on sexuality and the media

Chiang Mai University, Chiang Mai, Thailand \$122,600 for use by its Women's Studies Center for a series of forums and publications on gender, sexuality and reproductive health in the Mekong region, and master's degree fellowships in gender studies for two students from the Lao People's Democratic Republic

Foundation-administered project: \$150,000 for explorations leading to the formulation of a program strategy on sexuality gender and reproductive health in Southeast Asia

Gadjah Mada University, Yogyakarta, Indonesia \$99,730 for use by its Population Studies Center for comparative research workshops and the publication of a monograph on sexuality issues in Southeast Asia

Kunming Medical College, Kunming, China \$97,450 for a series of forums and publications on cross-border sexuality issues in the Mekong region

Mahidol University, Bangkok, Thailand \$50,000 for use by its Center for Health Policy Studies for an assessment of existing training programs on gender, sexuality and sexual health, and the development of an integrated regional curriculum in Southeast Asia

Population and Community Development Association, Bangkok, Thailand \$76,920 for research to identify community-development approaches to improve the health of marginalized ethnic communities in Kanchanaburi Thailand, and Nghe An, Vietnam

Raks Thai Foundation, Bangkok, Thailand \$71,100 to assess existing models of HIV prevention among seafarer populations in Thailand, Myanmar and Cambodia

Thai Red Cross Society, Bangkok, Thailand \$40,000 to enable persons living with HIV/AIDS, media professionals and others from the Mekong region to participate in the Fifth International Conference on Home and Community Care for Persons Living With HIV/AIDS, held in Chang Mai, December 2001, and toward the costs of visits to organizations in Northern Thailand to learn firsthand about local responses to HIV/AIDS

United Nations Development Programme, New York, New York \$27,500 for use by its South-East Asia HIV and Development Project for a satellite symposium on responses to mobility-related HIV vulnerability in the greater Mekong region at the Sixth International Congress on AIDS in Asia and the Pacific, held in Melbourne, October 2001

Health Equity Frontiers

African Population and Health Research Centre, Nairobi, Kenya \$184,360 to develop an urban "equity gauge" that will document and highlight for policymakers the extent of health disparities in Nairobi (joint with Africa Regional Program)

Alternatives for Social Development Foundation, Cuenca, Ecuador \$173,530 to develop an equity gauge to monitor and build equality and health in a local community as part of the Equity Gauge Initiative

Centre for Health, Science and Social Research, Lusaka, Zambia \$289,980 to develop an equity gauge to document the health disparities in Zambia, as part of the Equity Gauge Initiative

Foundation-administered project: \$10,335 toward the costs of a meeting to identify gaps in and opportunities for fostering linkages and integration between Foundation activities in Africa using decentralization as an entry point

Foundation-administered project: \$195,000 to help two new South-South research networks, INDEPTH and the Global Equity Gauge Alliance, to develop clear strategic visions and sound organizational plans

Foundation-administered project: \$60,000 in support of an international workshop to bring together leaders in the areas of HIV/AIDS, malaria and tuberculosis to discuss the knowledge base and strategies that are needed to ensure that interventions to control these diseases also work toward increasing health equity, held at the Bellagio Study and Conference Center November 2001

Foundation-administered project: \$617,500 to support a strategic-planning exercise for individual sites and the development of overall administrative and programmatic plans for the INDEPTH Network

Foundation-administered project: \$179,500 to support the final stages of producing publishing and launching the book, "Challenging Inequities in Health From Ethics to Action"

Harvard University, Cambridge Massachusetts \$100,000 for use by its School of Public Health for an international conference to set equity goals and devise measurement tools for health-system reform in developing countries

Harvard University, Cambridge, Massachusetts \$75,000 for use by its School of Public Health toward the costs of an international meeting on road safety and injury prevention in developing countries, held Oct 31 to Nov 2 2001

INDEPTH Network, Accra, Ghana \$310,500 to create a platform for research and analysis of data addressing health-equity issues within INDEPTH Network member sites (joint with Africa Regional Program)

Indian Institute of Management Bangalore, Bangalore, India \$100,000 for a research study focused on strengthening health-service delivery and improving the accountability of health services to poor communities, particularly to poor women

Institute of International Education, New York, New York \$75,000 to enable the inaugural cohort of the Fulbright New Century Scholars Program to participate in an orientation and goal-setting session on this year's research theme, Challenges of Health in a Borderless World, held at the Bellagio Study and Conference Center, fall 2001

Inter-American Development Bank, Washington, D.C. \$75,000 toward the operating costs of the Secretariat of the Inter-American Coalition for the Prevention of Violence

International Society for Equity in Health, Baltimore, Maryland \$25,000 in support of developing-country participation in its second annual meeting to be held in Toronto, Canada, June 2002

Makerere University, Kampala, Uganda \$220,000 for use by its Institute of Public Health toward the costs of the Global Conference on Health Equity, to be held in Entebbe, Uganda, February 11-15, 2002

Makerere University, Kampala, Uganda \$283,200 for use by its Institute of Public Health for activities related to a collaborative study on equity in health in Uganda, as part of the Equity Gauge initiative

Marga Institute, Kirulapone, Colombo, Sri Lanka \$91,600 for a study to evaluate access, affordability and equitable policy options for health services to evaluate the current health-care system in Sri Lanka

Ministry of Health, Vietnam, Hanoi, Vietnam \$100,000 for use by its Health Policy Unit for a collaborative study between China and Vietnam that examines alternative approaches of health-care financing to ensure equitable access to health care for the rural poor

Naresuan University, Phitsanulok, Thailand \$30,000 for use by its Centre for Health Equity Monitoring to refine and further adapt the "benchmarks of fairness" tool to strengthen national and provincial health development in Thailand

National Public Health Institute, Finland, Helsinki, Finland \$76,700 for use by its School of Epidemiology and Health Promotion for an international conference to bring together leaders of developing-country national public-health institutions with the aim of establishing a network to enhance collaboration among these institutions, to be held at the Bellagio Study and Conference Center, October 2002

Pan American Health Organization, Washington, D.C. \$49,430 for use by its Division of Health and Human Development in support of two equity-in-health workshops in Cuernavaca, Mexico, June 2001

Salzburg Seminar, Middlebury, Vermont \$25,000 toward the costs of travel and tuition for developing-country participants at an international seminar on patient safety and medical error, held in Austria, spring 2001

Training and Research Support Centre, Harare, Zimbabwe \$90,000 in support of the research and capacity-building activities of the Network for Equity in Health in Southern Africa (EQUINET)

Tufts University, Medford, Massachusetts \$334,000 to refine and further adapt the "benchmarks of fairness" tool for evaluation of health-system reforms in Latin America, Asia and Africa

University of Cape Town, Rondebosch, South Africa \$52,810 for use by its Health Economics Unit, in collaboration with the University of the Witwatersrand and the University of the West Indies to design and test training materials that focus on key elements of health-sector reform from an equity perspective and to build the capacity of senior-level health-care planners at the national and local levels

University of Liverpool, Liverpool, United Kingdom \$299,500 for use by its Department of Public Health to conduct a policy analysis of health-sector reform that focuses on affordable access to health care and prevention of the medical poverty trap, with a view to developing a policy tool that facilitates equity-oriented health-care financing reforms for developing countries

University of Ouagadougou, Ouagadougou, Burkina Faso \$191,450 for use by its Research and Training Unit in Demography toward the costs of the pilot phase of a study to test whether service outreach activities and community mobilization in poor neighborhoods in Ouagadougou will improve health equity

University of York, York, United Kingdom \$41,540 for use by its Centre for Health Economics toward the costs of the Third International Health Economics Association Conference, held in York, United Kingdom, July 2001

Trading Tobacco for Health

Essential Information, Washington, D.C. \$300,000 to expand its activities related to North-South nongovernmental partnerships for tobacco control

Foundation-administered project: \$40,000 to explore models of philanthropy for tobacco control, with a particular focus on Southeast Asia

Foundation-administered project: \$95,000 to explore various approaches for effective transition from tobacco to other sustainable livelihoods in developing countries in Asia

Harvard University, Cambridge, Massachusetts \$88,540 for use by its School of Public Health for an economic study, in collaboration with the University of California, Berkeley, on the linkage between smoking and poverty in China

Hong Kong Tuberculosis, Chest and Heart Diseases Association, Wanchai, Hong Kong, China \$64,030 for activities related to the Asia Pacific Conference on Tobacco or Health held in Hong Kong, October 2001

International Union Against Cancer, Geneva, Switzerland \$179,135 for development, in collaboration with the Tobacco Control Resource Centre, of an electronic, interactive distance-learning primer on tobacco control in developing countries

London School of Hygiene and Tropical Medicine, University of London, London, United Kingdom \$365,000 for use by its Centre on Globalisation, Environmental Change and Health for a collaborative research program on the political economy of the tobacco industry in Southeast Asia

Ministry of Health, Vietnam, Hanoi, Vietnam \$99,600 for use by its Vietnam Committee on Smoking and Health for a pilot communications project to decrease the exposure of women and children to secondhand smoke at home and for general support

University of Science Malaysia, Pulau Pinang, Malaysia \$450,000 for use by its National Poison Centre to establish a cleanhouse on tobacco control information with particular relevance to the Southeast Asia region

Explorations and Buoys

Harnessing the New Sciences

Foundation-administered project: \$100,000 to explore the feasibility of transferring a novel vaccine-production technology to developing countries where rabies and dengue are endemic

Foundation-administered project: \$149,090 to explore the feasibility of creating an international consortium to facilitate the management of intellectual-property rights in health for the public good

New York University, New York, New York \$100,000 for use by its School of Medicine's Undeveloped Drug project to bring biopharmaceuticals of limited market potential to poor people in developing countries

Resourcing Public Health

Foundation-administered project: \$100,000 to develop a conceptual framework and to explore the feasibility of creating regional knowledge-resource centers to strengthen public-health training and research initiatives in eastern and southern Africa

Strengthening Global Leadership

Stichting Health Action International Foundation, Amsterdam, Netherlands \$88,500 for use by its Health Action International-Europe, in collaboration with the World Health Organization, for development of a methodology and an analysis of drug prices in low- and middle-income countries

Television Trust for the Environment, London, United Kingdom \$298,950 toward the production of Life II segments focusing on program-related themes in health working communities and culture and creativity, for BBC World telecast and multimedia dissemination (\$74,260 for Creativity & Culture and \$74,984 from Working Communities)

Building Competent Organizations

California Works for Better Health

National Economic Development and Law Center, Oakland, California \$822,727 to build the individual and collective capacity of 16 grantee community-based organizations to develop new employment and health programs in Fresno, Los Angeles, Sacramento and San Diego, California

West Fresno Coalition for Economic Development, Fresno, California \$120,000 to support the participation of West Fresno Coalition for Economic Development in the Fresno regional collaborative of the California Works for Better Health project, a statewide initiative designed to improve the health and economic opportunity of residents living in California

Community-Based Organizations

Aspen Institute, Washington, D.C. \$200,000 in support of its Roundtable on Comprehensive Community Initiatives for Children and Families, which serves as an information clearinghouse and technical resource for the community-building field, and conducts research on the challenges to successful execution of community-change efforts

Center for Community Change, Washington, D.C. \$200,000 toward the costs of expanding the capacity of its Workforce Alliance to work with state and local workforce development coalitions and agencies in selected cities and states in bringing together all stakeholders in the work-force system around a common agenda that promotes employment training for low-skilled job seekers

Consensus Organizing Institute, San Diego, California \$150,000 toward its general support

Enterprise Foundation, Columbia, Maryland \$250,000 for continued core support of its nonprofit housing and community-development work

Enterprise Foundation, Columbia, Maryland \$3,000,000 to support the second 10-year phase of the National Community Development Initiative

Foundation-administered project: \$100,000 toward administrative costs associated with the development of strategies to increase the independence and sustainability of basic-rights grantees as the next phase of stabilization efforts

Foundation-administered project: \$100,000 toward the costs of strategic planning for basic-rights grantees, a philanthropic outreach effort to build a funding collaborative for racial-justice innovation, and documentation in five sites of innovative approaches to addressing racial equity in policy

Foundation-administered project: \$41,000 toward administrative costs of an evaluation of the Harlem Congregation for Community Improvement's project to explore new approaches to community development

Harlem Congregations for Community Improvement, New York, New York \$150,000 toward a project to explore new approaches to community development in Harlem

Local Initiatives Support Corporation, New York, New York \$250,000 for continued core support of its community-development work

Local Initiatives Support Corporation, New York, New York \$3,000,000 to support the second 10-year phase of the National Community Development Initiative (NCDI 2D)

National Community Building Network, Oakland, California \$200,000 for continued core support

New School University, New York, New York \$250,000 in support of the Community Development Fellows for Mid-Career Professionals program at its Robert J. Milano Graduate School of Management and Urban Policy

New York Community Trust, New York, New York \$62,500 in support of its Neighborhood 2000 Fund, a collaborative effort among corporations and foundations to strengthen New York City's neighborhood-based community-development corporations

San Francisco Chamber of Commerce Foundation, San Francisco, California \$50,000 for continued support of its project, SFWorks, which develops and incubates employer-led job training and advancement programs

San Francisco League of Urban Gardeners, San Francisco, California \$50,000 in support of its project, the Southeast Neighborhood Jobs Initiative Roundtable

Southern California Association for Philanthropy, Los Angeles, California \$100,000 in support of its Los Angeles Urban Funders Initiative, a funder collaborative of comprehensive community-building initiatives in the neighborhoods of Pacoima, Vermont/Manchester and Hyde Park

Urban Institute, Washington, D.C. \$100,000 toward continued support of its National Neighborhood Indicators Project

Young Community Developers, San Francisco, California \$75,000 for general support of its mission to provide comprehensive community-based employment and work-force development services to youths and adults in San Francisco's southeast community

Racial Justice Organizations

The Advancement Project, Washington, D.C. \$350,000 for general support of its work on racial-justice innovation and its role as a national resource center for attorneys and community activists

Independent Press Association (IPA), San Francisco, California \$150,000 for a capacity-and-sustainability building effort that will increase its ability to provide training opportunities and editorial workshops for independent journalists on substantive policy issues, with the aim of improving social justice for marginalized communities

Lawyers' Committee for Civil Rights Under Law, Washington, D.C. \$100,000 toward the costs of developing new mechanisms for expanding its funding base

Mexican American Legal Defense and Educational Fund, Los Angeles, California \$100,000 toward the costs of developing new mechanisms for expanding its funding base

NAACP Legal Defense and Educational Fund, New York, New York \$100,000 toward the costs of developing new mechanisms for expanding its funding base

National Asian Pacific American Legal Consortium, Washington, D.C. \$275,000 for general support

National Newspaper Publishers Association Fund, Washington, D.C. \$129,000 for a capacity-building effort that will enable it to develop an independent online news service that will allow America's 200-plus black community newspapers to contribute to the national discourse on civic issues important to marginalized communities

Public Interest Projects, New York, New York \$500,000 to promote collaborative efforts by lawyers and local community-based organizations that are using legal tools to improve resource equity and policy outcomes for racially and ethnically marginalized communities

School District Infrastructures

New American Schools, Arlington, Virginia \$100,000 toward establishing the Education Quality Institute, which will help educators, parents, policymakers and the public evaluate and implement research-based education programs

Work Force Development Models

Alliance for Nonprofit Management, Washington, D.C. \$150,000 for support of the start-up of its Institute Without Walls, a project to strengthen nonprofit management and leadership nationwide

Bay Area Video Coalition, San Francisco, California \$100,000 toward the costs of planning and developing the expansion of its JobLink program through creation of a regional system for training, placing and supporting low-income adults in the information-technology industry in the San Francisco Bay Area.

Center for Law and Social Policy, Washington, D.C. \$231,000 to support technical assistance and policy analysis aiming to expand publicly funded, wage-paid transitional jobs programs in the United States

CitySkills, Boston, Massachusetts \$75,000 for use by its Pipeline Project, which brings together community-based job-training programs and employers to develop training standards and build program capacity for placing low-income urban adults in information technology jobs

Community Development Venture Capital Alliance, New York, New York \$140,000 in support of its New Horizons in Workforce Development project that aims to strengthen the role that community-development venture-capital funds play in advancing the employment opportunities of low-income workers

Cornerstone Assistance Network, Fort Worth, Texas \$250,000 to support its program aiming to increase the effectiveness of job-training agencies in Fort Worth

Foundation-administered project: \$100,000 toward administrative costs associated with (1) advancement of a partnership between the Mills Corporation and the Rockefeller Foundation in Nashville, Tennessee, to enable low-income job seekers to get training, supports and access to career-track jobs in the Opry Mills mall, and (2) launch of a program to strengthen job-training organizations in Boston, Fort Worth, Texas and Nashville

Foundation-administered project: \$250,000 to assess the planning and implementation of the first year of new job-training programs in Boston, Fort Worth, Texas, and Nashville, Tennessee

Fund for the City of New York, New York, New York \$50,000 in support of its project, the New York Employment and Training Coalition, which aims to strengthen nonprofit employment and training providers who serve chronically unemployed and economically disadvantaged residents of New York City

Greater Baltimore Alliance Foundation, Baltimore, Maryland \$37,500 to support its work-force development program aiming to make jobs in the Baltimore region more accessible to low-income residents

Jobs for the Future, Boston, Massachusetts \$600,000 \$400,000 in support of its Accelerating Advancement to Self-Sufficiency Initiative, aimed at increasing skills acquisition of poor adults and youth, and \$200,000 as a conditional match to its Fund for the Future to support core activities

Management Consulting Services, Boston, Massachusetts \$250,000 to support a program aiming to increase the effectiveness of job-training agencies in Boston

National Center for Strategic Nonprofit Planning and Community Leadership, Washington, D.C. \$200,000 for continued support of its Youth Opportunity Leadership Institute that trains staff of nonprofit agencies working with unemployed youth
New Hope Project, Milwaukee, Wisconsin \$100,000 in support of its program aiming to advance work-based anti-poverty programs in the United States

New School University, New York, New York \$120,000 for use by its Robert J. Milano Graduate School of Management and Urban Policy for continued support of its Capital Markets Access Program, which provides financial and technical assistance to nonprofit community- and economic-development initiatives

Northland Institute, Minneapolis, Minnesota \$20,000 to support the start-up of the National Gathering of Social Entrepreneurs, as a national nonprofit seeking to strengthen the field of social entrepreneurship through training of practitioners in organizational and business skills

Public/Private Ventures, Philadelphia, Pennsylvania \$200,000 to support the preparation and dissemination of three reports linking best program practices and organizational capacities of job training and placement agencies

United Way of Metropolitan Nashville, Nashville, Tennessee \$275,000 in support of its program aiming to increase the effectiveness of job-training agencies in Nashville

YouthBuild U.S.A., Somerville, Massachusetts \$87,360 in support of its program that develops employment opportunities for its graduates at Home Depot and other corporate partners

Setting the Public Agenda

Community and New Urban Paradigm

Active Citizen Foundation, San José, Costa Rica \$20,000 to support a meeting of local community leaders to establish an agenda for fostering social development and reducing poverty in border communities of Costa Rica and Nicaragua

David Bacon, Berkeley, California \$100,000 to document the experiences and problems immigrants face in impoverished communities in the United States

Brookings Institution, Washington, D.C. \$200,000 in support of its Center on Urban and Metropolitan Policy

California State University, San Marcos, San Marcos, California \$100,000 to evaluate how responsive public schools have been to the economic, social, cultural and political changes resulting from the settlement of newcomers in poor communities on both sides of the border between Mexico and the United States

Corporation for Enterprise Development, Washington, D.C. \$100,000 in support of two strategic initiatives to develop the policy and practice of Individual Development Accounts (IDAs) (1) to develop an asset-development report card for the states and (2) to convene a summit on employer-based IDAs to develop a common practice and policy agenda

Georgetown University, Washington, D.C. \$150,000 in support of a feasibility study of business development among small entrepreneurs in the Caribbean-origin immigrant communities of the United States

International Community Foundation, San Diego, California \$300,000 to support its partnership with the Rockefeller Foundation to demonstrate how Mexican border communities in Baja California, affect the livelihoods of poor communities in California

Johns Hopkins University, Baltimore, Maryland \$50,000 for use by its Institute for Policy Studies for research on the effects of housing affordability on family well-being

North-South Institute, Ottawa, Canada \$250,000 for a collaborative multicountry examination of Canada's Migrant Agricultural Workers Program as a model of best practices in cross-border trade in temporary labor services

Smithsonian Institution, Washington, D.C. \$65,000 toward the costs of the exhibition, "El Rio: Culture and Environment in the Rio Grande/Rio Bravo Basin," a binational traveling exhibition designed to increase visibility of the life and culture of the many communities that live along the river basin

Surface Transportation Policy Project, Washington, D.C. \$150,000 to support the planning phase of its New Directions initiative, which will develop transportation policies that serve poor urban neighborhoods

University of California, Santa Cruz, Santa Cruz, California \$175,000 toward the costs of a project to convene researchers and leaders of the indigenous Mexican immigrant communities in the United States to discuss the social, economic and civic challenges facing Mexican indigenous communities in California

Urban Institute, Washington, D.C. \$95,692 in support of a longitudinal study to assess how the current dramatic change in federal housing policy (the HOPE VI program), in which distressed developments are being demolished and replaced with mixed-income housing, is affecting the health and well-being of the original, displaced residents

Policy Analysis and Advocacy

9 to 5, Working Women Education Fund, Milwaukee, Wisconsin \$150,000 in support of the National Alliance for Fair Employment, a network of over 50 national and local groups in the United States and Canada, dedicated to ensuring that nonstandard workers are covered by employment laws and protections, and have access to employment-related safety-net programs

Center for Policy Alternatives, Washington, D.C. \$300,000 in support of its Work and Family Investment Initiative, an information-dissemination campaign that encourages states to enact family-and-work supportive policy reforms

Center for Community Change, Washington, D.C. \$150,000 to provide project support for the National Campaign for Jobs and Income Support, an alliance of community-based organizations and networks working to develop a proactive anti-poverty policy agenda

Center on Budget and Policy Priorities, Washington, D.C. \$350,000 for general support of its mission to conduct research and policy analysis of government policies and programs that affect low- and moderate-income people

Center on Budget and Policy Priorities, Washington, D.C. \$65,000 to promote its model of job-creation programs to additional communities and states where welfare recipients with few job skills and little recent work experience can bridge to unsubsidized employment

Chicago Coalition for the Homeless, Chicago, Illinois \$75,000 in support of its Day Labor Project, which seeks to ensure enforcement of existing employment protections for workers in the day-labor industry in Chicago

Community Voices Heard, New York, New York \$50,000 for general support to ensure that the voices of low-income women are represented in the debates about welfare reform and job creation in New York City and New York State

Consortium for Worker Education, New York, New York \$75,000 in support of a newly created staff position to promote coalition building and low-income, worker-friendly proposals to rebuild New York City in the wake of the September 11 attack on the World Trade Center

Economic Policy Institute, Washington, D.C. \$150,000 in support of the development of economic stimulus proposals that will strengthen the economy and meet the needs of working families, and to monitor federal relief efforts undertaken in response to the September 11 terrorist attacks

Fiscal Policy Institute, Latham, New York \$275,000 for general support of its mission to conduct research and policy analysis on labor market and economic issues in New York City and New York State

Independent Media Institute, San Francisco, California \$150,000 in support of its SPIN Project which provides media training and technical assistance, media-strategizing aid and printed and Web-based media resources to community-based social-change organizations

Institute for Wisconsin's Future, Milwaukee, Wisconsin \$100,000 toward the costs of its Working Families Organizing Project, a community education and mobilization campaign to expand the number of working parents who have access to child care, health care, and income and tax subsidies

Institute of Development Studies, Brighton, United Kingdom \$99,800 to further develop the conceptual underpinnings of value-chain analysis and to strengthen linkages among academics, international policymakers and activists interested in using the value-chain approach for more equitable development policies

Institute on Taxation and Economic Policy, Washington, D.C. \$200,000 to support research examining (1) the impact of tax reform on state revenues and on the well-being of poor families, and (2) the job creation and anti-poverty impacts of economic-development subsidies

Jobs With Justice Education Fund, Washington, D.C. \$450,000 to implement an innovative organizational-development plan to strengthen the capacity and financial sustainability of local economic-justice coalitions around the country

Jobs With Justice Education Fund, Washington, D.C. \$60,000 to develop a multilayered map of the grassroots organizations and coalitions working on economic justice in 60 U.S. cities

Just Economics, Berkeley, California \$80,000 to create a replicable collaboration between researchers and organizers that will expand the use of research in local policy debates and encourage more locally relevant policy research

Local Initiative Support, Training and Education Network, Washington, D.C. \$95,000 to map neighborhood and community-based youth-organizing efforts in 12 U.S. cities, particularly in low-income communities of color

Make the Road by Walking, Brooklyn, New York \$75,000 in support of its Workplace Justice Project which conducts worker organizing, provides legal-rights training and offers legal services on employment issues to low-wage immigrant families in Bushwick, Brooklyn

Mathematica Policy Research, Inc., Washington, D.C. \$120,000 to support its study of the operations and policy implications of wage-paid transitional work programs

Ms. Foundation for Women, New York, New York \$35,000 toward the costs of a poll to gauge public opinion on policies crucial to lifting and helping families out of poverty in the precarious economic climate following the September 11 attack on the World Trade Center

National Employment Law Project, New York, New York \$450,000 for general support of its work on behalf of low-wage working families to promote more equitable enforcement of existing employment laws and improved employment policies and practices at the state and local levels

National Interfaith Committee for Worker Justice, Chicago, Illinois \$82,000 to develop partnerships between local interfaith committees and offices of the Department of Labor, and to conduct outreach to Muslim and Mormon religious leaders to strengthen immigrant workers' rights education

New York University, New York, New York \$50,000 for use by its School of Law in support of its Neighborhood Needs and Resources Project to develop a central information source about the needs of and problem-solving resources available to residents of six low-income, mainly immigrant neighborhoods in New York City

PolicyLink, Oakland, California \$1,500,000 to provide continuing general support

Public Policy and Education Fund of New York, Albany, New York \$99,977 in support of its Alliance for Quality Education project, which will document the lack of resources provided to low-performing schools in New York State, develop proposals for alternative funding and convene stakeholders who want to develop a statewide plan for educational equity

RAND Corporation, Santa Monica, California \$450,000 toward the costs of research aimed at raising overall student achievement and closing the achievement gap among racial/ethnic groups and between more- and less-advantaged students

Snitow-Kaufman Productions, Berkeley, California \$25,000 in support of publicity and grassroots distribution of a documentary film, "Secrets of Silicon Valley," on the issues facing temporary and immigrant workers in the high-tech economy

Southern Echo, Inc., Jackson, Mississippi \$175,000 for general support of efforts to increase democratic participation in six southern states

Strategic Concepts in Organizing and Policy Education, Los Angeles, California \$200,000 for general support of its mission to improve job-training and placement models, encourage job creation and access strategies that benefit low-income communities, and increase the participation and effective representation of low-income groups in local decision making in Los Angeles

University of Illinois at Chicago, Chicago, Illinois \$150,000 for use by its Center for Urban Economic Development to provide research on the temporary-staffing industry, and to provide data and mapping services to the 54 member organizations that comprise the National Alliance for Fair Employment

William C. Velasquez Institute, Los Angeles, California \$100,000 for general support of its work to improve political and economic participation of Latino communities in California and Texas

William J. Brennan Jr. Center for Justice, New York, New York \$30,000 in support of staff time for coalition building and developing policy proposals that increase minority access and provide family-supporting wages in reconstruction projects in New York City

Race, Policy and Democracy

Asian Pacific American Legal Center of Southern California, Los Angeles, California \$100,000 for continued support of the Project Forum on Race and Democracy, a multidisciplinary leadership-development and networking effort

Bay Area Institute, San Francisco, California \$100,000 for use by its Pacific News Service's New California Media Project to create entrepreneurial initiatives to produce self-sustaining income for its member ethnic-media organizations

Chicago Lawyers' Committee for Civil Rights Under Law, Chicago, Illinois \$100,000 in support of its Economic Opportunity Program to increase the capacity of individuals to access, and to sustain, high-quality employment

Conservation Law Foundation, Boston, Massachusetts \$295,000 for general support of its Greater Boston Institute and to document the development of its nonprofit business arm, CLF Ventures, Inc.

Foundation-administered project: \$125,000 for costs related to the production and dissemination of *Louder Than Words*, a report on racial-justice innovation

Harvard University, Cambridge, Massachusetts \$100,000 for general support for the Harvard Project on American Indian Economic Development

Highlander Research and Education Center, New Market, Tennessee \$50,000 to develop progressive solutions to the social problems of those affected by power inequities and by lack of democratic accountability

Institute for Democracy Studies, New York, New York \$50,000 to support efforts to inform the debate around diversity through the collection and dissemination of detailed research and analysis

Massachusetts Institute of Technology, Cambridge, Massachusetts \$100,000 for use by its Center for Reflective Community Practice toward general support

The Progressive, Inc., Madison, Wisconsin \$75,000 in support of its Progressive Media Project's *Voices of Diversity* series, a collaborative of authors writing on issues of racial-justice innovation

University of Memphis, Memphis, Tennessee \$50,000 for use by its Center for Research on Women, in continued support of their Race and Nation in the Global South initiative, to advance knowledge about the changing demographics of the southern United States

University of Texas at Austin, Austin, Texas \$135,000 to produce knowledge and analysis that can be used to promote racial conciliation and social justice in the United States through policy innovation

Youth Law Center, Washington, D.C. \$100,000 for continued support of its juvenile-justice initiative, Building Blocks for Youth

Work and Economic Opportunity

Foundation-administered project: \$50,000 to bring together researchers in the Future of Work program to plan an anthology of authors examining the impact of technology and work reorganization on the employment prospects of low-skilled workers in selected industries

Foundation-administered project: \$50,000 to map the global temporary-help industry geographically, and to research the expansion strategies of the largest staffing companies

New School University, New York, New York \$50,000 in support of a research project examining the role that U.S. business associations are playing in work-force development planning and placements in different regions and sectors of the economy

Urban Institute, Washington, D.C. \$150,000 to support a research project tracking career paths of low-skilled workers across firms, industries and regions

Urban Institute, Washington, D.C. \$40,000 in support of research examining the impact of targeted job placement to higher-paid industries on the wages and advancement prospects of women leaving welfare

W. E. Upjohn Institute for Employment Research, Kalamazoo, Michigan \$50,000 in support of a study on the impact of temporary employment agencies on the labor-market outcomes of women leaving welfare in the states of Michigan, Georgia and Washington

Testing Innovations

Jobs Initiatives

Campbell Collaboration, Philadelphia, Pennsylvania \$182,073 in support of a project to advance understanding of place-based randomized trials among policy-makers, researchers and practitioners and to develop a registry of such trials, covering such areas as education, welfare and crime

Corporation for Supportive Housing, New York, New York \$250,000 to provide general support during an organizational transition to a truly national presence

County of San Diego—Health and Human Services Agency, San Diego, California \$152,000 in support of the documentation and cost-benefit analysis of San Diego County's Regionalization Initiative in restructuring welfare-to-work programs

Manpower Demonstration Research Corporation, New York, New York \$3,300,000 to complete the Jobs Plus demonstration and its evaluation

Race and Democratic Participation

Cooperative Development Institute, Inc., Greenfield, Massachusetts \$300,000 to develop energy cooperatives for urban neighborhoods which will provide an environment where diverse groups work together, sustain themselves, educate their members and strengthen their participation in democratic governance

University of Texas Law School Foundation, Austin, Texas \$183,000 for use by its Texas LEADS project, in continued support of its programs to develop equitable educational opportunities for Texas students

Uplift, Inc., Greensboro, North Carolina \$200,000 toward continued support for its development, with the Beloved Community Center (BCC) of the Jubilee Institute, a training institute aimed at institutionalizing within BCC the capacity to lead ongoing productive community discourse around issues of race, policy and democracy, in Greensboro, North Carolina

Explorations

Education

American Association of State Colleges and Universities, Washington D C \$12,000 toward the costs of a workshop to assist colleges and universities in better preparing their teacher-education students to succeed on standardized tests for prospective teachers

California Tomorrow, Oakland, California \$363,254 toward development and dissemination of knowledge about effective educational programming that incorporates immigrant and language- minority communities

Cross-City Campaign for Urban School Reform, Chicago Illinois \$275,000 to complete the work of the Indicators Project on Education Organizing and to communicate the lessons learned to educators and funders

Graduate School and University Center, City University of New York, New York, New York \$198,768 for use by its Center for Human Environments' Youth Studies Research Group toward creating a multiracial and multiethnic Youth Leadership and Research Community to investigate how race, ethnicity, class, opportunity and outcomes correspond in public schools from the perspective of a broad range of youth

Hunter College, City University of New York, New York, New York \$100,000 for use by its Centro de Estudios Puertorriqueños in support of the National Latino/a Education Research Agenda Project

Institute for Educational Leadership, Washington D C \$10,000 toward dissemination of its report, Education and Community Building Connecting Two Worlds

Institute for Wisconsin's Future, Milwaukee, Wisconsin \$19,000 toward the costs of a national planning conference with parent and community-based organizations and education-policy groups that will lay the groundwork for organizing the National School Investment Network

Just for the Kids, Austin, Texas \$229,560 in support of research to develop publicly reported indicators of teacher learning that enhance accountability and school improvement efforts

Learning Communities Network, Inc., Cleveland, Ohio \$40,100 toward the costs of an external advisory group assisting Flint Michigan, Community Schools with evaluation of its districtwide reform efforts

New York University, New York, New York \$129,553 for use by its Robert F Wagner Graduate School of Public Service toward the costs of research on the experience of immigrant students in the New York City public-school system

New York University, New York, New York \$398,500 to enable its Institute for Education and Social Policy to do a study of operations issues related to privatization in New York City's charter-school movement

Public Advocates, Inc., San Francisco California \$100,000 in support of the California Educational Equity Campaign to support policy development and advocacy for accountability and finance systems in California

Teachers College, Columbia University, New York, New York \$161,067 for use by its National Center for Restructuring Education, Schools and Teaching to develop and pilot a strategy to address the minority student achievement gap by improving accountability systems in 11 small city-school districts in the New York metropolitan area

University of California, Los Angeles, Los Angeles, California \$75,000 for use by its Graduate School of Education and Information Studies toward support of a study of the accessibility and quality of curriculum materials and instructional conditions in California schools

University of Washington, Seattle Washington \$299,889 for use by its Institute for the Study of Educational Policy to develop and promote responsible accountability practices responsive to the purposes of public education

Yale University, New Haven, Connecticut \$289,892 to enable its Bush Center in Child Development and Social Policy to examine how schools that reach out to the community address the needs of immigrant families

Other

American Assembly, New York, New York \$100,000 to support its Uniting America series to develop policy recommendations to address some of the country's most divisive social issues, including racial equality

Aspen Institute, Washington, D C \$74,609 in support of an international seminar entitled, Evaluating Community-Based Action for Promoting Positive Outcomes for Individuals, Families and Neighborhoods

British Consulate-General, Los Angeles, California \$50,000 toward the travel and lodging costs of a three-week site visit in the United Kingdom for U S welfare-to-work program administrators who will learn from and compare best practices with peers

City College, City University of New York, New York, New York \$20,000 toward the costs of the conference, The Transnationalization of Everyday Life, held at the CUNY Dominican Studies Institute, May 2001

Foothold Technology, Inc., New York City, New York \$29,500 toward the development of a Web-based client tracking/case-management software module for use in work-force development programs

Foundation-administered project: \$20,000 toward the costs of a workshop to convene activists and researchers to share their experience and strategies for organizing the garment industry in Central and North America

Foundation-administered project: \$25,000 toward the travel costs of U S participants at an international symposium on how to design and evaluate locally based initiatives aimed at regenerating communities and improving health

George Washington University, Washington, D C \$50,000 toward the completion of a book on welfare policy in Britain, the lessons to be gained from British accomplishments for welfare policy in the United States, and prospects and opportunities for Anglo-American collaboration in future program development

Graduate School and University Center, City University of New York, New York, New York \$250,000 for use by its Howard Samuels State Management and Policy Center toward continued support of the Democracy Study Project, a comparative examination of democratic school-reform efforts in Mexico, Brazil, Nicaragua, South Africa and the United States

Heartland Alliance, Chicago, Illinois \$50,000 toward the costs of a meeting, Corn, Commerce and Community, that will use corn production in Mexico and Illinois as an issue-lens to focus discussion on the social, economic and environmental impacts of increasing economic regionalization

Institute for Public Policy Research, London, United Kingdom \$40,578 to bring together policymakers from Europe, Australia and the United States to explore public-policy challenges faced by democratic governments in the 21st century

Inter-American Dialogue, Washington, D C \$50,000 to support the activities of the Inter-Agency Consultation on Race in Latin America, in an effort to help international organizations better understand and effectively address the problems of the 150 million Latin Americans of African descent

International Human Rights Law Group, Washington D C \$100,000 toward the costs of the World Conference on Racism, Xenophobia and Other Forms of Intolerance, to ensure that the voices of marginalized groups have a direct impact on the conference

Legal Resources Trust, Johannesburg, South Africa \$280,000 for use by its Legal Resources Centre toward the costs of (1) facilitating a dialogue between American and South African lawyers focusing on innovations in public interest law practice that produce a substantive results for poor clients, with particular attention to the realities of racial injustice and how these affect the realization of rights of poor client communities, and (2) its creative lawyering work

Louisiana Crisis Assistance Center, New Orleans, Louisiana \$100,000 for general support of its mission to address the crisis in capital-defense representation in the South by providing state-of-the-art trial court defense for the largely African-American population of Louisiana capital defendants

San Diego Community College District, San Diego, California \$50,000 in support of a pilot program to educate undocumented persons incarcerated in California correctional institutions to increase their employment skills and opportunities upon their release to Mexico

University of California, Irvine, Irvine, California \$20,000 toward the costs of two conferences entitled, Reshaping the Americas Narratives of Place, organized by the Humanities Research Institute

University of California, Riverside, Riverside, California \$7,925 toward the costs of a conference being organized with the National Indigenous Front of Oaxaca and the Rural Women's Empowerment Movement on the transnational lives of Oaxacan women



Cooperative Engagement

Aspen Institute, Washington, D.C. \$25,000 toward the costs of African participation in a conference to address global issues in the information and communications sectors, especially the effects of the digital divide on disadvantaged communities, held in Lyons, France, March 2001

Aspen Institute, Washington, D.C. \$60,000 toward the costs of its Global Interdependence Initiative's participation in a global opinion study on the attitudes of people in 30 countries about the United States and Americans in the era of globalization

Boston Review, Cambridge, Massachusetts \$80,000 toward the costs of a series of meetings of scholars on emerging normative issues of democracy and global politics and of publishing the results of those meetings

Brandeis University, Waltham, Massachusetts \$71,478 to enable its Center for Youth and Communities to conduct research for a book designed to engage youth in global activism

Center for Policy Alternatives, Washington, D.C. \$100,000 to expand its leadership training and resources by creating the Eleanor Roosevelt Global Leadership Institute, whose mission is to increase the global consciousness of U.S. political leaders

College University Resource Institute, Washington, D.C. \$35,000 to enable its project, the FrameWorks Institute, to test the applicability of its research to the communications efforts of member organizations of the Global Interdependence Initiative

EarthAction, Amherst, Massachusetts \$50,000 to design an Internet forum to engage parliamentarians and civil society in the creation of effective solutions to global problems

Foundation Global Ethic, Tubingen, Germany \$30,000 toward the costs of presenting an exhibition on world religion, peace and ethics at United Nations headquarters in New York

Harvard University, Cambridge, Massachusetts \$100,000 for two initiatives to examine the role of religion in international affairs: (1) an analysis of ethno-religious conflict in Sudan, and (2) the identification and dissemination of the perspectives of major world religions on moral challenges posed by globalization

Henry L. Stimson Center, Washington, D.C. \$25,000 toward the costs of its project, Security for a New Century, designed to provide congressional staff with nonpartisan information on international security issues

Institute for Global Engagement, St. Davids, Pennsylvania \$44,720 toward the costs of a conference to explore how religious groups can contribute effectively to global engagement

Park Ridge Center, Chicago, Illinois \$46,000 for the costs of a conference to develop a framework of ethics from which to evaluate globalization, held in New York City, June 2001

Tides Center, San Francisco, California \$40,000 for use by its project, the Project for Participatory Democracy, toward the costs of a book on organizations and individuals that have influenced public policy

U.S. Catholic Conference, Washington, D.C. \$50,000 toward the costs of its project on the moral and ethical dimensions of economic globalization

United Nations Association of the United States of America, New York, New York \$100,000 for a project to build—through curricula designed for all educational levels—U.S. support for the United Nations

University of Maryland, College Park, Maryland \$200,000 toward the costs of establishing the Democracy Collaborative, an international initiative aimed at creating global democratic renewal in the new century (\$100,000 from Working Communities)

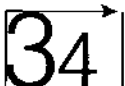
University of Toronto, Toronto, Canada \$25,000 toward the costs of bringing the ingenuity theory—which analyzes how poor societies adapt to complex demographic, economic, technological and ecological stresses—to an educational, scientific and public-policy audience in the United States

University of Victoria, Victoria, Canada \$50,000 for use by its Centre for Global Studies toward the costs of a conference on alternative global governance structures, held in Victoria, British Columbia, August 2001

World Affairs Council of Northern California, San Francisco, California \$25,000 toward the costs of its conference, Globalization: Going Global in the Information Age, held in Pacific Grove, California, May 2001

Environmental Governance

Consultative Group on Biological Diversity, San Francisco, California \$30,000 for general support of its mission to improve global environmental health through the conservation of biological diversity



Foundation-administered project: \$90,000 to explore the potential of a program on the governance of science for the public good

National Policy Association, Washington, D.C. \$60,000 toward the costs of a conference aimed at stimulating broader debate about corporate social responsibility and, in particular, the role of government in advancing it

Nautilus Institute for Security and Sustainable Development, Berkeley, California \$210,000 to support a project on developing global investment rules for sustainable development

Global Dialogues on Plant Biotechnology— Shared Programming (Joint With Food Security)

Advocates Coalition for Development and Environment, Kampala, Uganda \$26,900 toward the costs of activities to educate Ugandan policymakers and negotiators about the processes required to implement the Cartagena Biosafety Protocol

AfricaBio, Irene, South Africa \$362,500 for a project to advance an understanding of and dialogue about plant biotechnology through capacity-building in southern African countries (\$181,250 from Food Security)

African Biotechnology Stakeholders Forum, Nairobi, Kenya \$250,000 to enhance the awareness of east African stakeholders about the debate on agricultural biotechnology, and to train them in communication techniques that will enable them to participate in national-level discussions on this issue

Alliance of Small Island States, New York, New York \$100,000 toward the costs of a workshop to create greater awareness of the Biosafety Protocol among small-island developing states

Amazon Conservation Team, Arlington, Virginia \$40,000 toward the costs of a research seminar series of scientists, physicians and traditional healers to explore the interface between health and biodiversity as it affects indigenous communities in developing countries, especially in light of advances in biotechnology and their potential benefits and risks

Bread for the World Institute, Washington, D.C. \$200,000 toward the costs of a project to strengthen U.S. support for efforts to build food security in Africa

Chinese Academy of Sciences, Beijing, China \$94,300 for use by its Center for Chinese Agricultural Policy toward the costs of a study aimed at developing a set of national policies related to the generation and use of agri-biotechnologies

Community Technology Development Trust, Harare, Zimbabwe \$25,000 for the costs of a workshop to bring together national and regional stakeholders in the Southern African Development Community to discuss issues related to implementation of the Biosafety Protocol, held in southern Africa, January 2002

Consumers International, London, United Kingdom \$100,000 toward the costs of consumer participation, particularly from Africa, in discussions related to genetically modified organisms

Foundation-administered project: \$1,538,697 toward the costs of developing an ongoing global dialogue on the application of biotechnology to agriculture among groups holding divergent views

Foundation-administered project: \$287,000 for the costs of consultants to assess the potential to create apomixis as a crop-improvement tool and to assure developing-world access to this technology (\$143,500 from Food Security)

International Centre for Trade and Sustainable Development, Geneva, Switzerland \$76,000 toward the costs of a capacity-building workshop on issues related to biotechnology, biosafety and trade, held in Geneva, Switzerland, July 2001

International Plant Genetic Resources Institute, Rome, Italy \$59,000 to assist in the development of an agreement, the International Undertaking on Plant Genetic Resources, that seeks to increase the accessibility of plant-genetic resources for agricultural research

International Service for the Acquisition of Agri-Biotech Applications, Ithaca, New York \$40,000 toward the costs of a workshop on the impact of biotechnology on Africa in the 21st century, held in Witkoppen, South Africa, September 2001 (\$20,000 from Food Security)

Island Press, Washington, D.C. \$200,000 toward the publication and dissemination of a series of books examining the impact of intellectual-property rights and corporate governance on the world's poorest people, on biodiversity and on natural-resource conservation

KCTS Television, Seattle, Washington \$25,000 toward the costs of developing a television program which will explore ways to ensure that advances in agricultural productivity benefit the poor and excluded in Africa

National Center for Food and Agricultural Policy, Washington, D.C. \$63,180 to assess the risks and benefits of crop biotechnology to improve pest management in the United States

Pesticide Action Network Africa, Dakar-Fann, Senegal \$25,000 toward the costs of a project to assess the need to raise awareness about and provide training in biotechnology and biological security in Benin, Cameroon and Senegal



Photograph Excised Here

Agalo Maa-year, 11, is from Myanmar's Aka hill tribe that lives on the border with Thailand—and is excluded by both countries. Before he became a street child, Agalo's family forced him to cross into Thailand every day to beg. Thai immigration police are a constant threat. Agalo has been arrested and jailed. While imprisoned, he was fed one bowl of rice a day and treated roughly. But, unlike at home, he was not beaten. → Child prostitution and drugs are rampant on the streets. But Agalo does not want to go back home. He found help and now wants to help other children. Turn to P.225 → Photo Report

Philanthropic Collaborative, New York, New York \$25,000 toward the costs of its Funders Working Group on Biotechnology

Peter Pringle, New York, New York \$96,000 toward the costs of researching and writing a book, "Day of the Dandelion," that will address the key questions of the potential and hazards of genetically modified foods

Resources for the Future, Washington, D.C. \$400,000 toward the costs of research to examine how the patent system is working with respect to agricultural biotechnology and its impact on fair and timely access in developing countries, and for activities to help build regulatory capacity in developing countries

United Nations University, Tokyo, Japan \$50,000 toward the costs of a workshop to promote biosafety-capacity development in Southeast Asia to help countries determine the biosafety of genetically modified organisms in their environment held in Jakarta, Indonesia, November 2001

University of California, Berkeley, Berkeley, California \$20,000 toward the costs of a workshop on intellectual-property clearinghouse mechanisms for agricultural biotechnologies, held in Berkeley, California, February 2001

World Resources Institute, Washington, D.C. \$100,000 toward the costs of its project to build developing-country capacity to implement the biosafety protocol

World Vision International, Monrovia, California \$50,000 for a workshop on genetically modified organisms that is designed to inform nongovernmental organizations working in Africa, held in Nairobi, January 2002 (\$25,000 from Food Security)

Global Trends and Analysis

Foundation-administered project \$500,000 for a series of meetings, service arrangements and/or consultancies to support establishing a global-trends monitoring and analysis group

OpenDemocracy, London, United Kingdom \$200,000 toward the costs of a Web-based network for debate on global issues (\$20,000 from Working Communities)

Trinity College, Washington, D.C. \$99,750 for a series of meetings to identify emerging political, economic and social issues that will inform the direction of policy debates on Haiti, will improve the outcomes of initiatives undertaken in Haiti by the United States and other international actors, and will increase awareness among Americans of the contributions made by Haiti and Haitians to the well-being of the United States

Intellectual Property Rights

ANDES Association, Cusco, Peru \$100,000 to develop an integrated model for the protection of traditional knowledge and cultural security and for the management of local innovations

Britain Yearly Meeting, London, United Kingdom \$100,000 toward the costs of its Quaker United Nations Office's program on negotiating challenges and opportunities related to the World Trade Organization's Agreement on Trade-Related Aspects of Intellectual-Property Rights

Center for International Environmental Law, Washington, D.C. \$304,424 for a joint project with the South Centre to enhance developing-country participation in World Trade Organization negotiations on intellectual property

First Nations Development Institute, Fredericksburg, Virginia \$70,000 toward the costs of research on intellectual property arrangements among indigenous peoples, scientists and the technology industries

Foundation-administered project \$100,000 for a series of meetings, service arrangements and/or consultancies that will inform an ongoing exploration on intellectual-property rights

Foundation-administered project \$275,000 for an analysis of the benefits and costs, problems and opportunities in creating intellectual-property pooling entities to stimulate technology transfers to developing countries

Institute for Agriculture and Trade Policy, Minneapolis, Minnesota \$21,259 toward the costs of travel of developing-country participants at the NGO Strategy Meeting on the Agreement on Trade-Related Aspects of Intellectual-Property Rights, held in Brussels, Belgium, March 2001

Institute for Agriculture and Trade Policy, Minneapolis, Minnesota \$100,000 toward the costs of (1) its Trade Information Project, designed to provide civil society with timely access to information on trade policy, and (2) its Trade-Related Intellectual-Property Rights Action Network

South Centre, Switzerland \$325,750 for a joint project with the Center for International Environmental Law to enhance developing-country participation in World Trade Organization negotiations on intellectual property

Leadership for Environment and Development

LEAD International, London, United Kingdom \$10,000,000 for general support

Peace and Security

Crisis Management Initiative, Helsinki, Finland \$60,000 toward the costs of a Web portal as a resource for international crisis management

Crisis Management Initiative, Helsinki, Finland \$95,000 toward the costs of developing a proposal to create a North-South Forum that would provide independent opinion on the historical tragedies of colonialism and slavery and their modern legacies

Harvard University, Cambridge, Massachusetts \$75,000 for use by its Human Rights Program for a comparative study of the impact of non-state armed groups' activities on the democratic participation of civilian populations

Human Rights Watch, New York, New York \$350,000 to support efforts to influence the human-rights practices of armed non-state groups

Human Rights Watch, New York, New York \$75,000 toward the costs of its project, The Coalition to Stop the Use of Child Soldiers, for its efforts to stop the use of children as soldiers by non-state armed groups

International Alert, London, United Kingdom \$30,000 toward the costs of participation by developing-country nongovernmental organizations at the U.N. 2001 conference on the illicit trade of small arms and light weapons in all its aspects

International Council on Human Rights Policy, Versoix, Switzerland \$40,000 toward the costs of meetings to discuss the dilemmas that arise for human-rights nongovernmental organizations when human-rights abuses are addressed through military intervention

International Development Research Centre, Ottawa, Canada \$150,000 to support the International Commission on Intervention and State Sovereignty's work to reconcile the international community's responsibility to act in the face of massive violations of humanitarian norms with its responsibility to respect the sovereign rights of states

National Committee on American Foreign Policy, New York, New York \$50,000 toward the costs of producing and promoting, and providing training in the use of, an educational CD-ROM, "Landmines: Clearing the Way," which demonstrates the importance of humanitarian de-mining in helping war-torn societies to rebuild

Nautilus Institute for Security and Sustainable Development, Berkeley, California \$80,000 toward the costs of research to explore the impact that global diasporas may have on solving problems of global peace and security (\$25,000 from Working Communities)

Neelan Tiruchelvam Trust, Colombo, Sri Lanka \$150,000 for general support of its mission to promote peace and the reconciliation of civil conflict throughout the world

NGO Committee on Disarmament, New York, New York \$40,000 toward the costs of the participation of journalists from war-torn countries in a U.N. conference on illicit trade in small arms and light weapons, held in New York, July 2001

Regional Centre for Strategic Studies, Colombo, Sri Lanka \$25,000 toward the costs of production and distribution of the Regional Press Digest, a publication on nuclear issues in South Asia

Tufts University, Medford, Massachusetts \$75,475 for use by its Fletcher School of Law and Diplomacy for research, analysis and compilation of an edited volume on strengthening interdisciplinary communication and cooperation among human-rights and conflict-resolution communities

Science as a Public Good

Blue Mountain Center, Blue Mountain Lake, New York \$100,000 toward the costs of its conference, Life With the Genie: Governing Science and Technology in the 21st Century, held at Columbia University, New York, March 2002

Columbia University, New York, New York \$150,000 for use by its Center for Science, Policy and Outcomes for three research projects devoted to enhancing the capacity of public policy to link scientific research to beneficial societal outcomes

New York University, New York, New York \$345,000 toward the costs of a project to establish an international dialogue to examine conflicts concerning the regulation of genetically modified organisms and to promote steps toward constructive resolution of these conflicts

Tufts University, Medford, Massachusetts \$60,000 to support research and the writing of a book on science and the public good

University of Victoria, Victoria, Canada \$100,000 toward the costs of an analysis being undertaken jointly with Foro Nacional/Internacional in Peru, of global initiatives to mobilize science and technology for development in developing countries

Jennifer Washburn, Brooklyn, New York \$30,000 to conduct research for a book on the privatization of the university and its impact on academic freedom and scientific inquiry

Trade and Development

Stephanie Black, New York, New York \$30,000 to complete a documentary film on the impact of policies and trends related to global economic integration in Jamaica (\$15,000 from Working Communities)

Center for Economic and Policy Research, Washington, D.C. \$75,000 toward the costs of its research and education project on economic development policies and issues related to the poor

Center of Concern, Washington, D.C. \$100,000 toward the costs of a research project to examine the gender impact of trade policy in the Americas

Development Group for Alternative Policies, Washington, D.C. \$100,000 toward the costs of the Structural Adjustment Participatory Review Initiative, a global civil-society network established to study the impact on developing countries of policies designed to promote economic integration

Development Group for Alternative Policies, Washington, D.C. \$100,000 toward the costs of a research project of the Hemispheric Social Alliance to inform debate on the proposed creation of a Free Trade Area of the Americas

Caroline Dommen, Geneva, Switzerland \$25,675 toward the costs of researching and writing a book that will serve as a guide to the characteristics of the World Trade Organization and the key human rights issues that arise in the context of trade and trade policy

Economic Strategy Institute, Washington, D.C. \$100,000 toward the costs of a monograph on reconciling trade and the environment that would address how to integrate the goals of multilateral environmental agreements into the World Trade Organization system

Global Exchange, San Francisco, California \$30,000 for the costs of educational activities on economic development and Third World debt using the film "Life and Debt" as a focal point

International Centre for Trade and Sustainable Development, Geneva, Switzerland \$200,000 toward the costs of production and development of its BRIDGES Monthly Review publication on trade and sustainable development

Montreal International Forum, Montreal, Canada \$30,000 toward the costs of research papers for its conference on global governance entitled, Civil Society and the Democratization of Global Governance, to be held in Montreal, May 2002

New Economics Foundation, London, United Kingdom \$50,000 toward the costs of research and analysis to define ways forward on debt relief in developing countries

Project Underground, Berkeley, California \$10,000 to enable the voices of indigenous peoples to be heard at conferences pertaining to mineral- and energy-sector development

Public Citizen Foundation, Washington, D.C. \$15,000 toward the costs of research on key aspects of the North American Free Trade Agreement and an analysis of the process of U.S. trade policymaking

Third World Network, Accra-North, Ghana \$75,000 to strengthen and extend the capacity of the Africa Trade Network for advocacy on trade and development issues in Africa

Third World Network, Accra-North, Ghana \$80,000 toward the costs of (1) a conference for African scholars and activists to discuss the implications of World Bank policy proposals on Africa, and (2) research reports on critical trade policy issues in Africa

United Nations Development Programme, New York, New York \$70,000 toward the costs of consultative meetings to inform the content of its report on trade and sustainable human development

Women at Work

Cambodian Labor Organization, Phnom Penh, Cambodia \$85,000 toward the costs of a coalition of Cambodian nongovernmental organizations—the Cambodian Independent Monitoring Group—that is launching a project to monitor working conditions, especially of women, in Cambodian garment factories

Education Fund of the American Center for International Labor Solidarity, Washington, D.C. \$100,000 to bring international women union leaders together to develop an agenda that will advance women's rights in the workplace

Fair Labor Association, Washington, D.C. \$75,000 toward the costs of a conference of representatives from its accredited monitoring organizations and Asian nongovernmental organizations to discuss ways to protect women's labor rights, to be held in Bangkok, March 2002

Foundation-administered project \$200,000 to support the activities of the Women at Work exploration to develop a programmatic framework to address women's self-sufficiency, gender equality and work-related issues in developing countries

Hesperian Foundation, Berkeley, California \$300,000 to produce and distribute an occupational health, safety and rights manual to workers in export-processing zones worldwide



Photograph Excised Here

Despite her medical training, Dr. Mbabazi Christine felt peripheral to "the real problem" of health care in Uganda. Only a fraction of those people needing services can get to a hospital. Wanting to do more, Dr. Christine left her practice to join Makerere University's Public Health School Without Walls program. Her first field assignment: a measles outbreak among children 5 years old and younger. She managed a massive immunization campaign. On the first day, 403 children were waiting at the clinic before staff arrived. Not only did Dr. Christine and her team handle the patient load, they earned the trust of the community. Turn to P.039 → Photo Report

International Center for Research on Women, Washington, D.C. \$100,000 toward the costs of a collaborative project with the journal *Foreign Policy* to publish an assessment of international progress and gaps in women's economic and social status

International Labor Rights Fund, Washington, D.C. \$100,000 toward the costs of documenting trends and policy issues informing the global dimensions of sexual harassment in the workplace

International Labour Organization, Geneva, Switzerland \$10,000 toward the costs of a forum that will address current issues surrounding economic globalization and labor standards, with a focus on women's issues in the workplace.

La Mujer Obrera, El Paso, Texas \$55,500 toward the costs of its conference, the Women and Work Border Encuentro, designed to build public awareness of and public policy support for women's employment issues in border communities, held in El Paso, Texas, January 2002

Lawyers Committee for Human Rights, New York, New York \$75,000 toward the costs of developing strategies in two mutually reinforcing areas: (1) to build the capacity of Cambodian nongovernmental organizations working with apparel-manufacturing companies, and (2) to strengthen their involvement in a new monitoring and remediation organization: The Fair Labor Association

Popular Education Research Group, Toronto, Canada \$89,000 for use by its Maquila Solidarity Network toward the costs of dialogues among organizations involved in monitoring workers' rights in Central America and Mexico

Tides Center, San Francisco, California \$99,190 for use by its project, New Economy Communications, toward the costs of its project to complete a case study in Bangladesh, demonstrating how to construct and carry out public-education efforts that produce benefits for women who work in the export apparel industry

Washington Media Associates, Washington, D.C. \$350,000 toward the research and production costs of "The Undeclared War" a two-hour special for public television that examines women and poverty

Women's Law and Public Policy Fellowship Program, Washington, D.C. \$100,000 for use by its Leadership and Advocacy for Women in Africa Program, to recruit and train a woman lawyer from sub-Saharan Africa who will focus on women-and-work issues

Worker Rights Consortium, Washington, D.C. \$99,750 toward the costs of Monitoring labor conditions in the apparel industry and enforcing Codes of Conduct governing worker rights

Regional
Africa

Program
Regional

Program



Human Capacity Building

Africa University Initiatives

Foundation Partnership (N.Y.)

African Economic Research Consortium, Nairobi, Kenya \$240,000 to support the development of an Africa-based collaborative Ph.D. program in order to further strengthen teaching and research capacity on the continent

African Studies Association, New Brunswick, New Jersey \$42,000 toward the costs of planning an initiative to enhance academic partnerships between African and North American researchers

American University in Cairo, New York, New York \$10,000 for use by its Institute for Gender and Women's Studies to explore university linkages in the field of gender and women's studies in sub-Saharan Africa

Brown University, Providence, Rhode Island \$15,000 to enable its Futures Project to sponsor the participation of four leaders from the developing world, particularly Africa, at a meeting on higher education at Teachers College, Columbia University, New York, June 2001

Catholic University of Mozambique, Beira, Mozambique \$75,000 toward the costs of a master-of-arts program in economics and management

Centre for Higher Education Transformation Trust, Pretoria, South Africa \$50,000 toward the costs of a study that will develop strategic cooperation scenarios in higher education in the eastern Cape

Council on Higher Education, Pretoria, South Africa \$191,019 toward the costs of a project to initiate and institutionalize a triennial review of South African higher education

Foundation-administered project: \$82,567 toward the cost of studies of the higher education system in Uganda that will facilitate linkages between Makerere University and other tertiary institutions in Uganda to meet the training needs related to decentralization

Inter-University Council for East Africa, Kampala, Uganda \$84,988 toward the costs of a study to test the feasibility of developing a leadership and management training program for university administrators in east Africa

National Council for Tertiary Education, Accra, Ghana \$40,000 toward the costs of a case study on transformation in Ghana's universities

Open University, London, United Kingdom \$85,000 for a project on the role of universities in the transformation of societies with a focus on sub-Saharan Africa

Social Science Academy of Nigeria, Abuja, Nigeria \$34,550 toward the costs of a study of the Nigerian university system and processes of institutional transformation

Teachers College, Columbia University, New York, New York \$49,876 toward the costs of a research project on comparative approaches to decentralization in eastern and southern Africa

Other University Activities

African Economic Research Consortium, Nairobi, Kenya \$700,000 to strengthen and help retain local capacity for economic policy research and policy management in sub-Saharan Africa

University of Cape Town, Rondebosch, South Africa \$354,763 for two components of its University Science, Humanities and Engineering Partnership in Africa—the program administration costs of its central office and its program in intellectual property

African Career Awards

Robert Chimedza, Zimbabwe \$34,000 to enable him to conduct postdoctoral research at Zimbabwe Open University on the effects of exemplary teaching practices on the professional development of teachers of deaf students in Zimbabwe

Abdul Rahman Conteh, Sierra Leone \$31,972 to enable him to conduct postdoctoral research at the Institute of Agricultural Research, Sierra Leone, on the use of green-manure cropping for sustainable soil management in the humid and subhumid tropics of West Africa

Wellington N. Ekaya, Kenya \$32,000 to enable him to conduct postdoctoral research at the University of Nairobi on land-use and land-tenure changes in Kajjado District, Kenya

Dominic Fontem, Cameroon \$32,000 to enable him to conduct postdoctoral research at the University of Dschang on the characteristics of *Phytophthora* infestans, the fungus that causes late blight in potato and tomato crops in Cameroon

Peter K. Gathumbi, Kenya \$33,995 to enable him to conduct postdoctoral research at the University of Nairobi on the efficacy and safety of medicinal plant extracts used to treat East Coast fever in Kenya

Abebe Getahun, Ethiopia \$26,409 to enable him to conduct postdoctoral research at Addis Ababa University on the history and current status of nonindigenous fish in Ethiopia

Dorcas K. Isutsa, Kenya \$31,780 to enable her to conduct postdoctoral research at Egerton University on the micropropagation and field performance of passion fruit in Kenya

Africano Kangire, Uganda \$32,000 to enable him to conduct postdoctoral research at Kawanda Agricultural Research Institute on farmers' evaluation of elite introduced banana cultivars in Uganda

Samuel Mungai Kariuki, Kenya \$33,986 to enable him to conduct postdoctoral research at the Kenya Medical Research Institute on the accuracy of typhoid diagnosis in Kenya

Miriam Kinyua, Kenya \$31,950 to enable her to conduct postdoctoral research at Kenya Agricultural Research Institute on the use of root and shoot characteristics to select wheat varieties and lines for marginal areas of Kenya

Victor Konde, Zambia \$31,940 to enable him to conduct postdoctoral research at the University of Zambia on the molecular genetic characterization of plasmodia isolates and their tolerance to antimalarial drugs

Amos Enoch Majule, Tanzania \$33,991 to enable him to conduct postdoctoral research at the University of Dar es Salaam on restoring soil fertility in cashew-producing areas of southern Tanzania

Julius Heavenor Mangisoni, Malawi \$32,000 to enable him to conduct postdoctoral research at the University of Malawi on factors influencing the adoption of soil erosion control technologies in Blantyre Division, Malawi

Grace Mbagaya, Kenya \$34,000 to enable her to conduct postdoctoral research at Moi University on improving child nutrition in a Marachi Central location in western Kenya

Gitonga Nkanata Mburugu, Kenya \$31,990 to enable him to conduct postdoctoral research at Kenyatta University on increasing biological nitrogen fixation and grain yield in soybeans through the use of bradyrhizobium inoculants and rock phosphate

John McMaster, Zimbabwe \$34,000 to enable him to conduct postdoctoral research at the University of Zimbabwe on the contexts in which domestic violence takes place and to develop a curriculum for peer-mediated domestic-violence prevention programs

Wairimu Multa, Kenya \$34,000 to enable her to conduct postdoctoral research at Population Communication Africa on sexuality socialization among preteenage girls in Kenya

Margaret Mulaa, Kenya \$31,931 to enable her to conduct postdoctoral research at the Kenya Agricultural Research Institute working with farmers on the development of community-based integrated pest-management technologies for vegetables in North Rift Kenya

Geoffrey Muluvi, Kenya \$31,991 to enable him to conduct postdoctoral research at Kenyatta University on the determination of genetic diversity in three indigenous species of *Moringa* in Kenya

Dorothy Nduku Mutisya, Kenya \$28,981 to enable her to conduct postdoctoral research at Kenyatta University on the role of women in soil conservation in the semi-arid areas of the Masinga Dam catchment in Kenya

David Obura, Kenya \$34,000 to enable him to conduct postdoctoral research at the institution, Coral Reef Degradation in the Indian Ocean, on the use of participatory monitoring and research as ways of enhancing conservation of coastal resources in Kenya

Silas Oluka, Uganda \$31,866 to enable him to undertake postdoctoral research at Makerere University on physics teaching in Uganda

Mary Magdalene Opondo, Kenya \$31,919 to enable her to conduct postdoctoral research at the University of Nairobi on the gender implications of contract farming in the tobacco-growing areas of Kenya

Geoffrey M. Rukunga, Kenya \$33,930 to enable him to conduct postdoctoral research at the Kenya Medical Research Institute on herbal preparations used by traditional healers to treat malaria in Kenya

Vikash Sewram, South Africa \$32,000 to enable him to conduct postdoctoral research at the Medical Research Council of South Africa on dietary and medicinal wild plants as risk factors for esophageal cancer

Silas Simlyu, Kenya \$33,909 to enable him to conduct postdoctoral research at the Kenya Electricity Generating Company, Ltd., on the use of microseismic monitoring for geothermal exploration in Kenya

Jean Mianikpo Sogbedji, Togo \$32,000 to enable him to conduct postdoctoral research at the University of Benin on sustaining maize yields in smallholder cropping systems in Togo

Anna Andrew Temu, Tanzania \$32,000 to enable her to conduct postdoctoral research at Sokoine University of Agriculture on the effects of market liberalization within the coffee industry in Tanzania

Grace Njeri Thothli, Kenya \$32,000 to enable her to conduct postdoctoral research at the University of Nairobi on the anthelmintic activity of selected traditional medicinal plants in Kenya

Thomas F. Nyaki Thoruwa, Kenya \$32,000 to enable him to conduct postdoctoral research at Kenyatta University on the development and testing of a solar-biomass drier for pyrethrum in Kenya

William Wamala Wagoire, Uganda \$31,999 to enable him to conduct postdoctoral research at the Namulonge Agricultural and Animal Production Research Institute on the generation and dissemination of improved wheat-production technology in Uganda

Philip Wandahwa, Kenya \$31,995 to enable him to conduct postdoctoral research at Egerton University on increasing soybean yields through soil-fertility improvement and land-use management in Kakamega District, Kenya

David Wilson, Zimbabwe \$33,700 to enable him to conduct postdoctoral research at the University of Zimbabwe on the effectiveness of a peer-mediated AIDS-prevention program among secondary-school students in Zimbabwe

Godwin M. Zimba, Malawi \$31,987 to enable him to conduct postdoctoral research at the University of Malawi on control and management of *Zonocerus elegans*, a major pest of cassava in Malawi

African Dissertation Internship Awards

University of Illinois at Chicago, Chicago, Illinois \$2,650 in conjunction with the African Dissertation Internship Award to Anlina Kipchumba to enable her supervisor at Moi University in Kenya to attend her dissertation defense

Uganda Country Program

Makerere University, Kampala, Uganda \$73,814 toward the costs of a workshop for its students participating in the programs, Public Health School Without Walls and the Forum for Agricultural Resource Husbandry, in sub-Saharan Africa

Makerere University, Kampala, Uganda \$1,900,000 to support its revitalization as an institution that can nourish Uganda's social, political and economic

transformation in the 21st century and address the human capacity and research needs of decentralization

Mbarara University of Science and Technology, Mbarara Uganda \$35,000 for a project to promote the production and use of the plant extract *Phytolacca dodecandra* to control vectors of a number of tropical parasitic diseases which affect humans and livestock in Uganda

Quality Education for Social Transformation

Cotton Products (U) Ltd., Kampala, Uganda \$45,000 toward the costs of a project to explore using Vietnamese technology to make affordable products available to girls and women in Uganda for feminine hygiene protection

Egerton University, Njoro, Kenya \$48,000 toward the costs of its reading-tent project's activities to develop and promote reading in Njoro, Kenya

Forum for African Women Educationalists, Ghana Chapter, Accra, Ghana \$183,709 for research activities to extend its project on sexual maturation and hygiene practices associated with schoolgirls in Ghana, to Kenya, Uganda and Zimbabwe

Forum for African Women Educationalists, Ghana Chapter, Accra, Ghana \$24,808 for general support

Forum for African Women Educationalists, Uganda Chapter, Kampala, Uganda \$25,000 for general support

Forum for African Women Educationalists, Uganda Chapter, Kampala, Uganda \$151,969 for an initiative to develop basic education in Kalangala District, Uganda

Foundation-administered project \$600,000 for administrative expenses of the Quality Education for Social Transformation program

Hanoi School of Public Health, Hanoi, Vietnam \$67,225 toward the costs of an international comparative workshop on sexual maturation, held in Hanoi, Vietnam

Institute for Reproductive Health Training and Research, Nairobi, Kenya \$54,223 for a dialogue on ways the private and public sectors can collaborate to meet the needs of schoolchildren in Kenya, in particular through the production of supplemental readers and of girls' hygienic supplies

Makerere University, Kampala, Uganda \$127,788 toward the costs of publishing and distributing illustrated children's stories from its project, Minds Across Africa School Clubs

Makerere University, Kampala, Uganda \$30,000 toward the costs of follow-up activities for a children's photography project in Uganda that documented their school experiences related to sanitation, teaching resources and discipline

Makerere University, Kampala, Uganda \$40,250 to extend its Minds Across Africa School Clubs program to an additional four districts in Uganda and to provide the clubs with expanded materials to enrich the program

Makerere University, Kampala, Uganda \$55,143 for a dialogue on ways the private and public sectors can collaborate to meet the needs of schoolchildren in Uganda, in particular through the production of supplemental readers and of girls' hygienic supplies

Makerere University, Kampala, Uganda \$366,382 toward the costs of its project to produce norms in English literacy for primary schools in Uganda

Mallan Association for the Support of Girls' Education, Mali Chapter, Bamako, Mali \$6,207 toward the costs of two activities—a workshop on women in the teaching profession and training of the Chapter's members in communication techniques

Ministry of Education, Science and Technology, Kenya, Nairobi, Kenya \$10,000 toward the costs of a workshop on strengthening the provision of guidance and counseling services within the Ministry

Judith Awino Otieno, Nairobi, Kenya \$4,550 toward the costs of a research project on the causes of high dropout rates among girls in primary school in Mangat Division, Baringo District, Kenya

United Nations Educational, Scientific and Cultural Organization, Paris, France \$100,000 for use by its International Institute for Educational Planning to support the activities of the Association for the Development of Education in Africa

University of Sussex, Brighton, United Kingdom \$34,113 for a project to assess the impact of the HIV/AIDS epidemic on the attainment of primary education in sub-Saharan Africa

University of Zimbabwe, Harare, Zimbabwe \$384,435 toward the costs of a project to produce norms in English literacy for primary schools in Zimbabwe

University of Zimbabwe, Harare, Zimbabwe \$27,462 for publication of the case studies in Kenya, Uganda and Zimbabwe on life skills and sexual maturation as they affect girls' access to and participation in education

University of Zimbabwe, Harare, Zimbabwe \$55,142 for a dialogue on ways the private and public sectors can collaborate to meet the needs of schoolchildren in Zimbabwe, in particular through the production of supplemental readers and of girls' hygienic supplies

Information for Development

Mapping Poverty

Institute of Policy Analysis and Research, Nairobi, Kenya \$10,250 toward the costs of a regional conference of a research network on African civil society, held in Nairobi, Kenya, November 2001

International Food Policy Research Institute, Washington, D.C. \$241,818 to undertake a spatial mapping of poverty and malnutrition in Tanzania through the 1990s and evaluate the impact of agricultural market reforms on spatial and temporal patterns of poverty

International Maize and Wheat Improvement Center, Mexico City, Mexico \$20,000 toward the costs of a review of legal issues in the international use of spatial data and tools in agriculture and natural resource management

University of Nairobi, Nairobi, Kenya \$27,000 toward the costs of the urban integration survey of greater Nairobi

Population and Health Research

African Population and Health Research Centre, Nairobi, Kenya \$2,573,240 for general support

Centre for African Family Studies, Nairobi, Kenya \$100,000 toward the costs of (1) developing a monograph to improve understanding of ongoing family transformations in east Africa and, (2) creating a Web site on African family studies

Federation of Women Lawyers—Kenya, Nairobi, Kenya \$58,235 to strengthen the capacity for women's-rights monitoring in Kenya, and to enhance awareness among Kenyan women of their legal rights

INDEPTH Network, Accra, Ghana \$456,600 in support of the network's scientific activities, an annual meeting and a strategic planning exercise to develop business plans for the network and member sites (joint with Health Equity).

Population Council, New York, New York \$447,820 in support of the African Population and Health Policy Research Centre in Nairobi

Partnership for Africa's Renewal

African Capacity Building Foundation, Harare, Zimbabwe \$75,000 toward the costs of the first Pan-African Capacity Building Forum, a dialogue on how to build Africa's capacity for development in the 21st century, held in Bamako, Mali, October 2001

African Technology Policy Studies Network, Nairobi, Kenya \$300,000 for general support

Foundation-administered project \$250,000 toward the costs of an exploration on promoting private/public partnerships for social development to improve the lives and livelihoods of the poor in sub-Saharan Africa

Kenya Community Development Foundation, Nairobi, Kenya \$87,127 for research on indigenous philanthropic initiatives in Kenya, with the goal of enhancing the awareness of, and participation of individuals and communities in, local philanthropy to address poverty

Kenya Leadership Institute, Nairobi, Kenya \$80,000 for general support

Traditional and Modern Health Practitioners Together Against AIDS and Other Diseases, Kampala, Uganda \$308,191 toward the costs of promoting the involvement of traditional healers in AIDS prevention and care in Uganda

Explorations

International Development Research Centre, Ottawa, Canada \$77,000 toward the costs of a training workshop for finance and administration officers of grantee institutions in Africa

International Potato Center, Lima, Peru \$40,035 toward the costs of a workshop to strengthen linkages between agriculture and nutrition policies and programs, held in Nairobi, Kenya, spring 2001



Asia Pacific Forum on Women, Law and Development, Chiang Mai, Thailand \$35,000 to support a regional workshop on identity-based politics and its impact on the well-being of women in Asia and the Pacific, to be held in Jakarta, Indonesia

Asian Migrant Centre, Kowloon Hong Kong, China \$62,040 in support of exploratory efforts to map and analyze migration issues in the Greater Mekong Subregion

Chiang Mai University, Chiang Mai, Thailand \$171,400 for use by its Regional Center for Social Science and Sustainable Development for an experimental exchange program for graduate and postgraduate students and scholars in the Greater Mekong Subregion

Chiang Mai University, Chiang Mai, Thailand \$78,860 for use by its Social Research Institute in support of intellectual exchange within the Greater Mekong Subregion regarding societal preparedness to address poverty in light of the rapid changes engulfing the region

Chulalongkorn University, Bangkok, Thailand \$50,000 for use by its Institute of Security and International Studies in support of a regional workshop on ethnic conflict in Southeast Asia, to be held in Bangkok, Thailand

Chulalongkorn University, Bangkok, Thailand \$13,000 for use by its Asian Research Center for Migration to support the participation of five senior and middle-level managers from Mekong countries involved in policymaking and assistance programs for forced migrants in its Southeast Asia Regional School in Forced Migration

Foundation-administered project: \$200,000 for explorations leading to the formulation of a regional strategy that addresses significant inequities characterizing the Greater Mekong Subregion of Southeast Asia

Hue University, Hue City, Vietnam \$45,000 for use by its College of Arts to support the participation of sculptors from Mekong and ASEAN countries in the Third International Sculpture symposium, to be held in Hue, Vietnam, April and May 2002

IPS Inter Press Service International Association, Bangkok, Thailand \$70,500 for use by its Regional Office for Asia-Pacific in support of an experimental media project on cross-border issues in the Greater Mekong Subregion

Khon Kaen University, Khon Kaen, Thailand \$25,000 to support a priority-setting workshop focusing on the needs of educational institutions in Laos and identifying Thai universities to provide curriculum development and staff training, particularly in agriculture and forestry

Ministry of Agriculture and Forestry, Vientian, Lao, PD R \$10,000 to support the upgrading of telecommunications services to key research and agricultural educational institutions throughout the Lao, PD R

Ministry of Agriculture and Forestry, Vientian, Lao, PD R \$350,000 to support the upgrading of agriculture and forestry technical colleges in Lao, PD R

Ministry of Agriculture and Forestry, Vientian, Lao, PD R \$38,000 toward the costs of a pilot project on Community Seed Multiplication and Rice Banks

Prince of Songkla University, Hadyai, Thailand \$47,067 to support an international conference on current social transformations in southern Thailand, to be held in Pattani, Thailand

Probe Media Foundation, Inc., Diliman, Quezon City, Philippines \$99,000 to support an experimental media-fellowship program on cross-border issues in the Greater Mekong Subregion

Vietnam Museum of Ethnology, Hanoi, Vietnam \$57,910 in support of networking activities among museums in the Greater Mekong Subregion

Special Programs / Assets and Capacities

Affinity Groups, Archives, Matching Gifts

Asian Americans/Pacific Islanders in Philanthropy, San Francisco, California \$90,000 to provide general operating support

Council on Foundations, Washington, D C \$49,600 toward general operating expenses in 2001

First Nations Development Institute, Fredericksburg, Virginia \$30,000 toward the development of the International Funders for Indigenous Peoples, an affinity group that encourages a holistic approach to addressing the culturally distinct character of indigenous peoples

Independent Sector, Washington, D C \$10,000 toward general operating expenses in 2001

New York Regional Association of Grantmakers, New York, New York \$10,000 toward general support for the year 2001

Rockefeller Family Fund, New York, New York \$60,000 for use by its Environmental Grantmakers Association toward the costs of the Funders Network on Trade and Globalization, an initiative designed to support foundations and other funders in their efforts to promote global relations, policies and institutions that foster sustainable development around the world

Rockefeller Foundation Matching Gift Program \$2,000,000 toward the Rockefeller Foundation Matching Gift Program

Rockefeller University, New York, New York \$1,323,210 to cover 2000-2002 operating costs associated with the preservation and continuing use of Foundation records deposited at the Rockefeller Archive Center

Bellagio Study and Conference Center

Grants

Feminist Press, New York, New York \$12,000 toward the costs of travel for six participants from Africa to participate in a two-part team residency, *Women Writing Africa West/ Sahel Regional Volume*, held at the Bellagio Study and Conference Center, fall 2001

International Institute for Environment and Development, London, United Kingdom \$4,800 toward the costs of travel for four individuals from east Africa to participate in the team residency, *Domestic Water Use and Environmental Health in East Africa Three Decades after "Drawers of Water,"* held at the Bellagio Study and Conference Center, October 2001

Lower East Side Tenement Museum, New York, New York \$8,500 toward the costs of travel for six individuals from developing countries to participate in the team residency *International Coalition of Historic Site Museums of Conscience Forging a New Role for Historic Sites as Centers of Democracy*, held at Bellagio Study and Conference Center, October 2001

Medical Women's International Association, Dortmund, Germany \$17,100 toward the costs of a facilitator and travel for eight participants from developing countries to attend the conference, *Training Manual for Gender Mainstreaming in Health*, held at the Bellagio Study and Conference Center, December 2001

New York University, New York, New York \$13,230 toward the costs of activities to enhance a workshop, *An Examination of Issues in Evaluating Complex Social Programs*, held at the Bellagio Study and Conference Center, August 2001

New York University, New York, New York \$3,400 toward the costs of travel for two participants from developing countries to attend the conference, *An Examination of Issues in Evaluating Complex Social Programs*, held at the Bellagio Study and Conference Center, August 2001

Nova Southeastern University, Fort Lauderdale, Florida \$4,000 toward the costs of travel for two researchers from Guyana and Colombia to participate in a conference, *Malaria Diagnostics in the 21st Century*, held at the Bellagio Study and Conference Center, June 2001

Society for International Development, Rome, Italy \$5,900 toward the costs of travel for five participants from Mexico, India, Pakistan, Brazil and Tanzania to attend the team residency, *Power, Culture, Identity Women and the Politics of Place*, held at the Bellagio Study and Conference Center, March 2001

Michael Sorkin, New York, New York \$25,000 toward the costs of research, acquisition, preparation and production of materials for a manuscript, *"The New Jerusalem,"* resulting from the conference, *Visions of Jerusalem*, held at the Bellagio Study and Conference Center, July 1999

United Nations Children's Fund, New York, New York \$15,400 toward the costs of travel for eight individuals from developing countries to attend the conference, *Working With Men to End Gender-Based Violence An Interchange for Global Action*, held at Bellagio Study and Conference Center, October 2001

University of Cambridge, Cambridge, United Kingdom \$5,900 toward the costs of travel for participants from Eastern European countries to participate in a team residency, *An International Classification for the Study of Post-Chernobyl Thyroid Cancer*, held at the Bellagio Study and Conference Center, April 2001

University of Michigan, Ann Arbor, Michigan \$7,729 toward the costs of travel for three individuals from developing countries to participate in the team residency, *From Pilot Projects to Policies and Programs Strategies for Scaling up Innovations in Health Service Delivery*, held at the Bellagio Study and Conference Center, November and December 2001

University of North Carolina at Chapel Hill, Chapel Hill, North Carolina \$10,000 toward the costs of travel for five participants from developing countries to attend the conference, *The Nutrition Transition and Health Implications*, held at the Bellagio Study and Conference Center, August 2001

University of Southern California, Los Angeles, California \$5,870 toward the costs of travel for four participants from developing countries to attend the conference, *International Cross-Cultural Perspectives on Workforce Diversity The Inclusive Workshop*, held at the Bellagio Study and Conference Center, July 2001

University of Westminster, London United Kingdom \$7,500 toward the costs of travel for five researchers from Eastern Europe and Asia to participate in a workshop, *Democratization and the Mass Media Comparative Perspectives from Europe and Asia*, held at the Bellagio Study and Conference Center, April 2001

University of Wisconsin-Madison, Madison, Wisconsin \$5,591 toward the costs of travel for three participants from developing countries to participate in a team residency *The Political Impact of Women's Movements in Africa*, held at the Bellagio Study and Conference Center, July and August 2001

Gregor Zibold, Weingarten, Germany \$4,434 toward the costs of travel for eight participants from Eastern Europe to participate in a team residency. *Scientific Results of the INCO Project AQUASCOPE and Action Plan to Improve the Situation for Residents of Contaminated Zones/Regions Near Chernobyl*, held at the Bellagio Study and Conference Center, September 2001

Sherifa Zuhur, Berkeley, California \$9,473 toward the costs of travel for 10 participants from developing countries to attend the conference, *Women and Gender in the Middle East: An Interdisciplinary Assessment of Theory and Research for the New Millennium*, held at the Bellagio Study and Conference Center, August 2001

2001 Conferences

Achieving Health Equity Through Interventions Against AIDS/HIV, Malaria and Tuberculosis—Tim Evans, Director, Health Equity, Rockefeller Foundation, New York, New York (November 12 to 16)

Art and Science: A Meeting Between Artists and Scientists on the Creative Process—Don Foresta, Director, Laboratoire de Langage Électronique, École Nationale Supérieure d'Arts et Métiers, Cergy, France (November 19 to 23)

Building Scientific Expertise in Support of the Biosafety Protocol—Donna Hall, Associate Director, Global Inclusion, Rockefeller Foundation, New York, New York (November 5 to 9)

Challenges of Health in a Borderless World—David D. Arnold, Executive Vice President, Institute of International Education, New York, New York, and Patty McGill Peterson, Executive Director, Center for the International Exchange of Scholars, Washington, D.C. (October 29 to November 2)

Changing Academic Workplace in International Perspective—Philip Altbach, Professor of Education, Boston College, Boston, Massachusetts (May 21 to 25)

Communication for Social Change Strategy Development Review—Denise Gray-Felder, Vice President, Administration and Communication, Rockefeller Foundation, New York, New York (August 21 to 27)

Community, Culture and Globalization—Tomas Ybarra-Frausto, Associate Director, Creativity & Culture, Rockefeller Foundation, New York, New York (May 7 to 11)

Consensus on the Use of Mifepristone to Reduce Unwanted Pregnancies and Recourse to Abortion—Helena von Hertzen, Medical Officer, World Health Organization, Geneva, Switzerland (September 24 to 28)

Democratization and the Mass Media: Comparative Perspectives From Europe and Asia—Colin Stuart Sparks, Professor of Media Studies, Centre for Communication and Information Studies, University of Westminster, Middlesex, United Kingdom (April 9 to 13)

Development of a Training Manual for Gender Mainstreaming in Health—Shelley Ross, President-elect, Medical Women's International Association, Burnaby, Canada (December 10 to 14)

Enabling Farmer Participation: New Curricula for Transforming the Innovation Paradigm—Bharati Patel, Associate Director, Food Security, Rockefeller Foundation, Nairobi, Kenya (November 12 to 16)

Examination of Issues in Evaluating Complex Social Programs—Beth Wetzman, Associate Professor, Public and Health Administration, New York University, New York, New York (August 6 to 10)

Formation of the Global Equity Gauge Alliance—Antoinette Ntuli, Program Director, Health Systems Trust, Durban, South Africa (June 25 to 29)

Foundation Executive Group—Gordon Conway, President, Rockefeller Foundation, New York, New York (April 19 to 22)

Global Inequality—Sudhir Anand, Professor of Economics, University of Oxford, Oxford, United Kingdom, and Amartya Sen, Cambridge University, Cambridge, United Kingdom (March 5 to 9)

A Global TB Drug Facility—Jacob Kumaresan, Director, Stop TB Initiative, World Health Organization, Geneva, Switzerland (February 19 to 23)

Good Citizen, Good State: What Is Progressive Politics For?—Matthew Taylor, Director, Institute for Public Policy Research, London, United Kingdom, and Gordon Conway, President, Rockefeller Foundation, New York, New York (July 5 to 8)

Gross Domestic Product vs. Quality of Life: Balancing Work and Family—Francine Moccio, Director, and Betty Friedan, Distinguished Visiting Professor, both of the Institute for Women and Work, Cornell University, New York, New York (January 29 to February 2)

Improved Management of IPR During R&D of Health Products—Ariel Pablos-Mendez, Associate Director, Health Equity, Rockefeller Foundation, New York, New York (November 5 to 9)

International Advisory Board of the InFocus Programme on Socioeconomic Security—Katherine McFate, Associate Director, Working Communities, Rockefeller Foundation, New York, New York, and Azfar Khan, International Labour Organization, Geneva, Switzerland (April 30 to May 4)

International Cross-Cultural Perspectives on Work-Force Diversity: The Inclusive Workplace—Michal E. Mor Barak, Professor and Director, Center for the Inclusive Workplace, University of Southern California, Los Angeles, California, and Ellen Ernst Kossek, Professor, School of Labor and Industrial Relations, Michigan State University, East Lansing, Michigan (July 23 to 27)

ILO Advisory Group on Socioeconomic Security—Guy Standing, Director, IFFP-SES, International Labour Office, Geneva, Switzerland (April 30 to May 4)

Malaria Diagnostic Strategies for the 21st Century—Carol J. Palmer, Professor and Director, Infectious Disease Research, College of Allied Health, Nova Southeastern University, Fort Lauderdale, Florida (June 11 to 15)

Measuring Drug Prices: A Stepping Stone to Access—Anthony D. So, Associate Director, Health Equity, Rockefeller Foundation, New York, New York (December 3 to 7)

The New Historical Syntax of Latin—Philip Baldi, Professor of Linguistics and Classics, Penn State University, University Park, Pennsylvania, and Pierluigi Cuzzolin, University of Bergamo, Bergamo, Italy (October 1 to 5)

The Nutrition Transition and Its Implications for Health in the Developing World—Barry M. Popkin, Professor, School of Public Health, University of North Carolina, Chapel Hill, North Carolina, and Carlos Monteiro, Head, Center for Epidemiology, São Paulo University, São Paulo, Brazil (August 20 to 24)

Priorities for Health Investments in Africa in the Context of Alternative Strategies for Poverty Reduction—Dyna Carol Arhin-Tenkorang, Lecturer, Health Economics and Financing Programme, London School of Hygiene and Tropical Medicine, London, United Kingdom, and Jeffrey Sachs, Director, Center for International Development, Harvard University, Cambridge, Massachusetts (February 12 to 16)

Religion and Civil Society From a Human Rights Perspective—J. Paul Martin, Executive Director, Center for the Study of Human Rights, Columbia University, New York, New York (March 12 to 16)

Rockefeller Foundation Board of Trustees—Lynda Mullen, Corporate Secretary, Rockefeller Foundation, New York, New York (September 6 to 11)

Strengthening Minority Rights Globally—Ram Manikkalingam, Associate Director, Global Inclusion, Rockefeller Foundation, New York, New York (October 15 to 19)

Toward a Global Strategy to Transform the Automobile—Hal Harvey, President, Energy Foundation, San Francisco, California, and Alan C. Lloyd, Chair, California Resources Board, Sacramento, California (June 18 to 22)

Unfinished Business: Iran, Iraq and the Aftermath of War—Gary G. Sick, Executive Director, Gulf/2000 Project, Columbia University, New York, New York (October 22 to 26)

Visual and Spatial Reasoning in Design: Computational and Cognitive Approaches—John S. Gero, Professor of Design Science, University of Sydney, Sydney, Australia, Barbara Tversky, Professor of Psychology, Stanford University, Stanford, California, and Terry Purcell, Associate Professor of Architectural and Design Science, University of Sydney, Sydney, Australia (July 16 to 20)

Women and Gender in the Middle East: A Multidisciplinary Assessment of Theory and Research—Sherifa Zuhur, Visiting Senior Fellow, Ben Gurion University of the Negev, Beer Sheva, Israel (August 27 to 31)

Working With Men to End Gender-Based Violence: An Interchange for Global Action—Ruth Finney Hayward, Former Senior Adviser, Ending Violence Against Women and Girls, UNICEF, New York, New York (October 8 to 12)

World Association of Medical Editors: Agenda for the Future—Fiona Godlee, Editorial Director for Medicine, BioMed Central, London, United Kingdom, and Suzanne W. Fletcher, Department of Ambulatory Care and Prevention, Harvard University, Cambridge, Massachusetts (January 22 to 26)

2001 Teams

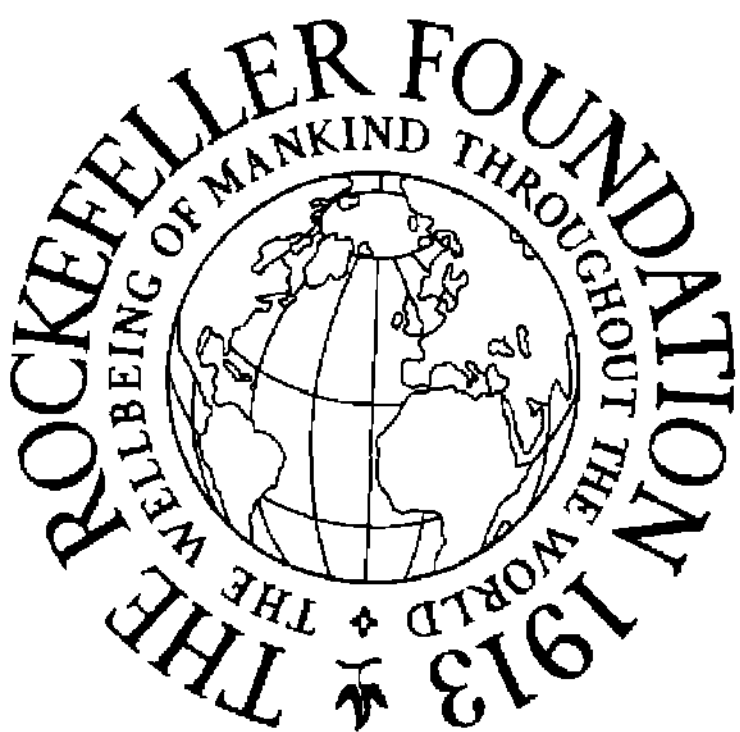
Conflicts and Potential of Integration in South Caucasus: Public and Elite Opinion—Larissa M. Lemberanskaya, Director, International Center for Social Research, Azerbaijan University, Baku, Azerbaijan (September 25 to October 8)

Domestic Water Use and Environmental Health in East Africa: Three Decades After "Drawers of Water"—John Joseph Thompson, Director, Sustainable Agriculture and Rural Livelihoods Programme, International Institute for Environment and Development, London, United Kingdom (October 11 to 20)

From Pilot Projects to Policies and Programs: Strategies for Scaling up Innovations in Health-Service Delivery—Ruth Simmons, Professor, Department of Health Behavior and Human Education, University of Michigan, Ann Arbor, Michigan (November 27 to December 5)

The Future of Neo-Latin Studies in the 21st Century—Stella Purce Revard, Professor Emerita of English, Southern Illinois University, Edwardsville, Illinois (July 9 to 13)

An International Classification for the Study of Post-Chernobyl Thyroid Cancer—Edward Dillwyn Williams, Professor, Thyroid Carcinogenesis Research Group, Stangeways Research Laboratory, Cambridge, United Kingdom (April 23 to 27)



Photograph Excised Here

Wearokiah, Fadiini, Ruslinah and Wearadnah, 17-year-old students at an Islamic boarding school, are members of southern Thailand's Muslim majority. Like young women in many places, they enjoy getting their nails done for special occasions, such as a religious feast. Often seen as Malay rather than Thai by their comparatively prosperous Buddhist neighbors, Wearokiah believes that prejudice and economic disparity will improve as younger Muslims learn to speak Thai. Each of the girls once attended public school where they felt their religious differences were respected. Born and raised in Thailand, the girls "feel connected to both their Muslim faith and their Thai heritage." Turn to P.026 → Photo Report

International Coalition of Historic Site Museums of Conscience. Forging a New Role for Historic Sites as Centers of Democracy—Ruth J. Abram, President, Lower East Side Tenement Museum, New York, New York (October 10 to 18)

An International Collaboration to Review the Effects of Interventions in Education, Crime and Justice, and Social Work and Social Welfare—Philip T. Davies, Director of Policy Evaluation, U.K. Cabinet Office, London, United Kingdom (April 2 to 6)

Manual of Epidemiology for District Health Management—Richard H. Morrow, Professor of International Health, Johns Hopkins University, Baltimore, Maryland (January 23 to February 11).

The Political Impact of Women's Movements in Africa—Alli Mari Tripp, Director Women's Studies Research Center, University of Wisconsin-Madison, Madison, Wisconsin (July 30 to August 10)

Power, Culture, Identity: Women and the Politics of Place—Wendy Harcourt, Director of Programmes, Society for International Development, Rome, Italy (March 19 to 23).

Scientific Results of the INCO Project AQUASCOPE and Action Plan to Improve the Situation for Residents of Contaminated Zones/Regions Near Chernobyl—Gregor Zibold, Professor, Fachhochschule Ravensburg-Weingarten, University of Applied Sciences, Weingarten, Germany (September 17 to 22)

Soil Fertility in Africa—Ruben Puentes, Associate Director, Food Security, Rockefeller Foundation, Mexico City, Mexico (June 4 to 8)

Women Writing Africa: West/Sahel Regional Volume—Florence Howe, Professor of English, City University of New York, New York, New York (September 19 to October 3 and November 20 to December 4)

2001 Residents

Victoria N. Alexander (United States), President, Dactyl Foundation for the Arts & Humanities, New York—New York—a series of audio recordings tracing the history of chaos through classic science, fiction, poetry and philosophical writings (with James P. Crutchfield)

Paul Alpers (United States), Professor of English, University of California, Berkeley, Berkeley, California—a manuscript, "The Renaissance Lyric in England"

Miguel A. Altieri (United States), Associate Professor, Department of Environmental Science, Policy and Management, University of California, Berkeley, Berkeley,

California—a manuscript, "Assisting the CGIAR in Designing a Natural Resource Management Strategy for Resource-Poor Farmers in Latin America"

Elizabeth R. Austin (United States), Composer, American Composers Alliance, Storrs, Connecticut—a music composition, "Sonata for Piano"

Nicola K. Belsel (United States), Associate Professor of Sociology, Northwestern University, Evanston, Illinois—a study, "Race and the Politics of Abortion in America"

Marina Benjamin (United Kingdom), Writer, San Francisco, California—a manuscript, "Space Invaded" exploring how dreams related to cyberspace have evolved directly from those of the space age

Harry Berger Jr. (United States), Professor Emeritus of Literature and Art History, University of California, Santa Cruz, Santa Cruz, California—a manuscript, "Group Dynamics: Coming Together and Coming Apart in 17th-Century Dutch Painting"

Bertina Bergmann (United States), Associate Professor of Art, Mount Holyoke College, South Hadley, Massachusetts—a manuscript, "Ancient Roman Spectacles of Landscape"

Normand Berlin (United States), Professor Emeritus of English, University of Massachusetts Amherst, Amherst, Massachusetts—a manuscript, "The Open Secret: A Discussion of Comedy"

Ana María Bidegain Greising (Uruguay), Professor of History, Universidad Nacional de Colombia, Bogotá, Colombia—a manuscript, "Liberation Theology Through Life Stories of Latin American Women" (with Michael LaRosa)

Mark Blasius (United States), Professor of Political Science, City University of New York Graduate School, New York, New York—a monograph, "Sexuality and Social Justice: Heteronormativity and the Politics of Sexuality"

Y. Michal Bodemann (Canada), Professor of Sociology, University of Toronto, Toronto, Canada—a study, "At Home in a Foreign Land? Mentalities and Modes of Accommodation in the New German Jewish Community"

John Boone (United States), Artist, Brooklyn, New York—artwork, "Expressions of Taste"

Nicholas Brooke (United States), Composer, Princeton, New Jersey—a music composition, "Tone Test"

Elizabeth Brown (United States), Composer, Brooklyn, New York—a music composition, "Concerto for Dan Bau and Chamber Orchestra"

Bruce D. Calvert (New Zealand), Senior Lecturer in Mathematics, University of Auckland, Auckland, New Zealand—a study, "Neural Networks for Classification Mathematical Modelling" (with Corneliu A. Marinov)

Jackson W. Carroll (United States), Ruth W. and A. Morris Williams Jr., Professor of Religion and Society, Duke University, Durham, North Carolina—a study, "The American Clergy" (with Wade Clark Roof)

Rita Charon (United States), Associate Professor of Clinical Medicine, Columbia University, New York, New York—a manuscript developing the conceptual frameworks and practical consequences for patients and doctors of the field of narrative medicine

Shih-Hui Chen (United States), Assistant Professor of Theory and Composition, Rice University, Houston, Texas—a music composition, "Aunt Tiger," a Taiwanese musical drama

Mary M. Childers (United States), Associate Dean of Arts & Sciences, Brandeis University, Waltham, Massachusetts—an autobiographical memoir "Growing Up on Welfare," about her family's experience in the U.S. welfare system

Carol T. Christ (United States), Executive Vice Chancellor, Provost and Professor of English, University of California, Berkeley, Berkeley, California—a study, "Memorial Likeness: Death and Representation in Victorian Literature"

Patrick Clancy (United States), Professor and Chair, Photography and New Media Department, Kansas City Art Institute, Kansas City, Missouri—a catalog to accompany an installation and traveling exhibition, "The Writing Machine"

Emilie Clark (United States), Artist, New York, New York—artwork, "Drawing Installation."

Wallace Clement (Canada), Professor of Sociology, Carleton University, Ottawa, Canada—a study, "Postindustrial Work: The Class-Gender Nexus"

Warren I. Cohen (United States), Distinguished University Professor of History, University of Maryland, Baltimore, Maryland—a manuscript, "The Coming Asianization of America: East Asia and the United States in the American Century"

Julian H. J. Cribb (Australia), Director, CSIRO National Awareness, Dickson, Australia—a manuscript, "Sharing Human Knowledge in the 21st Century" (with Endang Tjempaka Sari Hartomo)

James P. Crutchfield (United States), Research Professor, Santa Fe Institute, Santa Fe, New Mexico—a series of audio recordings tracing the history of chaos through classic science, fiction, poetry and philosophical writings (with Victoria N. Alexander)

Larry Cuban (United States), Columbia University, New York, New York—a study, "Good Schools in a Democracy"

Ann Curthoys (Australia), Manning Clark Professor of History, Australian National University, Canberra, Australia—a manuscript, "Is History Fiction?" (with John Edward Docker)

Colette Daiute (United States), Professor of Psychology, Graduate School and University Center, City University of New York, New York, New York—a manuscript, "Interpreting Youth Violence: Insights From Children's Narratives"

Adrienne Davis (United States), Professor of Law, University of North Carolina, Chapel Hill, North Carolina—an article, "'Loving' and the Law: The History and Jurisprudence of Interracial Sex"

David Del Tredici (United States), Distinguished Professor of Music, City College, City University of New York, New York, New York—a music composition commissioned by and for the Elements String Quartet

Daniel C. Dennett (United States), Distinguished Arts and Sciences Professor and Director of the Center for Cognitive Studies, Tufts University, Medford, Massachusetts—a manuscript, "Human Freedom Evolves"

Roberto Doati (Italy), Professor of Computer Music, Conservatorio "Giuseppe Tartini," Trieste, Italy—a music composition, "L'apparizione di Tre Rughe"

Nikolai Dobronravlin (Russia), Associate Professor of African Studies, St Petersburg State University, St Petersburg, Russia—a study, "Rejection and Revival: Arabic-Script Tradition in the Modern Islamic World"

John Edward Docker (Australia), Adjunct Senior Fellow, Australian National University, Canberra, Australia—a manuscript, "Is History Fiction?" (with Ann Curthoys)

Ellen Driscoll (United States), Professor of Sculpture, Rhode Island School of Design, Providence, Rhode Island—an artist's book

Peter Norman Dunn (United States), Hollis Professor of Romance Languages, Emeritus, Wesleyan University, Middletown, Connecticut—a study, "Arcadia or Cockaigne: Whose Desire Is It?" (with Myriam Yvonne Jehenson)

Robert E. Evenson (United States), Professor of Economics, Yale University, New Haven, Connecticut—a manuscript, "Food, Population and Agriculture in the Twentieth Century"

Gordon L. Fain (United States), Professor of Physiological Science, University of California, Los Angeles, Los Angeles, California—a book describing the mechanisms of sensory transduction in the various organs of the body

Andreas Faludi (Netherlands), Professor, Faculty of Policy Sciences, Department of Physical Planning, University of Nijmegen, Nijmegen, Netherlands—a manuscript on European spatial planning

Rochelle H. Feinstein (United States), Professor of Painting, Yale University, New Haven, Connecticut—artwork, "Stills, Shorts and Pictures"

Roberta Frank (United States), Douglas Tracy Smith Professor of English, Yale University, New Haven, Connecticut—a manuscript, "Old English Poetry and the North"

Allen J. Frantzen (United States), Professor of English, Loyola University, Chicago, Illinois—a study, "Bloody Good: Chivalry, Sacrifice and Heroic Masculinity From the Middle Ages to the Great War"

Magali García Ramis (United States), Professor of Journalism, University of Puerto Rico, San Juan, Puerto Rico—a novel, "Camino Matadero" ("Matadero Road")

Barbara Gillam (Australia), Professor of Psychology, University of New South Wales, Sydney, Australia—an article, "A New Approach to the Theory of Stereoscopic Depth Perception"

Alexander Gillespie (New Zealand), Senior Lecturer in Law, Waikato University, Hamilton, New Zealand—a study, "Atmospheric Protection in International Law and Policy: Success and Failure in the Climate, Ozone and Acid-Rain Regimes"

Lucy Gilson (United Kingdom), Associate Professor, University of Witwatersrand, Johannesburg, South Africa—a manuscript, "The Health Policy Tool Kit: Methods for Investigating Policy" (with Gill Walt)

Walter Goffart (United States), Senior Research Scholar and Lecturer, Yale University, New Haven, Connecticut—a monograph, "Were Germanic Federates Given Land or Stipends? The 'Techniques of Accommodation' Twenty Years Later"

Stanley E. Gontarski (United States), Sarah Herndon Professor, Florida State University, Tallahassee, Florida—a special issue of *Drammaturgia* on "Samuel Beckett: Memorable World Performances"

Erich S. Gruen (United States), Professor of History and Classics, University of California, Berkeley, Berkeley, California—a study, "Diaspora as Construct and Reality: Jewish Experience in the Second Temple"

Susan Gubar (United States), Distinguished Professor of English, Indiana University, Bloomington, Indiana—a manuscript, "Poetry After Auschwitz"

Charles R. Halpern (United States), Former President, Nathaniel Cummings Foundation, New York, New York—a manuscript, "Creativity, Service and Social Justice: An Exploration of Philanthropic Activities and Possibilities in Modern America"

Barbara Hammer (United States), Filmmaker, New York, New York—a film documentary, "Resisting Paradise"

Ian Fidencio Haney López (United States), Assistant Professor of Law, University of California, Berkeley, Berkeley, California—a manuscript, "The New Race: Legal Violence and Chicano Identity"

Andy Hargreaves (Canada), Co-Director and Professor, International Centre for Educational Change, University of Toronto, Toronto, Canada—a manuscript, "The Emotions of Teaching"

Githa Hariharan (India), Writer, Jawaharlal Nehru University, New Delhi, India—a new novel, "In Times of Siege"

Saidiya V. Hartman (United States), Associate Professor of English, University of California, Berkeley, Berkeley, California—a study of the transatlantic slave trade, "Belated Encounters on the Gold Coast"

Endang Tjempaka Sari Hartomo (Indonesia), External Communication Coordinator, Indonesian Institute of Sciences, Jakarta, Indonesia—a manuscript, "Sharing Human Knowledge in the 21st Century" (with Julian H. J. Cribb)

Jing Jing Luo Haven (United States), Adjunct Professor of Music, Ashland University, Ashland, Ohio—a music composition, "Ghost Drama," for string quartet and percussion

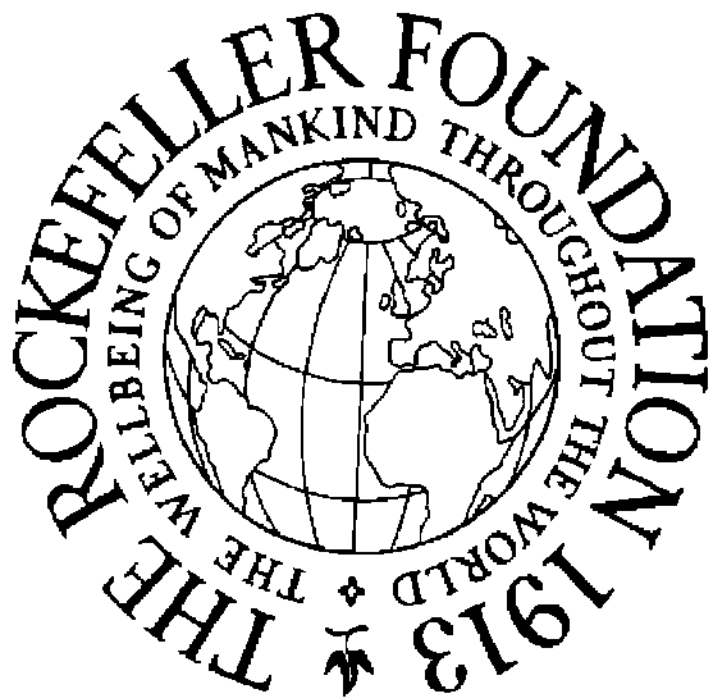
Verle E. Headings (United States), Professor of Pediatrics, Genetics and Human Genetics, Howard University College of Medicine, Washington, D.C.—two papers and a research proposal on "Decision Making About Genetic Disorders: Learning How to Live With Genetic Disabilities"

Edward Hoagland (United States), Member of the Faculty, Bennington College, Bennington, Vermont—reworking journals of African trips in 1993 and 1995 to southern Sudan

Sara Rozenblum de Horowitz (Argentina), Director, Program on Alternatives to Conflict Resolution, University of Buenos Aires, Buenos Aires, Argentina—a manuscript, "Rebonding: Finding a Way to Family Peace"

Lee Hyla (United States), Composer, New England Conservatory, Boston, Massachusetts—a music composition, "Violin Concerto"

Takahiko Iimura (Japan), Professor of Media Art, Nagoya University of Art and Design, Aichi-Ken, Japan—a new multimedia CD-ROM, "Talking to Myself: Phenomenological Operation"



Photograph Excised Here

Encouraging results with a traditional healer motivated Musigo James to become one himself. Traditional healers thrive in areas where modern health services are scarce. Since opening his own practice and being trained by THETA (Traditional and Modern Health Practitioners Against AIDS and Other Diseases), he is in great demand in his Ugandan village. → James cares for patients suffering from a variety of ailments including malaria. For Nsubuga Edward, he administers herbal preparations for anemia and balanced nutrition to shore up his AIDS-devastated system. Musigo James is now chairman of an association that promotes new knowledge and standards among healers in his part of the country.

Allen Isaacman (United States), Professor of History, University of Minnesota, Minneapolis Minnesota—a study, 'Slaves, Soldiers and the Construction of Ethnic Identity: The Chikunda of South-Central Africa, 1650–1920'

Fumihiko Ishiyama (Japan), Professor of Law, Daito Bunka University, Tokyo, Japan—a study, 'Toward the Reconstruction of Liberalism in the Postmodern Age: A Japanese Perspective' (with Yasutomo Morigiwa)

Myriam Yvonne Jehenson (United States), Professor of Comparative Literature, University of Hartford, West Hartford, Connecticut—a study, 'Arcadia or Cockaigne: Whose Desire Is It?' (with Peter Norman Dunn)

Jeffrey A. Johnson (United States), Associate Professor of History, Villanova University, Villanova, Pennsylvania—a manuscript, 'The Great War and Modern Chemistry: The Chemists' War as a Technological System, 1914–1920' (with Roy M. MacLeod)

John R. W. D. Jones (United Kingdom), Barrister, White & Case, Paris, France—a manuscript, 'The Practice of the International Criminal Tribunal for the Former Yugoslavia and Rwanda'

Anthony M. Juan Jr. (Philippines), Professor of Theatre Arts, University of the Philippines, Quezon City, Philippines—a screenplay, 'Paris Umbilicus'

Miles Kahler (United States), Rohr Professor of Pacific International Relations, University of California, San Diego, La Jolla, California—a study, 'The Nation-State and Its Alternatives'

Darra Keeton (United States), Assistant Professor of Drawing and Painting, Rice University, Houston, Texas—artwork, 'Axon: Emotional Nature/Drawings and Paintings'

Evelyn Fox Keller (United States), Professor of History and Philosophy of Science, Massachusetts Institute of Technology, Cambridge, Massachusetts—a manuscript, 'Making Sense of Life: Explaining Biological Development With Models, Metaphors and Machines'

Nuala P. Kenny (Canada), Professor of Bioethics, Dalhousie University, Halifax, Canada—a study, 'Role Modeling in the Value, Attitude and Character Formation of Physicians'

Amos Lapidot (Israel), Professor, Swiss Federal Institute of Technology, Zurich, Switzerland—a textbook on the fundamentals of communication and information systems and a paper on the use of multiple antennae in wireless communications

Michael LaRosa (United States), Assistant Professor of History, Rhodes College, Memphis, Tennessee—a manuscript, 'Liberation Theology Through Life Stories of Latin American Women' (with Ana Maria Bidegain Greising)

Anne LeBaron (United States), Assistant Professor of Music, California Institute of the Arts, Valencia, California—a music composition, 'The Vacuum Cleaner: An Absurdist Opera'

Frank Levy (United States), Daniel Rose Professor of Urban Economics, Massachusetts Institute of Technology, Cambridge, Massachusetts—a study, 'What's Left for People to Do? Good Work in the Computerized World'

Edna Lomsky-Feder (Israel), Lecturer in Education, Hebrew University, Jerusalem, Israel—a manuscript, 'Immigration, Narration and Location' (with Tamar Rapoport)

Beverly Lowry (United States), Associate Professor of English, George Mason University, Fairfax, Virginia—a manuscript, 'Shh, Don't Tell: The Building of a Secret Town,' about the creation of Oak Ridge, Tennessee

Pawel Luków (Poland), Associate Professor of Philosophy, Warsaw University, Warsaw, Poland—a study, 'The Practice of Public Reason'

Roy M. MacLeod (Australia), Professor of History, University of Sydney, Sydney, Australia—a manuscript, 'The Great War and Modern Chemistry: The Chemists' War as a Technological System, 1914–1920' (with Jeffrey A. Johnson)

João Magueljo (Portugal), University Lecturer, Imperial College, London, United Kingdom—a manuscript, 'Faster Than Light: The Biography of a Speculation'

Philippe Maniere (France), Editor in Chief, l'Expansion magazine, Paris, France—a manuscript, 'The Confusion of Interests: Democratic Differences and Differences Among Democracies'

Corneliu A. Marinov (Romania), Professor of Electrical Engineering, Polytechnic University, Bucharest, Romania—a study, 'Neural Networks for Classification: Mathematical Modelling' (with Bruce D. Calvert)

David James Maxwell (United Kingdom), Lecturer in International History, Keele University, Keele, United Kingdom—a manuscript, 'African Gifts of the Spirit: Pentecostalism and the Rise of a Zimbabwean Transnational Religious Movement'

Joyce Mary McDonough (United States), Assistant Professor, Department of Linguistics, University of Rochester, Rochester, New York—a monograph, 'The Phonetic Structure of Navajo'

Cesar McDowell (United States), Associate Professor of the Practice of Community Development, Massachusetts Institute of Technology, Cambridge, Massachusetts—a multimedia portfolio, 'Expression of Self, Community and Culture' (with Wendy J. Richmond)

Michael H. Merson (United States), Dean of Public Health, Yale University, New Haven, Connecticut—a manuscript, "The AIDS Pandemic Mobilizing the Global Response"

Rodica Mihaila (Romania), Director, American Studies Center, University of Bucharest, Bucharest, Romania—a study, "Postmodern Versions of America A Turn-of-the-Century Mapping of American Studies"

Yasutomo Morigiwa (Japan), Professor, Graduate School of Law, Nagoya University, Nagoya, Japan—a study, "Toward the Reconstruction of Liberalism in the Post-Modern Age A Japanese Perspective" (with Fumihiko Ishiyama)

Jerry Z. Muller (United States), Professor of History, Catholic University of America, Washington, D.C.—a manuscript, "The Mind and the Market Capitalism in Modern European Thought"

Wolfgang C. Müller (Austria), Professor of Government, University of Vienna, Vienna, Austria—a manuscript, "Coalition Governance in Parliamentary Democracies" (with Kaare Strom)

Miklos Muranyi (Germany), University of Bonn, Bonn, Germany—a study, "Early Islamic Law in Written Transmissions"

Archan Naksorn (Thailand), Instructor/Designer, Faculty of Fine and Applied Arts, Thammasat University, Bangkok, Thailand—collaborative research and development in artistic and industrial design for Thai industry (with Jakkai Siributr)

Michael Nauenberg (United States), Professor of Physics Emeritus, University of California, Santa Cruz, Santa Cruz, California—a study, "Inequalities in Lunar Motion From Ptolemy to Newton"

George W. E. Nickelsburg (United States), Professor of Religion, University of Iowa, Iowa City, Iowa—a manuscript, "Refocusing the Images Paradigm Shifts in the Study of Early Judaism and Early Christianity"

Graham Nuthall (New Zealand), Professor of Education, University of Canterbury, Christchurch, New Zealand—a book on how classroom experience shapes the minds of students

Jill Nuthall (New Zealand), Community Relations Manager, Health Funding Authority, Christchurch, New Zealand—a text for those training for or undertaking governance, management, policy or planning roles in health and social services

Edith Scheinkerman de Obschatko (Argentina), Chief, Research on Agrifood Sector, Inter-American Institute for Cooperation on Agriculture, Buenos Aires, Argentina—a study, "Agriculture and Agribusiness in Argentina Competitiveness and Contribution to Economic Growth in the Period 1970–2000"

Dalia Ofer (Israel), Max and Rita Haber Professor of Holocaust Studies, Hebrew University, Jerusalem, Israel—a study, "The Individual and the Collective in East European Ghettos During the Holocaust"

Tanure Ojaide (Nigeria), Professor, University of North Carolina, Charlotte, North Carolina—a series of poems on self, myth and ethnic historical consciousness

Daphne J. Osborne (United Kingdom), Professor, Open University, Oxford, United Kingdom—a manuscript, "Seed Quality and Seedling Vigor New Prospects by Molecular Screening" (with Swati Sen-Mandi)

Jeffery Paine (United States), Writer, Washington, D.C.—a manuscript, "Twenty-First Century Religion Tibetan Buddhism in the West"

Manuel Pastor Jr. (United States), Chair, Latin American and Latino Studies, University of California, Santa Cruz, Santa Cruz, California—a manuscript, "Beyond the Market Politics, Reform and Crisis in Mexico" (with Carol Wise)

David F. Pears (United Kingdom), Emeritus Professor of Philosophy, Christ Church, Oxford, United Kingdom—a study, "Wittgenstein's Standpoint An Examination of the Assumptions and Main Themes of His Later Philosophy"

Phongpaichit Pasuk (Thailand), Professor of Economics, Chulalongkorn University, Bangkok, Thailand—a study, "Social Movements in Thailand," and revision of a book "Thailand Economy and Politics"

Mary Bray Pipher (United States), Writer, Lincoln, Nebraska—a manuscript, "The Middle of Everywhere The Search for Identity in the Global Village"

Stanley Plumly (United States), Distinguished University Professor, University of Maryland, College Park, Maryland—a collection of new poems

Gerald J. Postema (United States), Cary C. Boshamer Distinguished Professor of Philosophy, University of North Carolina, Chapel Hill, North Carolina—a study, "A Common Sense Interest Hume on the Foundations of Justice"

Tamar Rapoport (Israel), Associate Professor of Education, Hebrew University, Jerusalem, Israel—a manuscript, "Immigration, Narration and Location" (with Edna Lomsky-Feder)

Isolina Ricci (United States), Assistant Director, Center for Families, Children & the Courts, Judicial Council of California, San Francisco, California—a monograph, "Learning From 20 Years of Child-Custody Mediation in California Family Courts A Guide for Decision Makers"

James T. Richardson (United States), Professor of Sociology, University of Nevada, Reno, Nevada—a study, "Social Control of Minority Religions in Selected European and Former Communist Countries"

Wendy J. Richmond (United States), Lecturer on Education, Harvard Graduate School of Education, Cambridge, Massachusetts—a multimedia portfolio, "Expression of Self, Community and Culture" (with Ceasar McDowell)

Wade Clark Roof (United States), J. F. Rowley Professor of Religion and Society, University of California, Santa Barbara, Santa Barbara, California—a study, "The American Clergy" (with Jackson W. Carroll)

David J. Rothman (United States), Bernard Schoenberg Professor of Social Medicine, Columbia University, New York, New York—a manuscript, "Redesigning the Self The Promise and Perils of Enhancement Technologies" (with Sheila M. Rothman)

Sheila M. Rothman (United States), Professor of Public Health, Columbia University, New York, New York—a manuscript, "Redesigning the Self The Promise and Perils of Enhancement Technologies" (with David J. Rothman)

Mario Sagradini (Italy), Artist, Montevideo, Uruguay—artwork "Collecting Fragments"

Stephen Sandy (United States), Member of the Literature Faculty, Bennington College, Bennington, Vermont—a book-length poem, "Surface Impressions"

Helen Halpin Schaffner (United States), Professor of Health Policy, University of California, Berkeley, Berkeley, California—a manuscript, "Integrating Disease Prevention into the U.S. Health-Care System Lessons for the 21st Century"

Klaus R. Scherpe (Germany), Professor of German and Cultural Studies, Humboldt University, Berlin, Germany—a manuscript, "Poetics of Description in Ethnographic Texts"

Mira Schor (United States), Artist and Faculty Member, Parsons School of Design, New York, New York—essays and artwork "Trace"

Peter H. Schuck (United States), Simeon E. Baldwin Professor of Law, Yale University, New Haven, Connecticut—a book-length study of diversity in America and the ways in which law defines, measures, regulates, promotes and discourages it

Gino Segrè (United States), Professor of Physics and Astronomy, University of Pennsylvania, Philadelphia, Pennsylvania—a book on temperature

Edward Seidman (United States), Professor of Psychology, New York University, New York, New York—a manuscript, "Risky School Transitions, Engagement and Educational Reform"

Swati Sen-Mandi (India), Professor of Botany, Bose Institute, Calcutta, India—a manuscript, "Seed Quality and Seedling Vigor New Prospects by Molecular Screening" (with Daphne J. Osborne)

Dilli Devi Shakya (Nepal), Professor of Botany, Tribhuvan University, Kirtipur, Nepal—a study, "Black Rot of Crucifers in Nepal"

Gary Shapiro (United States), Professor of Philosophy and Tucker-Boatwright Professor in the Humanities, University of Richmond, Richmond, Virginia—a study, "The Absent Image Possibilities and Limits of Ekphrasis"

Kathleen Sikkema (United States), Associate Professor of Psychiatry, Yale University, New Haven, Connecticut—a study, "Behavioral Outcomes in HIV-Prevention Interventions"

Harold Silver (United Kingdom), Visiting Professor of Higher Education, University of Plymouth, Plymouth, United Kingdom—a manuscript, "Opinion Making in Higher Education"

Jakkai Siributr (Thailand), Lecturer, Thammasat University, Bangkok, Thailand—collaborative research and development in artistic and industrial design for Thai industry (with Archan Naksorn)

Ethel Morgan Smith (United States), Associate Professor of English, West Virginia University, Morgantown, West Virginia—a work of creative nonfiction, "Global Grace in a Fractured Land Memoirs of an African-American in Germany"

Alfred Souza (United States), Professor of Art, University of Houston, Houston, Texas—artwork, "Common Materials Used in Uncommon Ways"

Susan Squier (United States), Julia Gregg Brill Professor of Women's Studies and English, Penn State University, University Park, Pennsylvania—a study, "Liminal Lives Replotting the Human"

Charles F. Stevens (United States), Professor and Howard Hughes Medical Institute Investigator, Salk Institute for Biological Studies, La Jolla, California—a study, "The Role of Brain Mechanisms in Determining the Formal Elements of Art"

Jane R. Stevens (United States), Associate Professor of Music, University of California, San Diego, La Jolla, California—a study, "The Concept of Character and Its Importance for Arts Criticism in the 18th Century"

Kaare Strom (Norway), Professor of Political Science, University of California, San Diego, La Jolla, California—a manuscript, "Coalition Governance in Parliamentary Democracies" (with Wolfgang C. Müller)

Nelly P. Stromquist (United States), Professor of International Development Education, University of Southern California, Los Angeles, California—a study, "Contributions to Gender Social Policies and Action by Civil Society"

Alan M. Taylor (United States), Associate Professor of Economics, University of California, Davis, California—a manuscript, "Evolution of the World Economy"

Darane Thavinpatkul (Thailand), Associate Professor, Faculty of Architecture, Chulalongkorn University, Bangkok, Thailand—a study, "Rural Migrants' Association Pattern in the Process of Adjustment to Urban Life in Bangkok Metropolis"

Pierre Thilloy (France), Composer, Metz, France—a music composition, "String Quartet No. 5"

Denyse Thomasos (Canada), Assistant Professor, Department of Visual and Performing Art, Rutgers University, New Brunswick, New Jersey—artwork, "South Africa in Transition and Translation"

Nancy Bernkopf Tucker (United States), Professor of History, Georgetown University, Washington, D.C.—a manuscript, "Rethinking U.S.-Taiwan Relations: A Reassessment Based on Understanding the Past"

France Winddance Twine (United States), Associate Professor of Sociology, University of California, Santa Barbara, Santa Barbara, California—a study, "Bearing Blackness in Britain: Transgressive Women, Trans-racial Mothers"

Krishna Baldev Vald (India), Professor of English (retired), State University of New York, Potsdam, New York, currently from New Delhi, India—a novel in Hindi, "The Final Fulminations of an Unsuccessful Suicide"

António Pinho Vargas (Portugal), Composer, Lisbon, Portugal—a music composition, "O Acto de Escrever (The Act of Writing)"

Aninhall R. Vasavi (India), Fellow, National Institute of Advanced Studies, Bangalore, India—a study, "Exclusion, Elimination and Opportunity: Schools and Schooling as Windows to Indian Security"

Vern R. Walker (United States), Professor of Law, Hofstra University, Hempstead, New York—a study, "Comparing Decisions About Risk-Taking: Relevant Factors, Consistency and Justifiability Under International Trade Agreements"

Elizabeth Arquin Walker (United States), Associate Professor of Epidemiology and Social Medicine, Albert Einstein College of Medicine, Bronx, New York—a study, "Comparing Decisions About Risk-Taking: Relevant Factors for Promoting Self-Management in Chronic Disease"

Gill Walt (United Kingdom), Reader in Health Policy, London School of Hygiene and Tropical Medicine, London, United Kingdom—a manuscript, "The Health Policy Tool Kit: Methods for Investigating Policy" (with Lucy Gilson)

Pamela Barnhouse Walters (United States), James H. Rudy Professor of Sociology, Indiana University, Bloomington, Indiana—a study, "Public Schools and Private Action: Securing Educational Rights"

Wang Rui (China), Senior Research Fellow, China Film Art Research Center and China Film Archive, Beijing, China—a comparative study of Chinese and American film

Claire M. Waters (United States), Assistant Professor of English, University of California, Davis, Davis, California—a manuscript, "Doctrine Embodied: Gender, Authority and Performance in Late-Medieval Preaching"

Stephen Fraser Tennant Watson (South Africa), Professor of English, University of Cape Town, Cape Town, South Africa—a manuscript translating to verse from oral narratives of the /Xam Bushmen, South Africa's "first" people

Glenn R. Wilkinson (Canada), Writer, Calgary, Alberta, Canada—a manuscript, "Images of War: Edwardian Newspapers, British Culture and the Origins of the First World War"

Jon Winet (United States), Adjunct Professor, California College of Arts and Crafts, Berkeley, California—a multimedia narrative documentary, "Capital Development"

Carol Wise (United States), Assistant Professor, School of Advanced International Studies, Johns Hopkins University, Baltimore, Maryland—a manuscript, "Beyond the Market: Politics, Reform and Crisis in Mexico" (with Manuel Pastor)

Eric Zencey (United States), Writer, East Calais, Vermont—a novel, "Fortune's Lap"

Ziony Zevit (United States), Professor of Biblical Literature and Northwest Semitic Languages, University of Judaism, Los Angeles, California—a manuscript, "The Garden Story in Contexts"

Communication for Social Change

Building the Field

British Broadcasting Corporation, London, United Kingdom \$100,000 for use by its World Service to support the preparation for U.S. public-radio broadcast of the series, "The Story of Africa," and the production of CD and cassette sets for educational distribution in the United States and Africa

Forum for African Women Educationalists, Ghana Chapter, Cantonments, Accra, Ghana \$90,000 to support the FAWE, Ghana Chapter, Radio Broadcasting Project

Koahnic Broadcast Corporation, Anchorage, Alaska \$100,000 to support the development and distribution of Native American-produced programming for public radio

Lawyers Committee for Human Rights, New York, New York \$90,000 to support education and training programs in the use of video and other communication technologies by its project, Witness, for the Witness partner groups

Population Communications International, New York, New York \$100,000 toward the costs of broadcast projects in Kenya and Tanzania, emphasizing HIV/AIDS prevention and education

WorldSpace Foundation, Washington, D.C. \$250,000 to support an expansion of radio programming on its Africa Learning Channel and the distribution of radio receivers in Africa

Zimbabwe AIDS Prevention and Support Organisation, Harare, Zimbabwe \$15,000 to test, in a field setting, communication processes aimed at building the capacity of, and empowering, rural Zimbabwean youths to advocate on their own behalf against risky behaviors which can lead to the transmission of HIV

Developing Methodology

African Medical and Research Foundation, Nairobi, Kenya \$198,538 toward the costs of its AfriAya network, which contributes to health and social development in Africa through health-knowledge management and communication (\$100,000 from Health Equity)

Foundation-administered project: \$300,000 toward the costs of a review of communication for social change strategy development, held at the Bellagio Study and Conference Center, August 2001, and follow-up activities

Foundation-administered project: \$66,462 to support research, development and analysis of the communication for social-change process and outcome indicators

United Nations Population Fund, New York, New York \$50,000 to support the 2001 Roundtable on Development Communication, to be held in Nicaragua

Evaluating Impacts

Imaginario, Bogotá, Colombia \$100,000 toward the costs of developing the Communication Initiative Latin America, a network—including Web database, listserv and electronic magazines—on communication for sustainable development

International Center for Global Communications Foundation, New York, New York \$50,000 to support "Speak Up Young Africa," a documentary on how communities and youths developed their own solutions to the problem of the AIDS pandemic in five African nations

Sound Portraits Productions, New York, New York \$15,000 toward the costs of production, in conjunction with WNYC Radio, of "Execution Tapes," a one-hour public-radio special based on audio recordings of electrocutions in Georgia

Discretionary Funds

ActionAid, London, United Kingdom \$50,000 for publication and distribution of a book entitled, "A Broken Landscape," documenting how individuals, families and communities in Malawi, South Africa, Tanzania, Uganda, Zambia and Zimbabwe are responding to the AIDS epidemic

Asia Society, New York, New York \$95,000 toward the costs of an independent task force on India and South Asia, undertaken in collaboration with the Council on Foreign Relations, and an examination of the extent to which diaspora Indian philanthropy can become a source of significant funding for an Indian/global microfinance initiative

Charities Aid Foundation, West Malling, United Kingdom \$91,720 for a project organized by its New Delhi office concerning new mechanisms to encourage Indians living in the United States to assist charitable organizations within India that work for social and economic development

Christian Commission for Development in Bangladesh, Dhaka, Bangladesh \$15,000 toward the costs of completing a study on development in Bangladesh

Columbia University, New York, New York \$274,710 for a series of international roundtables held by its Center on International Organization to monitor, assess and report on progress related to the United Nations' Millennium Declaration targets

International Maize and Wheat Improvement Center, Mexico City, Mexico \$15,000 to enable developing-country scientists to attend an international conference in Costa Rica, on the impacts of agricultural research and development on agricultural productivity, equity, poverty, health and the environment, to be held in February 2002

Iowa Public Television, Johnston, Iowa \$100,000 toward the costs of producing a documentary on the life of Henry A. Wallace, an agricultural scientist and statesman, who was instrumental in encouraging American agricultural science to assist developing countries

London School of Economics and Political Science, University of London, London, United Kingdom \$76,380 for a study on global governance and the reconfiguration of political authority

Makerere University, Kampala, Uganda \$100,000 in the form of two sculptures by F X Nnaggenda, "Flute Player" and "Nile King," donated to the Margaret Trowell School of Industrial and Fine Arts

National Institute of Public Health, Mexico, Cuernavaca, Mexico \$83,650 toward the costs of a meeting on Pan-American health in the 21st Century, held in Cancun, Mexico, December 2001

New York University, New York, New York \$25,485 for roundtable discussions organized by the Center for the Study of International Organization on developing a road map for achieving the targets enunciated in the United Nations' Millennium Declaration

Philanthropic Collaborative, Inc., New York, New York \$50,000 in support of the start-up phase of an initiative to analyze funding patterns by U.S. philanthropies to international organizations and to create a framework for development of an informational database

Solar Development Foundation, Arlington, Virginia \$1,055,000 toward the costs of operating a public/private partnership aimed at promoting access of poor rural communities in developing countries to affordable solar photovoltaic energy services through the strengthening of service providers

Tuskegee University, Tuskegee, Alabama \$55,000 for a workshop, in collaboration with the University of California, Davis, on how structural transformation, the demographic transition and AIDS have affected rural development and economic performance in sub-Saharan Africa, to prepare for an African/African-American summit meeting in Nigeria

United Nations, New York, New York \$30,000 toward the cost of convening a meeting of academic experts, leaders of nongovernmental organizations and policy journalists to advise the Secretary-General on priority issues for his second term

World Affairs Council of Northern California, San Francisco, California \$25,000 for use by its Global Philanthropy Forum toward the cost of a conference on giving without borders, to be held at Stanford University, March 2002

Global Philanthropy

Next Generation Leadership (NGL)

David Arizmendi, McAllen, Texas \$24,000 to enable him to participate in the four modules of the Next Generation Leadership program

Asian Improv Arts, San Francisco, California \$50,000 toward the costs of developing a curriculum for use with middle-school students that uses the stories of everyday people and the songs of the community to build cross-cultural understanding and tolerance

Diana MTK Autin, Montclair, New Jersey \$24,000 to enable her to participate in the four modules of the Next Generation Leadership program

Deirdre Lynn Bailey, Philadelphia, Pennsylvania \$24,000 to enable her to participate in the four modules of the Next Generation Leadership program

Gwenn A. Baldwin, Los Angeles, California \$24,000 to enable her to participate in the four modules for the Next Generation Leadership program

Andrea G. Black, Florence, Arizona \$24,000 to enable her to participate in the four modules of the Next Generation Leadership program

JoAnn K. Chase, Washington, D.C. \$24,000 to enable her to participate in the four modules of the Next Generation Leadership program

Children of the World, Poway, California \$50,000 toward the costs of creating in Newark, New Jersey, and replicating at sites in Mississippi, Yugoslavia and Kenya a model Mandela Freedom Garden as a place to build family-based care for vulnerable children

Coalition for Humane Immigrant Rights of Los Angeles, Los Angeles, California \$45,000 toward the costs of its Day Laborer Network Alternative Media Project, aimed at empowering the community of day laborers and their advocates to educate and engage the public on day-laborer issues in the context of contemporary democracy in the United States

Coleman Advocates for Children and Youth, San Francisco, California \$50,000 to develop regional networks of diverse leaders to strengthen civic participation and democracy, based on the model of the Next Generation Leadership program in the San Francisco Bay Area, the Washington, D.C. metro region, and the Twin Cities in the Midwest

Raymond A. Colmenar, Oakland, California \$24,000 to enable him to participate in the four modules of the Next Generation Leadership program

Alfredie H. Davis, Berea, Kentucky \$24,000 to enable him to participate in the four modules of the Next Generation Leadership program

Foundation-administered project: \$350,000 for the costs of continuing the Next Generation Leadership program

Moises Gonzales, Espanola, New Mexico \$24,000 to enable him to participate in the four modules of the Next Generation Leadership program

Mark W. Griffith, Brooklyn, New York \$24,000 to enable him to participate in the four modules of the Next Generation Leadership program

Evie Hantzopoulos, Astoria, New York \$24,000 to enable her to participate in the four modules of the Next Generation Leadership program

Julie H. Horowitz, New York, New York \$24,000 to enable her to participate in the four modules of the Next Generation Leadership program

Matthew Klein, Brooklyn, New York \$24,000 to enable him to participate in the four modules of the Next Generation Leadership program

Son Minh Le, Oakland, California \$24,000 to enable him to participate in the four modules for the Next Generation Leadership program

Jane C. Leu, Sausalito, California \$24,000 to enable her to participate in the four modules of the Next Generation Leadership program

Neighborhood Economic Development Advocacy Project, New York, New York \$45,000 to establish, in partnership with the Carvajal Foundation and the Inter-American Coalition for the Prevention of Violence, a network of organizations throughout the Americas that will seek innovative ways to work with youth at the margins of society to promote violence prevention, youth-leadership development and participating democracy

Zar Ni, Berkeley, California \$24,000 to enable him to participate in the four modules of the Next Generation Leadership program

Rudolph W. Nickens Jr., St. Louis, Missouri \$24,000 to enable him to participate in the four modules of the Next Generation Leadership program

Shaun Paul, Somerville, Massachusetts \$24,000 to enable him to participate in the four modules of the Next Generation Leadership program

Janet L. Perkins, Little Rock, Arkansas \$24,000 to enable her to participate in the four modules of the Next Generation Leadership program

Renee M. Saucedo, San Francisco, California \$24,000 to enable her to participate in the four modules of the Next Generation Leadership program

Jon A. Stout, Boulder, Colorado \$24,000 to enable him to participate in the four modules of the Next Generation Leadership program

Alvin H. Warren, Espanola, New Mexico \$24,000 to enable him to participate in the four modules of the Next Generation Leadership program

Joseph Youngblood II, Philadelphia, Pennsylvania \$24,000 to enable him to participate in the four modules of the Next Generation Leadership program

The Philanthropy Workshop (TPW)

Foundation-administered project: \$225,000 for the program costs of The Philanthropy Workshop

Foundation-administered project: \$100,000 for costs associated with the planning phase of The Philanthropy Workshop-West (TPW-W), a new program to be conducted collaboratively among the Rockefeller, Hewlett and TOSA Foundations beginning in 2002

Philanthropy and Equity

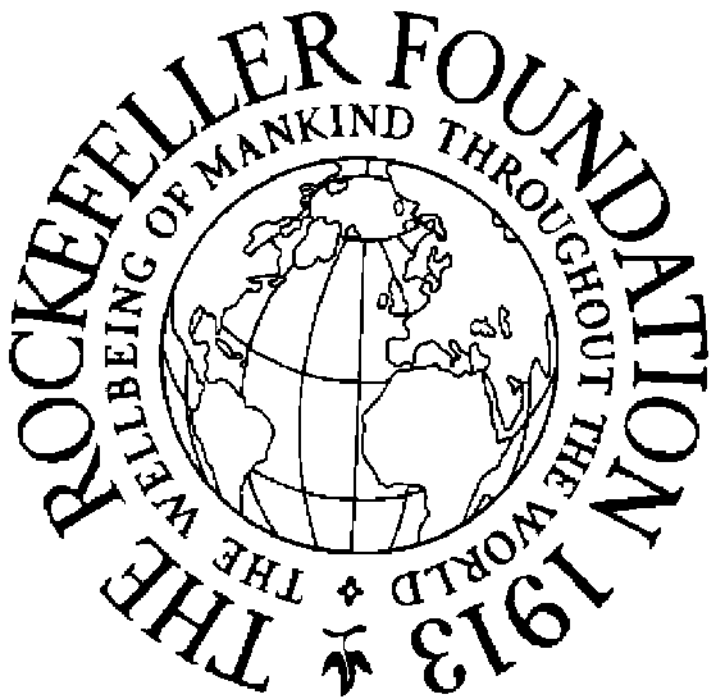
Harvard University, Cambridge, Massachusetts \$445,000 to plan a network on global inequality and a program for strengthening the impact of global philanthropy on poverty, inequality and human insecurity worldwide

JSI Research & Training Institute, Inc., Boston, Massachusetts \$54,000 to plan a network on global inequality and a program for strengthening the impact of global philanthropy on poverty, inequality and human insecurity worldwide

Other

Acumen Fund, New York, New York \$1,783,560 for general support of its mission to link new philanthropists to investment strategies that seek solutions to global problems which ultimately will help to improve the lives and livelihoods of the poor and excluded

Foundation-administered project: \$100,000 for administrative expenses of the GivingWell project, to expand and improve the way effective change strategies around the world are supported by creating innovative networks of new philanthropists, nonprofit organizations and thought leaders that fully utilize the power of communications technologies



Photograph Excised Here

Nalukenge Lydia, 15 years old, believes in fighting for her education. Lydia's grandmother does not agree and planned to marry her off when she was 13. Resisting marriage, Lydia wrote to her mother on Kalangala Island for help. Her mother, who is educated, came for her but the grandmother would not let Lydia go. Finally, Lydia's aunt succeeded in rescuing her. → Now boarding at the local secondary school, Lydia is doing very well academically and her mother is proud. Lydia wants to be a lawyer. She is a strong advocate for herself and one day plans to advocate for others. Turn to P.044 → Photo Report

Private/Public Partnerships

Silicon Valley Community Ventures (SVCV), San Francisco, California \$500,000 program-related investment to support efforts to attract and channel institutional investment into private companies that will provide economic opportunities jobs role models and on-the-job training in low-income and disadvantaged communities in the San Francisco Bay Area

Smithsonian Institution, Washington, D.C. \$500,000 program-related investment for the pilot phase of Smithsonian WorldMusicNet.com, a project to promote musical and cultural heritages around the world through the use of digital technologies

Other Regional Activities

Bangladesh Rural Advancement Committee, Dhaka, Bangladesh \$250,000 for general support

Japan Center for International Exchange, Tokyo, Japan \$300,000 toward the costs of launching a global commission on human security

Population and Community Development Association, Bangkok, Thailand \$45,000 for general support

Response to September 11 Attacks

To demonstrate its commitment to recovery efforts following the September 11 attacks on the World Trade Center and the Pentagon the Foundation made the following one-time grants

Arab-American Family Support Center, Brooklyn, New York \$250,000 in support of activities to respond to the impact of the September 11 World Trade Center disaster on New York's Arab-American community

Asian American Legal Defense and Education Fund, New York, New York \$200,000 for support of its emergency programs to address anti-Asian backlash following the September 11 World Trade Center disaster

Foundation-administered project: \$59,900 for the purchase of emergency supplies as part of the Foundation's response to the World Trade Center disaster

Institute on Taxation and Economic Policy, Washington, D.C. \$50,000 in support of its Good Jobs New York project to launch Reconstruction Watch which will monitor reconstruction and economic-development projects that emerge as a result of the

September 11 attack on the World Trade Center, in order to promote a fair and effective use of economic-development resources in the city's rebuilding effort

Islamic Circle of North America, Jamaica, New York \$100,000 toward the costs of providing legal and family support services to Arab-Americans and other Muslims detained in the wake of the September 11 World Trade Center attack

Lewisporte Middle School, Lewisporte, Canada \$52,500 to support its computer laboratory with upgraded hardware and network connections

National Employment Law Project, New York, New York \$223,792 in support of efforts to coordinate volunteered legal services available in the aftermath of the September 11 World Trade Center disaster to ensure that low-wage workers and immigrants have access to these services

New York Foundation for the Arts, New York, New York \$350,000 for support of its Arts Rebuilding Initiative, which will provide expertise and advocacy on behalf of artists and arts organizations adversely affected by the September 11 World Trade Center disaster

New York Foundation, New York, New York \$500,000 in support of its Immigrant Access Project, which will provide funds to community-based organizations in immigrant neighborhoods to strengthen staff capacity to help immigrants access benefits and services available after the September 11 World Trade Center disaster

Philadelphia Tabernacle Pentecostal Church, Lewisporte, Canada \$15,000 to support the church's ability to provide emergency services, such as those provided to stranded international travelers following the September 11 attack on the World Trade Center in New York

SEIU Education and Support Fund, Washington, D.C. \$500,000 in support of a multilanguage outreach and information-dissemination campaign on benefit access targeted at low-wage and immigrant workers affected by the September 11 World Trade Center disaster

South Asian Youth Action, Elmhurst, New York \$200,000 in support of its Peace and Unity Initiative in response to the September 11 World Trade Center disaster, and its organizational development activities

The year 2001 will long be remembered as one in which the ability of long-term investors to "stay the course" was challenged on numerous fronts, all compounded by the shocking terrorist attacks on New York and Washington, D.C. The events of September 11 destroyed any chance of the United States avoiding a recession following the longest period of prosperity in its history. The U.S. equity markets experienced a second year of double-digit declines, and all major world economies were simultaneously in a recession for the first time since 1973-74. U.S. equities, as measured by the Russell 3000 index, declined 11.5 percent, and developed international markets, as measured by the EAFE index, declined 21.4 percent.

The Rockefeller Foundation's portfolio, which declined 6.7 percent for the year, benefited from its broad diversification and, particularly from an average 24 percent exposure to bonds and its commitment to real estate. The portfolio's return for the five-year period ending in 2001 averaged 8.8 percent. In the equity portfolio, strong active management and commitments to value managers provided some protection. The chart below illustrates the benefits of diversification as equity and fixed income alternated in generating returns from quarter to quarter during 2001.

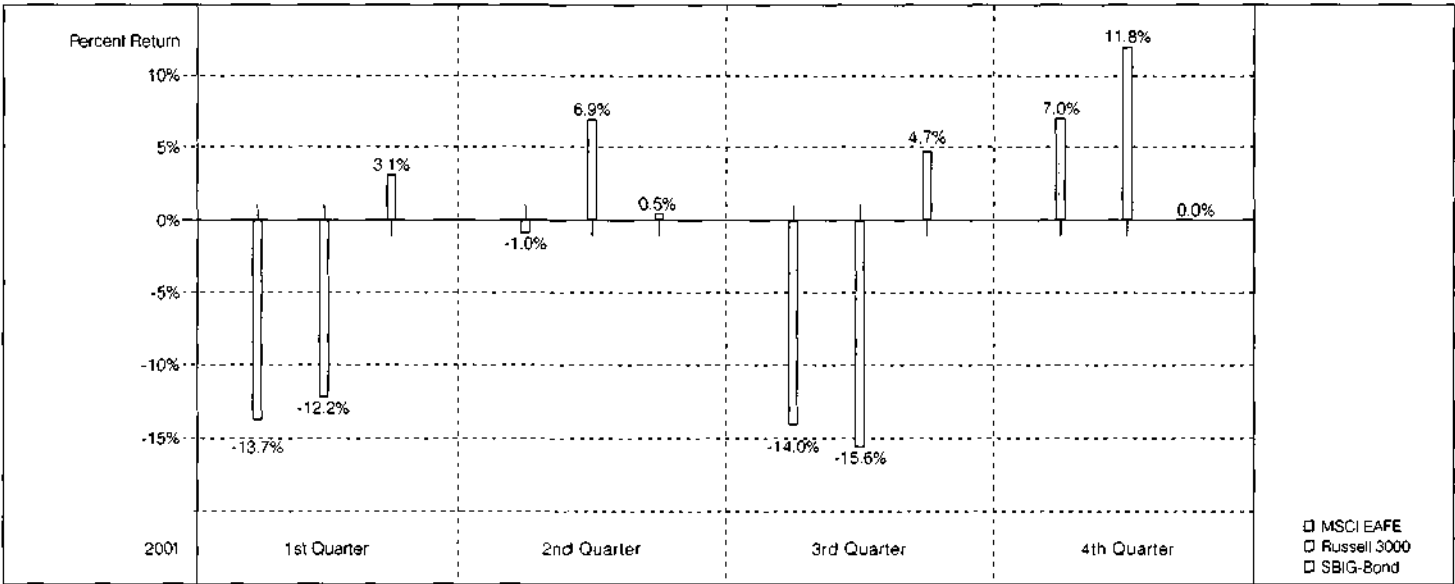
While the overall U.S. equity market declined 11.5 percent, there was significant divergence in the performance of various sectors of the market. The NASDAQ index of technology stocks declined 20.8 percent, while small and mid-sized value stocks, as measured by the Russell 2000 Value index, generated a positive return of 14.0 percent. The performance of growth versus value stocks shifted several times

during the year, but for the year overall value stocks continued the leadership begun in 2000 as shown in the chart below.

	1999	2000	2001
Russell 3000 Value	6.7 %	8.1 %	-4.3 %
Russell 3000 Growth	33.8 %	-22.4 %	-19.6 %

U.S. fixed income markets benefited from a continuing low-inflation environment and aggressive Federal Reserve rate cuts. Short-term rates were lowered 11 times during the year for a total rate reduction of 4.75 percent, and at year-end were at 1.75 percent, creating the largest gap between rates on two-year Treasuries and 30-year Treasuries since the economy emerged from recession in the early 1990s. The Salomon Broad bond index returned 8.5 percent for the year.

International conflict and global recession had a severe negative impact on non-U.S. equity markets. As in the United States, technology and telecommunications stocks were the hardest hit while defensive stocks, consumer staples, retail, and food and beverage companies held up well until late in the year. Europe was a major disappointment, demonstrating that these markets are now more closely tied to the United States economy than some forecasters predicted. Asia's reliance on exports, especially to the United States, crippled the area's markets except for South Korea, which benefited from restructuring and cost-cutting efforts in the corporate sector. Long-awaited structural reforms in Japan did not occur, and their economy remained mired in



one of the deepest recessions on record. While emerging markets as a whole did not experience declines as severe as developed countries, they remain at 10-year lows.

Private equity markets, especially venture capital, have been severely impacted by the 2000 and 2001 bursting of the technology bubble. These portfolios experienced substantial write-downs at the end of 2000 and again at year-end 2001. It is anticipated that some less-established investment firms in this sector will fail as a result of market conditions. For seasoned, top-tier firms that raised significant sums in 1999 and 2000, this market may ultimately provide the opportunity to invest at more attractive prices. Currently, most firms in the private equity arena are focusing on preserving as much value as possible in their existing portfolios.

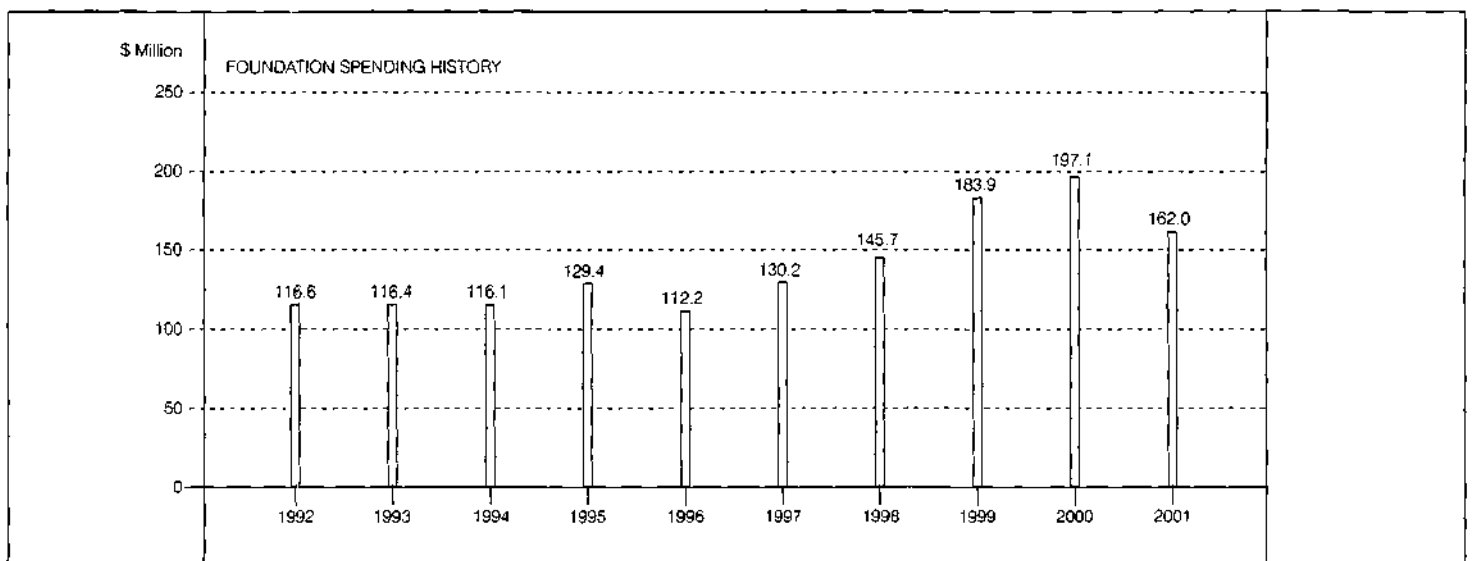
The severity of market declines and the number and size of bankruptcies, most notably Enron, have focused investors on the fact that, while information is now plentiful and instantly available, its quality and integrity must be questioned. Investors must exhaustively scrutinize the most fundamental aspects of a company's ability to generate ongoing earnings as well as the risks inherent in its operations. No purely mechanical approach to selecting securities can replace seasoned judgment. Changes in the accounting standards will be required to provide better information about the complex financial structures and transactions that are now utilized by the corporate sector.

In recent years institutional investors have begun to focus more intently on the level of risk in their portfolios, and the events of 2001 have

underscored the importance of continuous scrutiny of investment risks. The Treasurer's Office has developed a methodology based on quantitative measures of risk that has enhanced the Foundation's ability to assess changes in the overall level of portfolio risk, to track risk by manager and asset class, and to factor market risk into decisions about rebalancing the portfolio's asset allocation. While these approaches are based on the standard deviation of returns as a measure of risk and, therefore, are inadequate on their own, such tools provide a disciplined approach to the process of monitoring portfolio risk.

Created in 1913, the Rockefeller Foundation was endowed in several installments that totaled about \$250 million. The market value of the Rockefeller Foundation's endowment was \$3.1 billion at year-end 2001. In providing oversight of the endowment, the key financial objectives of the Foundation's board of trustees are (1) maintaining the long-term purchasing power of the endowment after inflation and grantmaking, and (2) maximizing funds available for current program needs and administrative support.

These two conflicting goals are balanced through policies on the spending rate and on the asset allocation of the investment portfolio. The Foundation's long-term target for annual spending is 5.5 percent of the market value of the endowment. The chart below summarizes the Foundation's spending history since 1992. Strong financial markets in the 1980s and 1990s allowed the Foundation to increase its spending for grantmaking and administrative expenses from \$117 million in 1992 to \$197 million in 2000. Spending in 2001 totaled \$162 million.



After an unusually long period of equanimity, the severity of market declines in 2000 and 2001 has reminded foundation investors of the challenge they face in meeting a 5 percent IRS mandated annual spending target and preserving endowment value after inflation. The Rockefeller Foundation has curtailed spending increases for 2002 and set aside reserves in the event that market declines further impair portfolio value.

Asset allocation policy is reviewed annually by the Finance Committee, which establishes a target allocation for each asset class. The Foundation rebalances to policy targets as markets move, but does not make tactical shifts in asset allocation. The long-term asset allocation targets are:

Asset Class	Percent
U.S. Equity	32
International Equity	19
Bonds	20
Real Estate	10
Private Equity	10
Absolute Return	8
Cash Reserves	1

The Foundation's investment staff develops overall strategy, recommends investment managers and oversees their performance and adherence to guidelines, researches new investment opportunities and determines their feasibility for the Foundation, and monitors and controls portfolio risks. During 2001 a transition in the Treasurer's Office, which began with the appointment of the current chief investment officer in December 2000, was completed with the addition of a new senior portfolio manager and a manager of investment operations.

A few fundamental principles underlie the investment program. Asset allocation is an important focus for the trustees and the investment staff. Diversification is essential to portfolio design, but new approaches are added only if they are fully understood, serve a clear purpose and can be implemented in meaningful quantities. In selecting outside managers, we seek firms that, in addition to strong track records, have the people, management structure and disciplined processes to

generate superior future results. While quantitative tools are essential for organizing data and for portfolio analysis, we believe that fundamental research and judgment always will be necessary in a world of rapidly changing capital markets. We recognize that investment expenses have a substantial impact on long-term results, and we consider cost control an important component of effective portfolio oversight.

The U.S. equity portfolio currently has approximately 30 percent invested in an S&P 500 index fund, and the remainder is allocated among nine active managers. This asset class is benchmarked against the Russell 3000 index and is designed to roughly approximate index allocations to small-, medium- and large-capitalization stocks.

The U.S. bond portfolio is managed by five advisers. In addition to U.S. Treasury and agency securities, the portfolio includes mortgages, corporate bonds, asset-backed securities, high-yield bonds and international bonds.

The Foundation's international equity portfolio has a small index-fund component, which is maintained for purposes of portfolio rebalancing, plus six active managers. Currency risk is hedged at a 50 percent level by specialists, who manage only currency positions and do not select the underlying equity securities. Emerging markets can represent up to 20 percent of the international equities portfolio, and the Foundation has two managers who specialize in these markets.


During 2001, the Absolute Return asset class was established in the Foundation's portfolio. This asset class, which will be built slowly with top-tier firms, will include investments in event driven strategies, long/short equity strategies and distressed debt. These investments are expected to provide equity-like returns that are not highly correlated with the public equity and fixed income markets.

In addition to marketable securities, the Foundation makes investments in private equity and real estate through funds run by experienced teams in these sectors. The inefficiency of private markets offers long-term institutional investors, who can tolerate illiquidity, the opportunity to benefit from value added by experienced principals in selecting, structuring and managing investments. Our strategy is to build relationships with top-tier firms with whom we can invest in a series of funds over time and to structure partnerships that align our interests with those of our partners.

Report of Independent Auditors

The Board of Trustees

The Rockefeller Foundation

 We have audited the accompanying statements of financial position of the Rockefeller Foundation (the "Foundation") as of December 31, 2001 and 2000, and the related statements of activities and cash flows for the years then ended. These financial statements are the responsibility of the Foundation's management. Our responsibility is to express an opinion on these financial statements based on our audits.

We conducted our audits in accordance with auditing standards generally accepted in the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the Rockefeller Foundation at December 31, 2001 and 2000, and the changes in its net assets and its cash flows for the years then ended in conformity with accounting principles generally accepted in the United States.

Ernst & Young LLP

New York, New York

February 19, 2002

Statements of Financial Position

December 31

	2001 <i>(in Thousands)</i>	2000 <i>(in Thousands)</i>
Assets		
Cash and cash equivalents, including restricted bond funds of \$678 in 2001 and \$692 in 2000 <i>(Note 5)</i>	\$ 4,770	\$ 2,296
Dividends, interest and other receivables	11,851	14,484
Investments <i>(Notes 1 and 2)</i>	3,112,634	3,525,687
Property, net of accumulated depreciation and amortization <i>(Note 3)</i>	26,078	27,260
Deferred Federal excise tax <i>(Note 7)</i>	1,301	-
Prepaid pension cost and other assets <i>(Note 4)</i>	54,492	49,301
Total assets	\$ 3,211,126	\$ 3,619,028
Liabilities and net assets		
Liabilities:		
Accounts payable and accrued liabilities	\$ 13,894	\$ 16,753
Appropriations by the Trustees, approved for specific grantees/purposes but not yet paid <i>(Note 6)</i>	122,000	97,405
Bonds payable, net of unamortized discount <i>(2001: \$265; 2000: \$277) (Note 5)</i>	25,545	26,168
Deferred Federal excise tax <i>(Note 7)</i>	-	2,450
Accrued post-retirement benefits <i>(Note 4)</i>	18,445	18,324
Total liabilities	179,884	161,100
Commitments <i>(Notes 1 and 2)</i>		
Unrestricted and total net assets (including board-designated amounts of \$218,499 in 2001 and \$227,043 in 2000) <i>(Note 6)</i>	3,031,242	3,457,928
Total liabilities and net assets	\$ 3,211,126	\$ 3,619,028

See accompanying notes.

Year ended December 31

	2001 <i>(In Thousands)</i>	2000 <i>(In Thousands)</i>
Changes in net assets		
Investment return:		
Net realized and unrealized loss on investments	\$ (334,991)	\$ (138,558)
Dividend and interest income	102,086	125,707
Other investment income	2,046	973
	(230,859)	(11,878)
Investment expenses	(15,681)	(17,537)
Net investment return	(246,540)	(29,415)
Other expenses:		
Approved grants and program costs	167,910	208,453
General administrative expenses	14,967	13,961
Provision for Federal excise and other taxes (Note 7):		
Current	1,020	4,205
Deferred	(3,751)	(9,444)
	180,146	217,175
Decrease in unrestricted net assets	(426,686)	(246,590)
Unrestricted net assets, beginning of year	3,457,928	3,704,518
Unrestricted net assets, end of year	\$ 3,031,242	\$ 3,457,928

See accompanying notes.

State	ments of	Flows
	Cash	

Year ended December 31

	2001 <i>(In Thousands)</i>	2000 <i>(In Thousands)</i>
Cash flows from operating activities		
Decrease in net assets	\$ (426,686)	\$ (246,590)
Adjustments to reconcile decrease in net assets to net cash used in operating activities:		
Depreciation and amortization	1,469	1,279
Net unrealized loss on investments	183,317	478,545
Net realized loss (gain) on investments	151,674	(339,987)
Changes in operating assets and liabilities:		
Dividends, interest and other receivables	2,633	(872)
Prepaid pension cost and other assets	(5,191)	(5,299)
Accounts payable and accrued liabilities	(2,859)	5,638
Appropriations by the Trustees, approved for specific grantees/purposes but not yet paid	24,595	32,321
Deferred Federal excise tax	(3,751)	(9,444)
Accrued post-retirement benefits	121	159
	352,008	162,340
Net cash used in operating activities	(74,678)	(84,250)
Cash flows from investing activities		
Net sales of investments	78,062	87,254
Property additions	(275)	(3,098)
Net cash provided by investing activities	77,787	84,156
Cash flows from financing activities		
Repayments of bonds payable	(635)	(610)
Net cash used in financing activities	(635)	(610)
Net increase (decrease) in cash and cash equivalents, excluding amounts held in investment portfolio	2,474	(704)
Cash and cash equivalents, beginning of year	2,296	3,000
Cash and cash equivalents, end of year	\$ 4,770	\$ 2,296

See accompanying notes.

1. INVESTMENTS

Investments of the Rockefeller Foundation (the "Foundation") are carried at fair value or contractual values which approximate fair value. Fair value is the amount at which a financial instrument could be exchanged in a current transaction between willing parties, other than in a forced sale or liquidation. The fair values of financial instruments are estimates based upon market conditions and perceived risks as of the statement of financial position date and require varying degrees of management judgment. Quoted market prices, when available, are used as the measure of fair value. In cases where quoted market prices are not available, fair values are based on appraisals, quotations of similarly traded instruments, pricing models or other estimates.

Investing activities are reported on a trade date basis. Realized gains and losses are calculated based on the specific identification method for both financial statement and tax return purposes.

The Foundation's investment portfolio consists of the following:

As of December 31, 2001, under the terms of various private equity, real estate and other limited partnership agreements, the Foundation has commitments to contribute \$333 million in additional capital over the next 10 years. Investments held by the limited partnerships, which are not necessarily readily marketable, generally are valued at fair value as determined by the respective general partners.

The Foundation's two custodians maintain securities lending programs on behalf of the Foundation, and maintain collateral at all times in excess of the value of the securities on loan. Investment of this collateral is in accordance with specified guidelines. Interest earned on these transactions is included with other investment income in the statements of activities. The market value of securities on loan at December 31, 2001 and 2000, was \$133.8 million and \$288.8 million, respectively.

	<i>December 31</i>			
	2001 Cost <i>(in Thousands)</i>	2001 Fair Value <i>(in Thousands)</i>	2000 Cost <i>(in Thousands)</i>	2000 Fair Value <i>(in Thousands)</i>
INVESTMENTS				
Marketable securities:				
Money market funds	\$ 242,712	\$ 242,716	\$ 185,380	\$ 185,391
Foreign currency—short-term	-	4,438	-	(7,635)
U.S. and other government obligations	356,219	358,825	430,181	438,202
Corporate obligations	407,005	388,077	544,403	526,063
Common stock (including REITs)	1,828,090	1,775,395	1,938,198	1,992,888
Other investments	17,259	13,283	17,996	14,586
Subtotal	2,851,285	2,782,734	3,116,158	3,149,495
Limited partnerships and similar interests:				
Real estate	125,540	152,480	141,139	150,140
Private equity and other	331,706	301,781	298,609	368,394
Subtotal	457,246	454,261	439,748	518,534
Programmatic investments	5,450	5,450	2,000	2,000
Pending securities transactions, net	(129,729)	(129,811)	(143,918)	(144,342)
Total	\$ 3,184,252	\$ 3,112,634	\$ 3,413,988	\$ 3,525,687

2. DERIVATIVE FINANCIAL INSTRUMENTS

Derivatives are financial instruments, the value of which is based upon an underlying asset (e.g., treasury bond), index (e.g., S&P 500) or reference rate (e.g., LIBOR). Over-the-counter ("OTC") derivative products are privately negotiated contractual agreements that can be tailored to meet individual client needs and include futures, forwards and options. Exchange-traded derivative products are standardized contracts transacted through regulated exchanges and include futures and certain options contracts listed on an exchange. Derivatives are utilized extensively as highly effective tools that enable users to adjust portfolio risk exposure, such as sensitivity to interest rate or currency fluctuations. Derivatives provide users with access to market risk management tools that are often unavailable in traditional cash instruments.

As a result of its investing strategies, the Foundation is a party to various derivative financial instruments. Derivatives are used by the Foundation for purposes other than trading, to hedge a portion of currency or interest rate exposure, maintain asset mix, or adjust risk profiles.

The Foundation invests in international securities and therefore is exposed to the effects of foreign exchange rate fluctuations predominantly in euros, British pounds, Japanese yen, Swiss francs, Australian dollars and Swedish krona. Foreign currency options and forward contracts are used to hedge a portion of this currency risk.

The Foundation also employs derivatives to maintain a desired asset mix. For example, in the U.S. equities portfolio, S&P 500 index futures contracts are used in combination with cash invested in money market instruments to replicate an investment in an S&P 500 index fund. This combination of futures and cash has the same characteristics as the index fund but often provides for savings on transaction costs. In the fixed income portfolio, U.S. Treasury futures are similarly used to rebalance the portfolio's asset mix.

The Foundation's fixed income managers set a target risk profile for their portfolios, which is often measured by duration, convexity and term structure. These characteristics capture the degree of the portfolio's sensitivity to interest rate movements. When the risk profile of a particular portfolio of securities is different from its target, Eurodollar or Treasury note futures or options may be used to adjust the portfolio's duration, convexity or term structure exposure. In addition, these derivative financial instruments can be used as inexpensive substitutes for owning securities outright.

The Foundation records its derivative activities on a mark-to-market or fair value basis. The fair value of futures, forwards and options is reflected in the statement of financial position. Assets and liabilities represent the derivative contracts purchased and sold by the Foundation. The fair value of such positions represents the net unrealized

DERIVATIVE FINANCIAL INSTRUMENTS	2001 Notional Value <i>(In Thousands)</i>	2001 Fair Value <i>(In Thousands)</i>	2000 Notional Value <i>(In Thousands)</i>	2000 Fair Value <i>(In Thousands)</i>
Equity contracts to manage desired asset mix (contracts primarily based on S&P 500 index):				
Futures contracts:				
Assets	\$ 34,476	\$ (303)	\$ 51,064	\$ (696)
Fixed income contracts to manage portfolio duration, asset mix and interest rate risk:				
Futures contracts:				
Assets	108,510	363	141,927	140
Liabilities	(9,848)	(61)	(59,136)	(83)
Put and call options:				
Liabilities	(78,800)	(211)	(57,300)	(468)
Foreign currency contracts to hedge foreign exchange exposure in non U.S. dollar securities:				
Forward contracts:				
Assets	118,550	(204)	195,497	9,642
Liabilities	(362,412)	4,665	(501,514)	(17,277)
Put and call options:				
Assets	-	-	177	4
Total notional assets	261,536		388,665	
Total notional liabilities	(451,060)		(617,950)	

gains and losses and, consequently, the net receivables and payables at December 31, 2001 and 2000. Fair or market value for the Foundation's derivative financial instruments is generally determined by either quoted market prices or third-party pricing models. Pricing models utilize a series of market inputs to determine the present value of future cash flows, with adjustments, as required, for credit risk, liquidity risk and ongoing costs.

The full market risk and credit risk of derivative financial instruments are associated with their underlying contract amounts or "notional values" in addition to their fair values. Market risk represents potential loss from the decrease in the value of these financial instruments. Credit risk represents potential loss from possible nonperformance by obligors and counterparties on the terms of their contracts.

Notional values and fair values of the Foundation's derivative financial instruments at December 31, 2001 and 2000, are summarized in the preceding table. This table excludes notional exposures relating to derivatives held indirectly through partnership investments that may create additional exposure to the Foundation through short sales of securities, and trading in futures and forward contracts, options, and other derivatives products.

At December 31, 2001, there was approximately \$4.9 million related to OTC contracts and \$900,000 related to exchange-traded contracts. Counterparties to the Foundation's OTC derivative products are high credit quality institutions, which are primarily banks, securities firms and investment companies. Management does not anticipate that losses, if any, resulting from credit or market risk, would materially affect the Foundation's financial position.

3. PROPERTY

Expenditures for capital items currently in use are included in the property account and depreciated on a straight-line basis over the lives of the respective assets. At December 31, 2001 and 2000, the property account included the following:

PROPERTY	2001 <i>(in Thousands)</i>	2000 <i>(in Thousands)</i>
Condominium interest in 420 Fifth Avenue	\$ 16,555	\$ 16,555
Condominium improvements	14,107	13,868
Furniture, fixtures and equipment	2,801	2,979
	33,463	33,402
Less accumulated depreciation and amortization	7,385	6,142
Property—net	\$ 26,078	\$ 27,260

4. PENSIONS AND OTHER POST-RETIREMENT BENEFITS

The Foundation maintains a defined benefit pension plan (the "Plan") for regular salaried employees who were at least 21 years old and have completed one year of service or had attained the age of 40 prior to July 1, 2000. As of July 1, 2000, the Plan was closed to new employees and also to those employees hired prior to July 1, 2000 who did not meet the eligibility requirements. The Plan provides retirement benefits based on years of service and final average pay, with benefits after retirement subject to increase under a cost-of-living augmentation formula. The Foundation makes annual contributions to the Plan, as needed, based on actuarial calculations, in amounts sufficient to meet the minimum funding requirements pursuant to the Employee Retirement Income Security Act of 1974. Plan assets are invested in a diversified portfolio of equities and fixed income securities.

In 2000, the Foundation enhanced its 401(k) plan to create the Retirement Savings Plan (formerly named the Trusteed Savings Plan). Foundation contributions are now made to equal 13 percent of compensation plus a dollar-for-dollar match of up to an additional 2 percent of compensation contributed on a pre-tax basis by employees up to the compensation cap of \$170,000. Current members of the Plan had the option of remaining in the combined retirement plan consisting of the defined benefit pension plan and the former 401(k) Trusteed Savings Plan or moving to the new Retirement Savings Plan. Employees can make additional unmatched pre-tax contributions which, when combined with employee contributions that are matched, cannot exceed the maximum pre-tax contribution limit of \$10,500.

All contributions are credited to the participants' accounts. The Foundation's contributions to the plans were \$1,420,000 in 2001 and \$776,000 in 2000.

PENSIONS AND OTHER POST-RETIREMENT BENEFITS	2001 Pension Benefits <i>(In Thousands)</i>	2000 Pension Benefits <i>(In Thousands)</i>	2001 Other Benefits <i>(In Thousands)</i>	2000 Other Benefits <i>(In Thousands)</i>
Benefit obligation at year-end	\$ 51,133	\$ 48,486	\$ 15,414	\$ 14,287
Fair value of plan assets at year-end	95,421	98,729	-	-
<hr/>				
Funded status of the plan (underfunded)	44,288	50,243	(15,414)	(14,287)
Prepaid (accrued) benefit cost recognized in the statements of financial position	50,549	45,978	(18,445)	(18,324)
Weighted-average assumptions as of December 31:				
Discount rate	7.25%	7.50%	7.25%	7.50%
Expected return on plan assets	9.00%	9.00%		
Rate of compensation increase	5.00%	5.00%		

The Foundation provides certain health-care and life-insurance benefits ("Other Benefits") for retired employees. Employees are eligible for these benefits when they meet the criteria for retirement under the Foundation's pension plan. The plans are noncontributory and there are no cost sharing features. The Foundation accrues the expected cost of providing post-retirement benefits over the years that employees render service and pays the cost of retiree health-care benefits with excess

pension plan assets under the provisions of Section 401(h) of the Internal Revenue Code.

For measurement purposes, a 7 percent annual rate of increase in the per capita cost of covered health-care benefits was assumed for 2002. The rate was assumed to decrease gradually to 5 percent by 2004 and remain at that level thereafter.

PENSIONS AND OTHER POST-RETIREMENT BENEFITS	2001 Pension Benefits <i>(In Thousands)</i>	2000 Pension Benefits <i>(In Thousands)</i>	2001 Other Benefits <i>(In Thousands)</i>	2000 Other Benefits <i>(In Thousands)</i>
Net periodic benefit cost (credit)	\$ (4,571)	\$ (5,070)	\$ 1,163	\$ 1,164
Benefits paid	2,991	2,768	1,042	1,005

5. BONDS PAYABLE

During fiscal 1993, the Foundation issued \$20,445,000 in tax-exempt term bonds and \$9,815,000 in tax-exempt serial bonds to fund the acquisition, construction and furnishing of a new office facility (the "Facility"). The bond proceeds and related investment income earned were held by a trustee (the "Trustee") and have been disbursed at the direction of the Foundation to fund allowable Facility-related costs.

The bonds are rated Aaa by Moody's and AAA by Standard & Poor's, and are backed by the general assets of the Foundation. In addition, the bonds are secured by the Foundation's ownership interest in the Facility, a leasehold interest in the Facility, insurance proceeds with respect to the Facility and certain amounts held by the Trustee. The nominal interest rates on the serial bonds range from 4.6 percent to 5.1 percent. The nominal interest rates attributable to the term bonds are 5.3 percent and 5.4 percent.

The serial bonds mature in various amounts, ranging from \$665,000 to \$880,000 per year, through 2008. The term bonds are due in 2013 (\$5,140,000) and 2023 (\$15,305,000). Bond maturities are as follows (in thousands):

BONDS PAYABLE	
Fiscal year ending December 31:	
2002	\$ 665
2003	695
2004	725
2005	760
2006	800
Thereafter	22,165
<hr/>	
	\$ 25,810

6. APPROPRIATIONS AND EXPENDITURES

Appropriations by the trustees are considered to be obligations when grants are approved (awarded) for specific grantees; appropriations not released for specific grantees and the appropriation for the budget for the next year are considered as board-designated net assets. The majority of approved grants are scheduled for payment within one year. Administrative costs, including investment expenses and excise taxes, account for approximately 14 percent (12 percent in 2000) of the Foundation's total expenses, and are charged to operations when incurred.

Appropriations and expenditures for the year are summarized as follows:

APPROPRIATIONS AND EXPENDITURES	Appropriated for Specific Grantees/ Purposes <i>(In Thousands)</i>	Appropriated for Allocation and Next Year's Budget <i>(In Thousands)</i>	Total Appropriated <i>(In Thousands)</i>
Balance, January 1, 2001	\$ 97,405	\$ 227,043	\$ 324,448
Approved grants and program and administrative costs	195,104	(195,104)	-
Lapses and refunds	(4,050)	(440)	(4,490)
Expenditures for grants and operations	(166,459)	-	(166,459)
2002 budget	-	187,000	187,000
Balance, December 31, 2001	\$ 122,000	\$ 218,499	\$ 340,499

7. FEDERAL EXCISE TAX

The Foundation is a philanthropic organization chartered in 1913 "to promote the well-being of mankind throughout the world." The Foundation qualifies as a tax-exempt organization under Section 501(c)(3) of the Internal Revenue Code and, accordingly, is not subject to Federal income tax. Because the Foundation is classified as a private foundation, it is subject to a Federal excise tax of 1 percent or 2 percent on investment income (its principal source of revenue) less investment expenses, and on net realized taxable gains on securities transactions. In accordance with Section 4940(e) of the Internal Revenue Code, for the years ended December 31, 2001 and 2000, the Foundation met the specified distribution requirements and, therefore, was subject to a Federal excise tax of 1 percent. Additionally, the Foundation's investments in certain private equity and real estate partnerships give rise to unrelated business income tax liabilities.

Deferred Federal excise tax arises from temporary differences between financial and tax reporting related to investment income and the difference between the cost basis and market value of marketable securities.

8. USE OF ESTIMATES

The preparation of financial statements in conformity with accounting principles generally accepted in the United States requires management to make estimates and assumptions that affect the amounts reported in the financial statements and accompanying notes. Management believes that the estimates utilized in preparing its financial statements are reasonable and prudent. Actual results could differ from these estimates.

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Fernando Mola-Davis *Chief Technology Officer* • **Scott Centiza-Levine** *Project Leader* • **Paul Shusterman** *Project Leader* • **Lie Qiao Cao** *Database Programmer/Analyst* • **Rahwa Senay** *Database Programmer/Analyst* • **Vito Romano** *Help Desk Administrator* • **Alma Leathers** *Administrative Assistant*

LIBRARY SERVICES

Christopher Bailey *Research Manager* • **Bernadette Pierre** *Technical Services Librarian* • **Chris Bentley** *Library Assistant*

OFFICE SERVICES

Cora Springer *Manager* • **Hillary Castillo-Patton** *Operations Manager* • **Cathy Boston** *Operations Associate, Facilities* • **Andrew Saunderson** *Operations Associate,*

Purchasing • **Charles Bodt** *Operations Specialist, Audio Visual Services* • **John McGhee** *Operations Specialist, Telecommunications* • **Renese Vought** *Operations Specialist, Reception* • **David Vega** *Operations Assistant, Purchasing*

RECORDS MANAGEMENT

Robert Bykofsky *Records Manager* • **David Montes** *Records Analyst* • **Elizabeth Peña** *Records Analyst*

TREASURER'S OFFICE

Donna Dean *Treasurer and Chief Investment Officer* • **Laura Callanan** *Associate Director of Investments* • **Chun Lal** *Senior Portfolio Manager and Manager of Investment Research* • **Renata Kelly** *Senior Portfolio Manager* • **Michelle Pak** *Portfolio Manager* • **Eamon DeSacia** *Portfolio Assistant* • **Cindy Shiung** *Process Coordinator* • **Melissa D'Agostino** *Executive Assistant* • **Gabriela Monasterio** *Executive Assistant*

CREATIVITY & CULTURE

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FOOD SECURITY

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GLOBAL PHILANTHROPY: NEXT GENERATION LEADERSHIP (NGL)

Surita Sandosham *Manager, NGL Fellowships* • **Antonia Kirkland** *Coordinator, NGL* • **Dorothy Lopez** *Associate, NGL*

GLOBAL PHILANTHROPY: THE PHILANTHROPY WORKSHOP (TPW)

Sal LaSpada *Manager, TPW* • **Lauren Maher** *Associate, TPW* • **Debra Graham** *Administrative Assistant*

HEALTH EQUITY

Tim Evans *Director* • **Rosalia Sciortino** *Regional Representative for Southeast Asia and Associate Director (Thailand)* • **Mary Bassett** *Associate Director (Zimbabwe)* • **George Brown** *Associate Director* • **Sarah Macfarlane** *Associate Director* • **Ariel Pablos-Méndez** *Associate Director* • **Anthony So** *Associate Director* • **Florence Muli-Musiime** *Assistant Director (Kenya)* • **Evelyn Majidi** *Program Administrator* • **Orneata Prawl** *Senior Program Associate* • **Hilary Brown** *Program Coordinator* • **Charlaine Burke** *Program Coordinator* • **Laura Fishler** *Program Coordinator* • **Henni Donnerfeld** *Executive Assistant* • **Amy Boldosser** *Program Assistant* • **Angela Dorla** *Program Assistant* • **Diane Eckert** *Program Assistant* • **Adama Kouyaté** *Program Assistant* • **Jonathan Soverow** *Program Assistant*

OFFICE OF THE ASSOCIATE VICE PRESIDENT

Joyce Moock *Associate Vice President* • **David Court** *Senior Adviser (On assignment at World Bank)* • **Valerie Colas** *Program Coordinator*

WORKING COMMUNITIES

Julia Lopez *Acting Director* • **Dayna Cunningham** *Associate Director* • **Frederick Frelow** *Associate Director* • **Katherine McFate** *Associate Director* • **Ellisabeth Biemann** *Assistant Director* • **Anne Judge** *Program Administrator* • **Bridget Farrenkopf** *Program Associate (San Francisco)* • **Julia Utz** *Program Associate* • **Janet O'Connell** *Executive Assistant* • **Maureen Cullen** *Program Assistant* • **Michael Damian** *Program Assistant* • **Ricardo Martinez** *Program Assistant* • **Abigail Rao** *Program Assistant* • **Tanya Diaz** *Program Assistant*

BELLAGIO STUDY AND CONFERENCE CENTER - ITALY

Gianna Celli *Managing Director* • **Enrica Gilardoni** *Bookkeeper/Payroll/Personnel Assistant* • **Nadia Gilardoni** *Conference Assistant* • **Elena Ongania** *Receptionist/Residents' Assistant*

FACILITY STAFF

Antonio Billal • **Barbara Bricalli** • **Dina Caola** • **Alfredo Cattaneo** • **Paola Ferradini** • **Cesare Ferrario** • **Andrea Gilardoni** • **Marina Gilardoni** • **Simona Gilardoni** • **Umbertina Gilardoni** • **Vittorio Gilardoni** • **Francesco Manera** • **Laura Maranesi** • **Chana Nanayakkara** • **Alberto Polti** • **Don Thejan Rawasinghe** • **Beppino Salvadori** • **Maria Sampletro** • **Nicoletta Sancassani** • **Gavino Sanna** • **Ashroff Sawall** • **Wija Seethawaka** • **Antonello Vaccani** • **Virginia Valli** • **Ezio Vicini** • **Marco Wenk** • **Rosa Zambetti**

AFRICA REGIONAL OFFICE - KENYA

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ZIMBABWE

Akinwumi A. Adesina *Representative for Southern Africa and Associate Director (Food Security)* • **Mary Travis Bassett** *Associate Director (Health Equity)* • **Stembeni Chirume** *Manager, Finance and Administration* • **Vongai Kandwa** *Research Associate* • **Regina Mparutsa** *Assistant to the Regional Representative* • **Faracia Duri** *Program Assistant (Health Equity)* • **Zomhlaba Mbanje** *Administrative Assistant*

MEXICO

Ruben Puentes *Associate Director (Food Security)* • **Pilar Palaciá** *Office Manager* • **Javier García** *Operations Assistant* • **Estela Silva** *Secretary*

SOUTHEAST ASIA REGIONAL OFFICE - THAILAND

Rosalia Sciortino *Regional Representative for Southeast Asia and Associate Director (Health Equity)* • **John C. O'Toole** *Associate Director (Food Security)* • **Wanee Vardhanabhuti** *Administrative Office Manager* • **Kitima Praphandhu** *Executive Assistant* • **Jaravee Iengphasuk** *Communication Assistant* • **Suchari Komol** *Office Assistant* • **Tongrern Wongchine** *Services Assistant* • **Chalempol Attasara** *Driver/Office Assistant*